


The IAPR/IEEE Winter School on Biometrics 2021 26 January, 2021

Gait Analysis

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Advantage of gait recognition

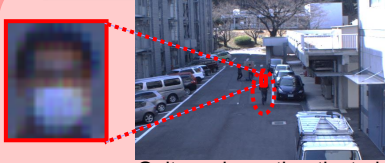
Criminal investigation



CCTV of firer



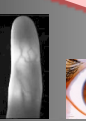



Judge whether a perpetrator and a suspect are the same or not from gaits

Authentication at a distance



Gait can be authenticated at a distance from a camera

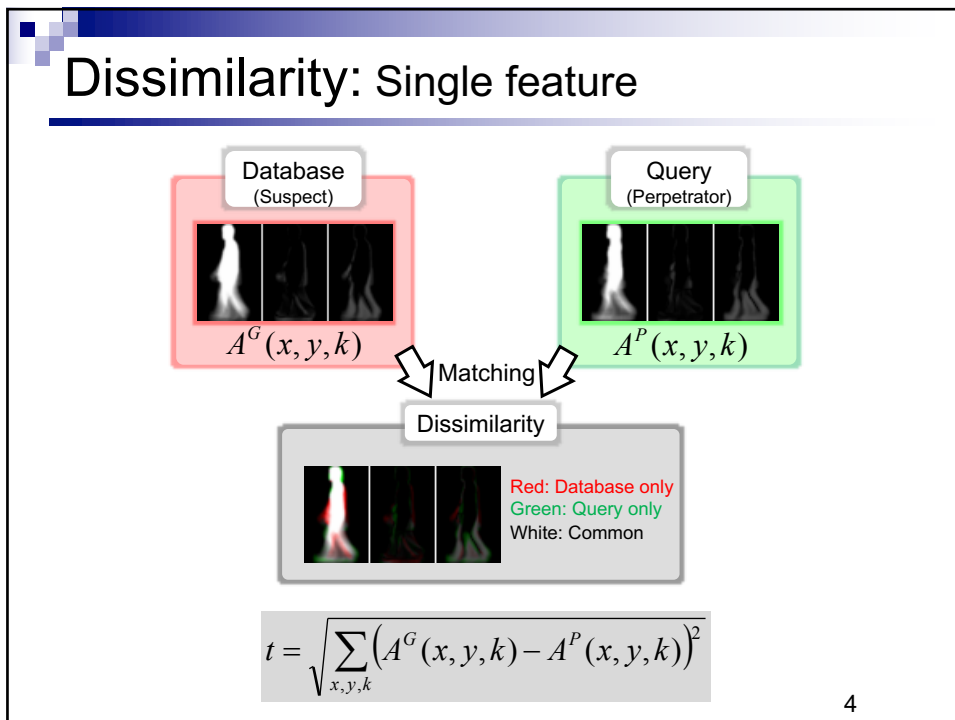
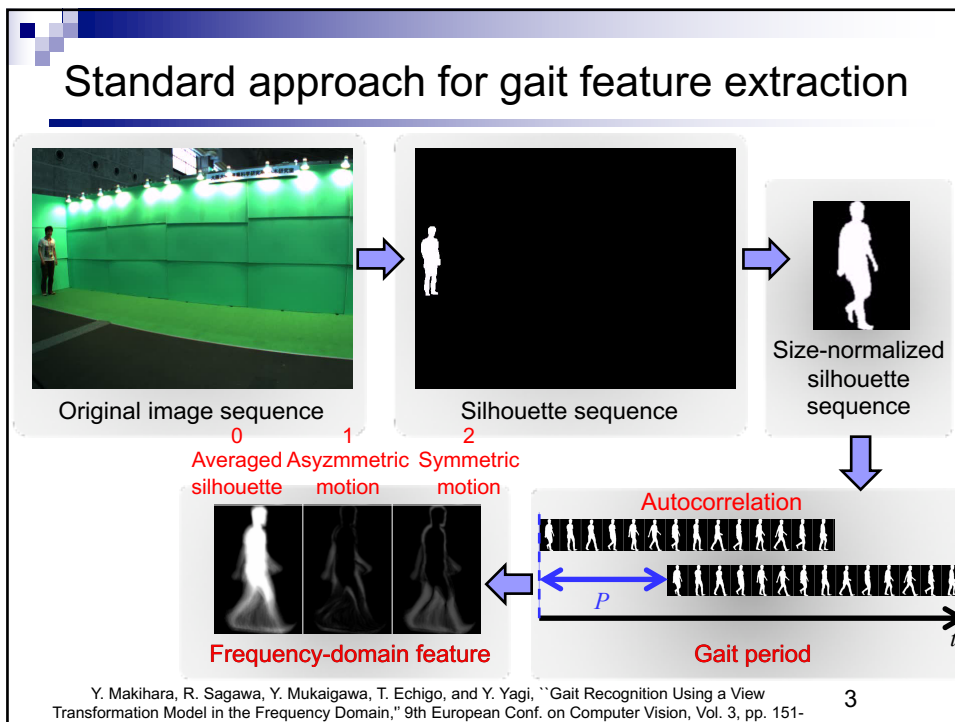
Face recognition does not work due to heavy occlusions by mask

Near Far

Distance to sensor

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Identification (1:N matching)



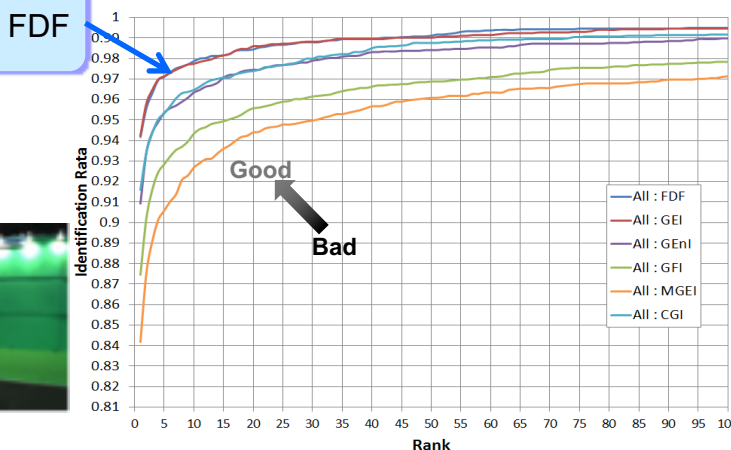
Which one is the same



- Applications
- Person re-identification
 - ID-less access control

Performance evaluation: identification

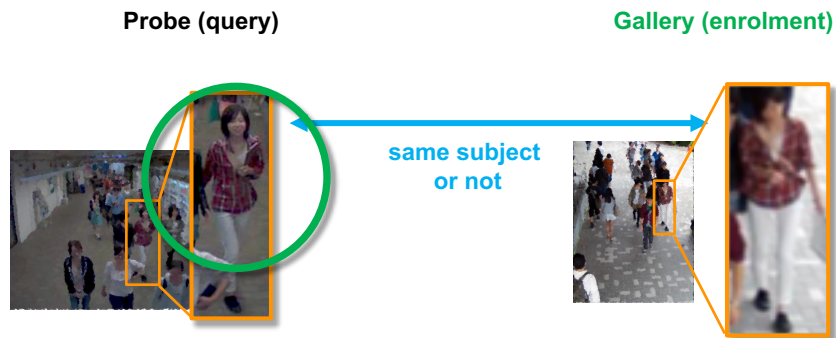
[Iwama et al. IFS 2012]



Cumulative matching characteristics (CMC) curve

94% rank-1 identification rate (N = 3,141)

Verification (1:1 matching)

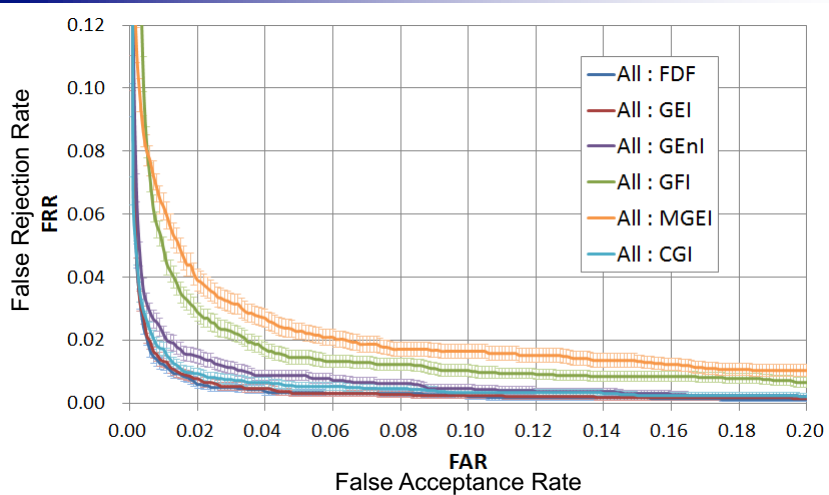


Applications

- Matching a perpetrator and suspect for a criminal investigation.
- Detecting a specific person at border control.

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Performance evaluation: verification



Equal Error Rate (EER): 1.15%

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World first packaged gait verification system for criminal investigation (2013)

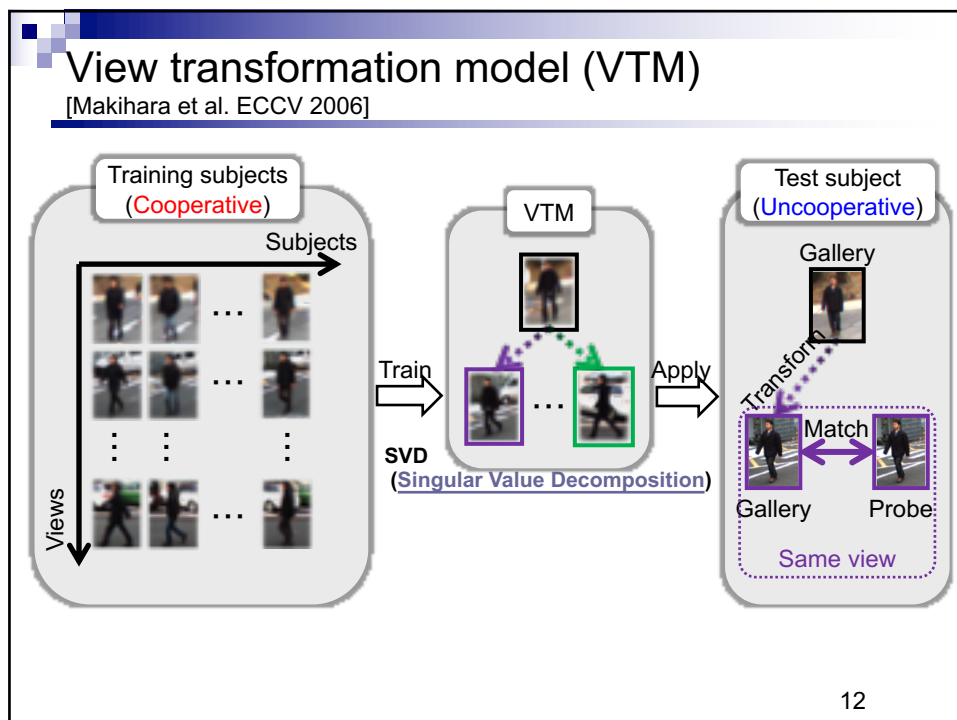
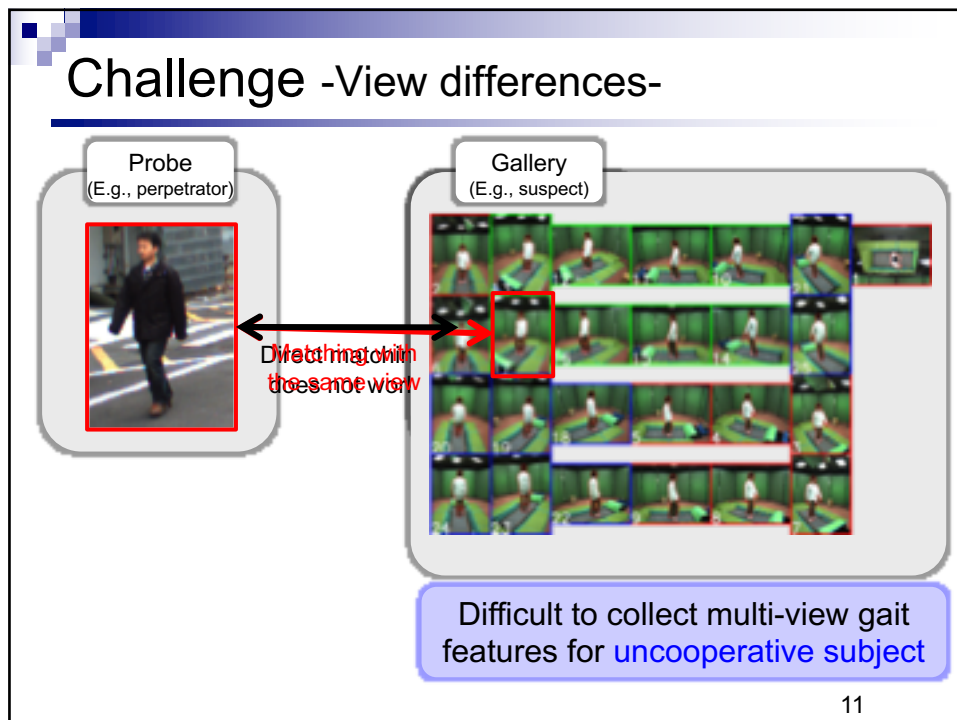


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What is the difficulty for applying gait to wide-area surveillance ?

- The difference of the observation direction
- Occlusion in crowd scene
- Speed difference
- The difference of clothes & carrying objects
- The difference of shoes & ground plane
- The difference of health condition
- The difference of intention
- Low sampling rate

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Formulation of VTM in frequency domain

- Decompose training data matrix of gait features into individuals and views by SVD

$$\begin{array}{c}
 \text{individual} \\
 \leftarrow \quad \quad \quad \rightarrow \\
 \begin{array}{c}
 \text{view} \\
 \updownarrow \\
 \begin{bmatrix}
 \mathbf{a}_{\theta_1}^1 & \mathbf{a}_{\theta_1}^2 & \cdots & \mathbf{a}_{\theta_1}^M \\
 \mathbf{a}_{\theta_2}^1 & \mathbf{a}_{\theta_2}^2 & \cdots & \mathbf{a}_{\theta_2}^M \\
 \vdots & \vdots & \ddots & \vdots \\
 \mathbf{a}_{\theta_K}^1 & \mathbf{a}_{\theta_K}^2 & \cdots & \mathbf{a}_{\theta_K}^M
 \end{bmatrix}
 \end{array}
 \end{array}
 = USV^T = \begin{bmatrix} P_{\theta_1} \\ P_{\theta_2} \\ \vdots \\ P_{\theta_K} \end{bmatrix} \begin{bmatrix} \mathbf{v}^1 & \mathbf{v}^2 & \cdots & \mathbf{v}^M \end{bmatrix}$$

Training data matrix
Transformation matrix to each view
View-independent individual vector

- Gait feature for m th subject from θ_i view

$$\mathbf{a}_{\theta_i}^m = P_{\theta_i} \mathbf{v}^m$$

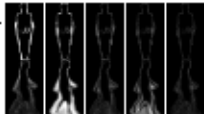
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View transformation


- From a single reference θ_j to θ_i

$$\left. \begin{array}{l} \mathbf{a}_{\theta_i}^m = P_{\theta_i} \mathbf{v}^m \\ \mathbf{a}_{\theta_j}^m = P_{\theta_j} \mathbf{v}^m \end{array} \right\} \Rightarrow \mathbf{a}_{\theta_i}^m = P_{\theta_i} P_{\theta_j}^+ \mathbf{a}_{\theta_j}^m$$

0 deg



90 deg



- Orthogonal motion to reference θ_j is degenerated




- From multiple references $\{\theta_j(1), \dots, \theta_j(k)\}$ to θ_i

$$\left. \begin{array}{l} \mathbf{a}_{\theta_i}^m = P_{\theta_i} \mathbf{v}^m \\ \mathbf{a}_{\theta_j(1)}^m = P_{\theta_j(1)} \mathbf{v}^m \\ \vdots \\ \mathbf{a}_{\theta_j(k)}^m = P_{\theta_j(k)} \mathbf{v}^m \end{array} \right\} \Rightarrow \mathbf{a}_{\theta_i}^m = P_{\theta_i} \begin{bmatrix} P_{\theta_j(1)} \\ \vdots \\ P_{\theta_j(k)} \end{bmatrix}^+ \begin{bmatrix} \mathbf{a}_{\theta_j(1)}^m \\ \vdots \\ \mathbf{a}_{\theta_j(k)}^m \end{bmatrix}$$

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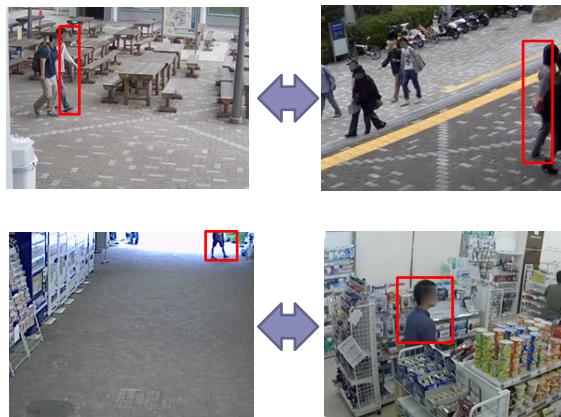
Transformation results

Gallery

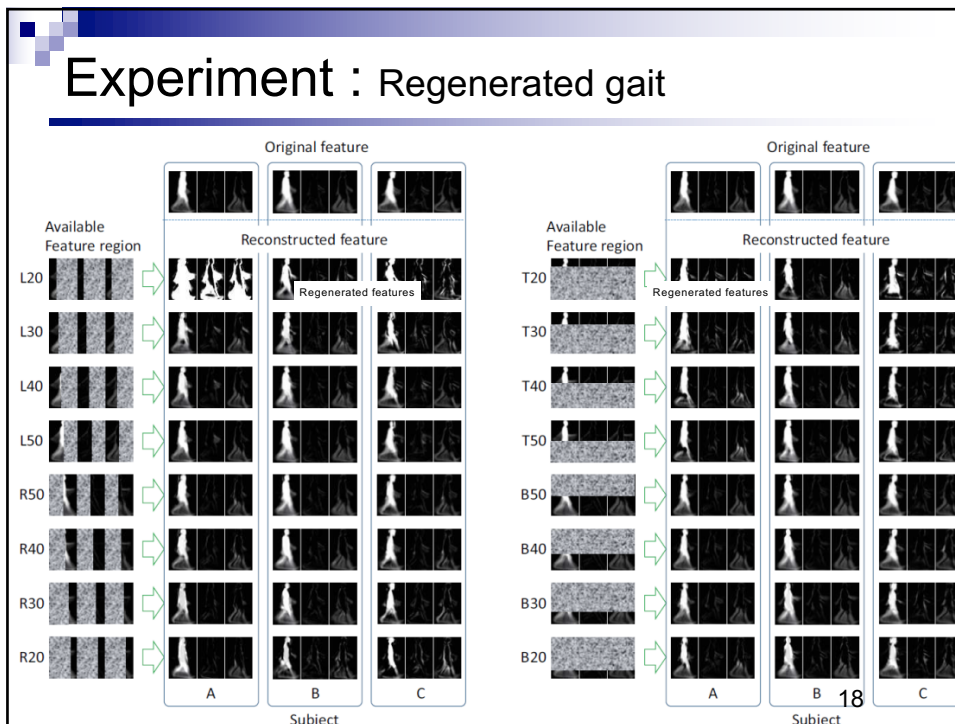
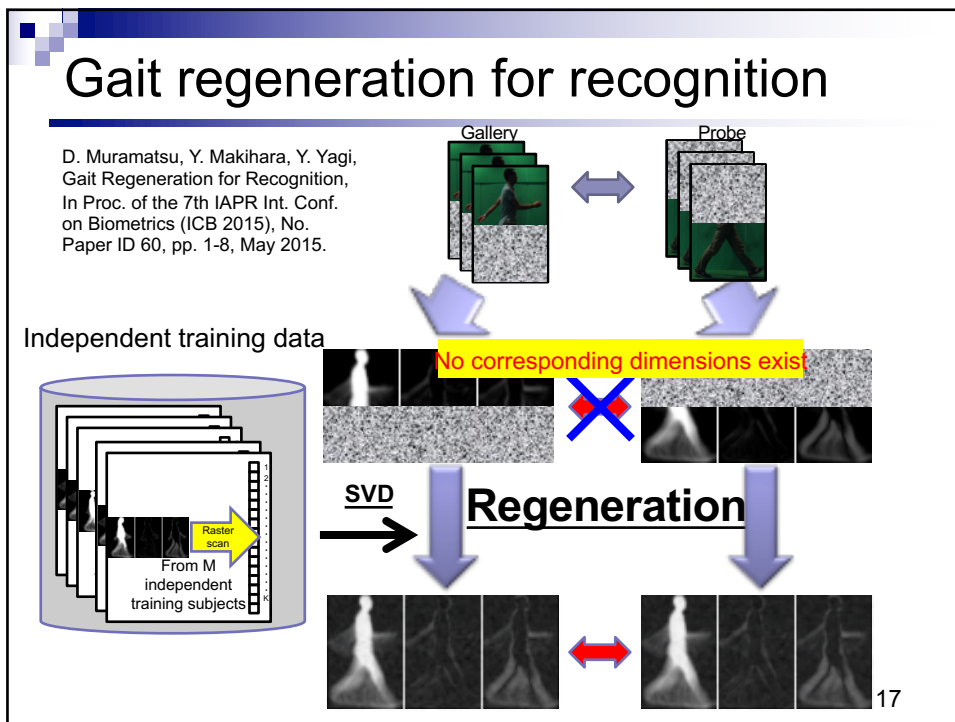
| | | | |
|--------|---|---|---|
| 0 deg |  | | |
| 15 deg | | | |
| 30 deg | | | |
| 45 deg | |  | |
| 60 deg | | | |
| 75 deg | | | |
| 90 deg | | |  |

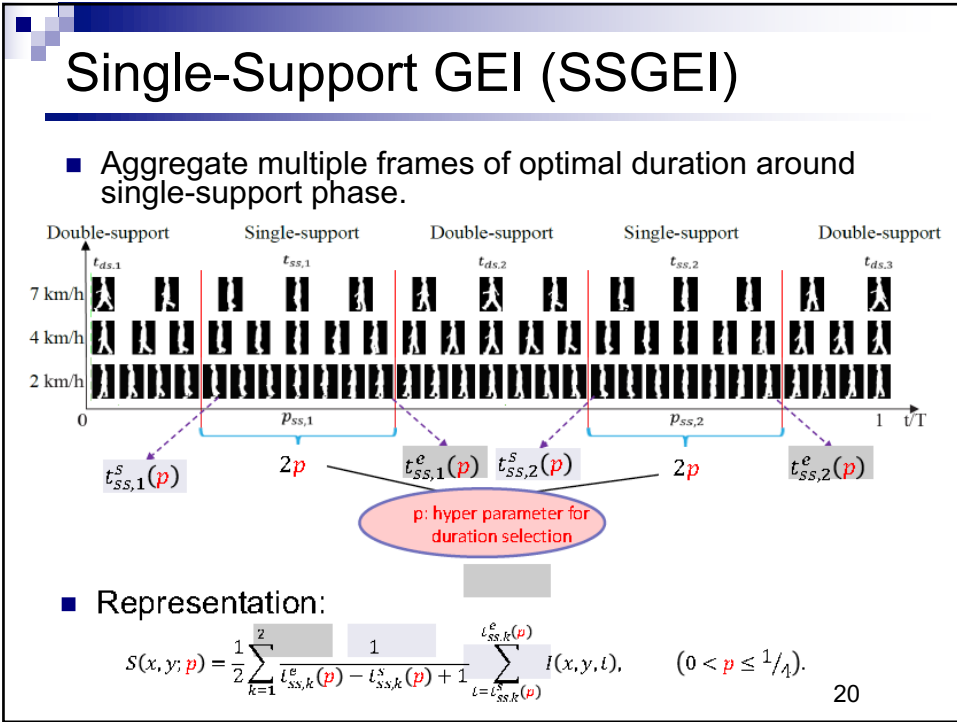
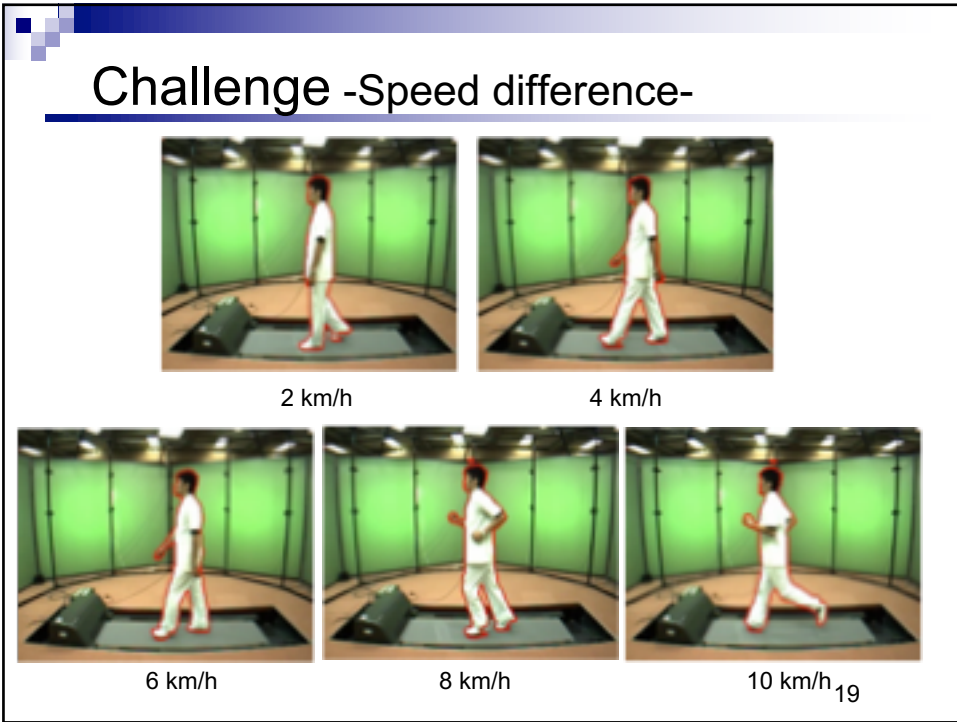
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Actual situation of observed gait in surveillance

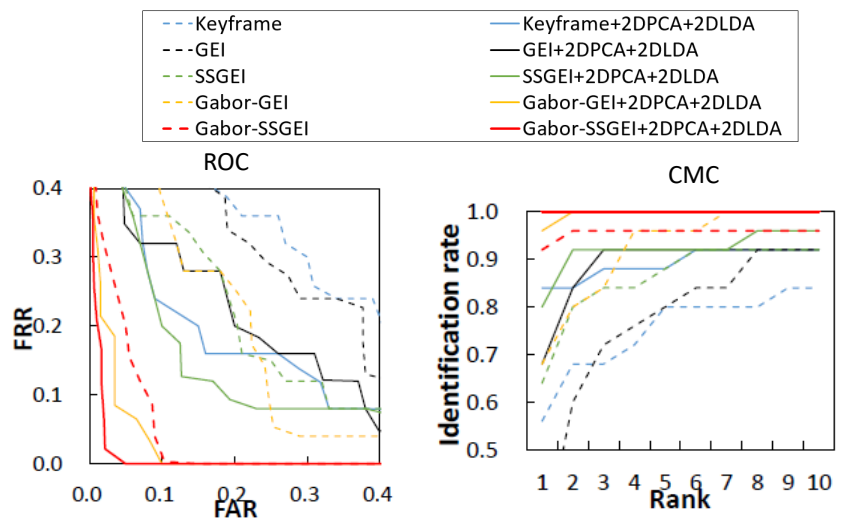


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Experiments: Gallery 4 km/h vs. probe 7 km/h



The propose method achieves the best accuracy.

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Difference of Clothing

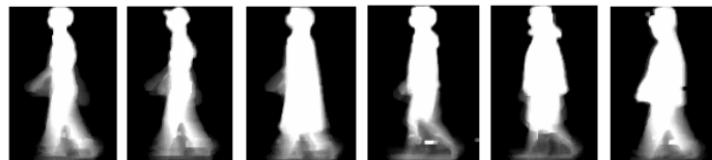
■ Gait recognition

□ Pros:

- Availability at a distance for an uncooperative subject (c.f. face, iris)

□ Cons:

- Accuracy drop due to many covariates (e.g., **clothing**, view, speed)

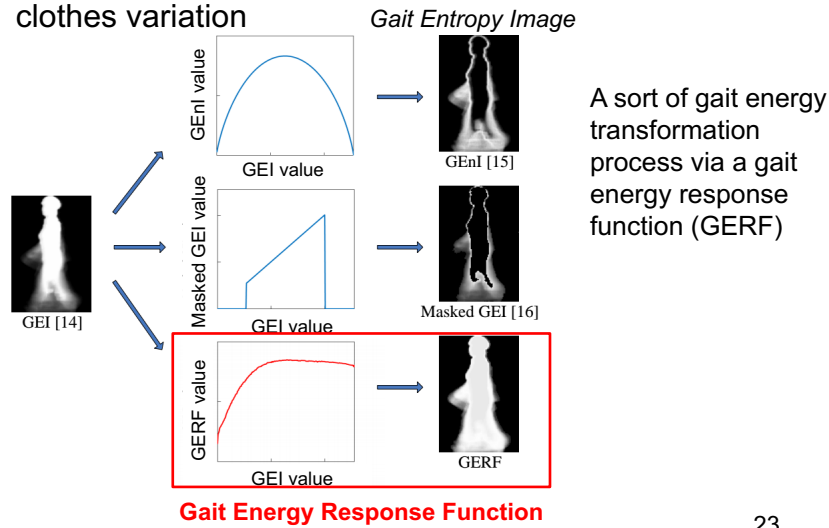


GEI [Han and Bhanu 2006] under clothing variations

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Gait energy response function for clothes-invariant gait recognition (ACCV2016)

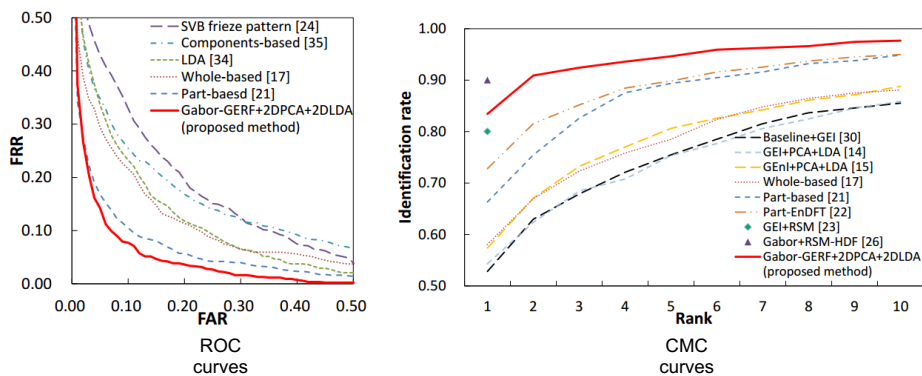
- Transform GEI into more discriminative feature under clothes variation



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Comparison with state-of-the-arts methods

- Compare with the state-of-the-arts methods

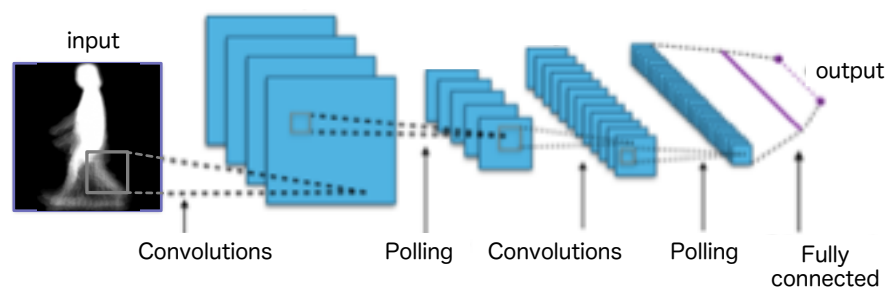


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Gait Features

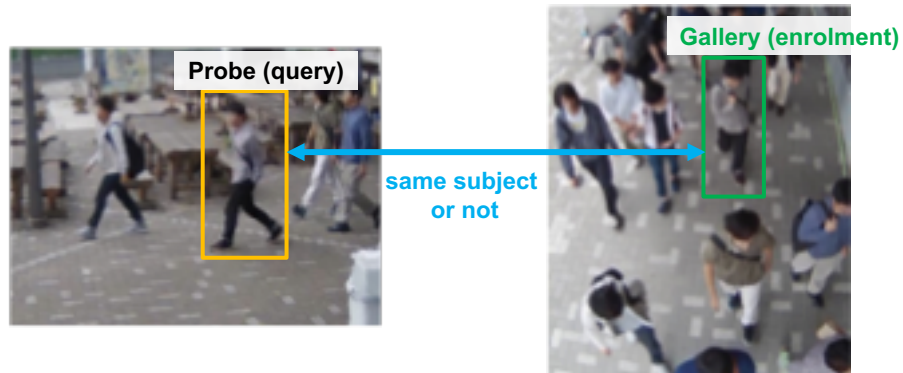
- Frequency Domain Feature (FDF)
 - Y. Makihara, R. Sagawa, Y. Mukaigawa, T. Echigo, Y. Yagi, "Gait Recognition Using a View Transformation Model in the Frequency Domain," In 9th European Conf. on Computer Vision (ECCV), Vol. 3, pp. 151--163, May 2006.
- Single-Support Gait Energy Image (SSGEI)
 - C. Xu, Y. Makihara, X. Li, Y. Yagi, J. Lu, "Speed-Invariant Gait Recognition Using Single-Support Gait Energy Image," Multimedia Tools and Applications, Vol. 78, No. 18, pp. 26509-26536, Sep. 2019 (Published online: 11 Jun. 2019).
- Gait Energy Response Function (GERF)
 - X. Li, Y. Makihara, C. Xu, D. Muramatsu, Y. Yagi, M. Ren, "Gait Energy Response Functions for Gait Recognition against Various Clothing and Carrying Status," Applied Science, Vol. 8, No. 1380, pp. 1-22, Aug. 2018.
- Frequency-domain gait entropy (EnDFT)
 - Md. Rokanujjaman, Md. S. Islam, Md. A. Hossain, Md. R. Islam, Y. Makihara, Y. Yagi, "Effective Part-Based Gait Identification using Frequency-Domain Gait Entrophy Features," Multimedia Tools and Applications, Vol. 74, No. 9, pp. 3099-3120, May 2015 (Published online: 22 Nov. 2013).
- Normal Distance Maps
 - H. El-Alfy, I. Mitsugami, Y. Yagi, "Gait Recognition Based on Normal Distance Maps," IEEE Trans. on Cybernetics, Vol. 48, No. 5, pp. 1526 - 1539, May 2018 (Published online: 05 Jun. 2017).
- Two-Point Gait
 - S. Lombardi, K. Nishino, Y. Makihara, Y. Yagi, "Two-Point Gait: Decoupling Gait from Body Shape," In 14th IEEE Int. Conf. on Computer Vision (ICCV 2013), pp. 1041-1048, Dec. 2013. 25

Convolutional Neural Network (CNN) -based gait recognition



- CNN-based methods have achieved state-of-the-art performance.
- Network architectures can be designed flexibly.

Verification (1:1 matching)

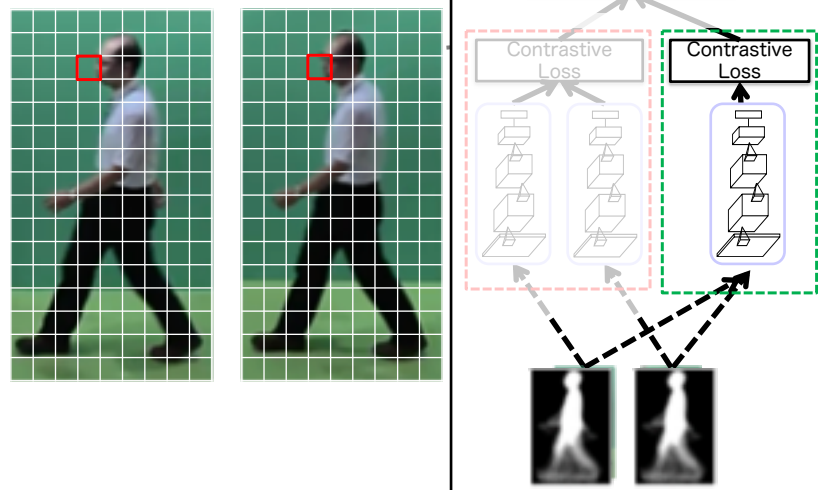


Applications

- Matching a perpetrator and suspect for a criminal investigation.
- Detecting a specific person at border control.

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In case of small angular difference



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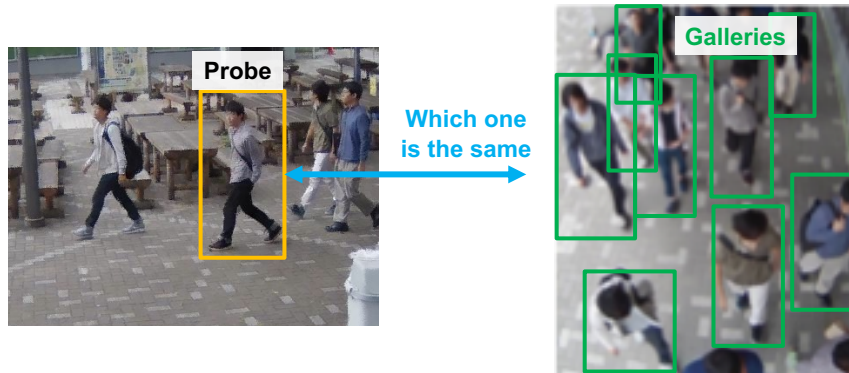
In case of large angular difference

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CNN based Cross View Approach

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Identification (1:N matching)

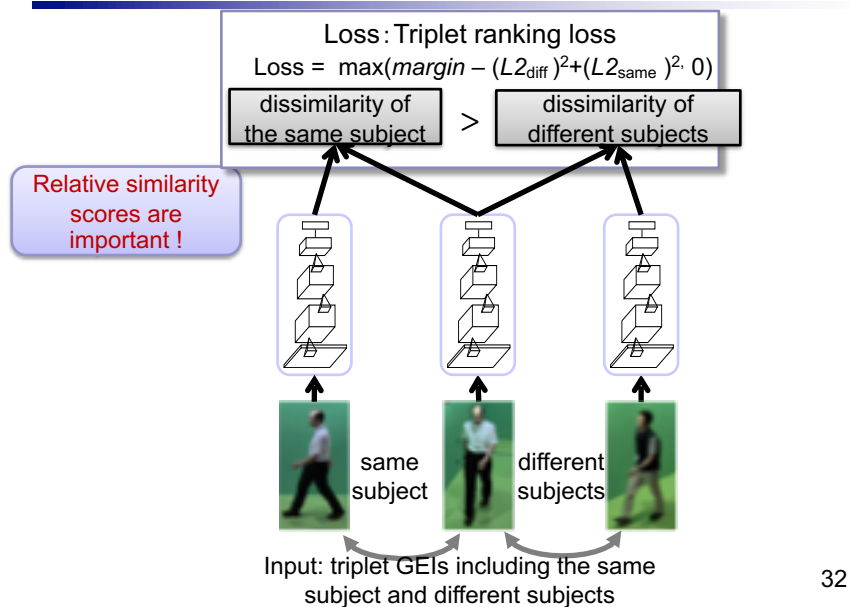


Applications

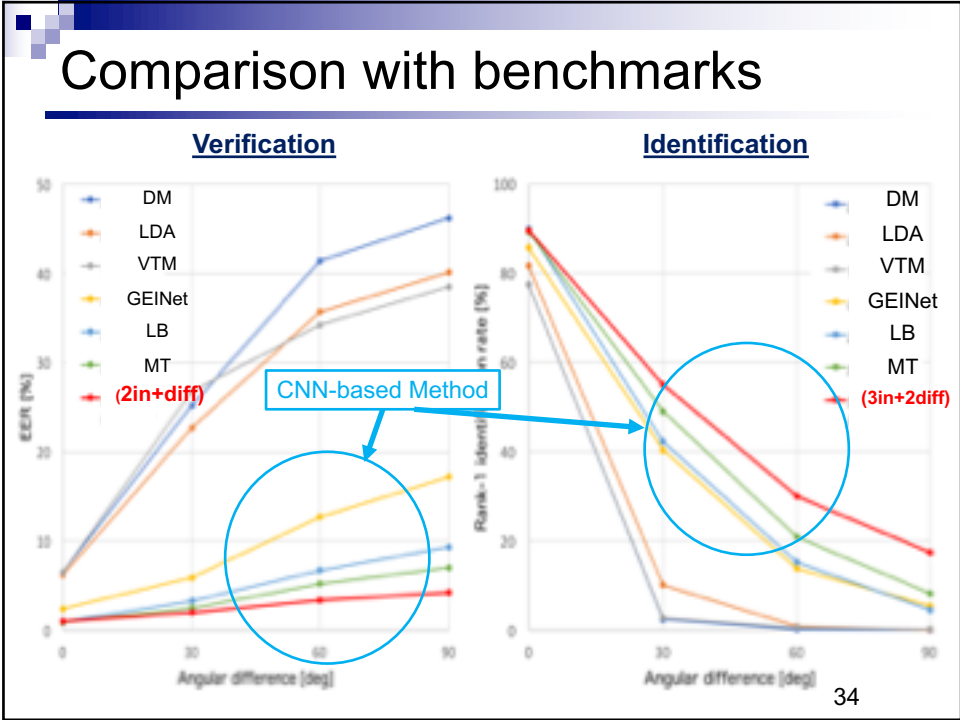
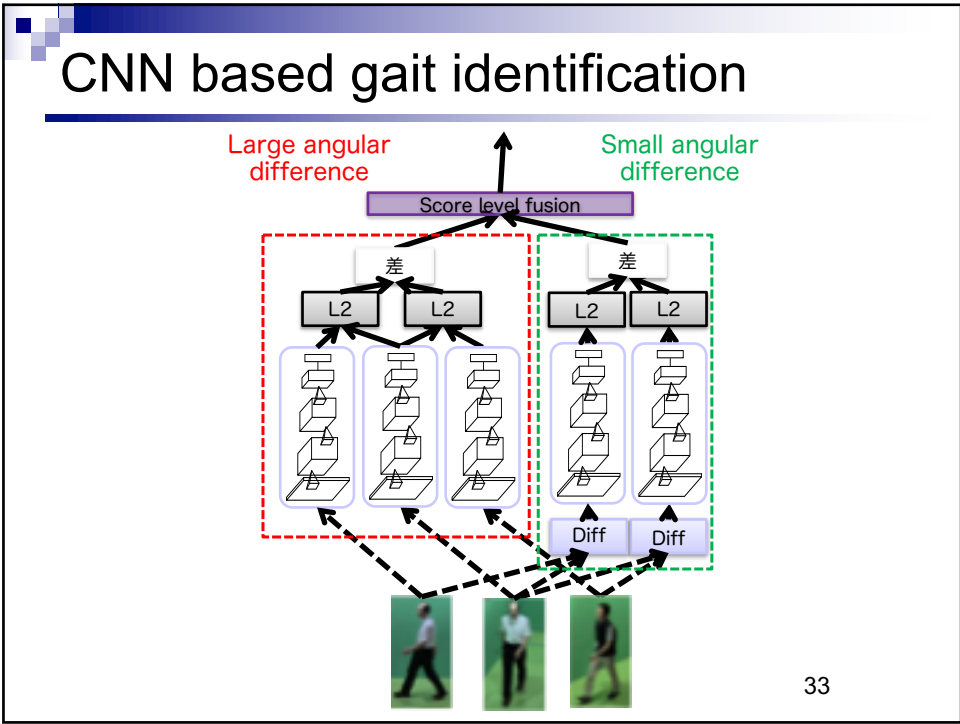
- Person re-identification
- ID-less access control

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Network architecture for identification



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Cross-View Gait Recognition using Pair-wise Spatial Transformer Networks

- An end-to-end CNN-based framework integrating spatial registration and discrimination learning.

C. Xu, Y. Makihara, X. Li, Y. Yagi, J. Lu, "Cross-View Gait Recognition using Pairwise Spatial Transformer Networks," IEEE Trans. on Circuits and Systems for Video Technology, 2020 (Published online: 21 Feb. 2020).

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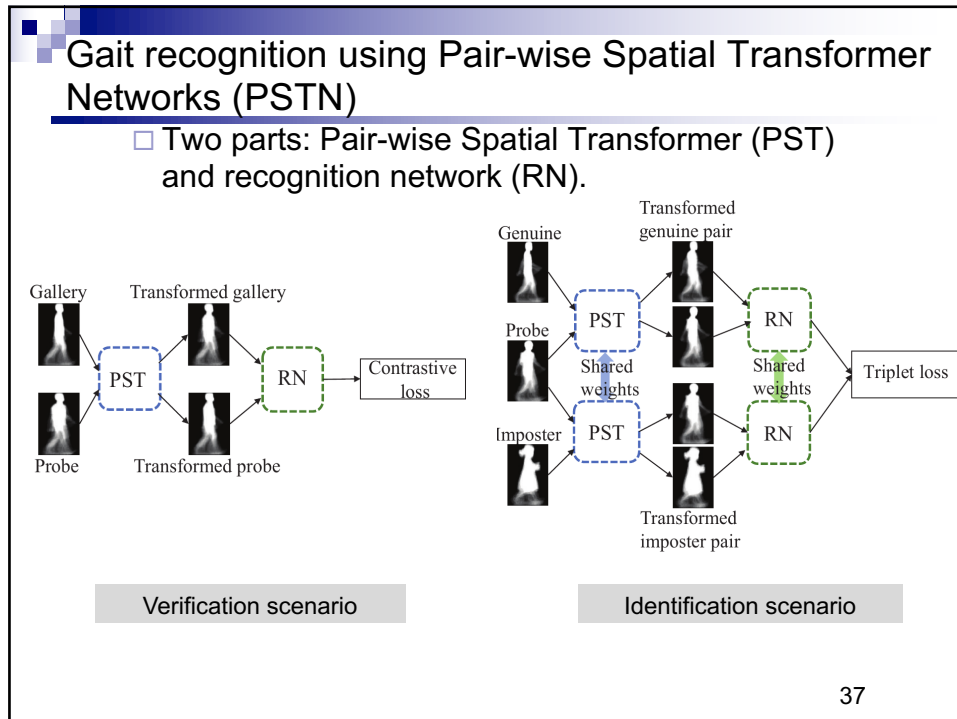
Inspiration

- Spatial transformer network [Jaderberg et al. 2015]

Affine transformation parameters

Spatial Transformer

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Comparison on OU-MVLP

- EER [%] of each angular difference.

| Angular difference | 0° | 30° | 60° | 90° | Mean |
|-------------------------------------|------------|------------|------------|------------|------------|
| DM [Takemura et al. 2018] | 6.5 | 25.2 | 41.4 | 46.2 | 27.2 |
| LDA [Otsu 1982] | 6.2 | 22.7 | 35.7 | 40.1 | 24.0 |
| VTM [Makihara et al. 2006] | 6.5 | 26.8 | 34.2 | 38.5 | 25.0 |
| GEINet [Shiraga et al. 2016] | 2.4 | 5.9 | 12.7 | 17.2 | 8.1 |
| Original LB [Wu et al. 2017] | 1.0 | 3.3 | 6.7 | 9.3 | 4.3 |
| Original MT [Wu et al. 2017] | 0.9 | 2.5 | 5.2 | 7.0 | 3.3 |
| diff + 2in [Takemura et al. 2018] | 1.0 | 2.0 | 3.4 | 4.2 | 2.4 |
| PST-LB* + PST-2in (proposed) | 0.6 | 1.5 | 2.8 | 3.7 | 1.9 |

OU-ISIR Multi-View Large Population Dataset (OU-MVLP) 10307 subjects 14 views.

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Comparison on OU-MVLP

- Rank-1 identification rate [%] of each angular difference.

| Angular difference | 0° | 30° | 60° | 90° | Mean |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|
| DM [Takemura et al. 2018] | 77.4 | 2.4 | 0.2 | 0.0 | 20.3 |
| LDA [Otsu 1982] | 81.6 | 10.1 | 0.8 | 0.1 | 24.4 |
| VTM [Makihara et al. 2006] | 77.4 | 2.7 | 0.6 | 0.2 | 20.5 |
| GEINet [Shiraga et al. 2016] | 85.7 | 40.3 | 13.8 | 5.4 | 40.7 |
| Original LB [Wu et al. 2017] | 89.9 | 42.2 | 15.2 | 4.5 | 42.6 |
| Original MT [Wu et al. 2017] | 89.3 | 49.0 | 20.9 | 8.2 | 46.9 |
| 2diff + 3in [Takemura et al. 2018] | 89.5 | 55.0 | 30.0 | 17.3 | 52.7 |
| PST-2LB* + PST-4in (proposed) | 93.9 | 69.2 | 41.9 | 25.9 | 63.1 |

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Joint Intensity Transformer Network for Gait Recognition against Clothing and Carrying status

- Carrying status and clothing appear in various spatial positions



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Joint intensity and spatial metric learning [CVPR 2017]

Joint Intensity and Spatial Metric Learning for Robust Gait Recognition

- General dissimilarity measure

$$D(\mathbf{v}^P, \mathbf{v}^G) = \sum_{i=1}^{N_S} w_{S,i} \eta(v_i^P, v_i^G)$$

N_S : #Pixels
 i : Position index

Spatial metric

Want to enhance weight: $w_{S,i} > 1$
Want to reduce weight: $w_{S,i} < 1$

Joint intensity metric

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Proposed method

- Joint intensity transformer network (JITN)

Joint intensity metric learning

Joint Intensity Metric Estimation Net (JIMEN)

Spatial metric learning

Discrimination Net (DN)

Loss

| | | | |
|--------------------------|----------------------------|-------------------------------|-----------------------------|
| Black digits: Size | Conv (7x7/1) + ReLU + Norm | DeConv (4x4/2) + ReLU + Norm | Fc + ReLU |
| Purple digits: #Channels | Max Pooling (2x2/2) | DeConv (4x4/2) | Joint intensity transformer |
| | Conv (11x6/1) + ReLU | Conv (7x7/1) + ReLU + Dropout | L2 norm |

X. Li, Y. Makihara, C. Xu, Y. Yagi, M. Ren, "Joint Intensity Transformer Network for Gait Recognition Robust against Clothing and Carrying status," IEEE Transactions on Information Forensics and Security, Vol. 14, No. 12, pp. 3102-3115, Dec. 2019

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Joint Intensity Transformer Network

- Extend joint intensity and spatial metric learning method using deep learning-based techniques

X. Li, Y. Makihara, C. Xu, Y. Yagi, M. Ren, "Joint Intensity Transformer Network for Gait Recognition Robust against Clothing and Carrying status," IEEE Transactions on Information Forensics and Security, Vol. 14, No. 12, pp. 3102-3115, Dec. 2019. [open access]

Gait Recognition via Semi-supervised Disentangled Representation Learning to Identity and Covariate Features

X. Li, Y. Makihara, C. Xu, Y. Yagi, M. Ren

- Use DRL to directly separate identity and covariate features (f_{id} , f_{cov}) from silhouette-based gait representations

- ✓ Purified identity features for better recognition
- ✓ Transfer covariate features from one subject to another (GEI editing)

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Comparison on OU-LP-Bag

| Methods | Cooperative setting | | Uncooperative setting | |
|-----------------|---------------------|-------------|-----------------------|-------------|
| | Rank1 [%] | EER [%] | Rank1 [%] | EER [%] |
| DM | 17.74 | 18.46 | 15.90 | 29.89 |
| GEI w/ LDA | 40.79 | 7.35 | 31.44 | 14.40 |
| GEI w/ RSVM | 24.66 | 9.58 | 18.28 | 14.69 |
| GERF | 38.48 | 7.97 | 31.24 | 11.35 |
| GEINet | 22.26 | 11.29 | 18.52 | 14.68 |
| SIAME | 49.80 | 2.17 | 50.27 | 2.22 |
| LB | 74.39 | 1.68 | 70.53 | 1.66 |
| 2diff / diff | 73.14 | 1.36 | 72.75 | 1.35 |
| Proposed method | 74.44 | 1.25 | 74.03 | 1.25 |

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Recent Studies against Carrying status

- X. Li, Y. Makihara, C. Xu, Y. Yagi, M. Ren, "Gait recognition invariant to carried objects using alpha blending generative adversarial networks," Pattern Recognition, 2020 (Published online: 28 Apr. 2020).

X. Li, Y. Makihara, C. Xu, Y. Yagi, M. Ren, "Gait Recognition via Semi-supervised Disentangled Representation Learning to Identity and Covariate Features," In the 33rd IEEE Conf. on Computer Vision and Pattern Recognition (CVPR 2020), Seattle, WA, USA, pp. 1-11, Jun. 2020.

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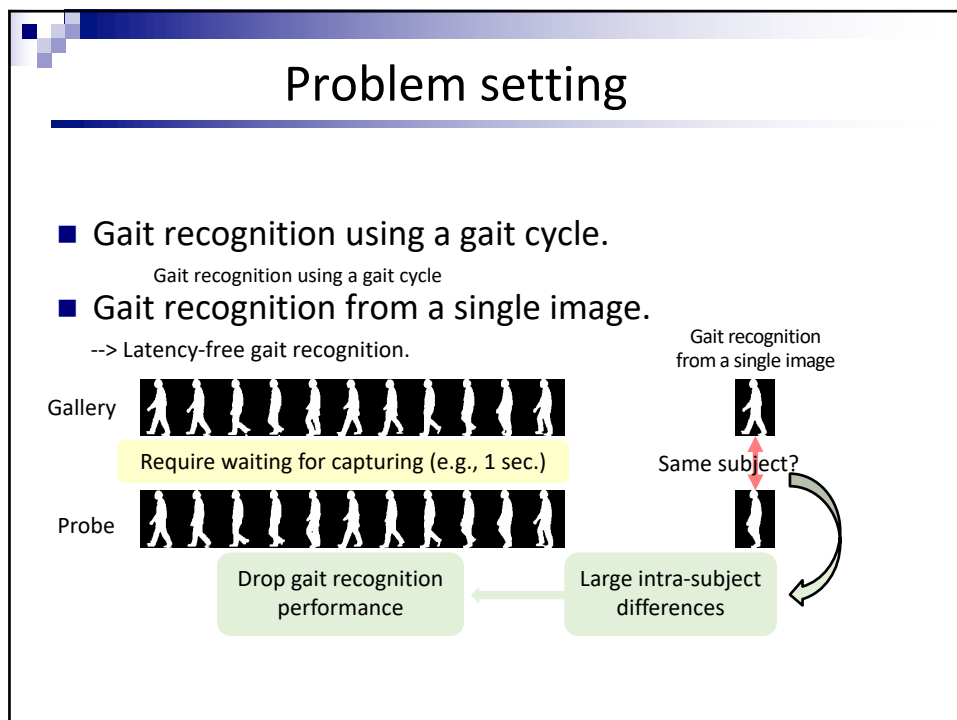


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**Gait Recognition from a Single Image
using a Phase-Aware
Gait Cycle Reconstruction Network**

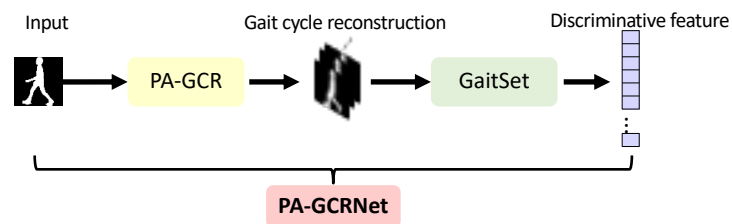
Chi Xu^{1,2}, Yasushi Makihara², Xiang Li^{1,2},
Yasushi Yagi², and Jianfeng Lu¹
¹ Nanjing University of Science and Technology
² Osaka University



Proposed method

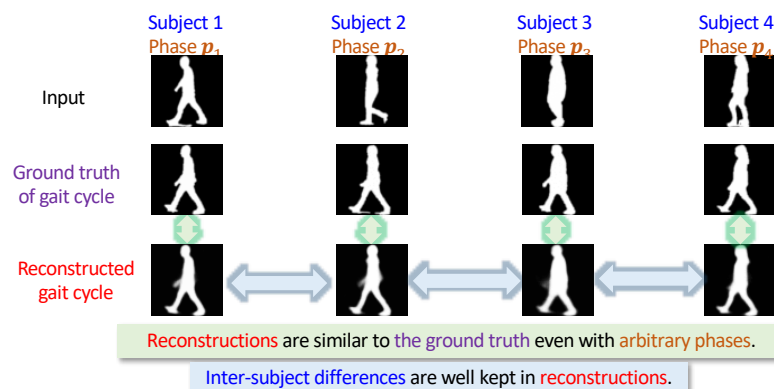
■ PA-GCRNet: Phase-aware gait cycle reconstruction network

- An end-to-end framework composed of the two components:
 - PA-GCR: Phase-aware gait cycle reconstructor
 - GaitSet [7]: Recognition network



Reconstruction examples

- Inputs from different subjects with arbitrary phases.



Recognition performance

- Comparison on OU-MVLP.

- Rank-1 identification rate [%] and equal error rate (EER) [%].

| Method | Rank-1 | EER |
|----------------------|-------------|-------------|
| DM | 4.4 | 41.3 |
| GaitSet [7] | 14.0 | 19.6 |
| PA-GCRNet (proposed) | 80.3 | 1.3 |

↻ 5.5-times better ↻ 15-times better


The proposed method significantly outperforms the benchmarks.


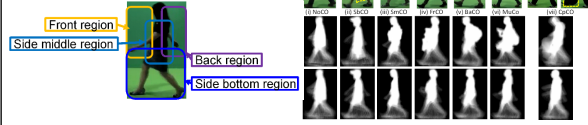
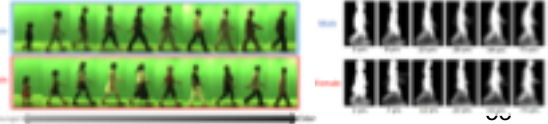
List of representative gait databases (DBs)

| Name | #Subjects | #Sequences | Covariates | #Viewpoints | Indoor (I) / Outdoor (O) |
|----------------------|-----------|------------|------------|-------------|--------------------------|
| CMU MoBo | 25 | 600 | ✓ | 6 | I (Treadmill) |
| Georgia Tech | 15 | 268 | ✓ | - | O |
| | 18 | 20 | ✓ | - | - |
| HID-UMD | 25 | 100 | ✓ | 1 | O |
| | 55 | 222 | ✓ | 2 | O |
| SOTON Small Database | 12 | - | ✓ | 3 | I |
| SOTON Large Database | 115 | 2,128 | ✓ | 2 | I/O |
| SOTON Multimodal | >300 | >5,000 | ✓ | 12 | I |
| SOTON Temporal | 25 | 2,280 | ✓ | 12 | I |
| USF HumanID | 122 | 1,870 | ✓ | 2 | O |
| CASIA A | 20 | 240 | ✓ | 3 | I |
| CASIA B | 124 | 13,640 | ✓ | 11 | I |
| CASIA C | 153 | 1,530 | ✓ | 1 | O |
| CASIA D | 88 | 2640 | ✓ | 1 | O |
| OU-ISIR, Treadmill A | 34 | 612 | ✓ | 1 | I (Treadmill) |
| OU-ISIR, Treadmill B | 68 | 2,764 | ✓ | 1 | I (Treadmill) |
| OU-ISIR, Treadmill C | 200 | 200 | ✓ | 25 | I (Treadmill) |
| OU-ISIR, Treadmill D | 185 | 370 | ✓ | 1 | I (Treadmill) |
| OU-ISIR, LP | 4,007 | 7,842 | ✓ | 2 | I |
| OU-ISIR, LP-Age | 63,846 | 63,846 | ✓ | 1 | I |
| OU-ISIR, LP-Bag | 62,528 | 178,018 | ✓ | 1 | I |
| OU-ISIR, MVLP | 10,307 | 277,358 | ✓ | 14 | I |
| TUM-IITKGP | 35 | 850 | ✓ | 1 | O |
| TUM-GAID | 305 | 3,370 | ✓ | 1 | O |
| WOSG | 155 | 684 | ✓ | 8 | O |

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Ad: World's largest gait database



| Data set | #Subjects | Covariates |
|----------|-----------|---|
| OUMVLP | 10,307 | 14 views  |
| OULP-Bag | 62,528 | Carried objects in the wild  |
| OULP-Age | 63,846 | Wide age range  |

THANKS FOR YOUR ATTENTION

Yasushi YAGI

E-mail : yagi@am.sanken.osaka-u.ac.jp
 URL: <http://www.am.sanken.osaka-u.ac.jp/>