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# IAPR/IEEE WINTER SCHOOL ON BIOMETRICS 2021

24 - 28 January 2021 Shenzhen, China



## Fundamentals and Recent Progress of Biometrics

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**Center for Research on Intelligent Perception and Computing (CRIPAC)**

**National Laboratory of Pattern Recognition (NLPR)**

**Chinese Academy of Sciences' Institute of Automation (CASIA)**

**January 24, 2021**

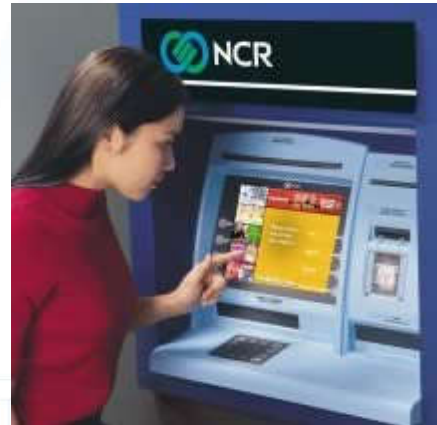
- **Preamble**
- **Overview of Recent Progress on Biometrics**
  - ✓ **Fingerprint Recognition**
  - ✓ **Iris Recognition**
  - ✓ **Face Recognition**
  - ✓ **Gait Recognition**
  - ✓ **Person Re-Identification**
  - ✓ **Others**
- **Future Directions and Conclusions**

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# Personal identification is required by a wide variety of applications



Access control



Bank



Email login



Border control



Unlock mobile phone



Forensics

# Traditional methods of personal identification



ID Card

A screenshot of a Google account login page. The page is in Chinese and includes the text "Google 帐户" and "登录到 Gmail". It shows a username field with "张三" (Zhang San) entered, a password field with masked characters, and a checkbox for "在此计算机上保存我的信息" (Save my info on this computer). There is a "登录" (Login) button and a link for "我无法访问我的帐户" (I can't access my account).

Password



Card+PW

- Passwords and cards can be shared and thus cannot provide non-repudiation
- Passwords may be forgotten or cracked
- Cards may be lost, stolen or forged

# Too Many Passwords to Remember!



**“Sorry about the odor. I have all my passwords tattooed between my toes.”**

- Heavy web users have an **average of 21 passwords**; 81% of users select a common password (e.g., **PASSWORD**) and 30% write their passwords down or store them in a file. (2002 NTA Monitor Password Survey)



# Security threats of identity theft


The Marriott data breach reported on November 30, 2018 indicates that everyone is at risk of identity theft and passport and credit card are not reliable identifiers.

## Security

### Identity stolen because of the Marriott breach? Come and claim your new passport

It's the least they could do. Really. The bare minimum

By Shaun Nichols in San Francisco 7 Dec 2018 at 23:35

14  SHARE ▼

### Marriott customers should change credit card numbers, be alert for identity theft

by Special on November 30, 2018 in NEWS

The names, addresses, contact information and passport numbers of over 300 million people who stayed at a Starwoods hotel property may have been accessed in a major data hack, [Marriott hotels reported Friday](#). Marriott's data team confirmed that the Starwood guest reservation database — which contains up to 500 million accounts — had been compromised, and the hacking may have been ongoing since



# Biometrics

Automated recognition of individuals based on their behavioral and biological characteristics [ISO/IEC JTC1 2382-37:2012]

## Physiological Modalities



Iris



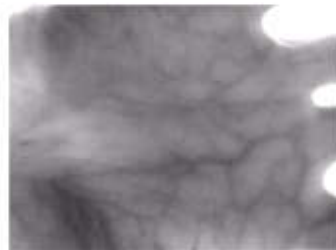
Face



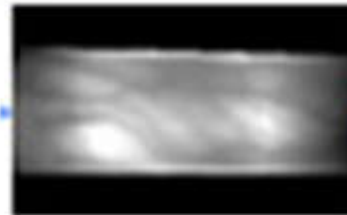
Fingerprint



Palmprint



Palm vein



Finger vein



Hand geometry



Ear



Retina

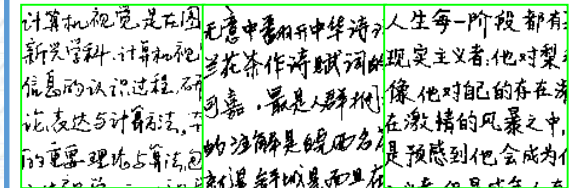


DNA

## Behavioral Modalities



Gait

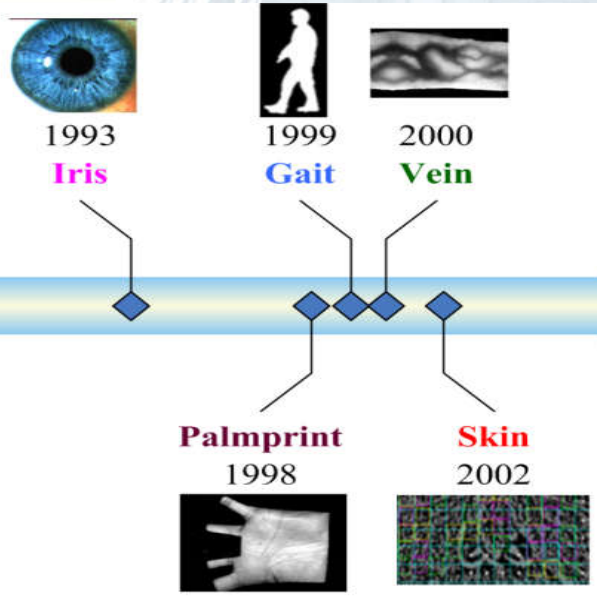
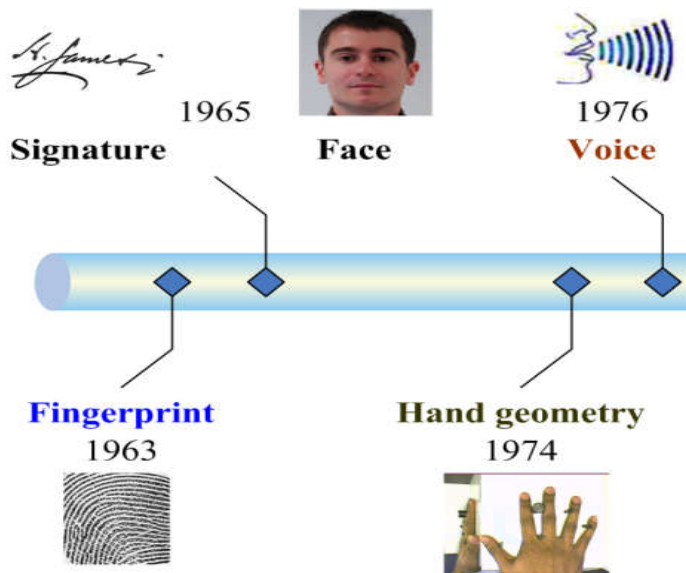
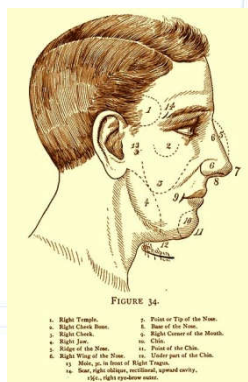
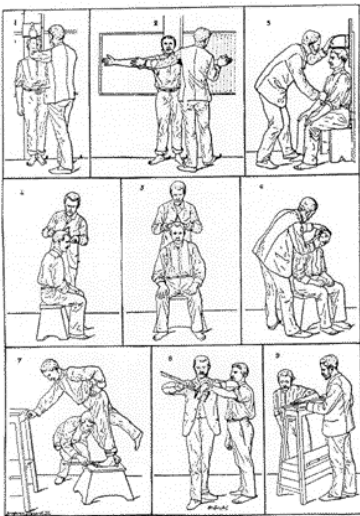
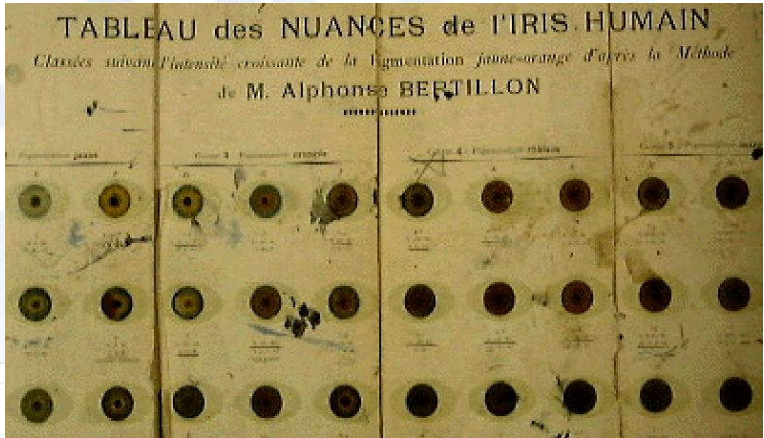
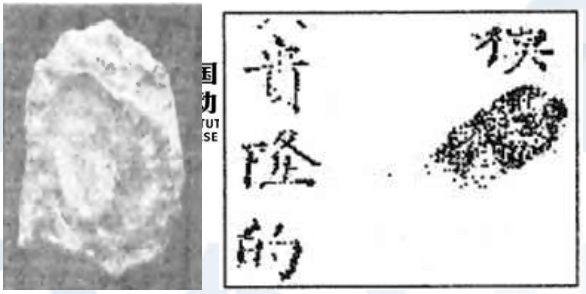


Handwriting



Voiceprint

# The history of biometrics



# Main biometric modalities

Fingerprint    Iris    Face    Palmprint

DNA    Periocular    Palm vein    Finger vein

Retina    Hand geometry    Ear    EEG

ECG

....

Physiological Traits

Gait    Keystroke dynamics

Voice

Handwriting    Signature

....

Behavioral Traits

# Applications of Biometrics



Fingerprint recognition for mobile authentication



Face recognition for border control



Iris recognition for coal miner identification



Finger vein recognition for ATM authentication



Voiceprint recognition for payment



Signature verification for credit card security

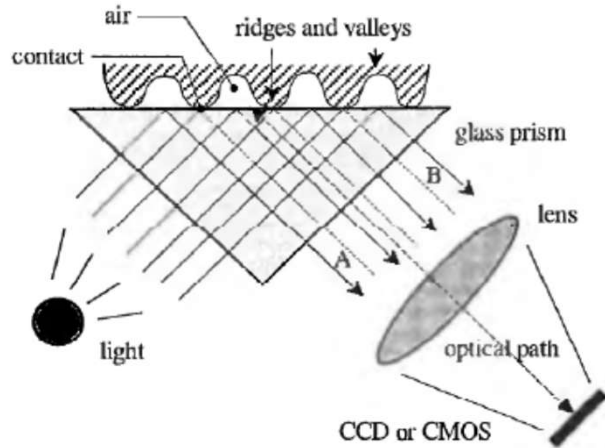
# Fast Growing Market of Biometric Recognition



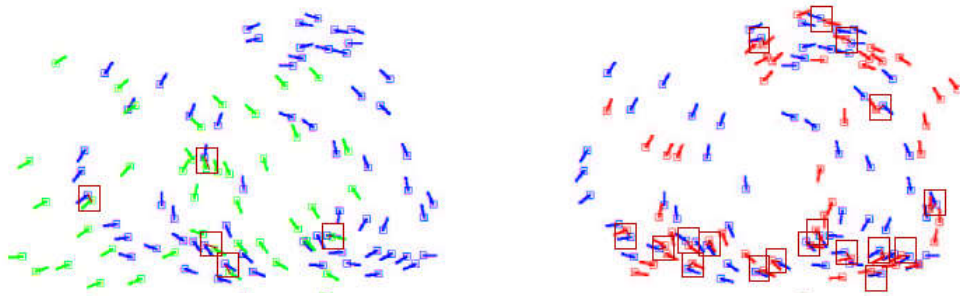
**USD 74.8 Billion  
by 2026**

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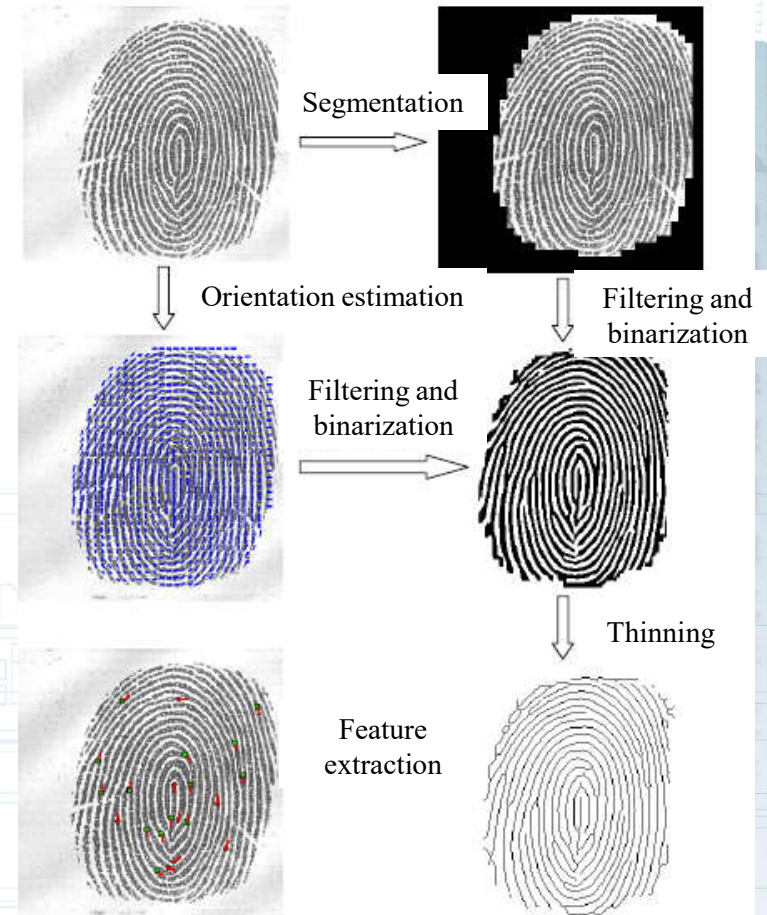
# Fingerprint Recognition



Imaging



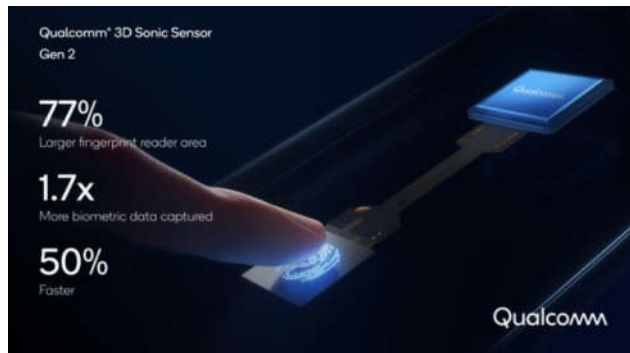
Minutiae matching



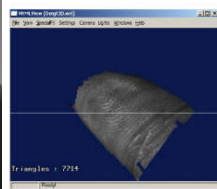
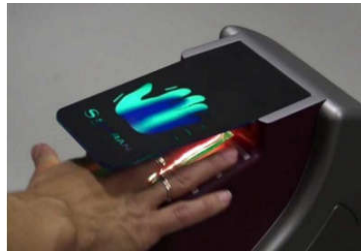
Preprocessing and feature extraction

# Recent Progress of Fingerprint Recognition

## Better user experience



### 3D Sonic Sensor 2th Generation (Qualcomm)



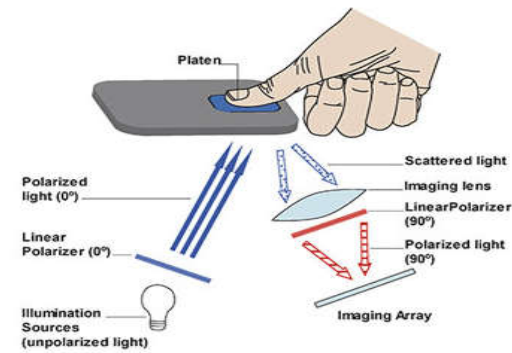
Example of reconstructed 3D model



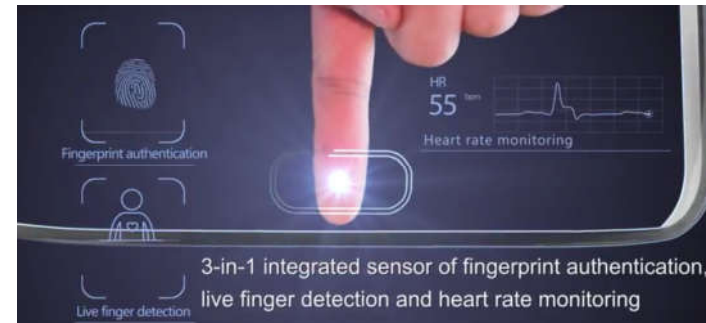
Texture on 3D model

### Touchless 3D fingerprint (SAFRAN Morph)

## More secure



### Multispectral imaging for anti-spoofing (Lumidigm)

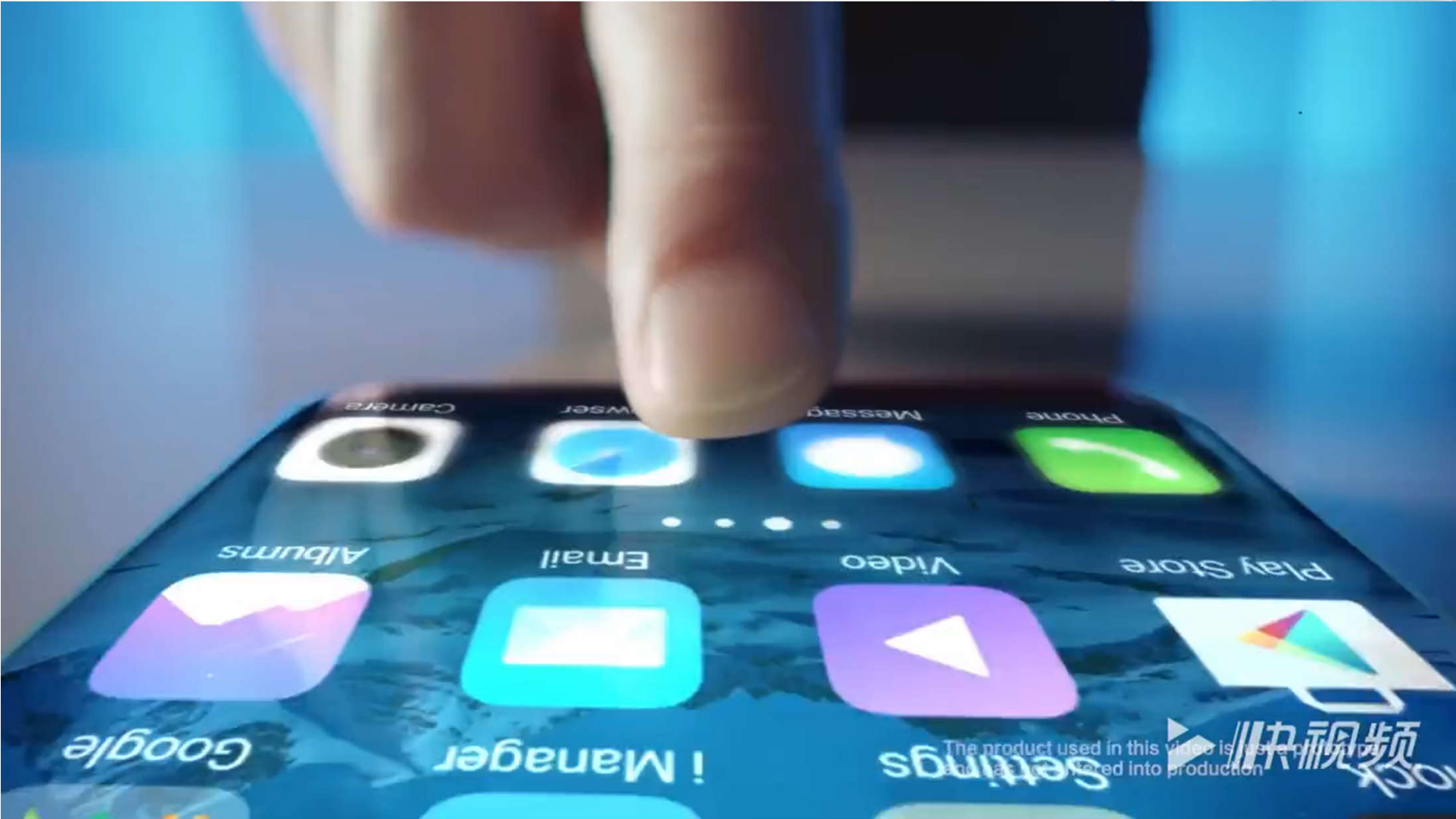


### IC solution of blood flow detection (Goodix)

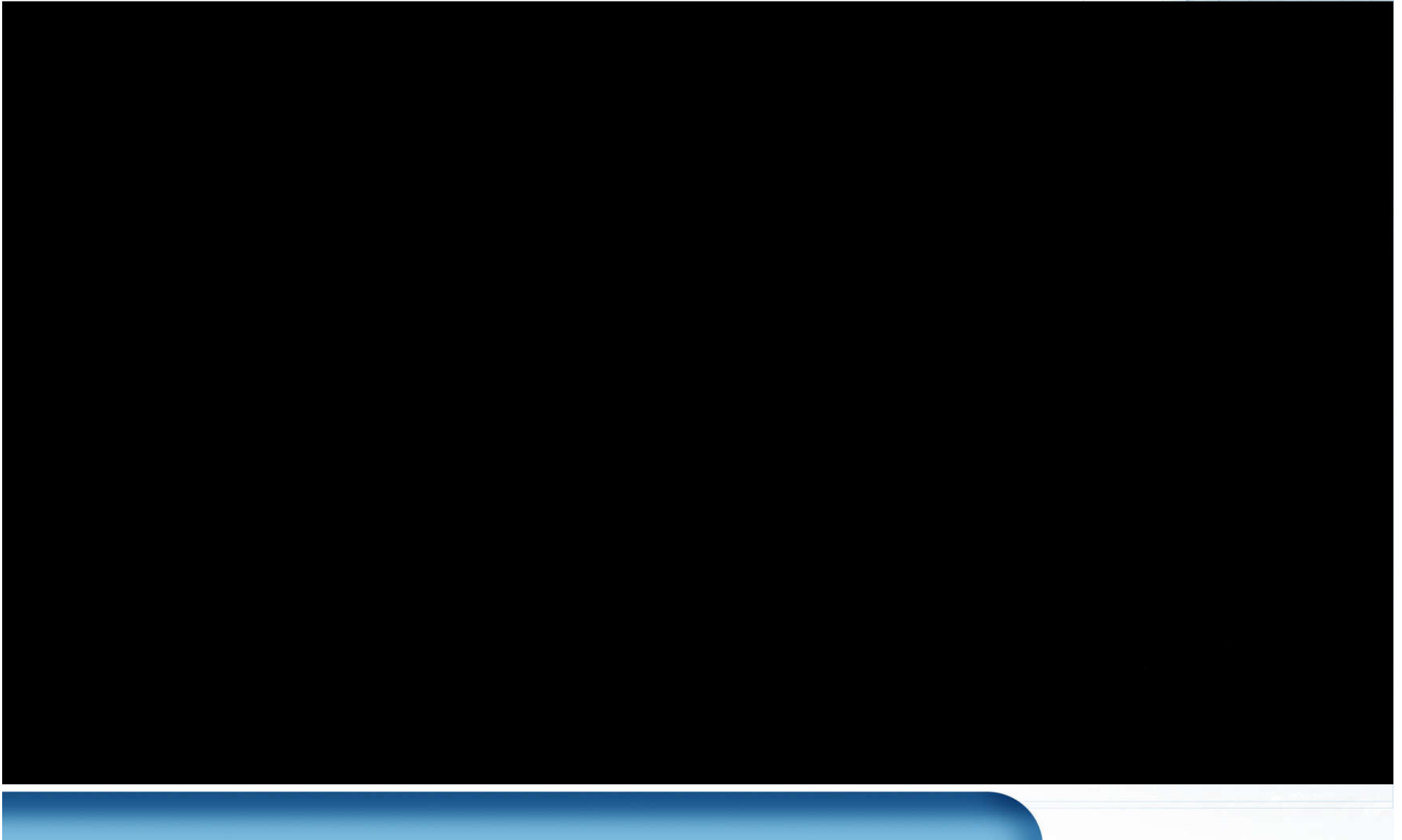


# Under Display Fingerprint Scanning

(Qualcomm-Vivo, ultrasonic fingerprint solution, MWC2017)



# Touchless 3D Fingerprint Recognition (SAFRAN Morph)

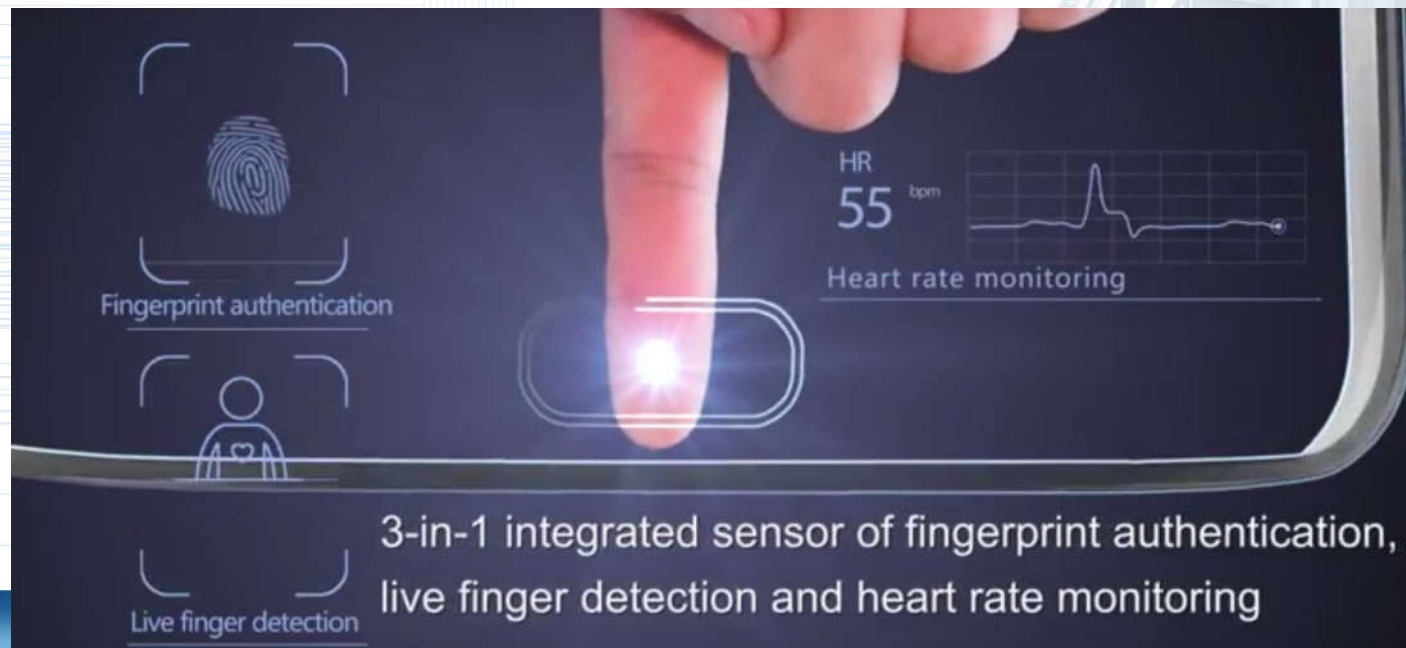


# Multispectral imaging for anti-spoofing (Lumidigm)

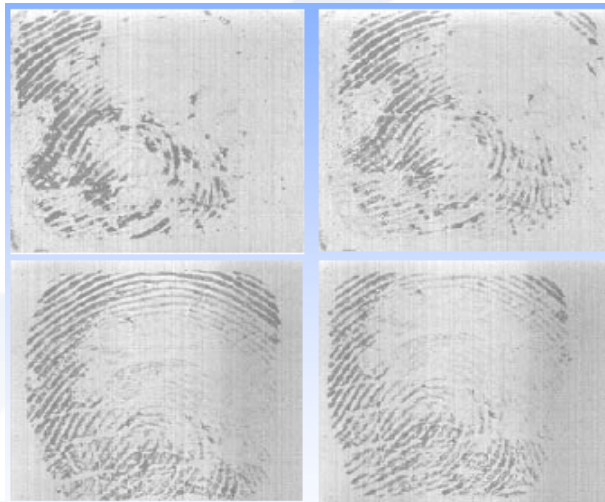


# IC Solution for Live Finger Detection

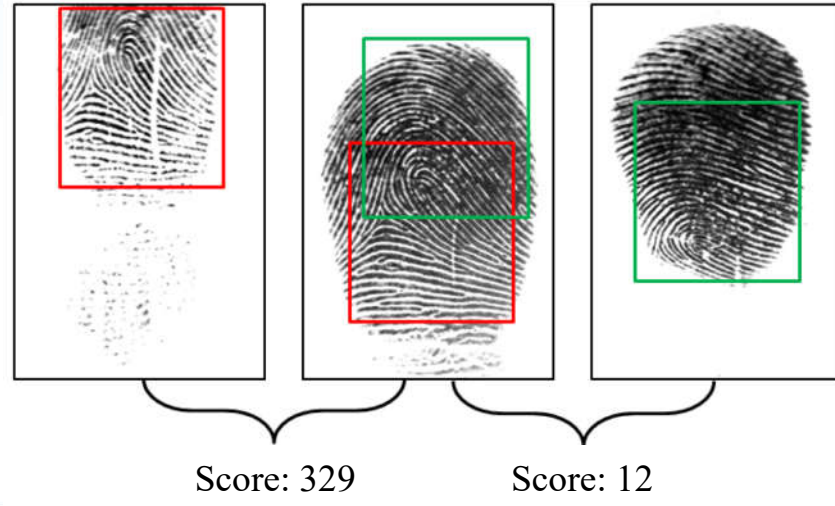
IC designer Goodix developed Live Finger Detection™ technology on mobile devices, which allows a capacitive sensor and an optical sensor to be seamlessly combined into one. Through the detection of fingerprint, blood flow and infrared signals, this cutting-edge technology embedded within the sensor is able to authenticate the user's identity and reject faked fingerprints.



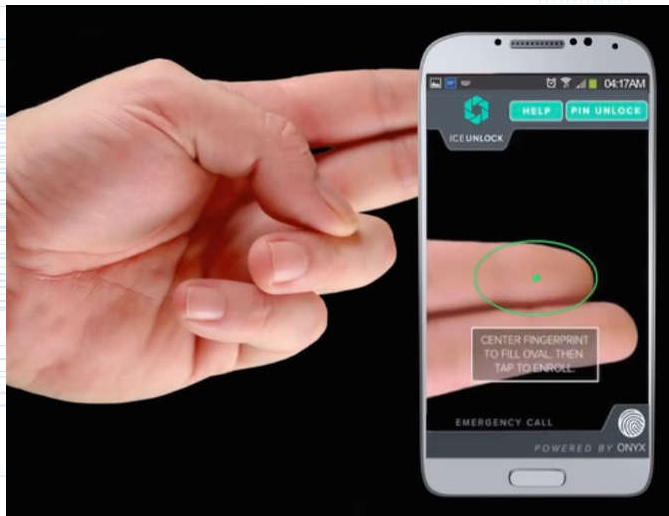
# Open Problems of Fingerprint Recognition



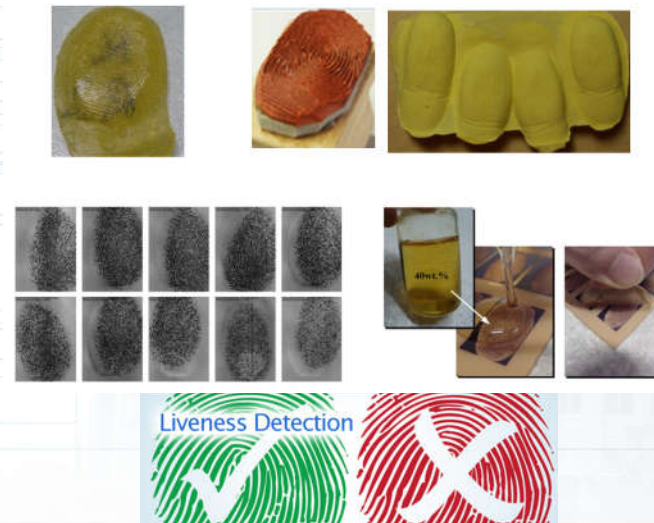
Latent fingerprint images



Score: 329      Score: 12  
Distorted fingerprint images



Touchless fingerprint recognition



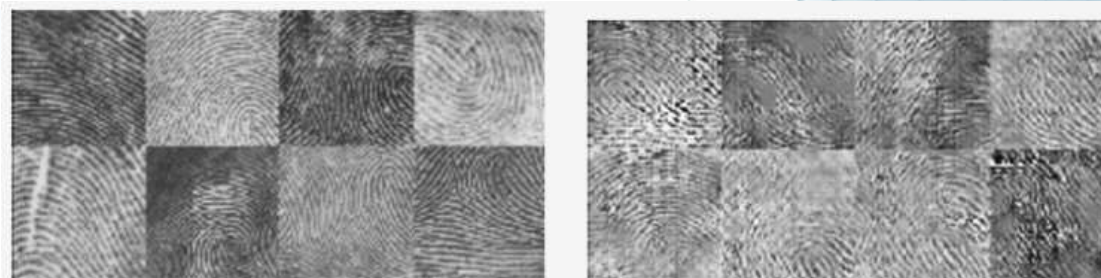
Fingerprint liveness detection

# Open Problems of Fingerprint Recognition



By [AstroJane](#)

Posted on November 19, 2018



(a) Real (left) and generated (right) samples for the NIST dataset.



(b) Real (left) and generated (right) samples for the FingerPass capacitive dataset.

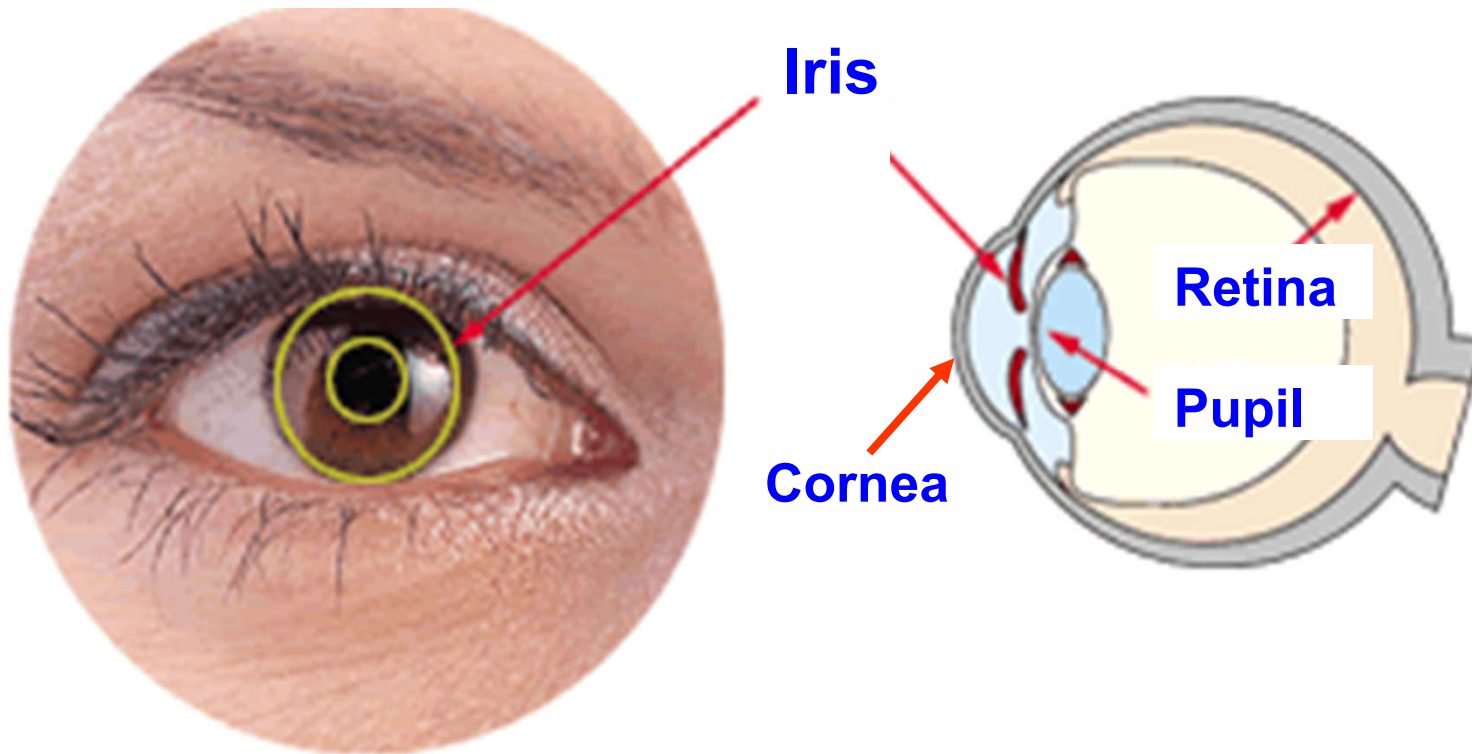
Computer scientists at New York University and Michigan State University have trained an artificial neural network to create fake digital fingerprints that can bypass locks on cell phones. The fakes are called “DeepMasterPrints”, and they present a significant security flaw for any device relying on this type of biometric data authentication. After exploiting the weaknesses inherent in the ergonomic needs of cellular devices, DeepMasterPrints were able to imitate over 70% of the fingerprints in a testing database.

Philip Bontrager, Aditi Roy, Julian Togelius, Nasir Memon, Arun Ross, DeepMasterPrints: Generating MasterPrints for Dictionary Attacks via Latent Variable Evolution, IEEE BTAS 2018.

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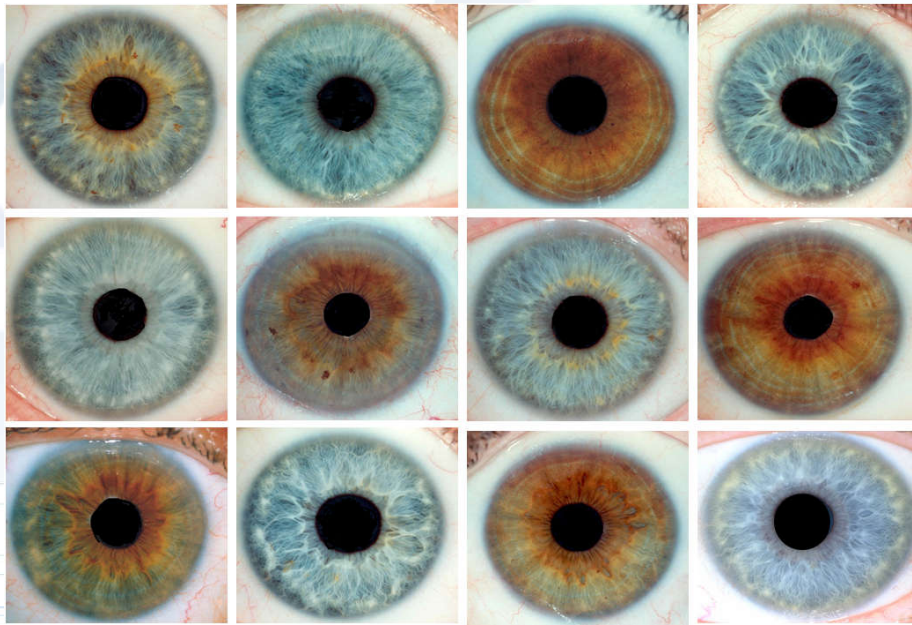
# Human Iris

- The iris of your eye is the circular, colored membrane that surrounds the pupil.
- It controls light levels inside the eye similar to the aperture on a camera.
- Highly protected by cornea but externally visible at a distance

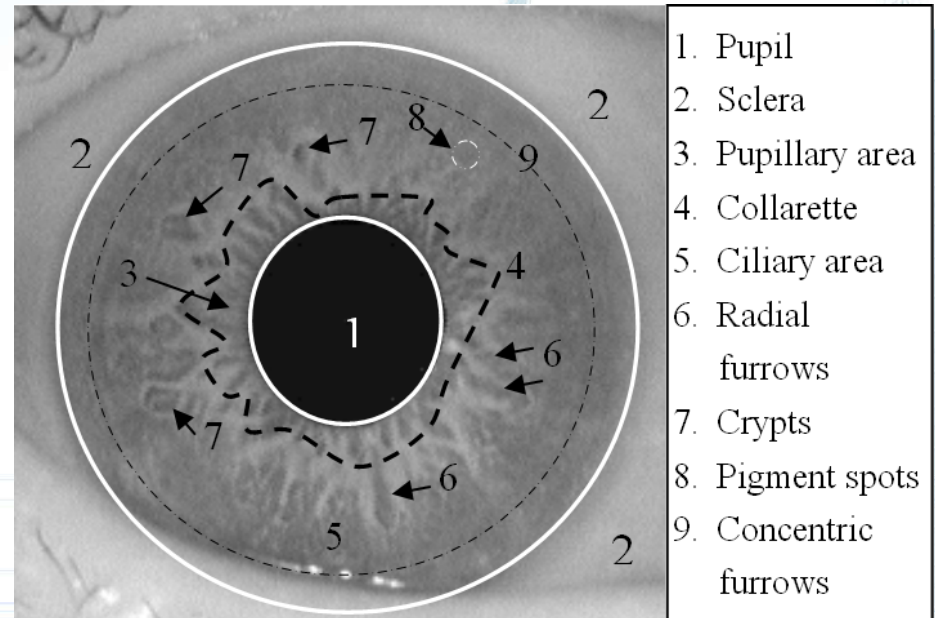




# Human iris is unique for personal identification



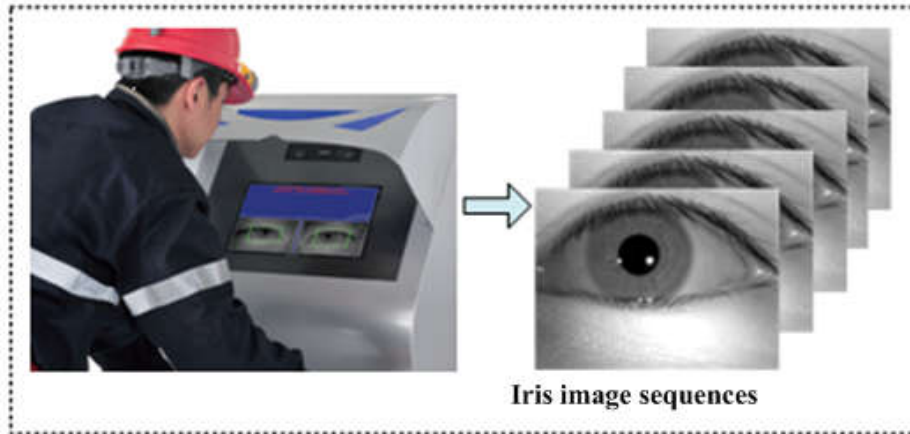
Visible illumination



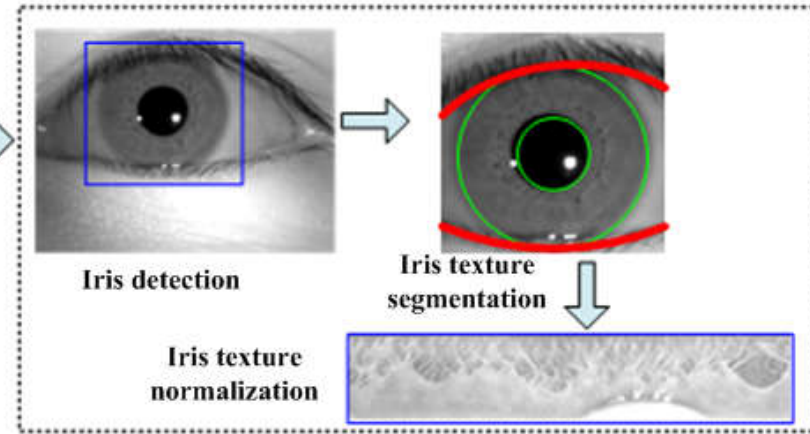
Near infrared illumination

- The uniqueness of iris texture comes from the random and complex structures such as furrows, ridges, crypts, rings, corona, freckles etc. which are formed during gestation
- The epigenetic iris texture remains stable after 1.5 years old or so

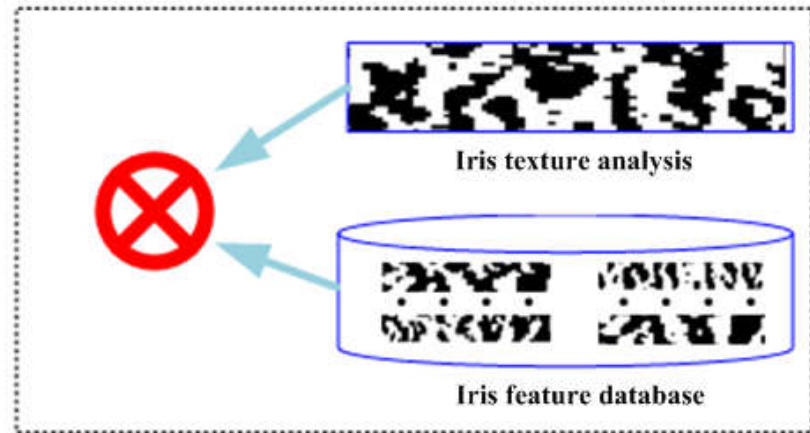
# Iris Recognition



1. Iris texture imaging



2. Iris image preprocessing



3. Iris texture analysis and matching



Identity

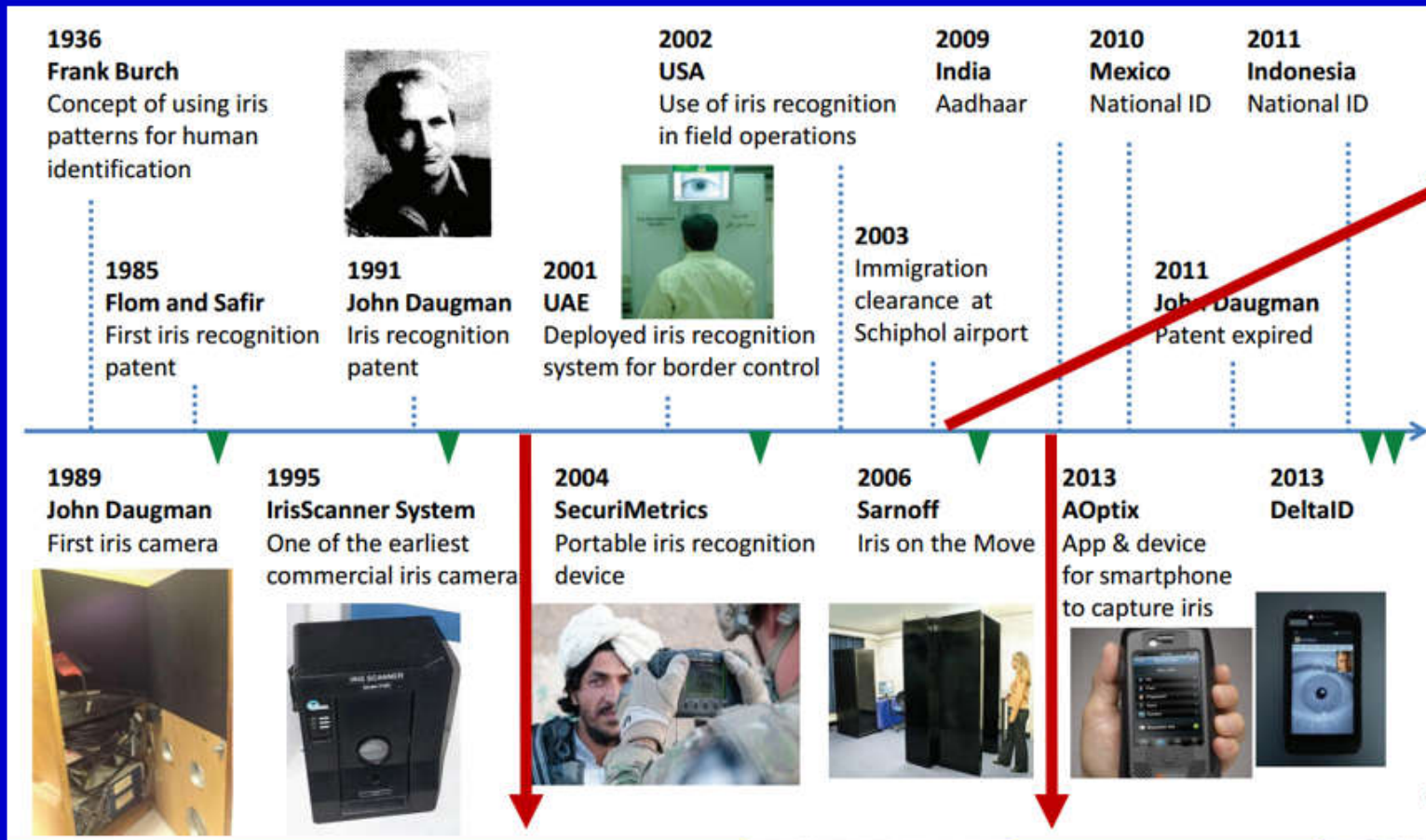


# History of Iris Recognition



**2008**  
**China**  
Coal miner  
management

**2015**  
**IrisKing**  
Binocular Iris  
recognition on  
smartphones



# Close-range iris devices [www.ia.ac.cn](http://www.ia.ac.cn)



OKI IrisPass-H



OKI IrisPass-M



IrisID iCAM T10



IrisID iCAM 7000



Panasonic BM-ET300



Panasonic BM-ET500



IrisGuard IG-H100



IrisGuard IG-AD100



SecuriMetrics PIER 2.3



Crossmatch I SCAN2



IrisKing IKEMB-110

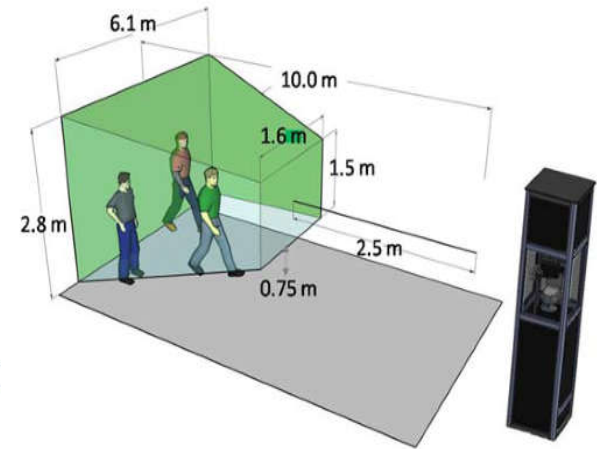
# Long-range iris devices [www.ia.ac.cn](http://www.ia.ac.cn)



Aoptix InSight



EyeLock HBOX



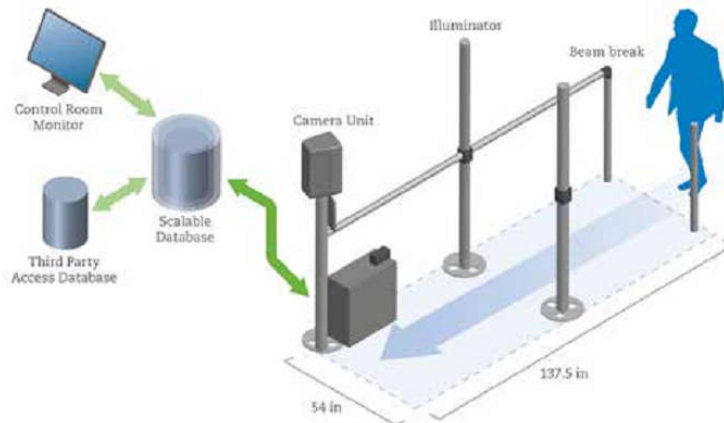
Eagle-eyes



IOM PasThru

## System Diagram

IOM PassPort SL with floor kit assembly IOM PassPort

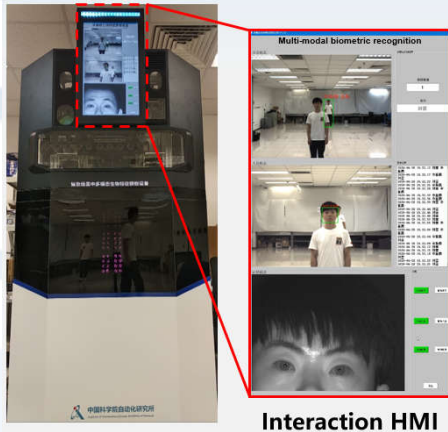


IrisID iCAM D1000



CASIA

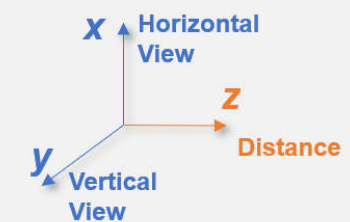
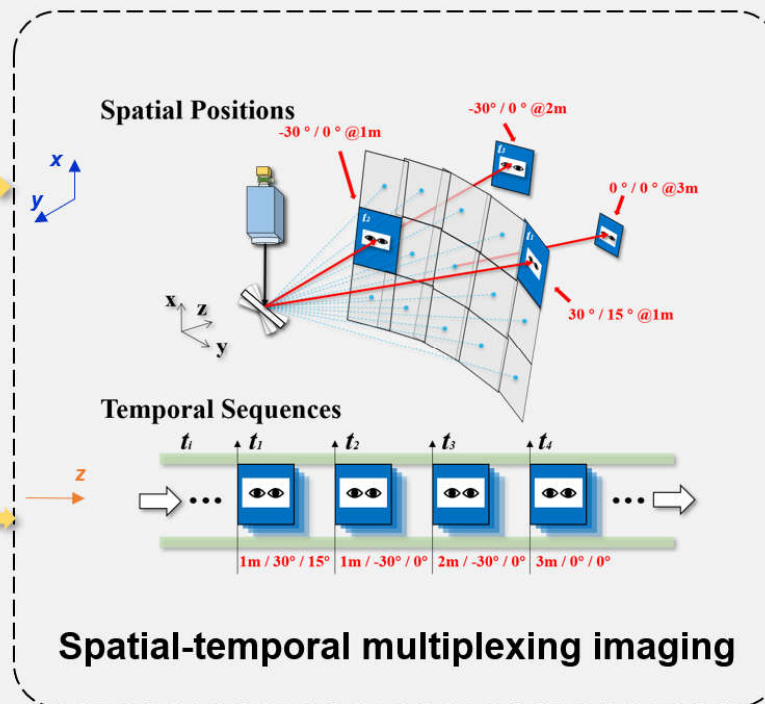
# All-in-Focus Iris Camera [www.ia.ac.cn](http://www.ia.ac.cn)



IJCB 2020 Google Best Paper Award Runner-Up  
Kunbo Zhang, Zhenteng Shen, Yunlong Wang, Zhenan Sun:  
All-in-Focus Iris Camera With a Great Capture Volume

**Multi-view multiplexing**  
(FoV extension)  
arc sec  $\pm 10$

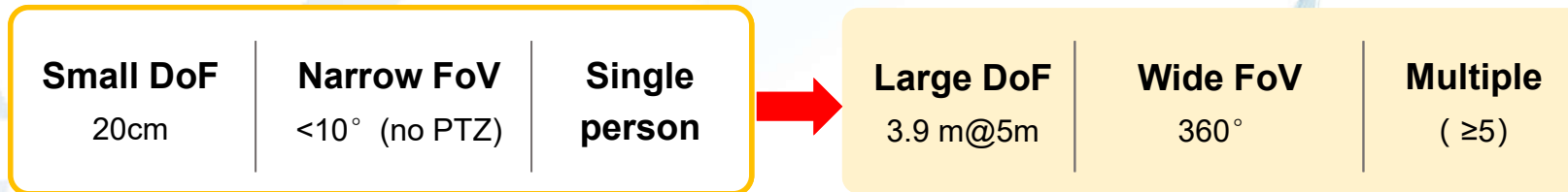
**Multi-focus multiplexing**  
(DoF extension)  
2.5 ms



**Omni all-in-focus high spatial-temporal resolution imaging**

- Multi-person iris
- Iris on-the-move
- Large DoF
- Omnidirectional

# All-in-Focus Iris Camera [www.ia.ac.cn](http://www.ia.ac.cn)



Model	Distance	Performance	Person	User cooperation
IOM, Sarnoff <sup>[3]</sup>	2.4-3 m	0.2m x 0.4 m x 0.1 m, two cameras, 0.5 s/person	1	Standstill, walk (1m/s@5m)
Eagle-Eyes, Retica <sup>[4]</sup>	3-6 m	3 m x 2 m x 3 m, double cameras	1	Standstill
CASIA <sup>[5]</sup>	2.4-3 m	0.15 m x 0.15 m x 0.1 m, PTZ camera	1	Standstill
CMU <sup>[6]</sup>	12 m	0.97 m x 0.73 m @1 m	1	Standstill, walk (0.6m/s)
SRI <sup>[7]</sup>	25 m	0.305 m x 0.405 m@25 m, long focal zoom lens, O.D. 254 mm	1	Standstill
iCAM D1000, Iris ID <sup>[8]</sup>	0.5-1 m	0.2 m x 0.5 m x 0.5 m, vertical moving camera (50 mm)	1	Standstill
S200P, Iristar <sup>[9]</sup>	1-1.2 m	Height 1.3-1.95 m, DoF 30 cm, 2 s recognition	1	Standstill
Versa F Max, Irisian <sup>[10]</sup>	0.8-2 m	Height 1.2-2 m, PTZ camera, 1 s eye tracking, 3 s recognition	1	Standstill
<b>Ours</b>	<b>1-10 m</b>	<b>Height 0.8-2 m, 360° , single camera</b>	<b>≥5</b>	<b>Standstill, walk (1m/s@1-10 m)</b>

# Demo of All-in-Focus Iris Camera

复杂场景中虹膜识别

跟踪测试 \ 离线识别 \ 在线识别 \ 行进中识别 \ 在线注册 \ 参数设置



识别信息

姓名: 申振腾 匹配度: 0.66

识别索引: 8 耗时: 3223ms

功能

硬件调整等待时间: 60

历史信息

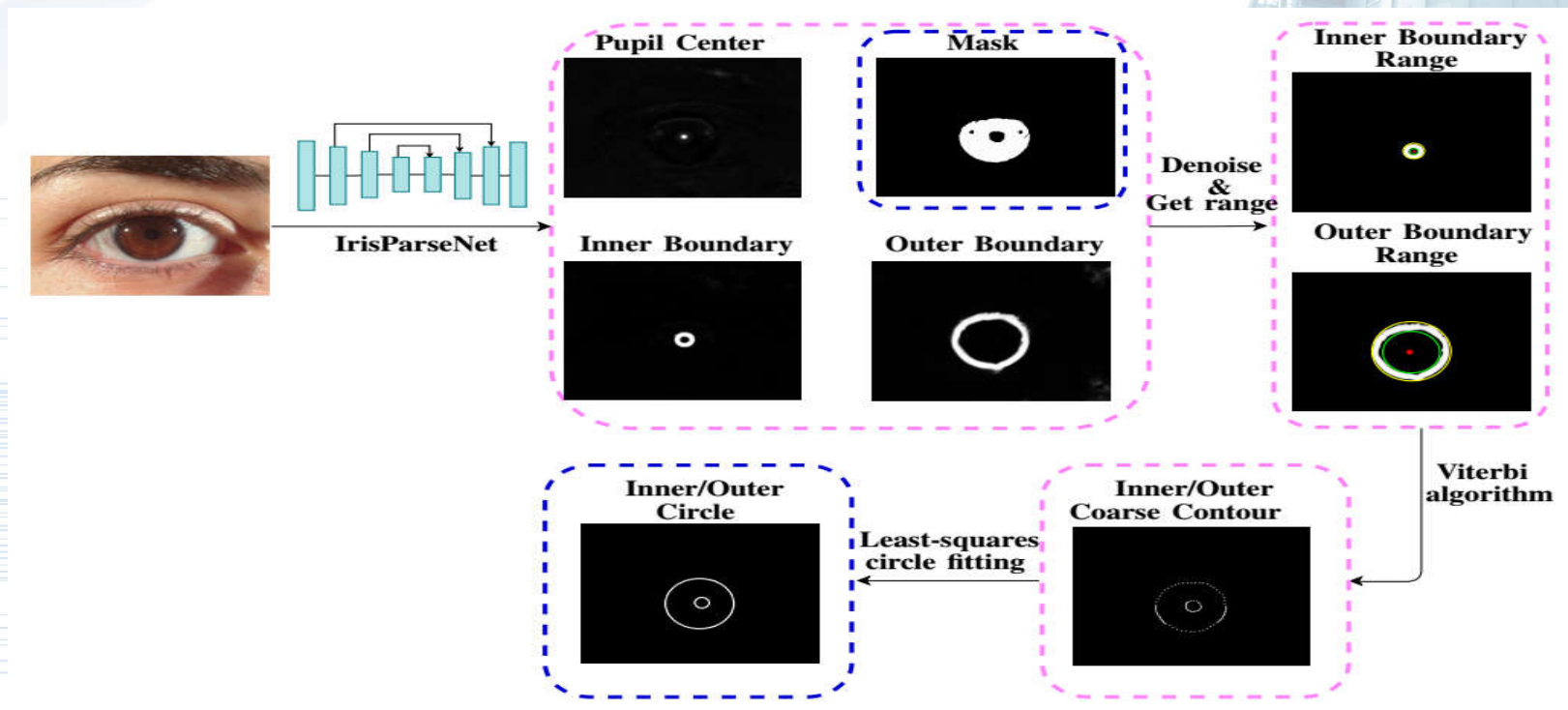
2020-12-30	19.58.46.862	陈士豪	0.66	4	640
2020-12-30	19.58.47.307	董超群	0.73	7	1181
2020-12-30	19.58.48.083	申振腾	0.7	10	1970
2020-12-30	19.58.50.944	张冲	0.67	22	4659
2020-12-30	19.58.54.177	刘雷	0.66	37	7990
2020-12-30	19.58.57.066	刘雷	0.66	11	1359
2020-12-30	19.58.57.681	申振腾	0.67	13	2021
2020-12-30	19.58.58.319	张冲	0.7	15	2534
2020-12-30	19.58.58.938	陈士豪	0.73	17	3159
2020-12-30	19.58.59.158	董超群	0.7	18	3281
2020-12-30	19.59.00.652	董超群	0.74	19	1501
2020-12-30	19.59.00.872	陈士豪	0.69	2	1928
2020-12-30	19.59.01.287	刘雷	0.68	4	2336
2020-12-30	19.59.02.226	申振腾	0.66	8	3223



# Multi-task Neural Network for Iris Segmentation and Localization

ic.cn

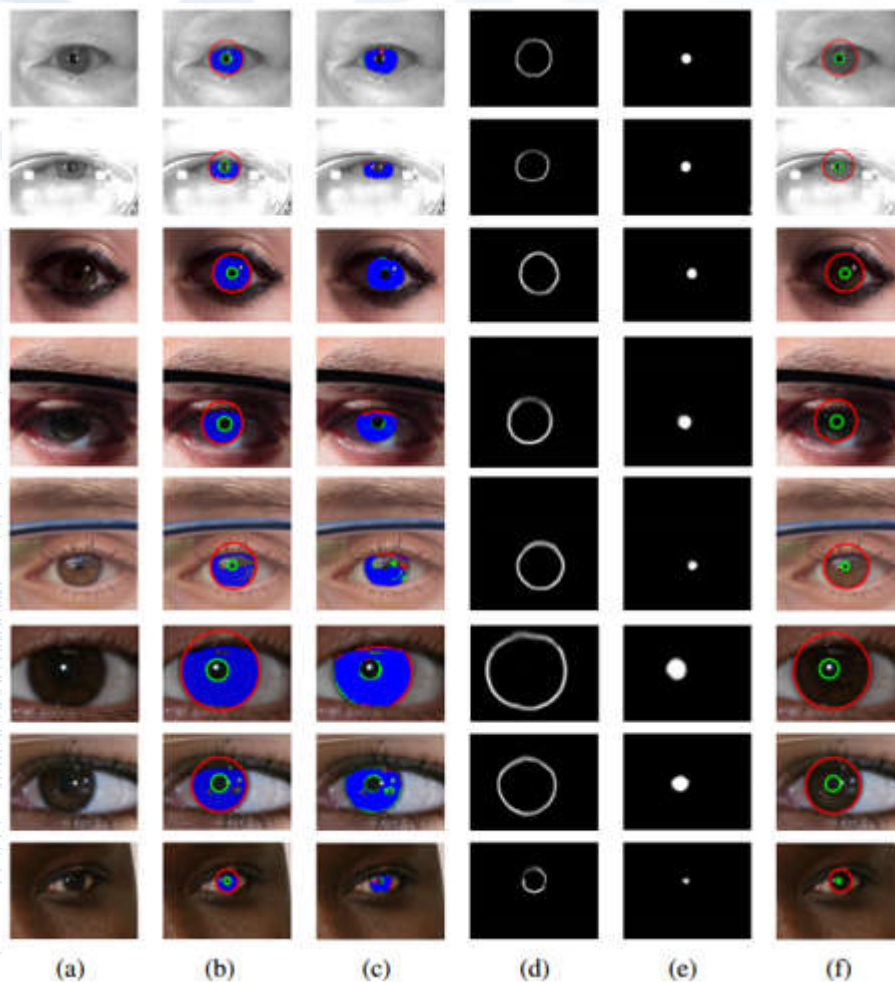
A multi-task deep neural network is proposed for iris region segmentation and iris boundary localization and they both are important for iris image preprocessing.



Caiyong Wang, Jawad Muhammad, Yunlong Wang, Zhaofeng He, Zhenan Sun. "Towards Complete and Accurate Iris Segmentation Using Deep Multi-task Attention Network for Non-Cooperative Iris Recognition". IEEE Trans IFS, 2020,15(1): 2944-2959.

# Multi-task Neural Network for Iris Segmentation and Localization

3.cn



Method	Database	<i>E1</i> (%)	<i>E2</i> (%)	<i>F1</i> (%)
T. Tan <i>et al.</i> [90]	UBIRIS.v2 (NICE.I)	1.31	N/A	N/A
	CASIA.v4-distance	0.68	0.44	87.55
RTV- $L^1$ [92]	UBIRIS.v2 (NICE.I)	1.21	0.83	85.97
	MICHE-I	2.42	1.21	79.24
Haindl and Krupička [93]	UBIRIS.v2 (NICE.I)	3.24	1.62	77.03
	MICHE-I	3.86	1.93	70.17
MFCNs [101]	CASIA.v4-distance	0.59	0.24	93.09
	UBIRIS.v2 (NICE.I)	0.90	0.49	91.04
CNNHT [2] (RefineNet)	MICHE-I	0.74	0.37	92.01
	CASIA.v4-distance	0.56	0.28	92.27
IrisParseNet	UBIRIS.v2 (NICE.I)	0.97	0.48	90.34
	MICHE-I	0.80	0.40	91.41
IrisParseNet	CASIA.v4-distance	<b>0.41</b>	<b>0.20</b>	<b>94.25</b>
	UBIRIS.v2 (NICE.I)	<b>0.84</b>	<b>0.42</b>	<b>91.78</b>
IrisParseNet	MICHE-I	<b>0.66</b>	<b>0.33</b>	<b>93.05</b>

# Applications of Iris Recognition



Syrian refugees identification



Miss children identification



Anti-terrorism



Prisoners identification



Coal miner identification



Banking

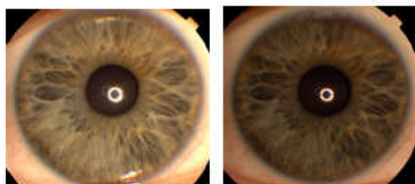
# Open Problems of Iris Recognition



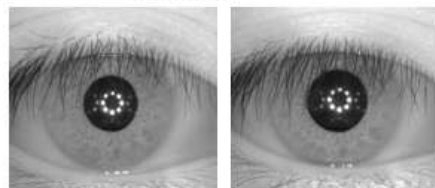
Less or unconstrained iris image acquisition



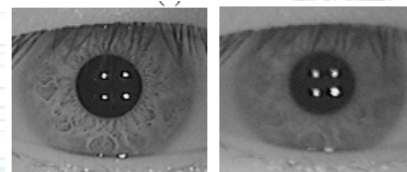
Forensic applications



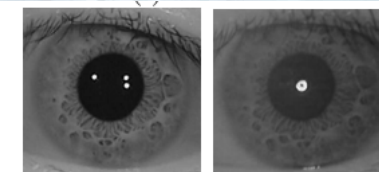
(a) Illumination changes



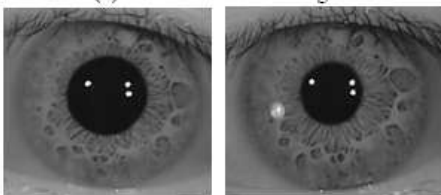
(b) Occlusions



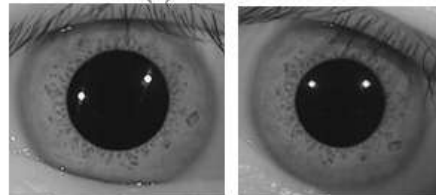
(e) Defocus



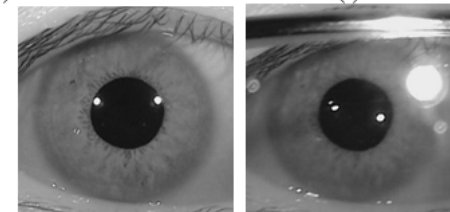
(f) Inter-sensor interoperability



(c) Deformation



(d) Rotation

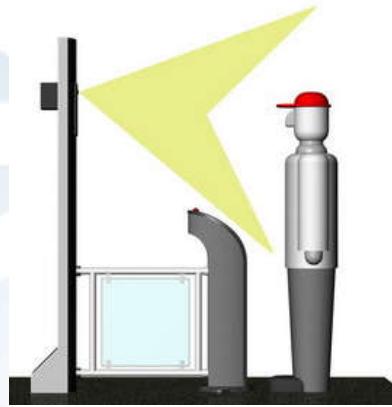


(g) Eyeglasses

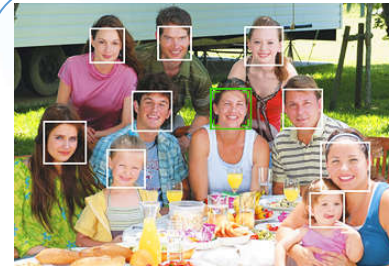
Poor quality iris images

- **Preamble**
- **Overview of Recent Progress on Biometrics**
  - ✓ **Fingerprint Recognition**
  - ✓ **Iris Recognition**
  - ✓ **Face Recognition**
  - ✓ **Gait Recognition**
  - ✓ **Person Re-Identification**
  - ✓ **Others**
- **Future Directions and Conclusions**

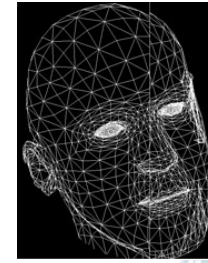
# Face Recognition



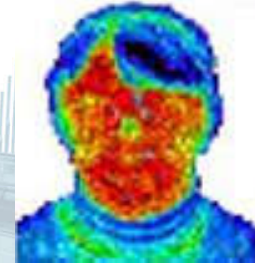
Imaging



2D face



3D face



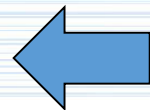
Thermogram

Face detection



Feature extraction

Matching



Recognition results

Popular methods: Gabor/LBP/Ordinal measures/Sparse representations/Deep learning

# Recent Work on Face Image Generation



Photo-realistic Face Image Generation

Unconditional Generation

IntroVAE [NIPS 2018]

Rotation

- TP-GAN [ICCV 2017]
- CAPG-GAN [CVPR2018]
- HF-PIM [IJCV 2019, NIPS 2018]

Super-resolution

- Wavelet-SRNet [IJCV 2019, ICCV 2017]

Make-up

- BLAN [AAAI 2018]

Cross-spectral

- AD-HFR [AAAI 2018][PAMI 2020]

Completion

- FCENet [AAAI 2019][ACM MM2020]

Expression synthesis

- G2-GAN [ACM MM 2018]
- CAFPGAN [ACM MM 2018]

Aging

- Attribute-aware Face Aging [CVPR 2019][ECCV 2020]

# The theory of face image generation

Generative adversarial networks (GANs) have been successfully applied in image/video/music/art generation, computer vision and pattern recognition.

MIT  
Technology  
Review

10  
BREAKTHROUGH  
TECHNOLOGIES  
2018

## Dueling Neural Networks

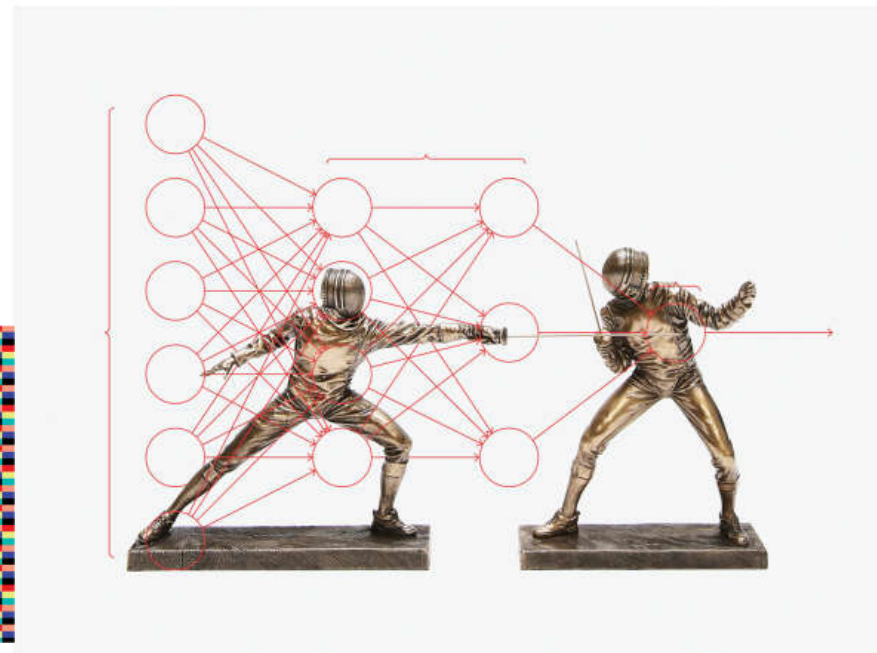


ILLUSTRATION BY DEREK BRAHNEY | DIAGRAM COURTESY OF MICHAEL NIELSEN, "NEURAL NETWORKS AND DEEP LEARNING", DETERMINATION PRESS, 2015

## Dueling Neural Networks

### Breakthrough

Two AI systems can spar with each other to create ultra-realistic original images or sounds, something machines have never been able to do before.

### Why It Matters

This gives machines something akin to a sense of imagination, which may help them become less reliant on humans—but also turns them into alarmingly powerful tools for digital fakery.

### Key Players

Google Brain, DeepMind, Nvidia

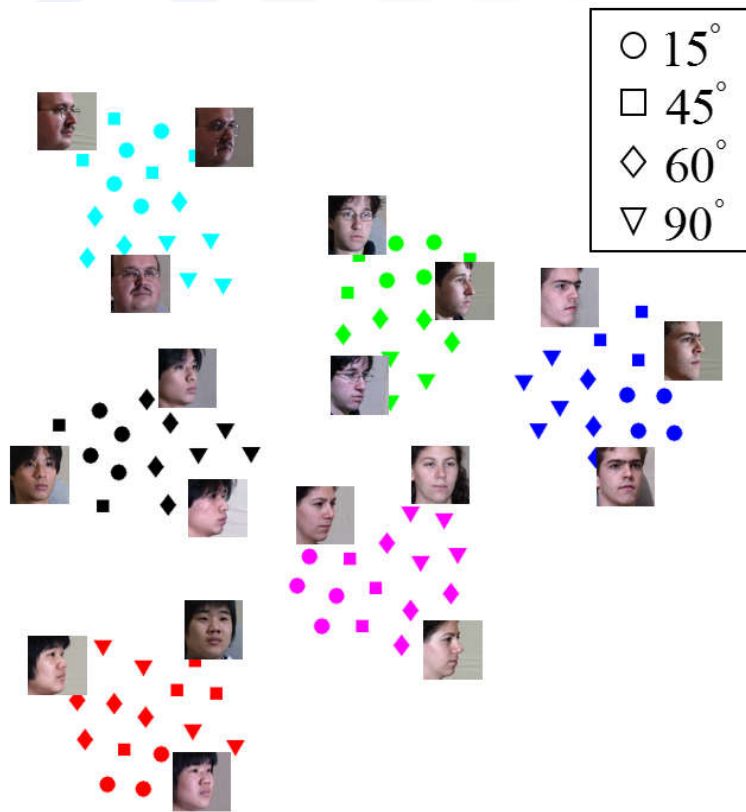
### Availability

Now

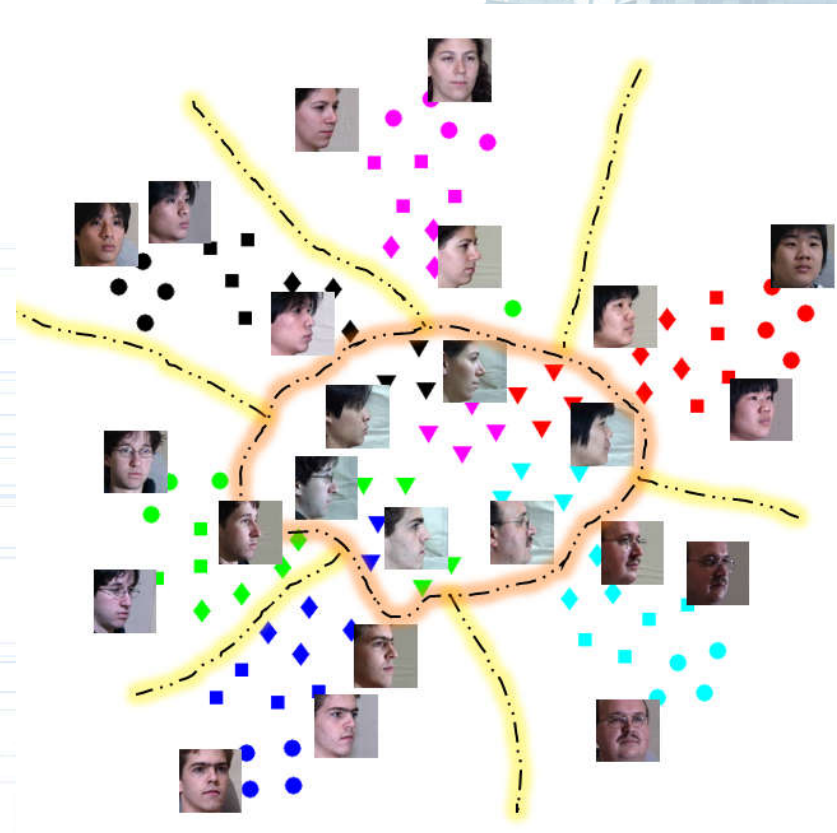


# Large pose variations greatly degrade face recognition performance

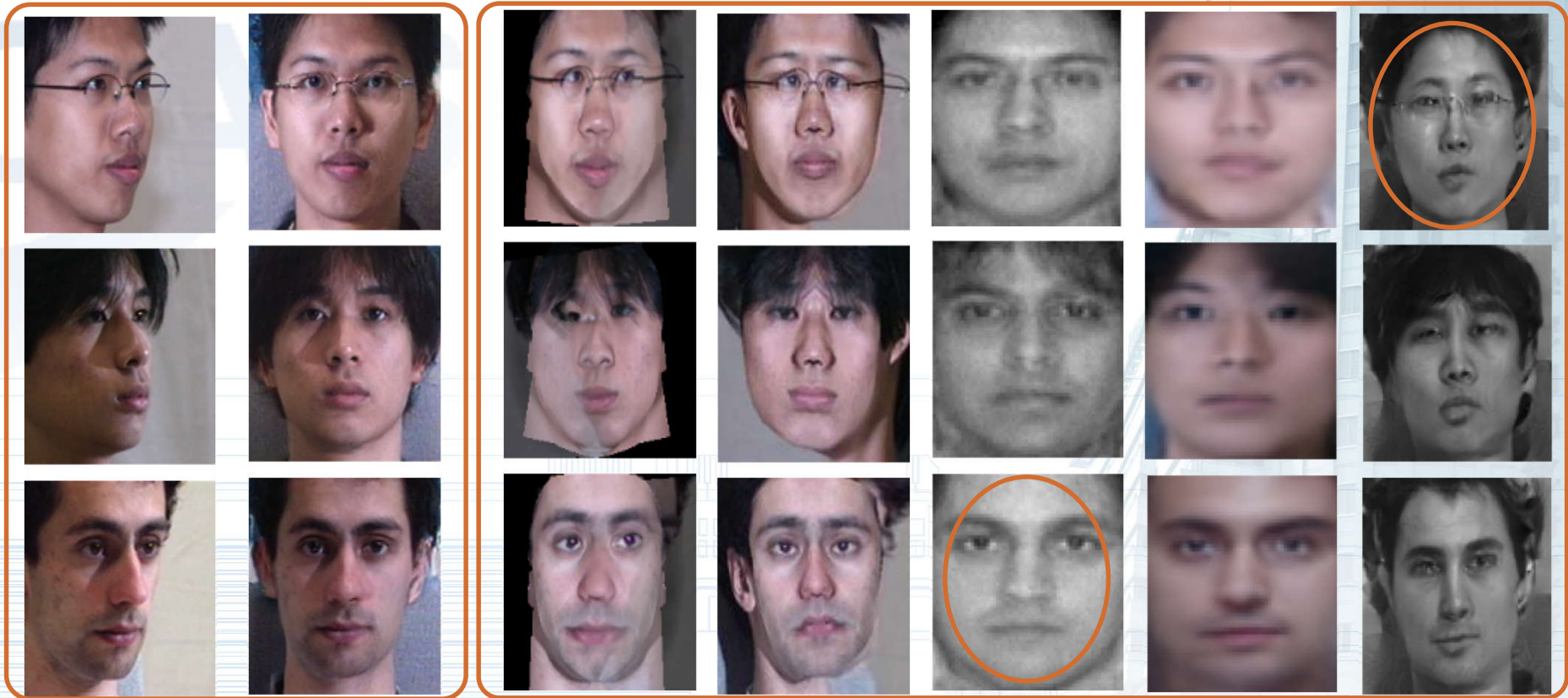
What we'd like to have:



What we've really got:



# Main problems of current frontalization methods



CVPR 2015

CVPR 2015

CVPR 2015

BMVC 2016

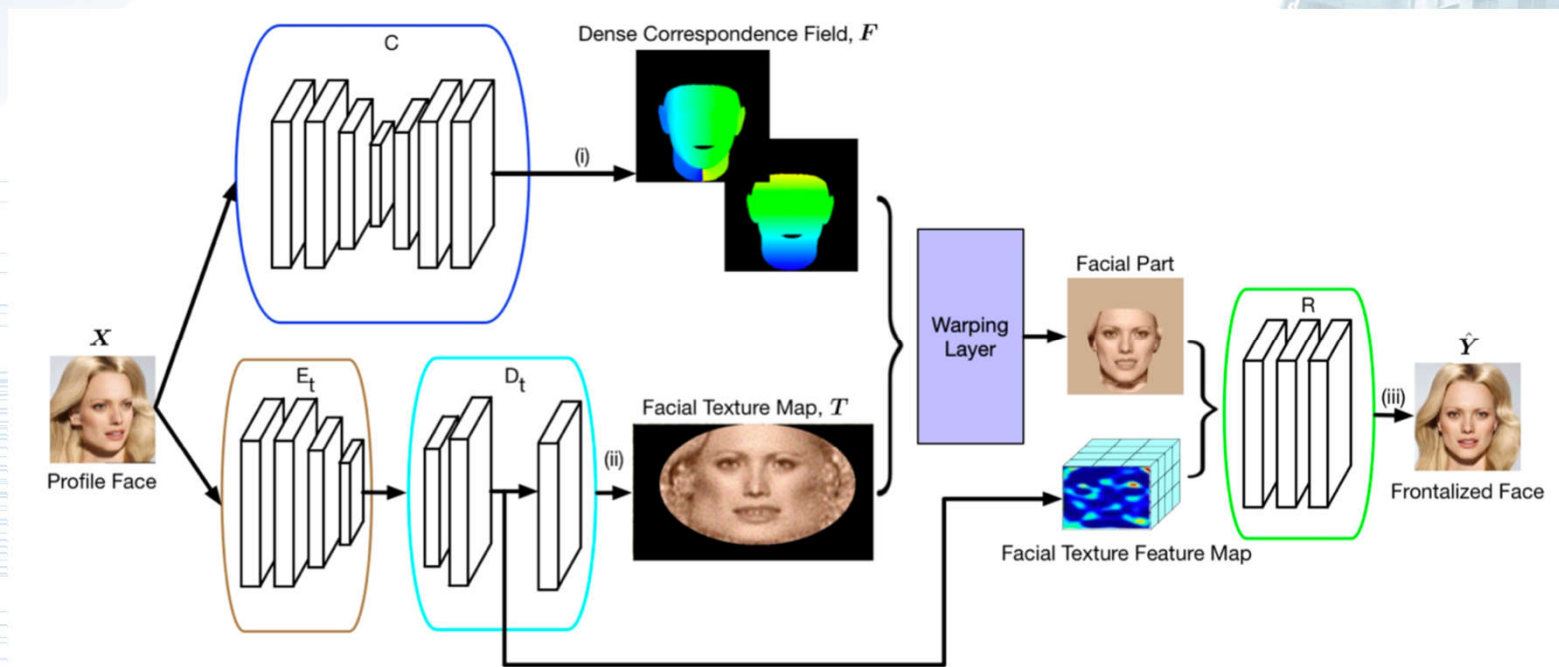
CVPR 2017

**Appearance:** limited resolution, distorted global structure, unable to preserve identity

**Recognition:** useless in face recognition performance improvement

# Towards High Fidelity Face Frontalization in the Wild

High Fidelity Pose Invariant Model (HF-PIM) is proposed to produce realistic and identity-preserving frontalized face images with the highest resolution (256\*256) in the literature.



Jie Cao, Yibo Hu, Hongwen Zhang, Ran He, Zhenan Sun. Towards High Fidelity Face Frontalization in the Wild, IJCV, 2019.

# High Fidelity Pose Invariant Model (HF-PIM)

High-resolution face frontalization



# High Fidelity Pose Invariant Model (HF-PIM)

Face frontalization on extreme poses in the unconstrained condition



# High Fidelity Pose Invariant Model

Face recognition accuracy is significantly improved via face frontalization

Table 4: Face recognition/verification performance (%) comparisons on IJB-A. The results are averaged over 10 testing splits. “-” means the result is not reported.

Method	Verification		Recognition	
	FAR=0.01	FAR=0.001	Rank-1	Rank-5
DR-GAN [53]	77.4±2.7	53.9±4.3	85.5±1.5	94.7±1.1
FF-GAN [60]	85.2±1.0	66.3±3.3	90.2±0.6	95.4±0.5
PIM [61]	93.3±1.1	87.5±1.8	94.4±1.1	-
Light CNN [56]	91.5±1.0	84.3±2.4	93.0±1.0	-
HF-PIM(Ours)	<b>95.3±0.7</b>	<b>89.9±1.3</b>	<b>96.4±0.5</b>	<b>98.1±0.2</b>

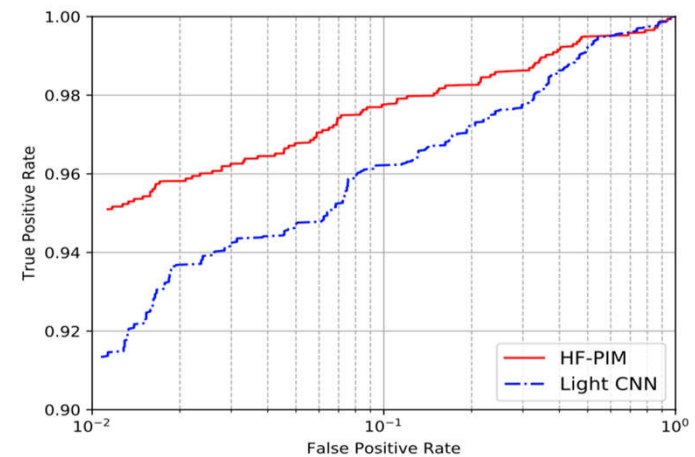
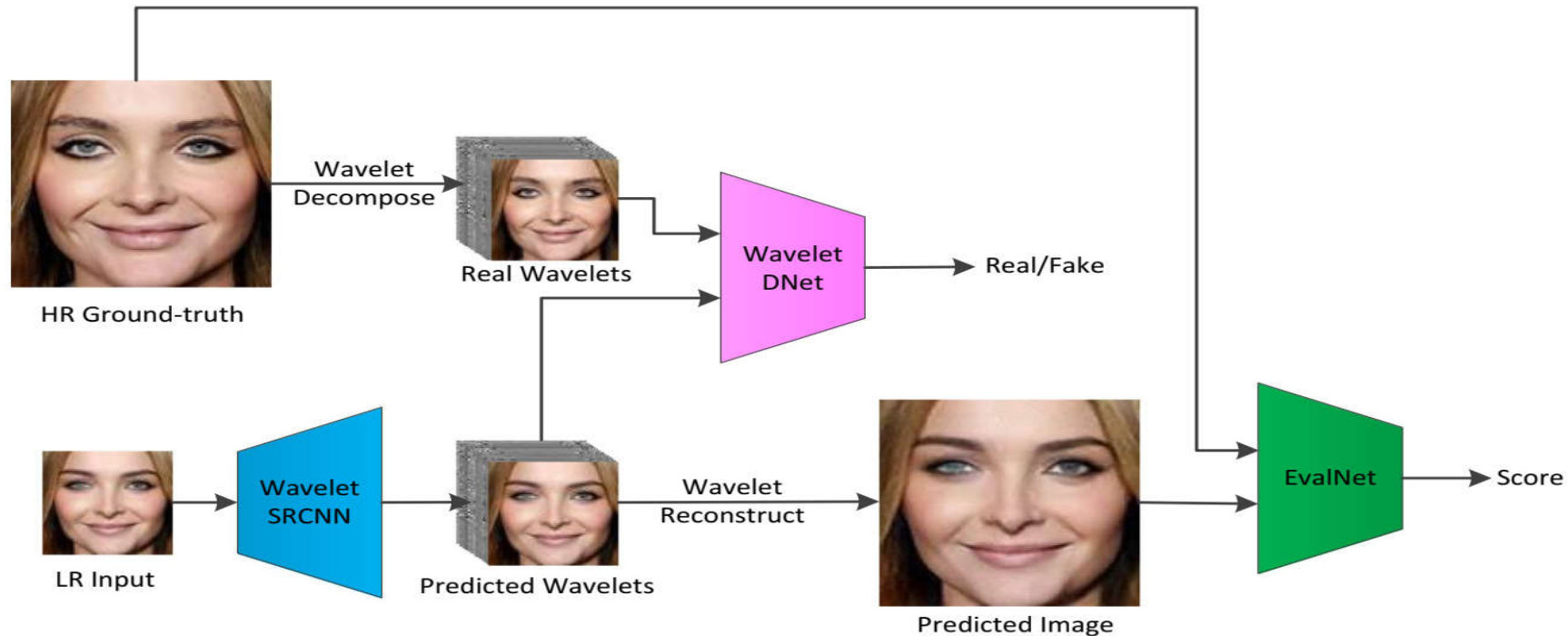


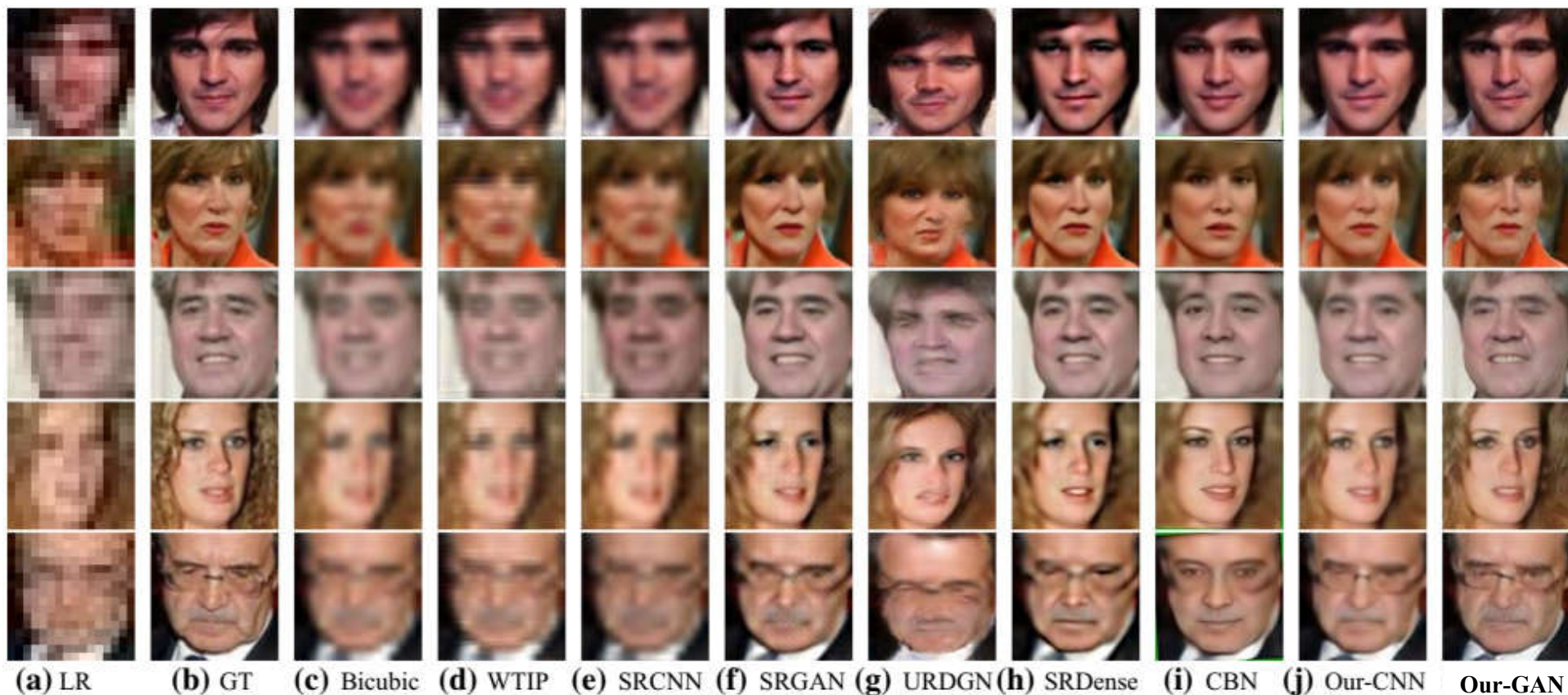
Fig. 5: ROC curves on the IJB-A verification protocol.



- Wavelet domain CNN [1] and GAN [2] solutions to face super resolution
- Special design of loss functions to capture both global topology information and local textual details

[1] Huaibo Huang, Ran He, Zhenan Sun, and Tieniu Tan, Wavelet-SRNet: A Wavelet-based CNN for Multi-scale Face Super Resolution, ICCV, 2017.

[2] Huaibo Huang, Ran He, Zhenan Sun, Tieniu Tan, Wavelet Domain Generative Adversarial Network for Multi-scale Face Hallucination, International Journal of Computer Vision, Volume 127, Issue 6–7, pp.763–784, 2019.



**Table 3** Face verification results on the LFW dataset

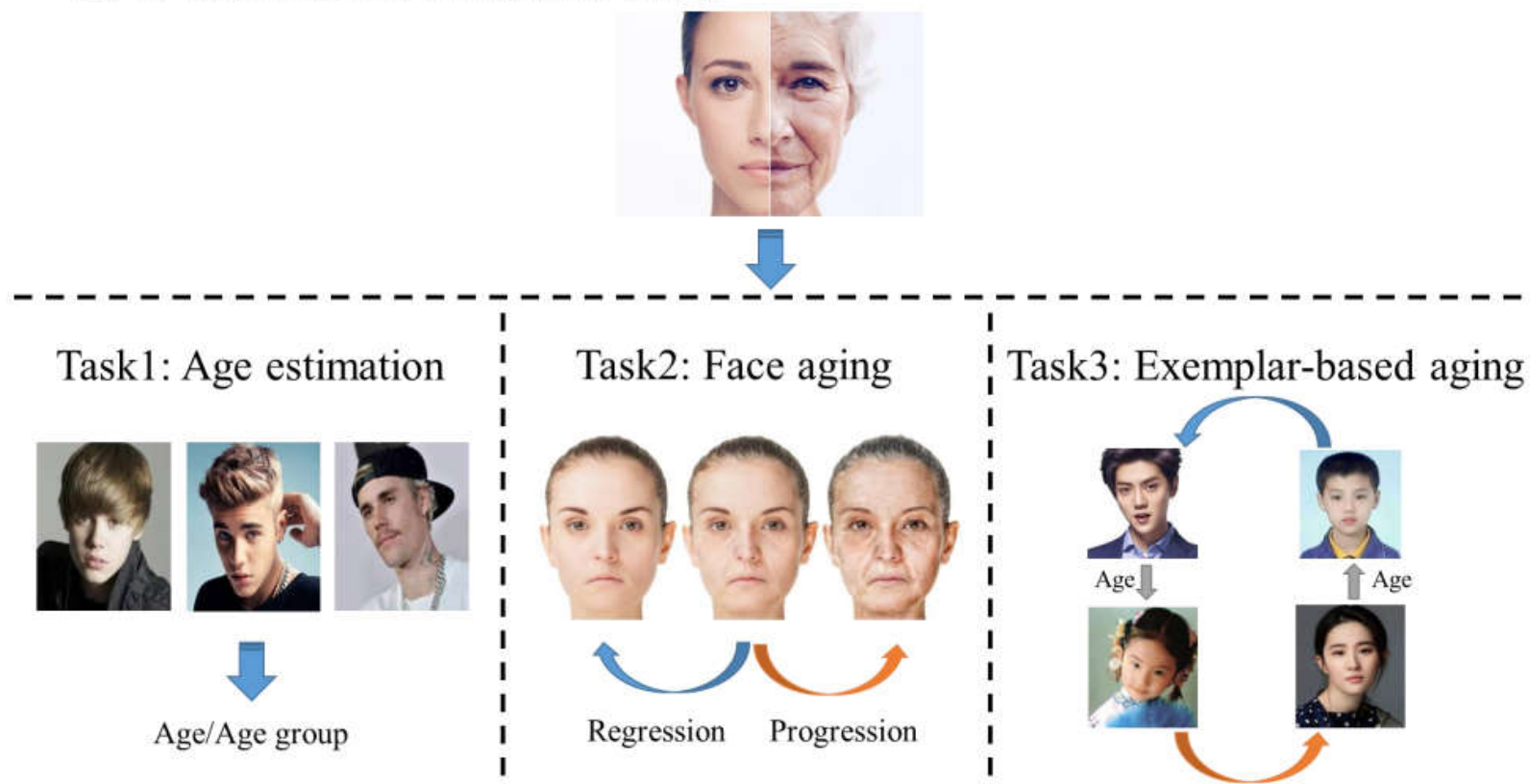
Model	Settings	Metric	Original	Bicubic	WTIP	SRCNN	SRGAN	URDGN	SRDense	CBN	Our-CNN	Ours
LightCNN	$32 \times 32, 4\times$	AUC	99.31	99.16	99.04	99.17	99.22	–	99.21	90.80	99.25	<b>99.28</b>
		FAR = 1%	97.77	96.10	95.83	96.23	96.93	–	96.90	46.77	<b>97.40</b>	97.03
		FAR = 0.1%	96.23	91.90	91.70	92.87	94.07	–	94.97	32.53	95.73	<b>96.10</b>
	$16 \times 16, 8\times$	AUC	99.31	90.68	89.97	91.42	96.77	93.60	96.35	89.98	97.92	<b>98.48</b>
		FAR = 1%	97.77	45.50	40.53	48.70	78.83	53.57	77.50	46.90	87.97	<b>90.86</b>
		FAR = 0.1%	96.23	21.17	24.47	23.50	56.60	27.10	57.03	31.13	68.33	<b>81.20</b>
	$8 \times 8, 16\times$	AUC	99.31	60.89	59.40	61.47	77.10	–	74.30	63.00	87.29	<b>89.40</b>
		FAR = 1%	97.77	3.17	2.90	2.83	16.40	–	12.67	4.57	38.43	<b>42.87</b>
		FAR = 0.1%	96.23	0.27	0.47	0.30	4.23	–	3.73	1.30	12.93	<b>22.83</b>



# Multi-task facial age analysis in a unified framework

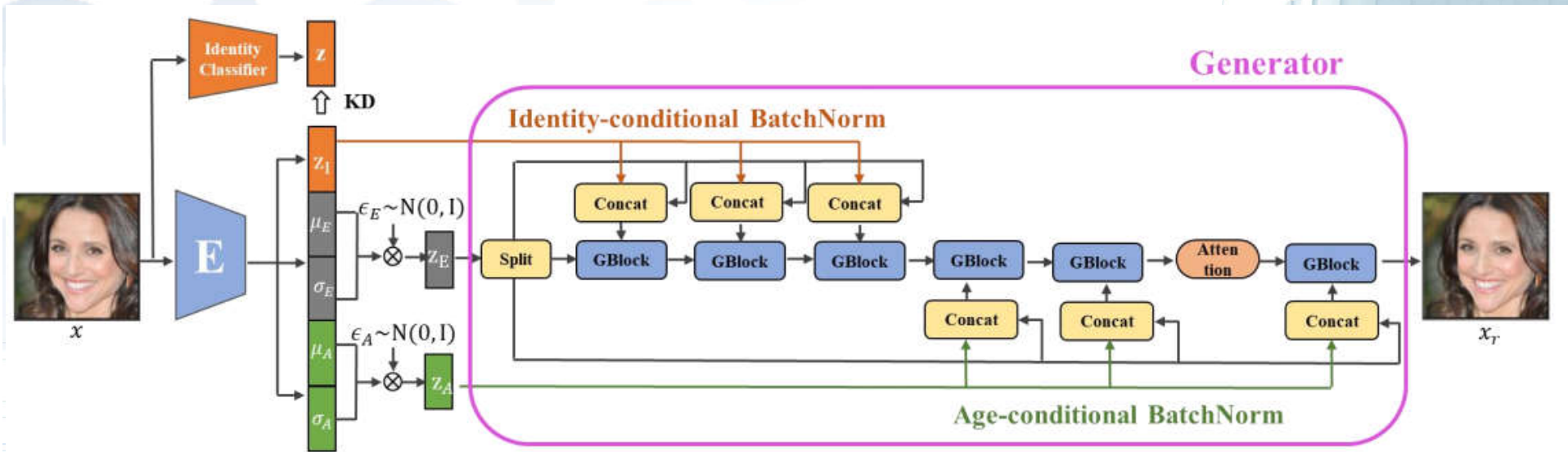
## Disentangled Adversarial Autoencoder (DAAE)

- DAAE is the **first** attempt to achieve facial age analysis tasks in a universal framework.

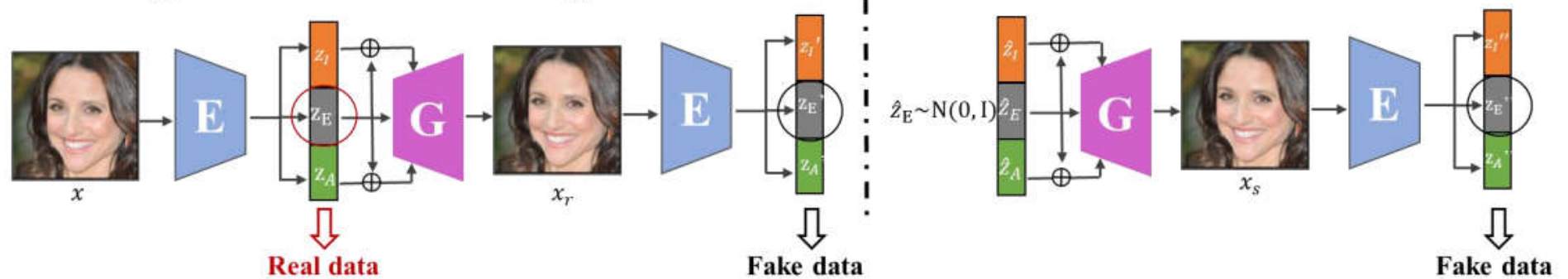


Peipei Li, Huaibo Huang, Yibo Hu, Xiang Wu, Ran He, Zhenan Sun. "Hierarchical Face Aging through Disentangled Latent Characteristics." ECCV 2020 (Oral).

# Multi-task facial age analysis in a unified framework



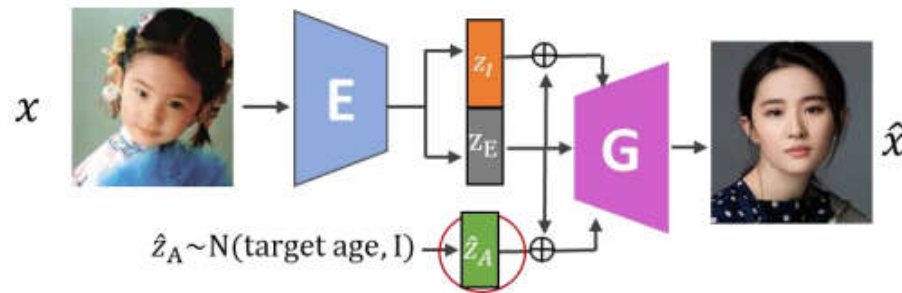
## Disentangled Adversarial Learning Process



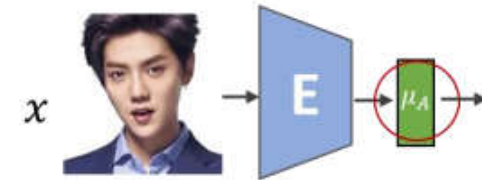
# Multi-task facial age analysis in a unified framework

## Inference and Sampling

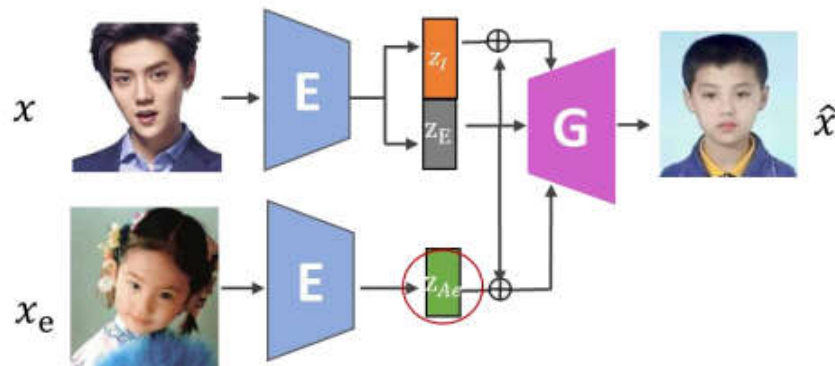
1) Face aging  $\hat{x} = G(\hat{z}_A, z_I, z_E)$



3) Age estimation  $\hat{y} = \frac{1}{C} \sum_{i=1}^C \mu_A^i$



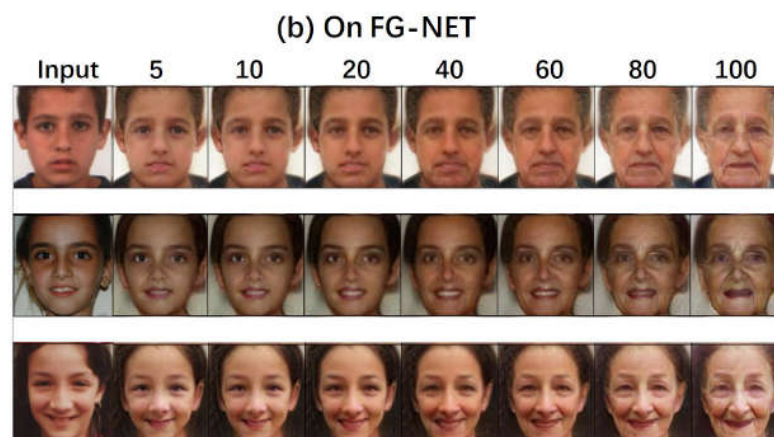
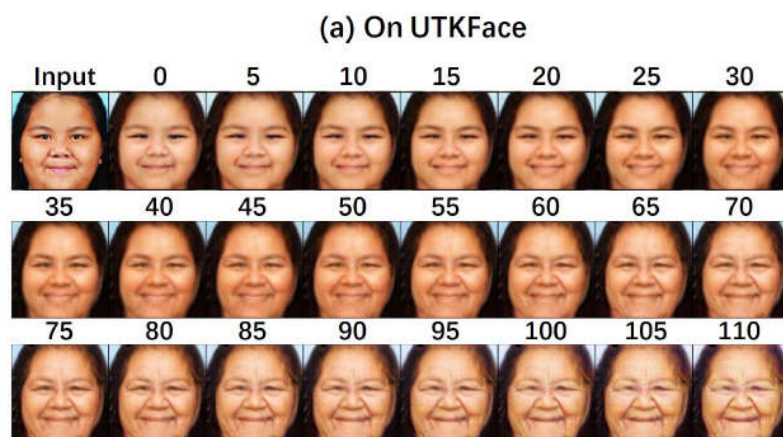
2) Exemplar-based face aging  $\hat{x} = G(z_{A_e}, z_I, z_E)$



# Multi-task facial age analysis in a unified framework

## Lifespan Age Synthesis

Generating age images from 1 to 100



Method	(a) on Morph				(b) on CACD2000			
	Input	AG1	AG2	AG3	Input	AG1	AG2	AG3
CAAE [40]	-	15.07	12.02	8.22	-	4.66	3.41	2.40
Yang et al. [35]	-	100.00	98.91	93.09	-	99.99	99.81	98.28
GLCA-GAN [18]	-	97.66	96.67	91.85	-	97.72	94.18	92.29
Liu et al. [20]	-	100.00	100.00	98.26	-	99.76	98.74	98.44
Ours	-	99.48	99.36	99.36	-	99.24	99.19	99.19

Face verification results on Morph and CACD2000

Method	(a) on Morph				(b) on CACD2000			
	Input	AG1	AG2	AG3	Input	AG1	AG2	AG3
CAAE [40]	-	28.13	32.50	36.83	-	31.32	34.94	36.91
Yang et.al [35]	-	42.84	50.78	59.91	-	44.29	48.34	52.02
GLCA-GAN [18]	-	43.00	49.03	54.60	-	37.09	44.92	48.03
Liu et al. [20]	-	38.47	47.55	56.57	-	38.88	47.42	54.05
Ours	-	37.46	49.40	59.67	-	39.21	46.38	51.66
Real Data	-	28.19	38.89	48.10	-	30.73	39.08	47.06

Aging accuracy results on Morph and CACD2000

# Multi-task facial age analysis in a unified framework

- Exemplar-based face aging



- Age estimation

Methods	Pre-trained	Morph
OR-CNN[23]	-	3.34
DEX[27]	IMDB-WIKI	2.68
Ranking [4]	Audience	2.96
Posterior[39]	-	2.87
SSR-Net[36]	IMDB-WIKI	2.52
M-V Loss[24]	-	2.51
ThinAgeNet [7]	MS-Celeb-1M	2.35
Ours	-	2.23

# Large-scale Image Database Generation for Face Parsing

## Motivation

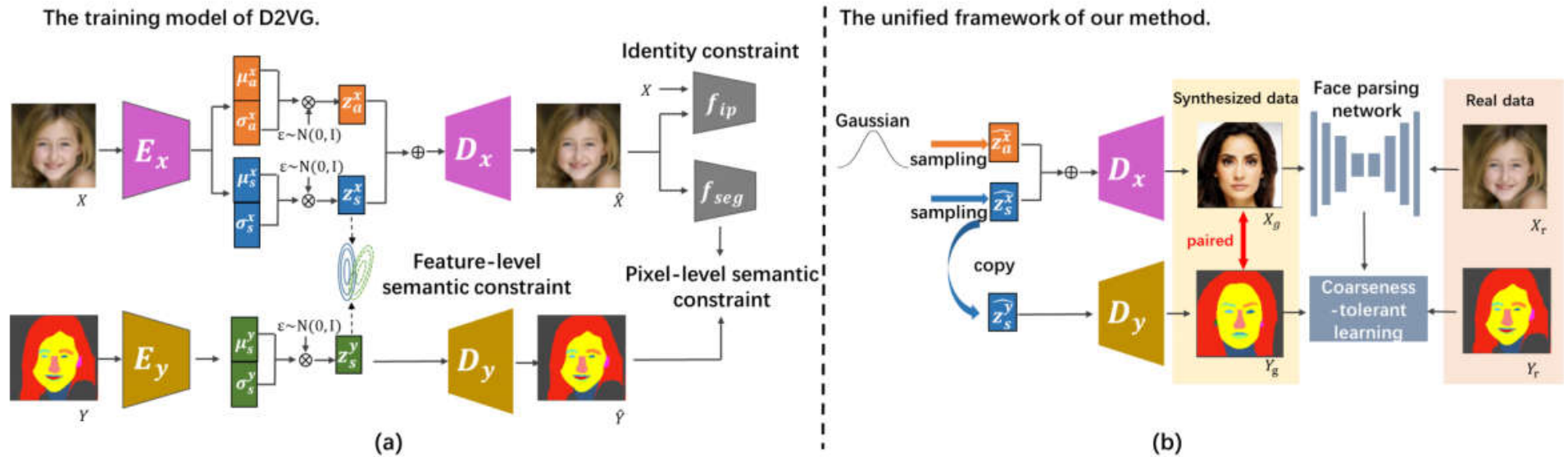
- It is **expensive and time-consuming** to construct a large-scale pixel-level manually annotated dataset for face parsing.
- We propose a D2VG, which can **synthesize large-scale paired face images and parsing maps from a stand Gaussian distribution.**



Peipei Li, Yinglu Liu, Hailin Shi, Xiang Wu, Yibo Hu, Ran He, Zhenan Sun. "Dual-structure Disentangling Variational Generation for Data-limited Face Parsing." *ACM MM(Oral)*, 2020.

# Large-scale Image Database Generation for Face Parsing

## Overall of the architecture and training flow



$$L_{segf} = \frac{1}{2} \left( \|\mu_s^x - \mu_s^y\|_2^2 + \|\sigma_s^x - \sigma_s^y\|_2^2 \right).$$

$$L_{segp} = -\frac{1}{M} \sum_{m=1}^M \sum_{c=1}^C \hat{y}_{m,c} \log(f_{seg}(\hat{x}_{m,c})),$$

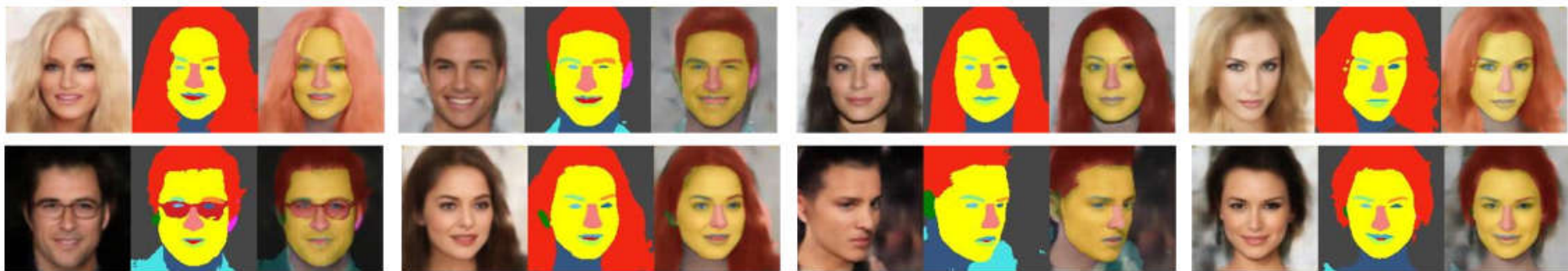
$$L_{ip} = \|f_{ip}(\hat{x}) - f_{ip}(x)\|_2^2,$$

$$L = L_{rec} + L_{kl} + \lambda_1 L_{segf} + \lambda_2 L_{segp} + \lambda_3 L_{ip},$$

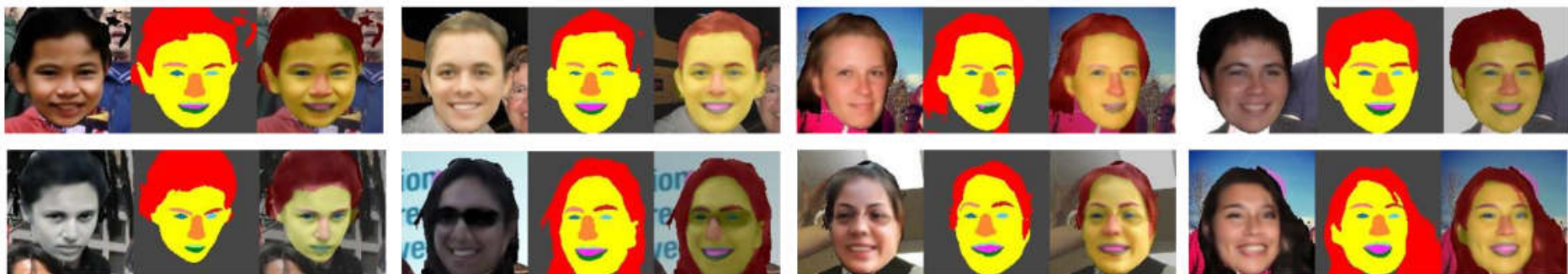
# Large-scale Image Database Generation for Face Parsing

## Experiments

a) The synthesized paired data by D2VG trained with CelebAMask-HQ and LaPa, respectively.



a) on CelebAMask-HQ



b) on LaPa



# Large-scale Image Database Generation for Face Parsing

## Experiments

b) Comparisons with state-of-the-art methods CelebAMask-HQ and LaPa.

Table 1: Comparisons with state-of-the-art methods on CelebAMask-HQ. mF1 is a mean F1-Score over the 13 categories.

Methods	skin	nose	glasses	eyes	brows	ears	ear_r	mouth	hair	hat	neck_l	neck	cloth	mF1	mIoU	mAcc
Lee <i>et al.</i> [13]	95.8	93.1	87.9	86.2	85.2	86.2	88.5	93.9	73.8	58.6	0.0	87.6	77.6	78.4	71.9	80.3
PSPNet	96.3	93.6	91.0	88.5	84.8	87.8	90.9	95.1	87.6	65.9	1.9	90.2	86.0	81.5	75.6	82.6
PSPNet + D2VG	96.4	93.7	91.7	89.5	85.7	88.5	92.0	95.3	88.4	69.3	10.1	90.6	86.8	82.9	77.0	84.4
PSPNet + D2VG + GCE	96.4	93.9	91.9	89.5	85.8	88.6	92.0	95.3	87.7	68.9	15.2	90.4	86.6	83.2	77.2	84.1
PSPNet + DV2G + MG-GCE	96.6	93.9	92.4	89.5	86.3	88.8	92.1	95.4	88.3	70.3	45.7	90.8	86.2	85.9	78.7	85.8

Table 2: Comparisons with state-of-the-art methods on LaPa. mF1 is a mean F1-Score over the 10 foreground categories.

Methods	hair	skin	left eyebrow	right eyebrow	left eye	right eye	nose	upper lip	inner mouth	lower lip	background	mF1
Liu <i>et al.</i> [18]	96.3	97.2	87.7	87.6	88.1	87.9	95.5	84.4	87.6	85.7	99.2	89.8
PSPNet	95.4	97.1	87.0	87.3	88.5	87.7	96.1	84.6	87.1	86.9	98.9	89.8
PSPNet+D2VG	95.5	97.1	88.9	87.8	88.9	88.9	96.3	84.8	88.3	87.2	99.0	90.3
PSPNet + D2VG + GCE	95.9	97.3	88.8	88.5	89.1	89.3	96.6	86.0	88.7	88.1	99.2	90.8
PSPNet + DV2G + MG-GCE	96.4	97.5	89.6	89.6	89.5	89.9	96.7	86.7	89.5	88.5	99.3	91.4

# AI enables face manipulation easier and has caused security risks



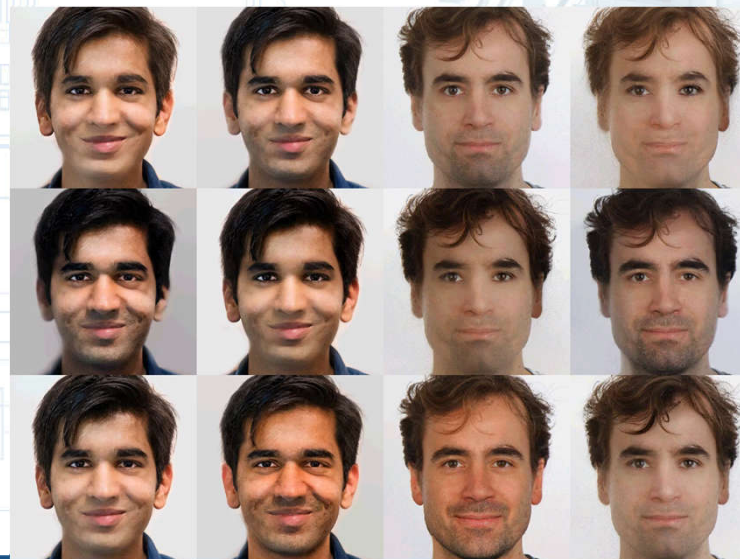
## Fraudster Dimitri de Angelis Jailed for Fake Celebrity Friend Photoshop Scam

Conman scammed investors out of \$8.5m by pretending to be friends with Queen, Pope, Bush and Clinton

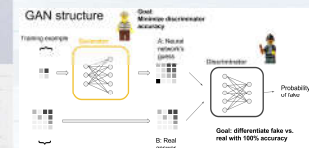
By Hannah Osborne  
March 1, 2013 16:47 GMT



Dimitri de Angelis with Bill Clinton



AI Enabled



from Internet

# High-quality Identity Swap

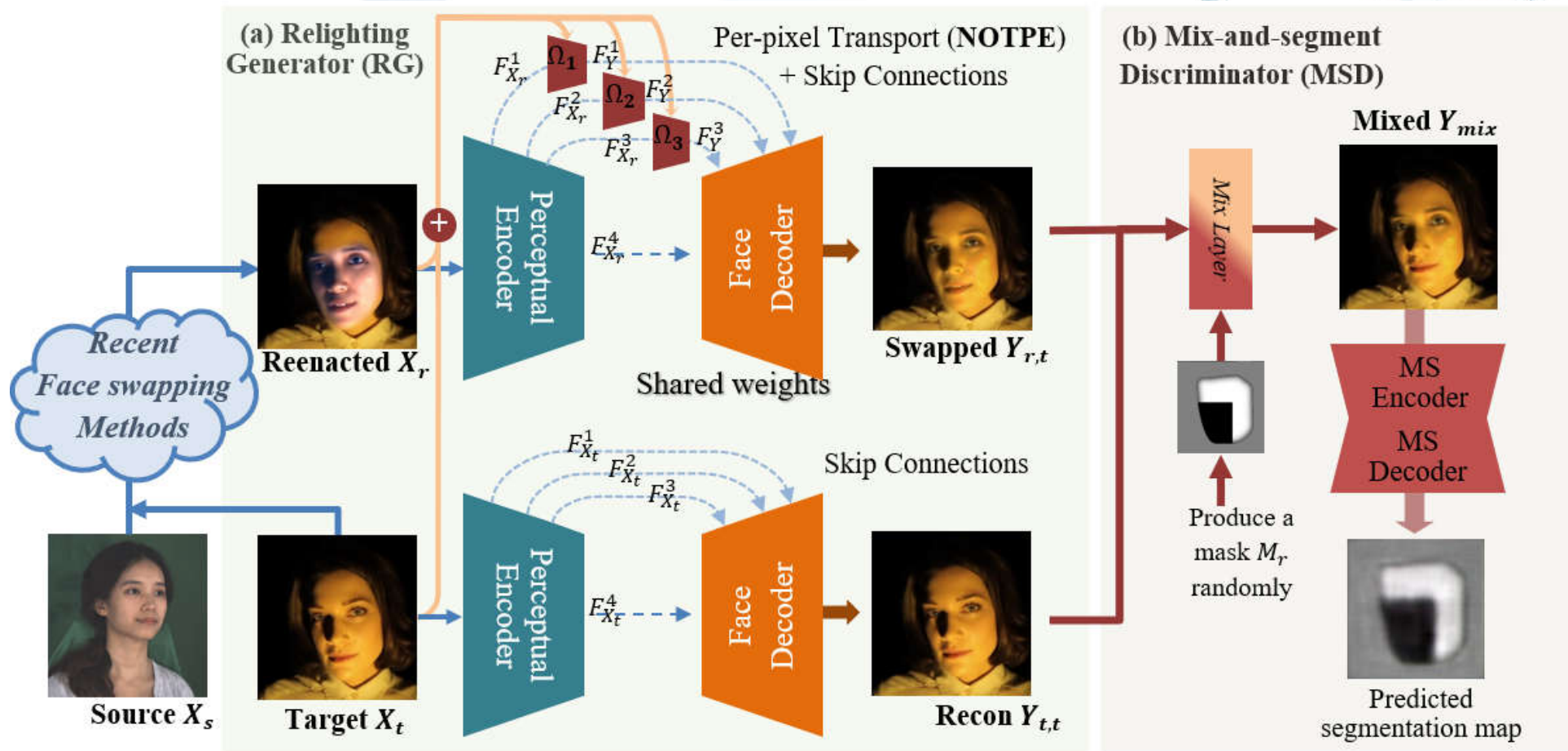
Target

Swapped

Source



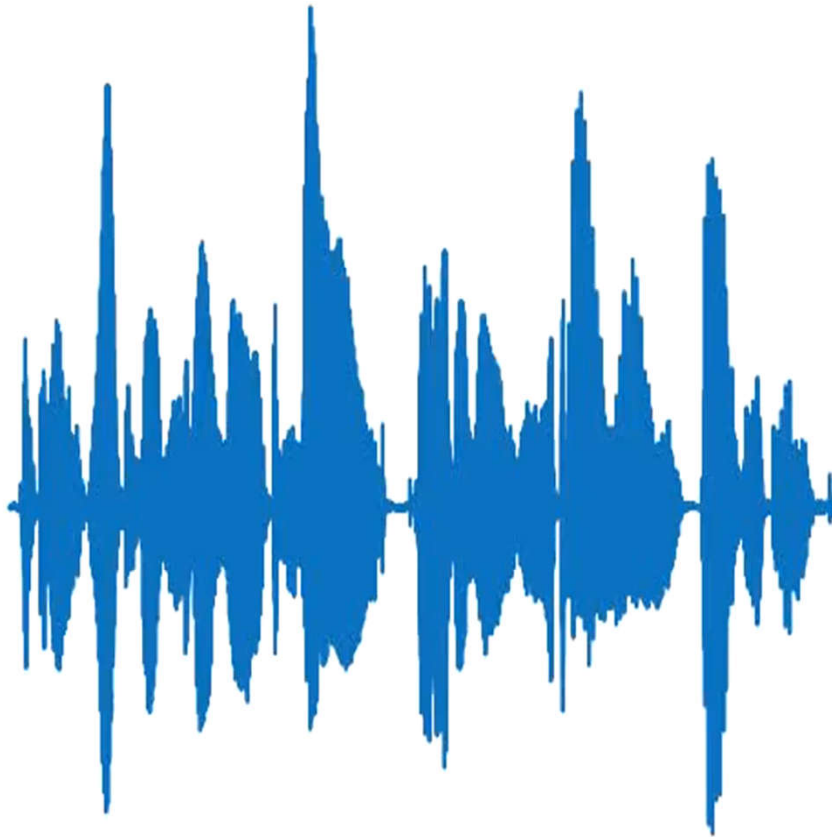
Hao Zhu, Ran He et al. AOT: Appearance Optimal Transport Model for Face Swapping.  
NeurIPS 2020.



Hao Zhu, Ran He et al. AOT: Appearance Optimal Transport Model for Face Swapping. NeurIPS 2020.

# Talking Face Video Generation

cn

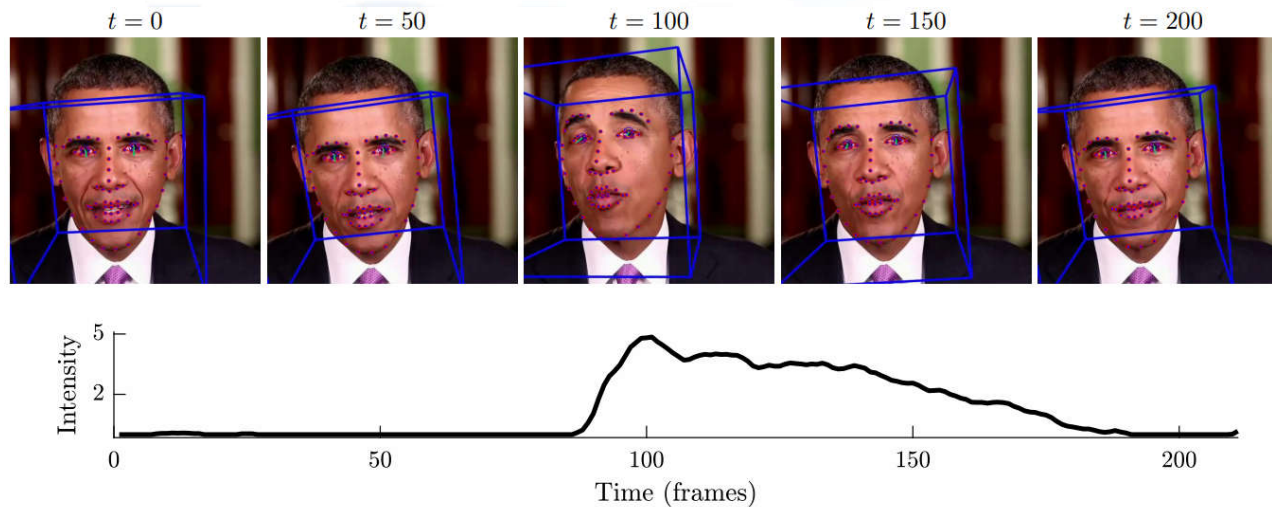


Kaisiyuan Wang, Ran He, et al. MEAD: A Large-scale Audio-visual Dataset for Emotional Talking Face Generation. ECCV, 2020.

Hao Zhu, Ran He, et al. Arbitrary Talking Face Generation via Attentional Audio-Visual Coherence Learning. IJCAI, 2020.

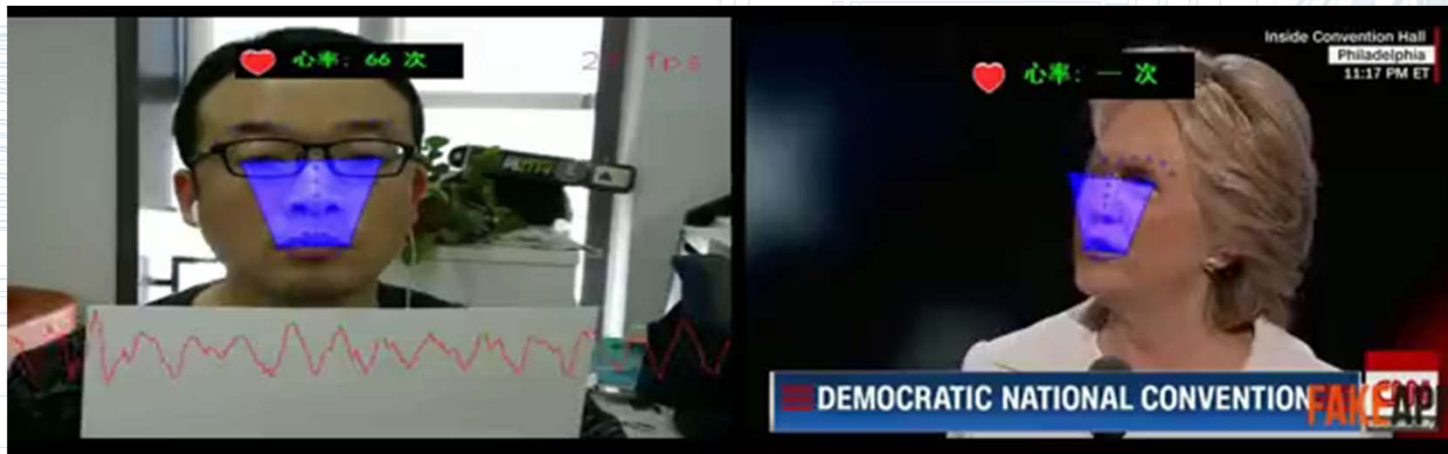
# Possible features for fake detection

.cn



Facial Behavior Modeling

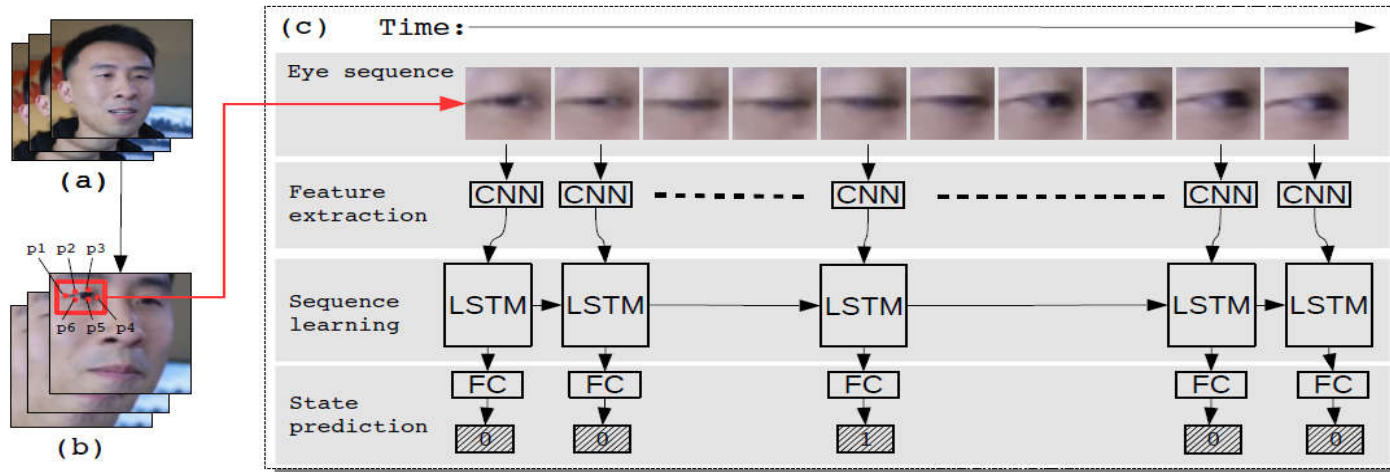
Figure 1. Shown above are five equally spaced frames from a 250-frame clip annotated with the results of OpenFace tracking. Shown below is the intensity of one action unit AU01 (eye brow lift) measured over this video clip.



Physiological Indicator

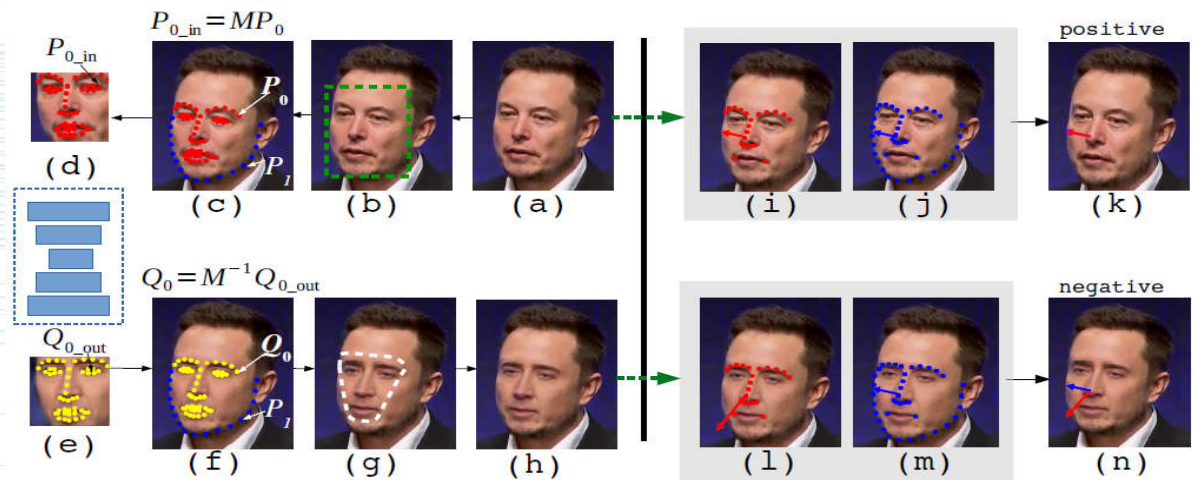
# Possible features for fake detection

.cn

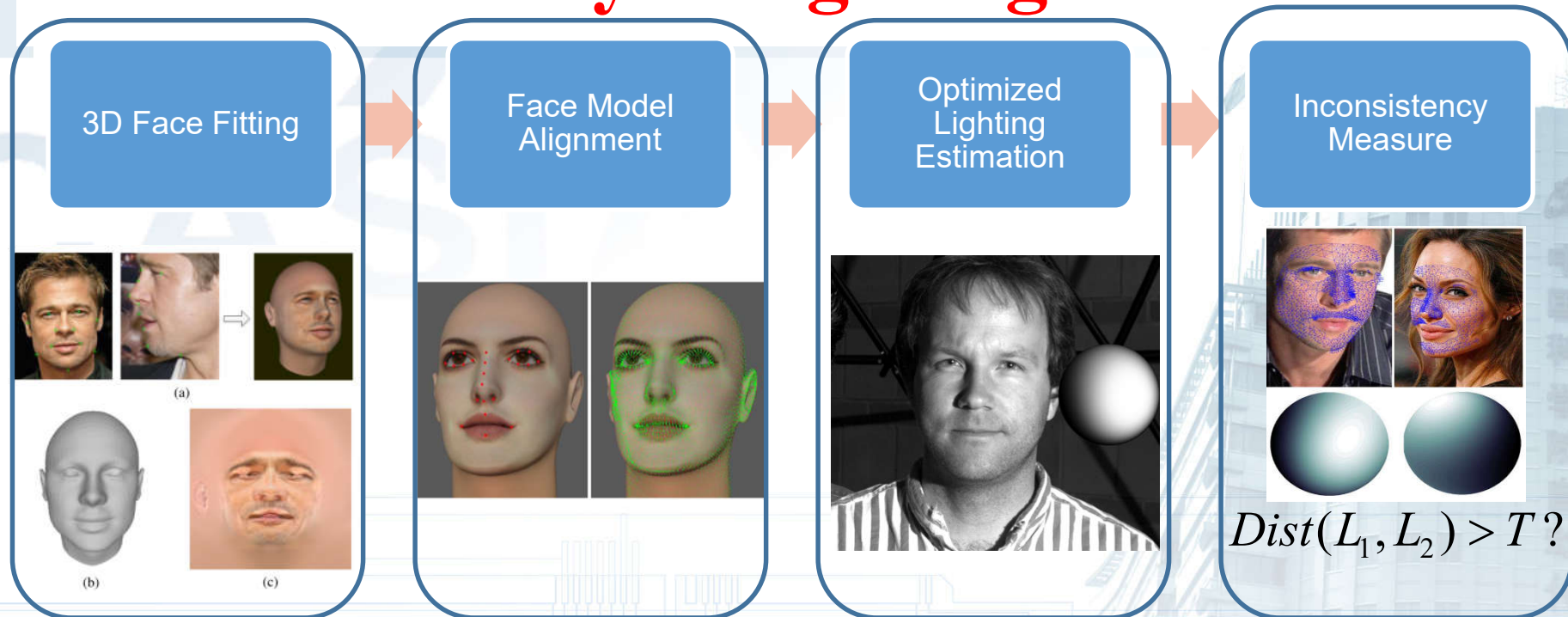


Eye-blinking clue

Head pose consistency



# Inconsistency of lighting conditions



**RELAXATION !**

$$I(\vec{x}) = \int_{\Omega} \rho(\vec{X}) G(\vec{X}, \vec{V}) R(\vec{V}, \vec{N}(\vec{X})) L(\vec{V}) d\vec{V}$$

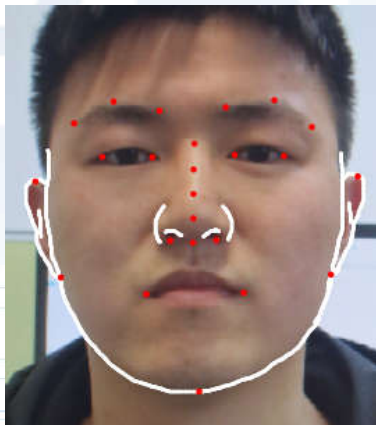
Texture    Occlusion

- Bo Peng, **Wei Wang**, Jing Dong, and Tieniu Tan, "Optimized 3D Lighting Environment Estimation for Image Forgery Detection," IEEE Transactions on Information Forensics and Security, 2016.
- Bo Peng, **Wei Wang**, Jing Dong, and Tieniu Tan, "Automatic detection of 3D lighting inconsistencies via a facial landmark based morphable model," IEEE International Conference on Image Processing (ICIP), 2016, pp. 3932-3936.
- Bo Peng, **Wei Wang**, Jing Dong, and Tieniu Tan, "Improved 3D lighting environment estimation for image forgery detection," IEEE International Workshop on Information Forensics and Security (WIFS), 2015, pp. 1-6.



# Invalidation of projective geometry laws

S1: Landmark & Contour Observation



S2: Estimation by Landmark Correspondence



- Minimize projection error of landmarks
- Gold Standard Method

S3: Fine Tune using Contour

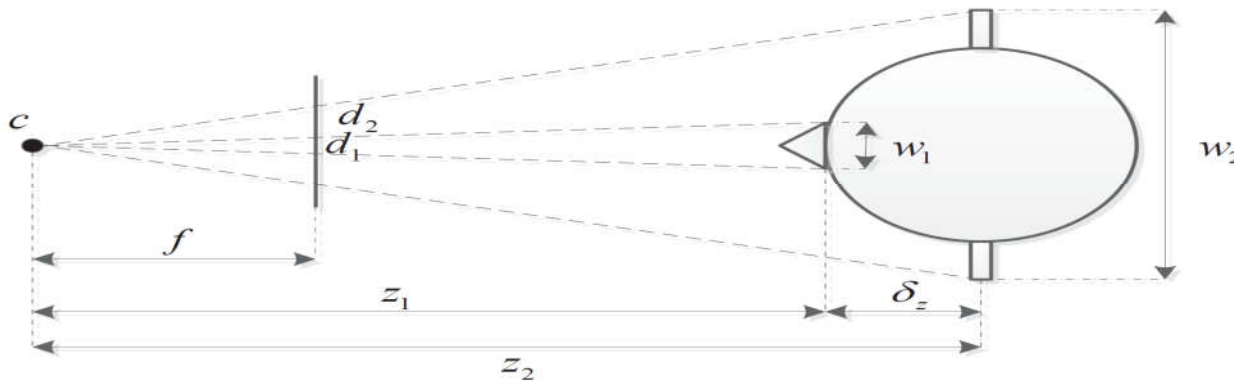


- Minimize projection error of contours
- ICP algorithm

S4: Consistency between Intrinsic paras

$$Dist(\theta, \{\hat{\theta}\}) > T?$$

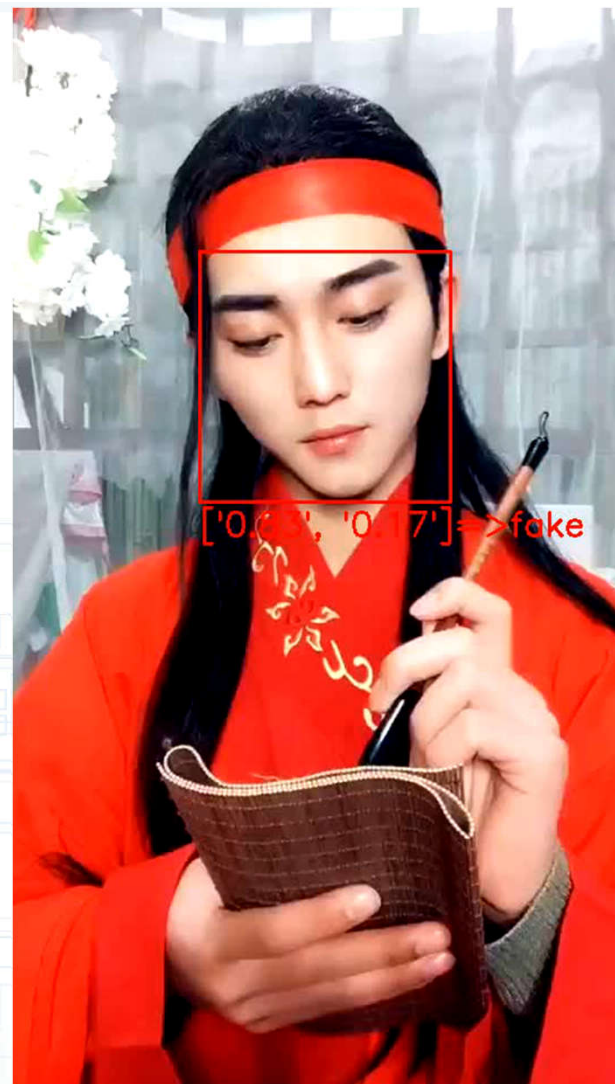
- Random perturbation
- Mahalanobis distance



# Fake Detection of Face Videos Generated by ZAO



原始视频

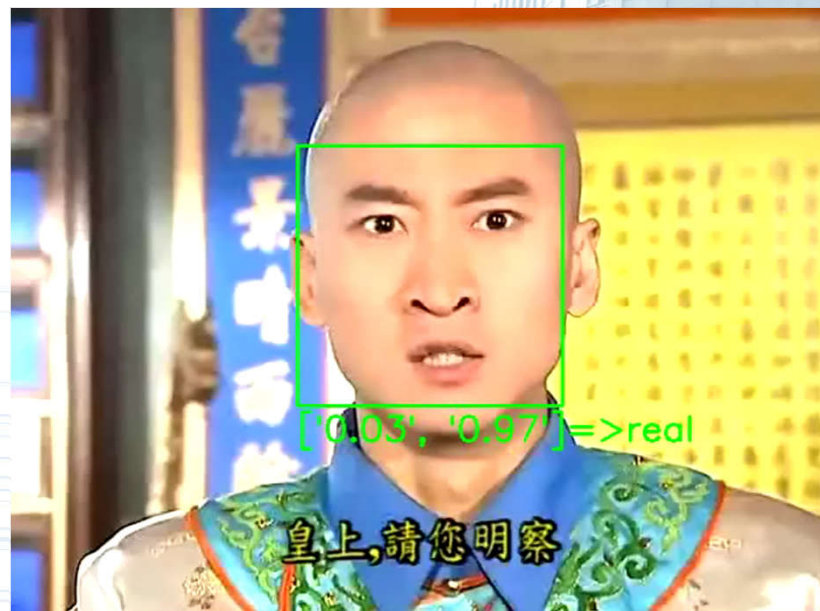


ZAO视频

# Fake Detection of Face Videos Generated by ZAO



原始视频



ZAO视频

# Open Problems of Face Recognition



PIE (Pose, Illumination, Expression)



Face recognition in surveillance



Spoof-attack



Face recognition of twins



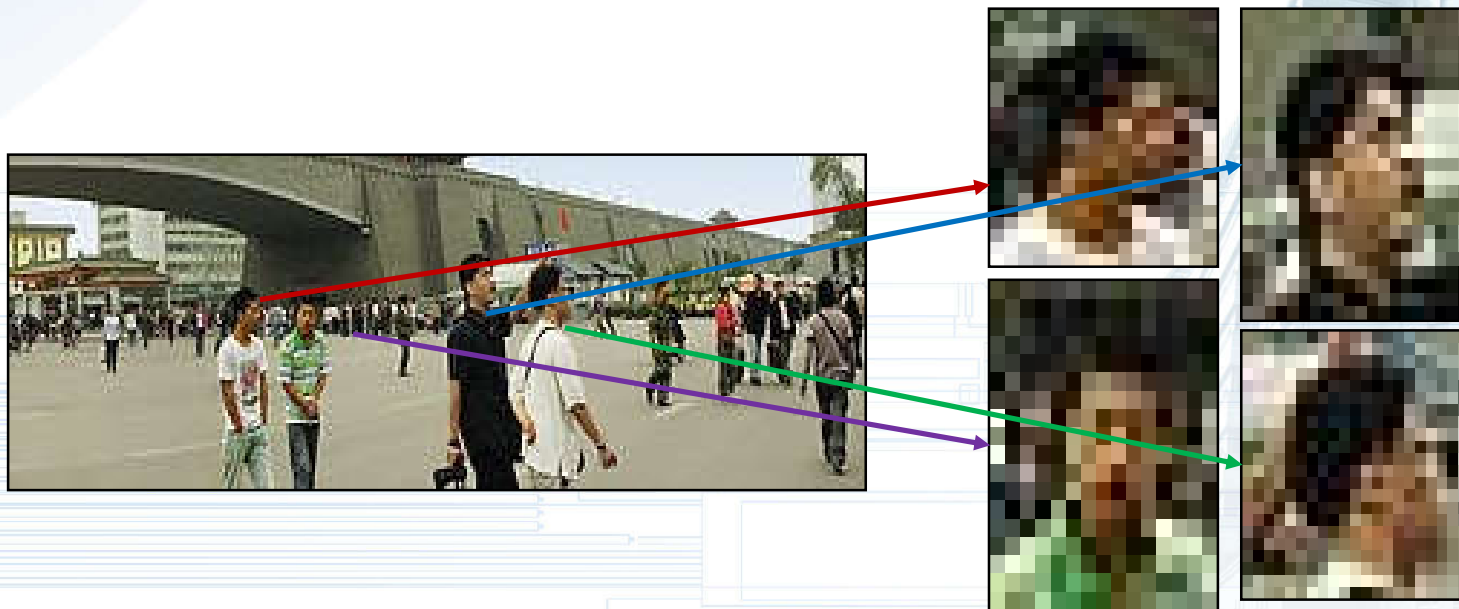
Facial disguise



- **Preamble**
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  - ✓ **Others**
- **Future Directions and Conclusions**

# Advantages of gait recognition

As a biometric, gait is still available at a distance when other biometrics are obscured or at too low resolution.



**Advantages: robust against imaging distance, resolution, view, illumination**

# History of gait recognition

First gait biometrics paper - Cunado, Nixon and Carter (AVBPA 1997) - 90% CCR

DARPA Program: Human ID at a distance

Learning Representative Deep Features for Image Set Analysis, TMM

Cross-view gait based human identification with deep CNNs, TPAMI

GEINET: view-invariant gait recognition, ICB

1997

2000

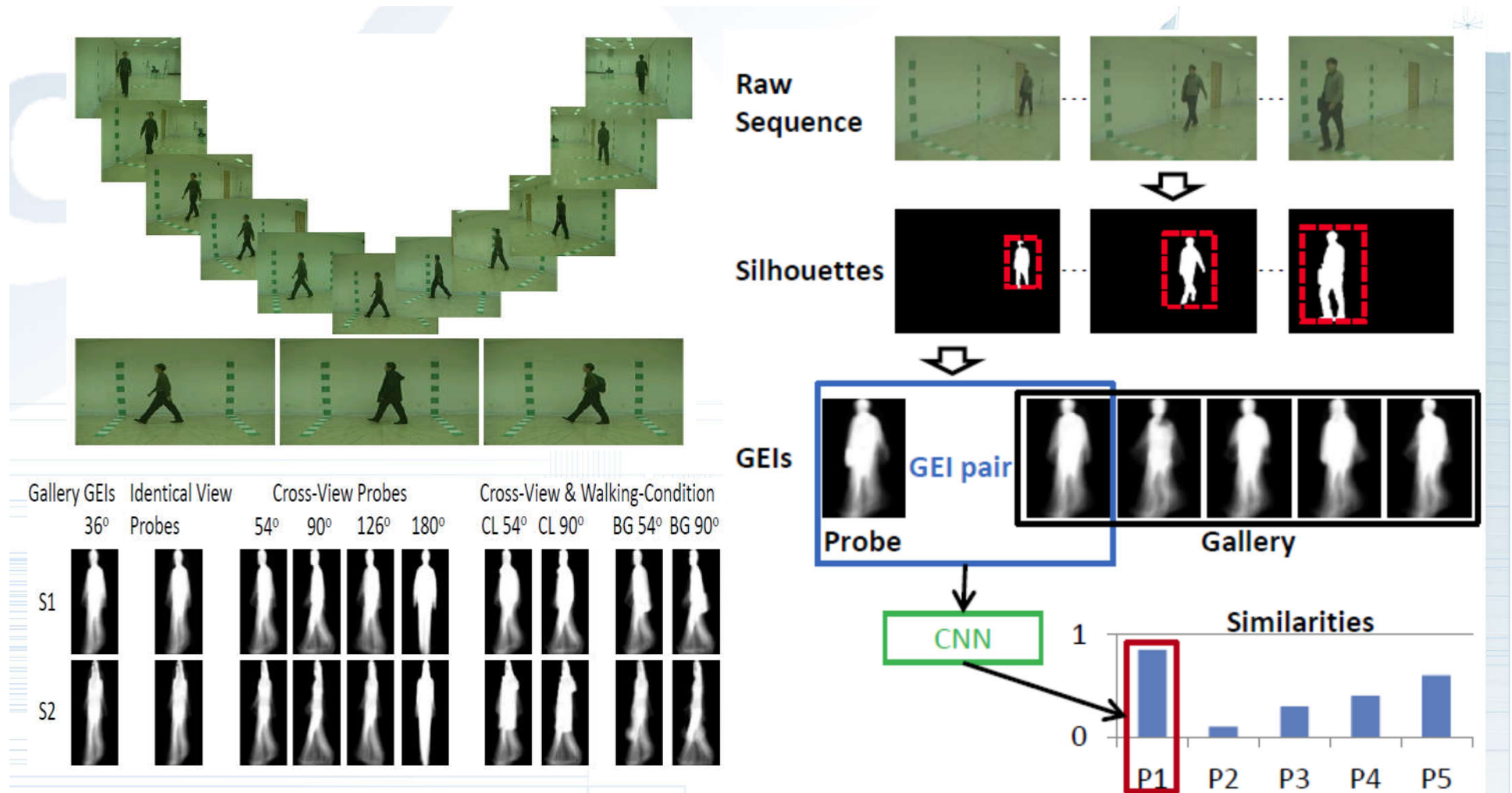
2015

2016

Design hand-crafted features for gait recognition

Deep learning for gait recognition

# Multi-view Gait Recognition

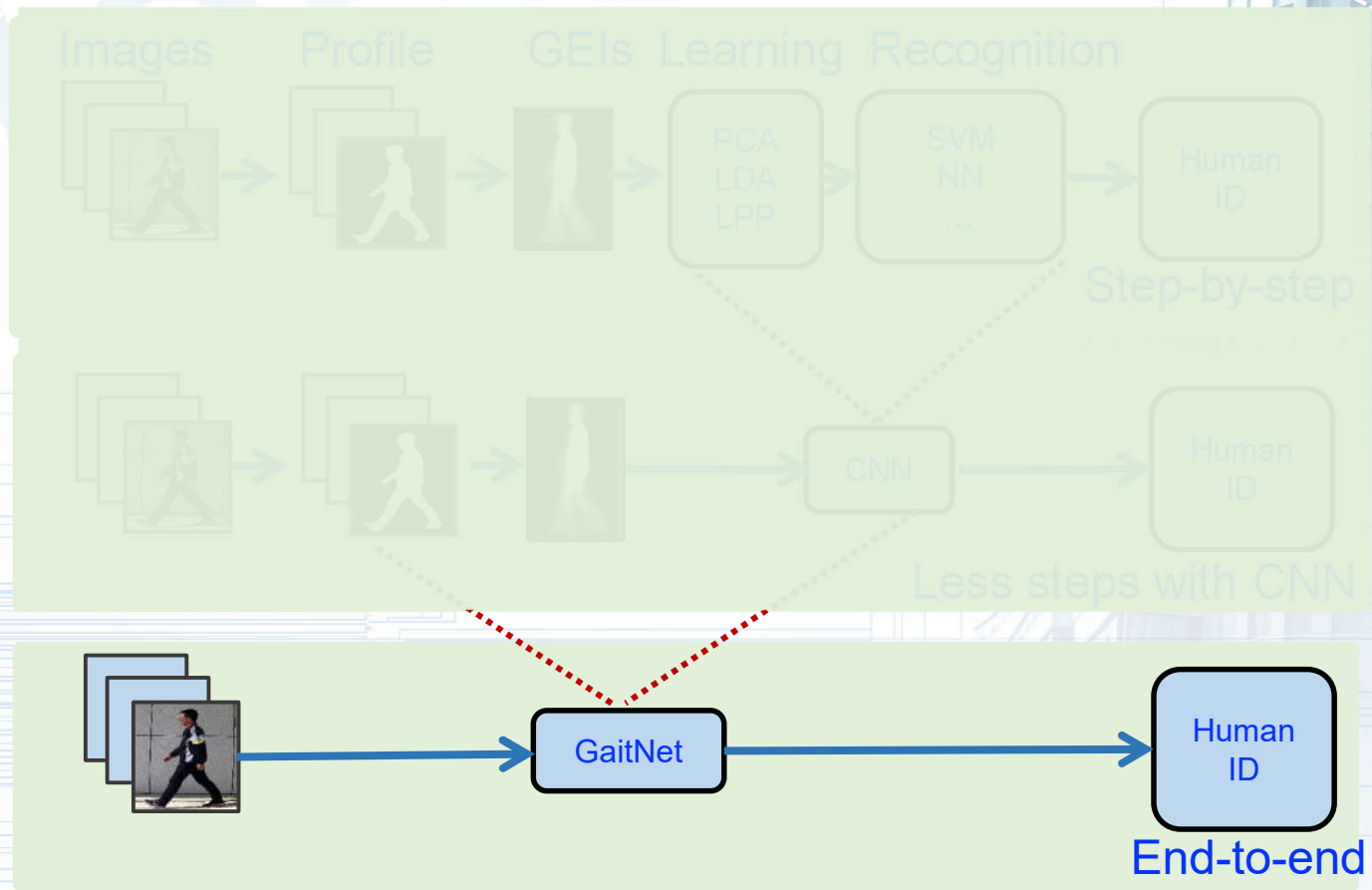


Zifeng Wu, Yongzhen Huang, Liang Wang, Xiaogang Wang, and Tieniu Tan, A comprehensive study on cross-view gait based human identification with deep CNNs, IEEE Transactions on Pattern Analysis and Machine Intelligence (TPAMI), 2017.

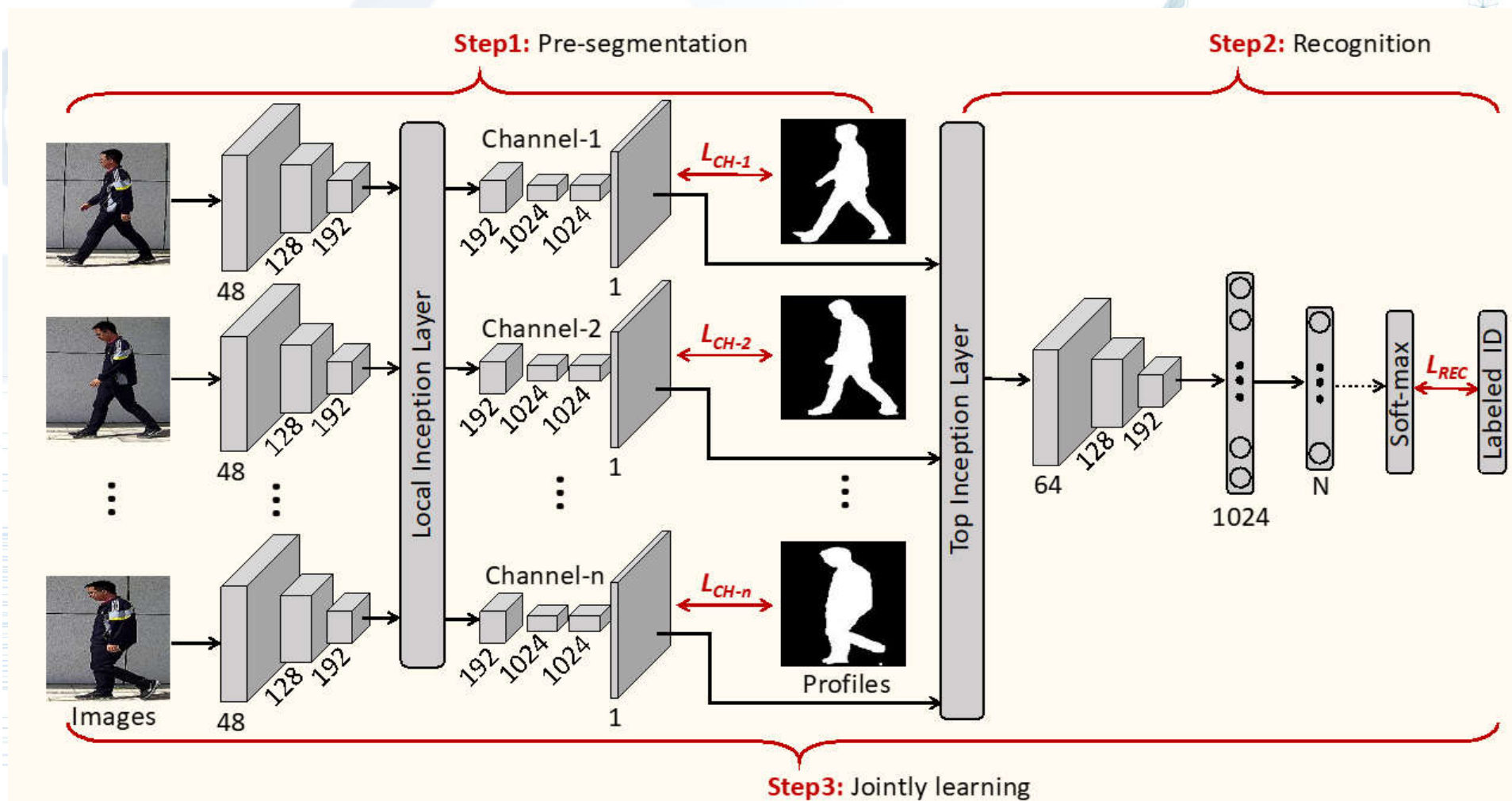




# An end to end gait recognition system



# Flowchart of end-to-end gait recognition



C. Song, Y. Huang L. Wang, et al, GaitNet: An End-to-end Network for Video-based Human Identification, PR 2019.

# Experiments-Results on Outdoor-Gait

Methods		SCENE-1			SCENE-2			SCENE-3			Mean
		NM	CL	BG	NM	CL	BG	NM	CL	BG	
GEI[9]	PCA	79.71	84.56	86.23	97.83	93.48	96.38	65.22	66.42	72.26	82.45
	LDA	88.41	87.50	86.23	97.10	94.93	97.10	60.87	61.94	71.53	82.85
	LPP	86.96	87.50	89.13	93.48	92.03	97.10	60.87	59.70	76.64	82.60
GEnI[3]	PCA	79.71	78.68	78.26	98.55	92.75	96.38	57.25	51.49	65.69	77.64
	LDA	82.61	86.03	84.78	97.10	92.75	95.65	58.70	57.46	69.34	80.49
	LPP	86.23	86.03	85.51	93.48	95.65	95.65	55.80	58.21	71.53	80.90
GFI[17]	PCA	81.16	83.82	87.68	95.65	91.30	94.93	66.67	58.96	72.26	81.38
	LDA	79.71	68.38	81.88	88.41	86.96	91.30	46.38	43.28	57.66	71.55
	LPP	66.67	69.85	78.26	81.88	86.23	86.96	44.93	50.75	53.29	68.76
CGI[28]	PCA	71.01	72.99	80.44	86.96	89.13	91.30	39.86	41.05	51.83	69.40
	LDA	71.01	68.61	78.99	84.78	88.41	90.58	31.88	39.55	50.37	67.13
	LPP	71.01	68.61	74.64	84.06	84.06	86.96	38.41	44.78	48.91	66.83
GEI-CNN[23]		86.23	90.55	93.48	96.01	95.65	96.74	70.65	70.55	76.81	86.30
GaitNet	Non-Joint	95.59	95.22	<b>99.26</b>	98.16	98.9	<b>100.0</b>	92.28	92.28	97.06	96.53
	Joint	<b>100.0</b>	<b>100.0</b>	98.9	<b>100.0</b>	<b>100.0</b>	99.63	<b>99.26</b>	<b>98.16</b>	<b>100.0</b>	<b>99.55</b>

# Applications of Gait Recognition

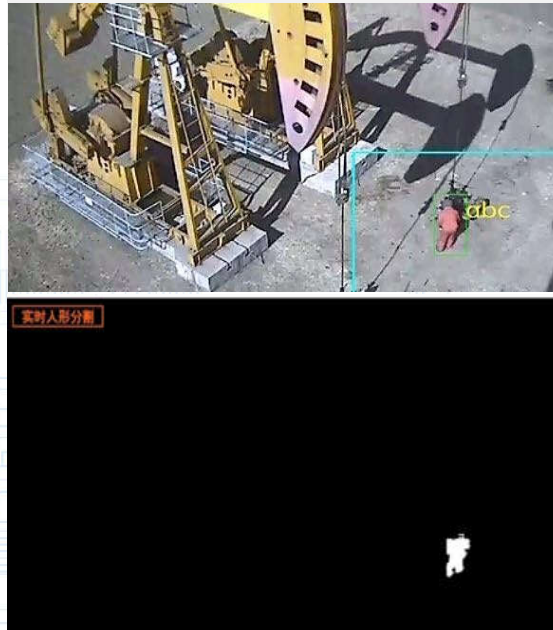
## ◆ Public Security

Gait Retrieval System  
Shanghai/Beijing - Sample test



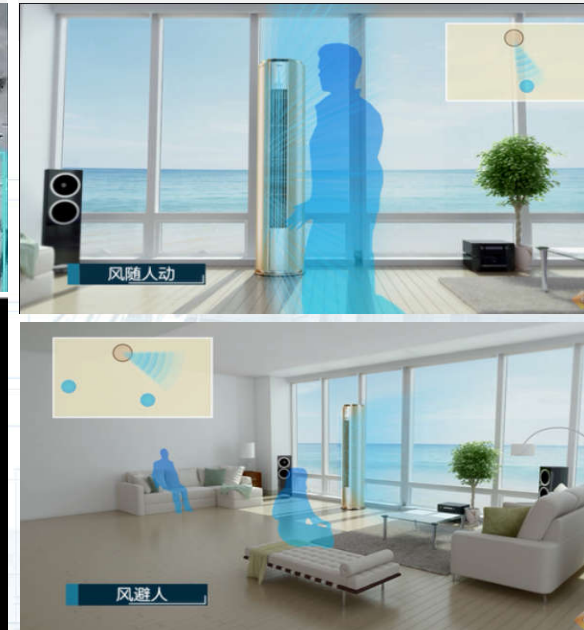
## ◆ Commercial Security

PetroChina - field drilling  
platform  
Gait recognition for white list



## ◆ Smart Home

Midea(Fortune 500) air  
conditioner  
Family member gait recognition

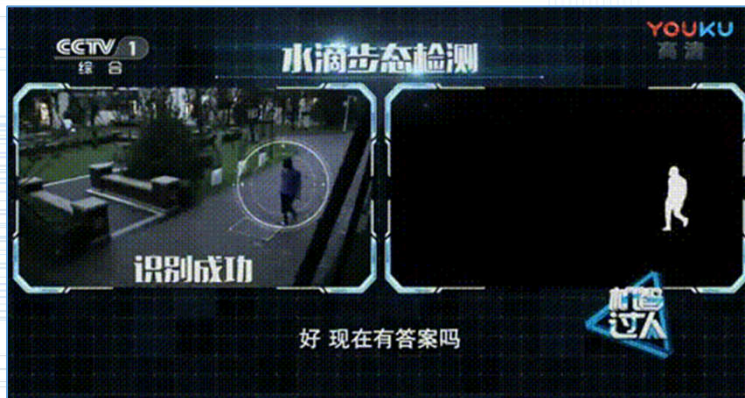




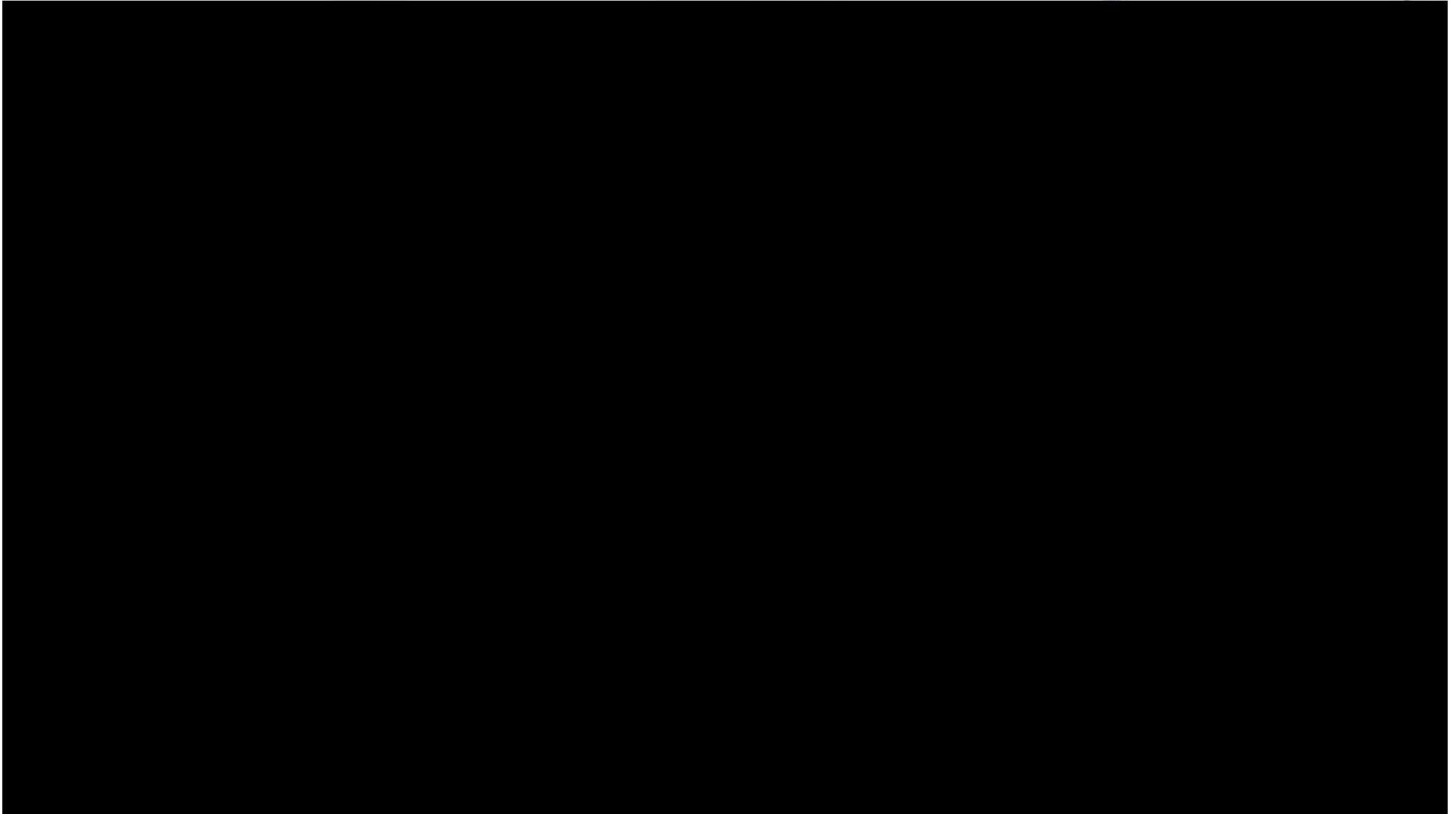
△ Crime scene (lateral side, shadow on face)

△ Retrieval result: similarity 0.97

# Gait Retrieval - CCTV



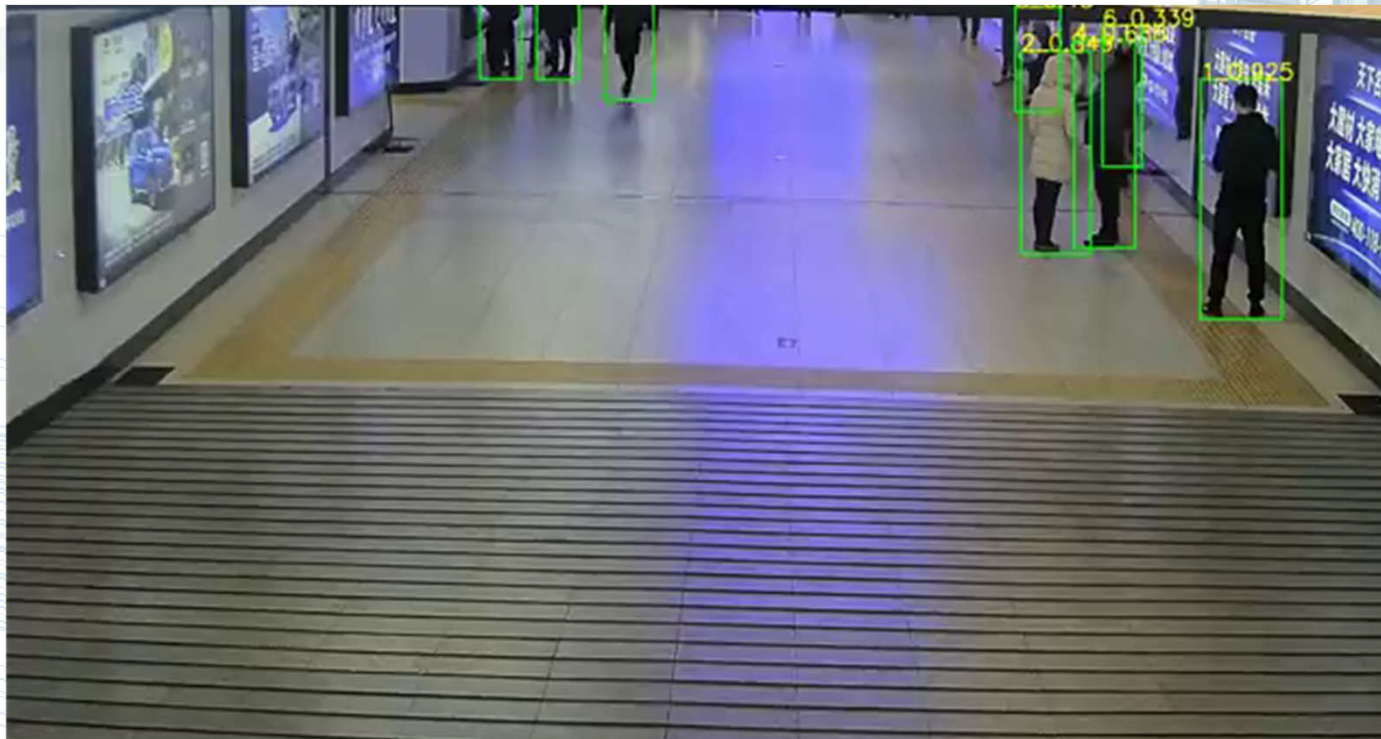
# Demo of Gait Recognition



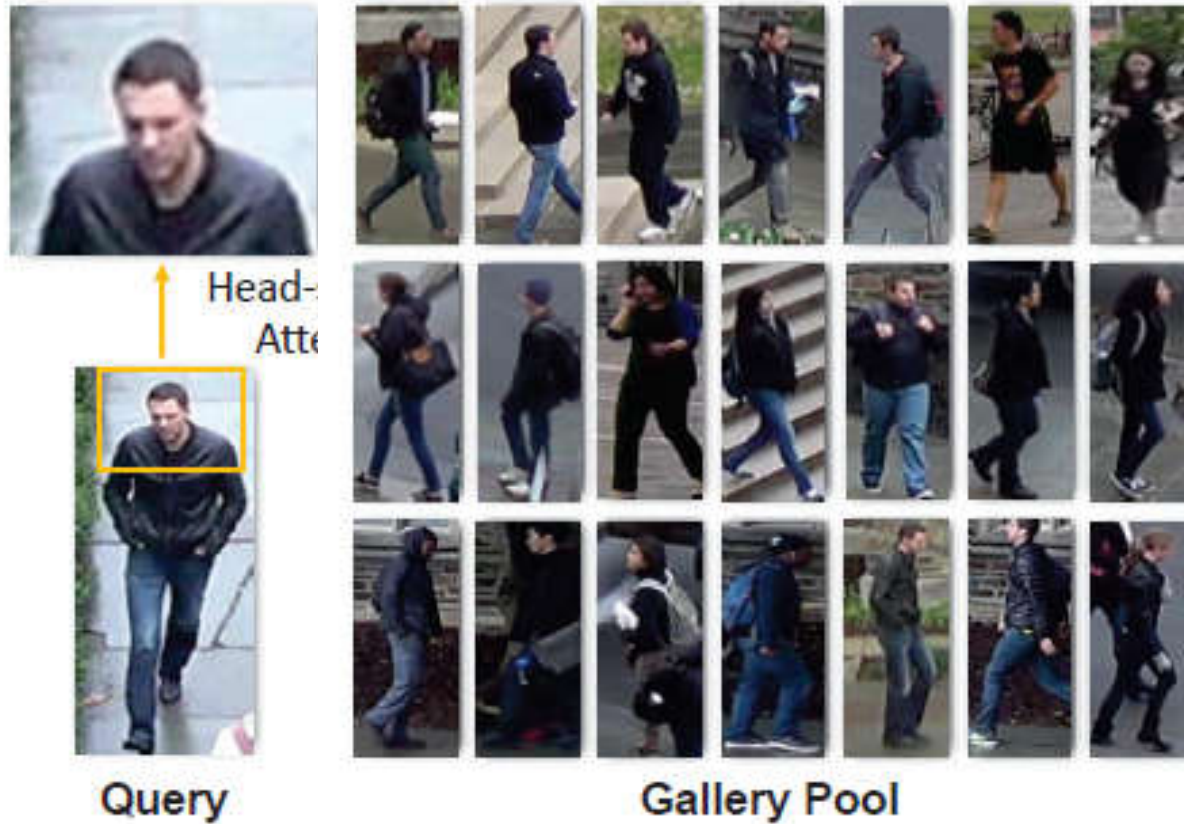
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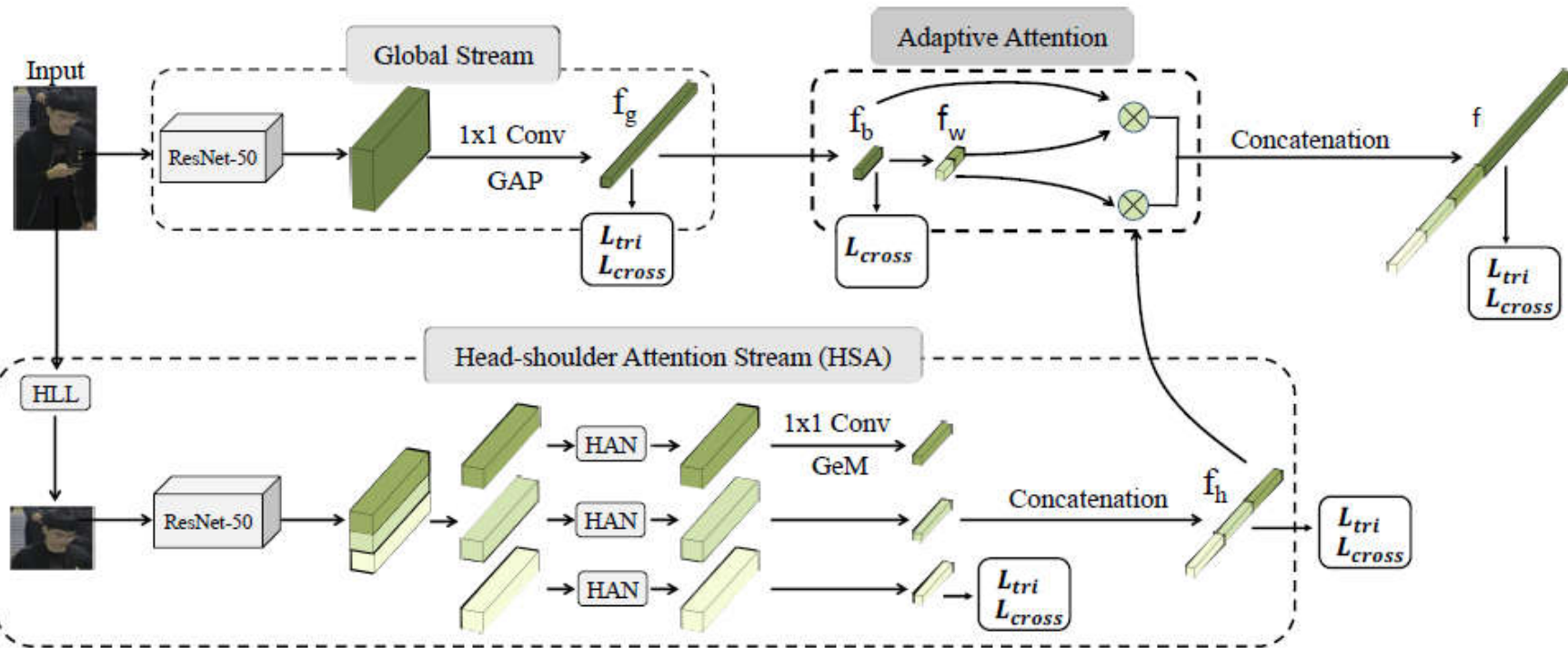
**Black Re-ID problem:** When people wear black clothes or they are captured by surveillance systems in low light illumination, the attributes of the clothing are severely missing.



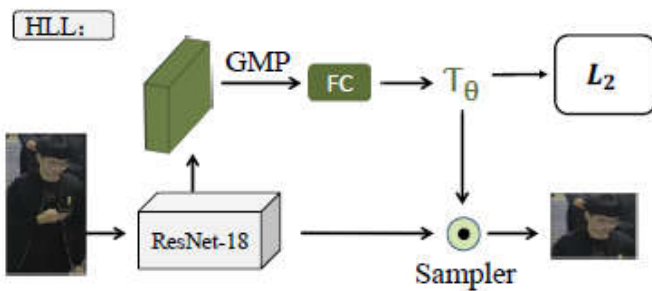
Boqiang Xu, Lingxiao He, Xingyu Liao, Wu Liu, Zhenan Sun, Tao Mei. "Black Re-ID: A Head-shoulder Descriptor for the Challenging Problem of Person Re-Identification." ACM MM. 2020 (Oral).



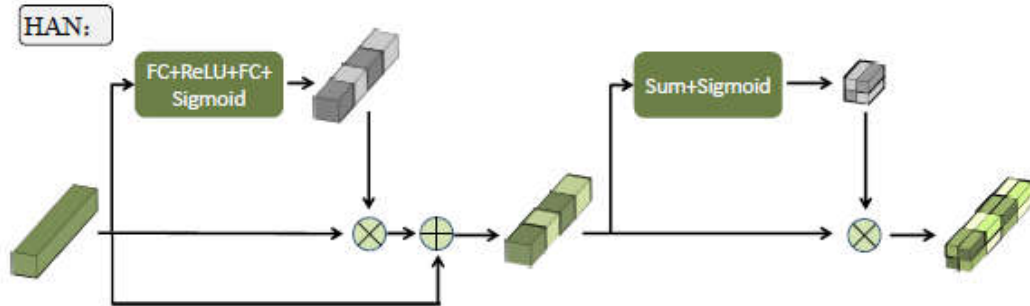
**We exploit the head-shoulder feature to assist solving the Black Re-ID problem.**



(a)



(b)



(c)

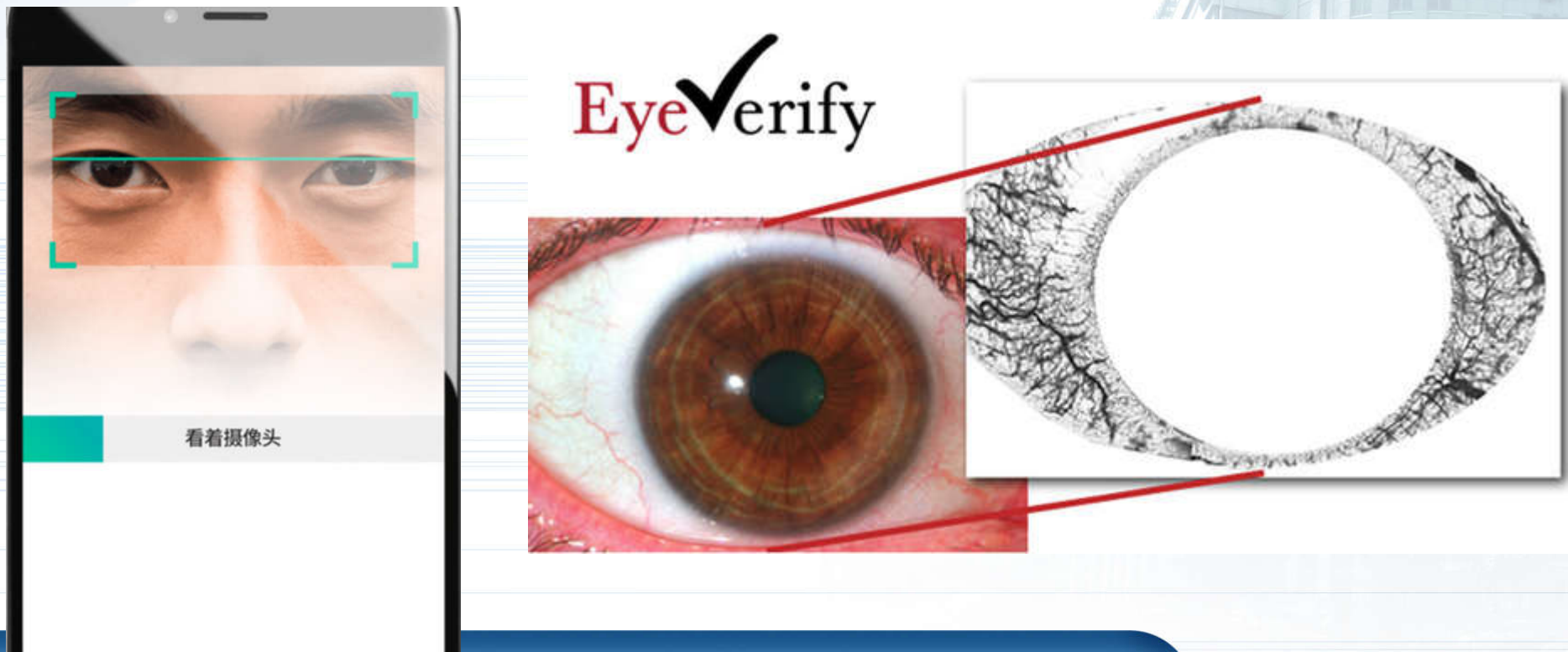
Table 2: Quantitative comparison with the state-of-the-art methods in person re-id on Black-reID dataset. Bold number denote the best performance. We denote HAA (ResNet50) and HAA (MGN) by the method selecting ResNet50 and MGN as the backbone respectively.

Method	Black Group		White Group	
	mAP	Rank-1	mAP	Rank-1
ResNet50 [4]	70.8	80.9	75.8	89.5
PCB [29]	73.4	83.2	78.2	90.8
AlignedReID [34]	75.5	83.5	80.5	91.3
MGN [31]	79.1	86.7	85.8	94.3
HAA (ResNet50)	79.0	86.7	84.4	93.5
HAA (MGN)	<b>83.8</b>	<b>91.0</b>	<b>88.1</b>	<b>95.3</b>

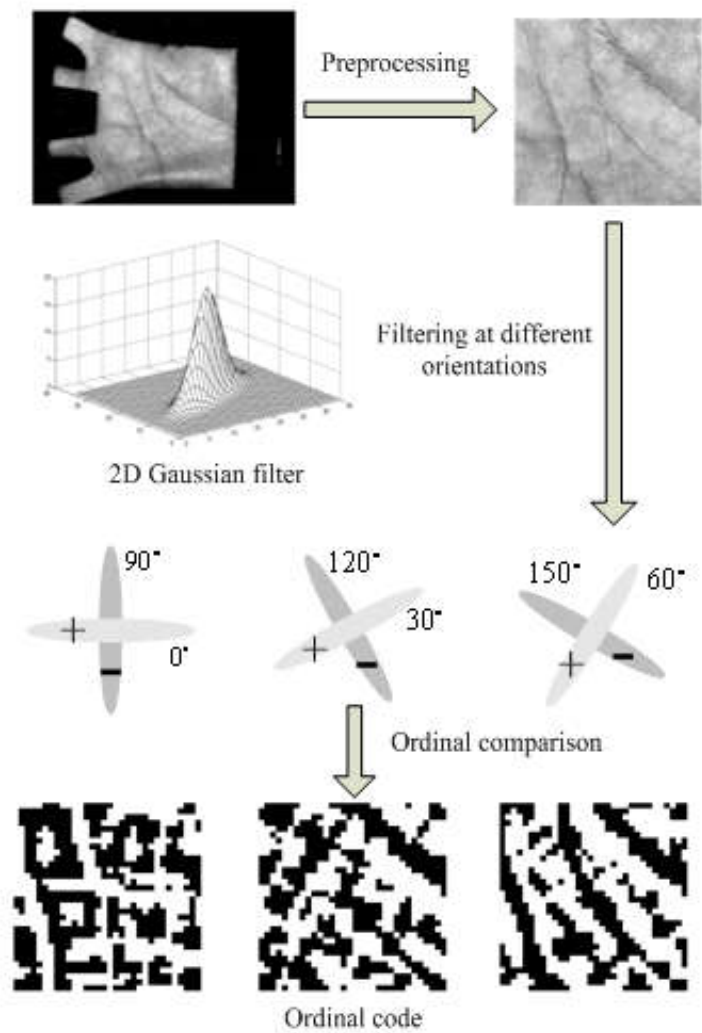
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# Eyeprint Recognition

Regular front-facing smartphone cameras can create an cryptographic key used to authenticate users based on the micro features in and around their eyes, the most important of which are the blood vessels visible in the whites of the eyes.



# Ordinal Measure-based Palmprint Recognition



# Ear Biometrics

BBC

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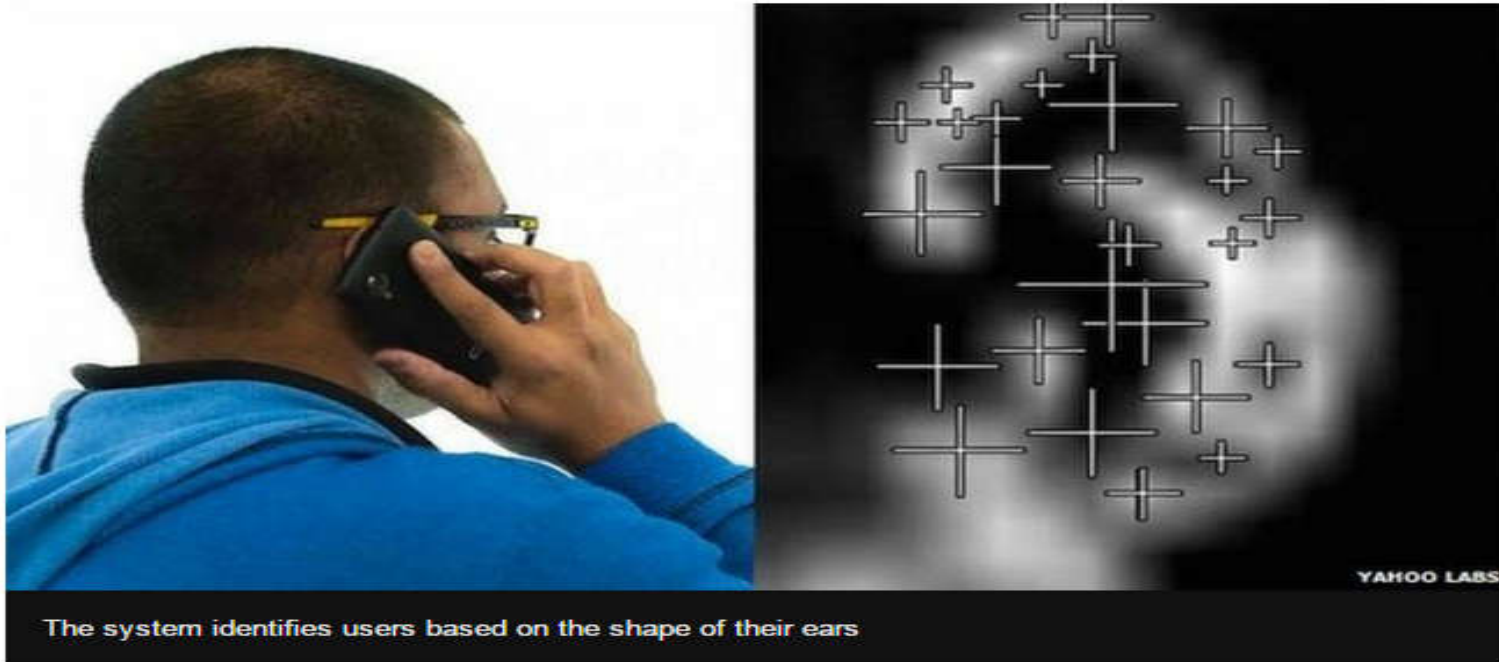
NEWS

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Technology

## Yahoo tests ear-based smartphone identification system

🕒 28 April 2015 | Technology



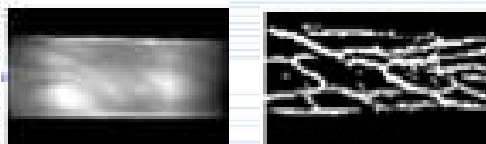


# Hand Vein Patterns for Biometric Recognition

Unique, stable and secure biometric patterns underneath the skin surface



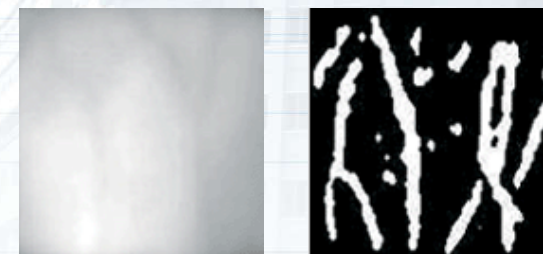
**Finger vein**



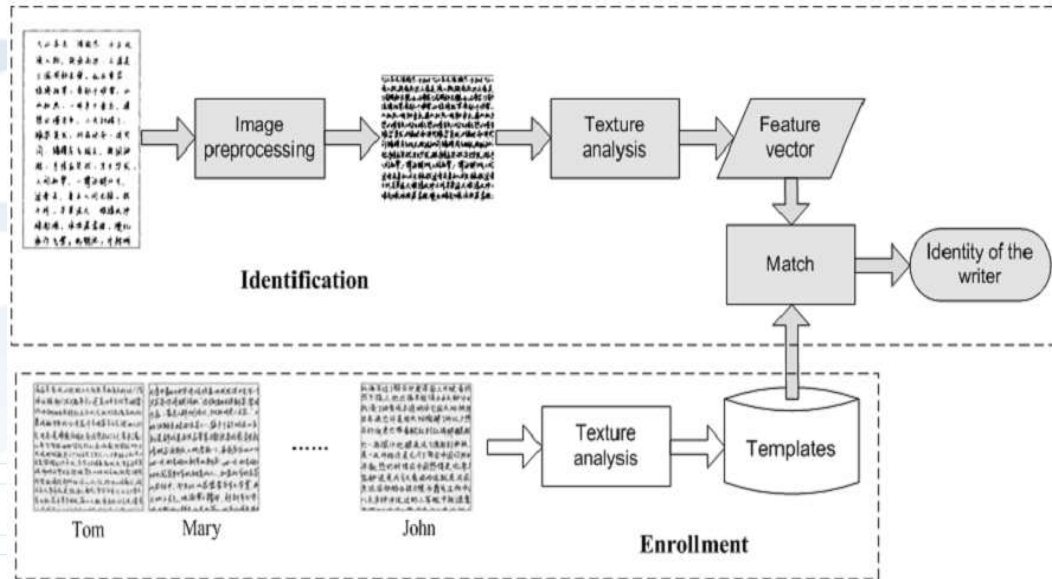
**Palm vein**



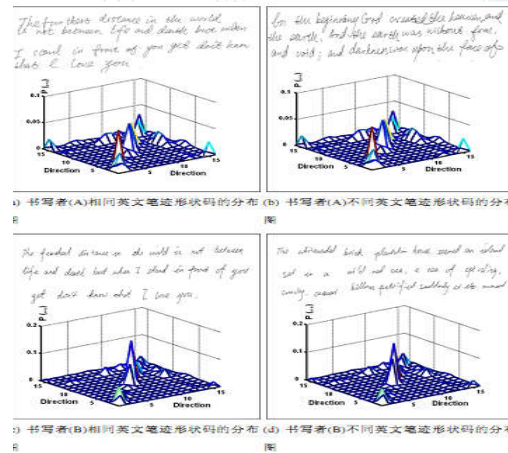
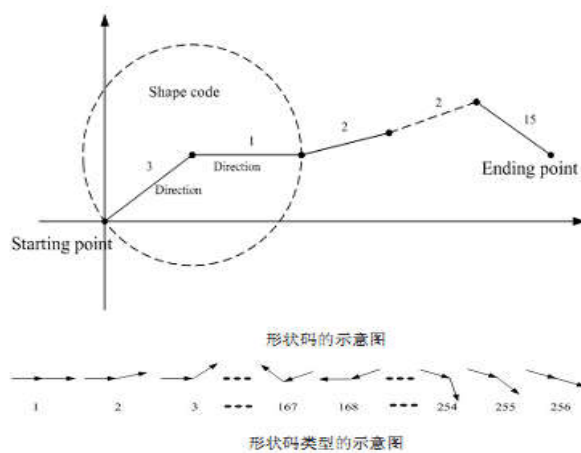
**Hand vascular pattern**



# Handwriting Biometrics



Handwriting texture analysis for writer identification



Statistical analysis of stroke shape features for writer identification

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# Challenges of Biometric Recognition

**Almost** 50 Years of Biometric Research:  
~~The~~ Solved, The Unsolved, and The Unexplored

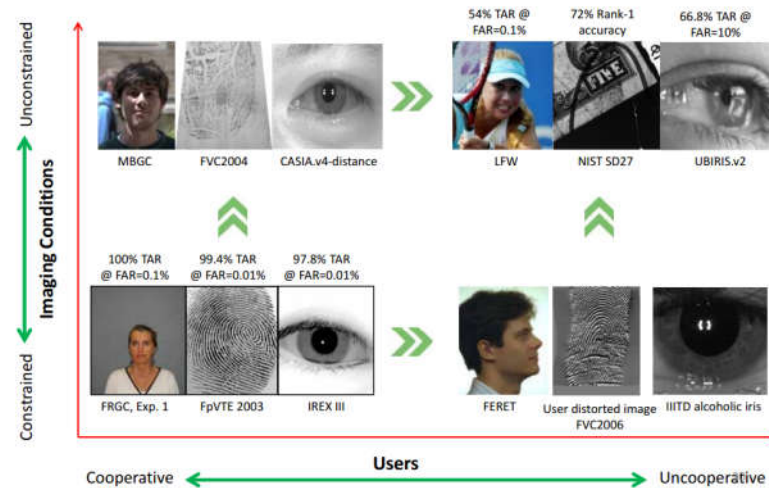


Anil Jain  
 Michigan State University

June 5, 2013

Keynote Talk Delivered at the International Conf. on Biometrics, Madrid, Spain, June 5, 2013

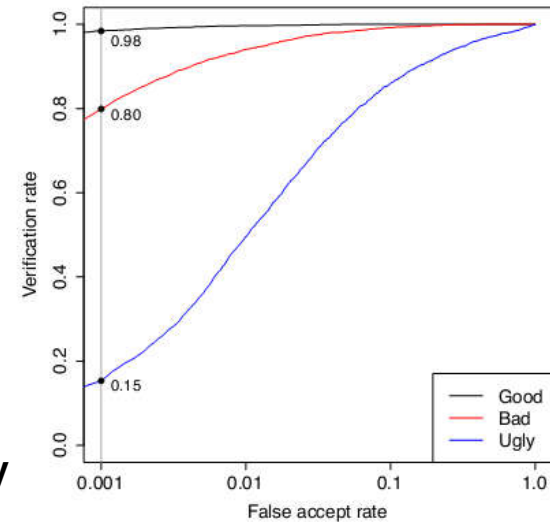
## From Solved to Unsolved



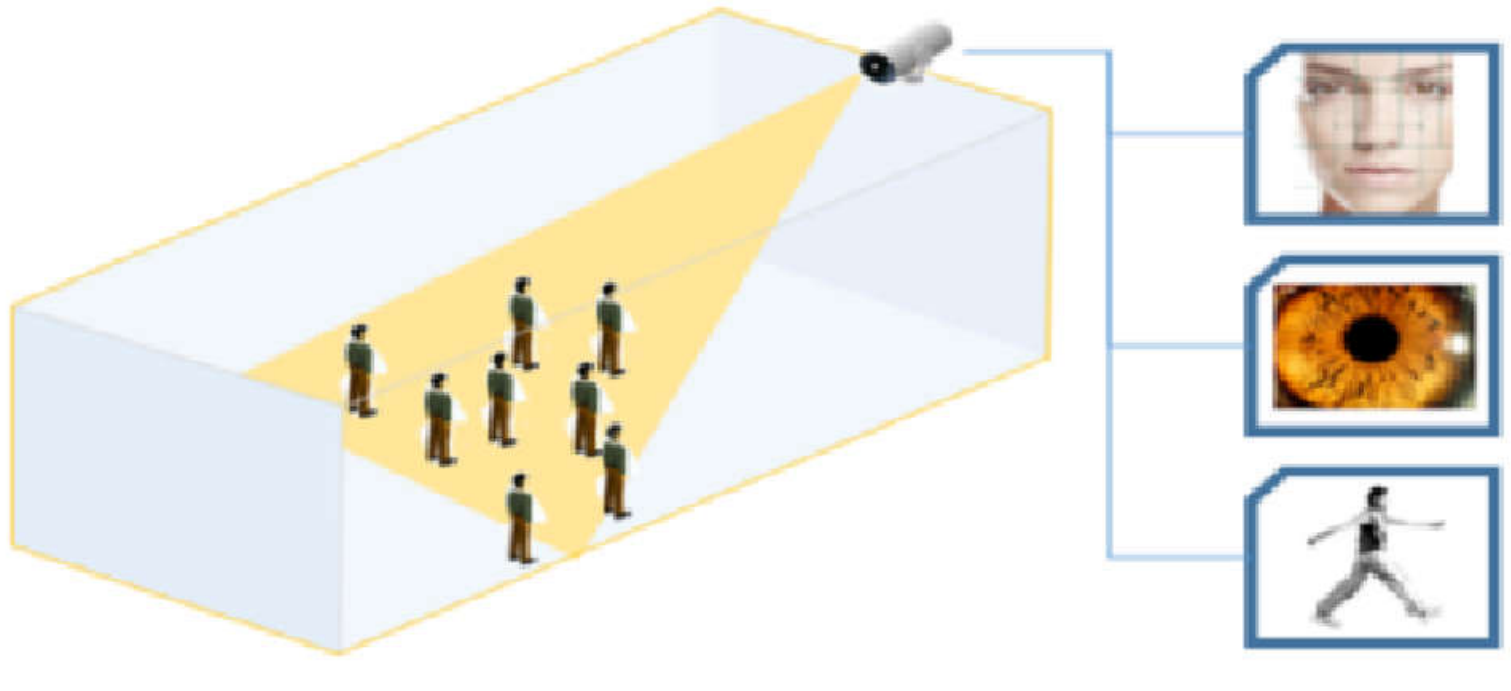
Jonathon Phillips  
 NIST



An Introduction to the Good, the Bad, & the Ugly  
 Face Recognition Challenge Problem (FG2011)



- Multi-biometrics at a distance



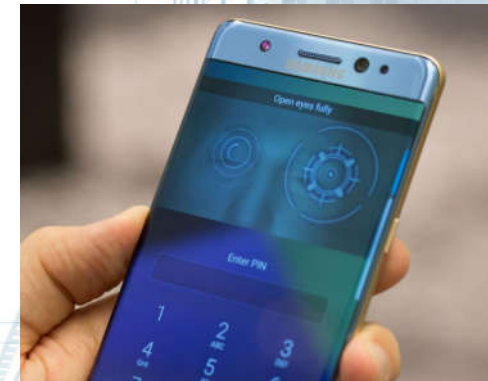
- Multi-biometrics for mobile devices



Fingerprint



Face



Iris



Eyeprint



Palmprint



Voiceprint

- Demographic Analysis from Biometric Data

What demographic and affective information can be derived from this face image?



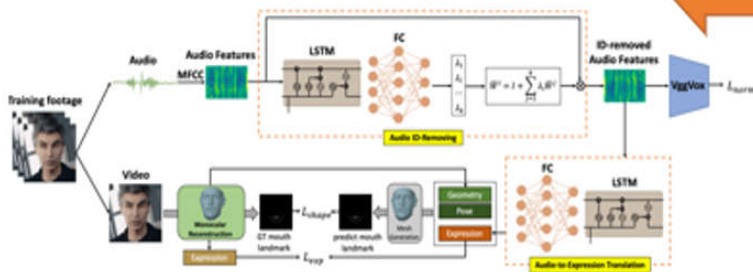
<b>Identity</b>	Rose	Jordan
<b>Gender</b>	Female	Male
<b>Ethnicity</b>	White	Black
<b>Age</b>	27	45
<b>Affect</b>	Happy	Surprised

How to determine such information from biometric data?

Yunlin Sun, Man Zhang, Zhenan Sun, Tieniu Tan, Demographic Analysis from Biometric Data: Achievements, Challenges, and New Frontiers, IEEE Transactions on Pattern Analysis and Machine Intelligence (TPAMI), 2018.

- Deepfake and Anti-Deepfake

## Deepfake



## Game Theory



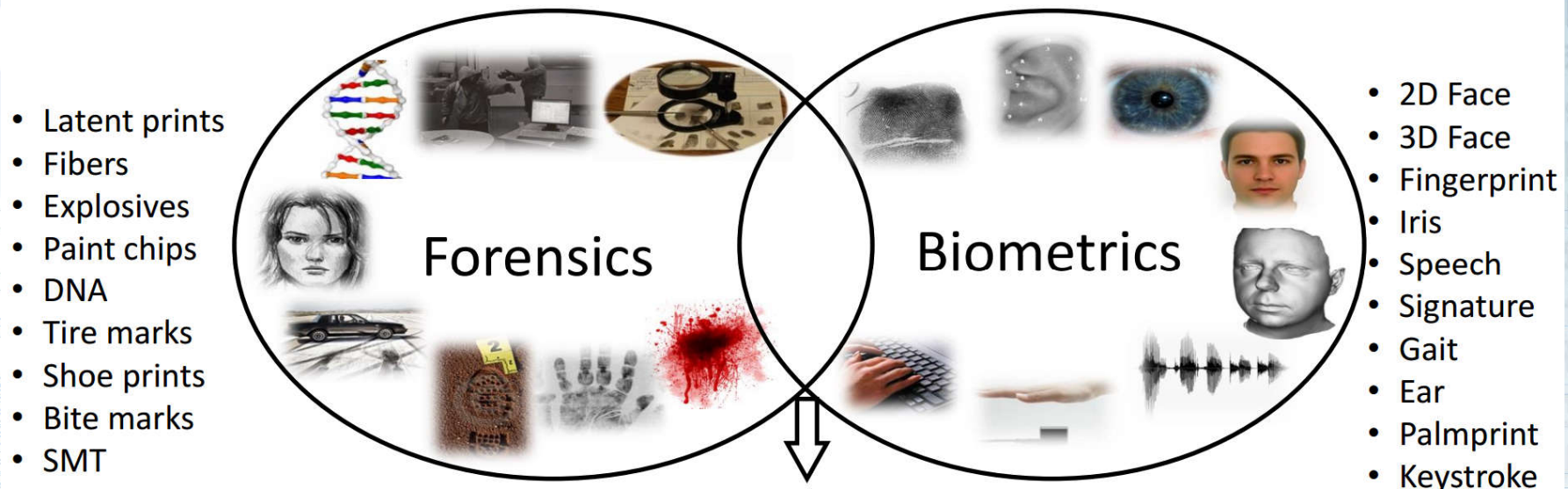
Endless War

## Anti-Deepfake



- Biometrics for forensic applications

## Forensics & Biometrics: Shared Goals



**Forensics: Identify suspects** from crime scene evidence

**Biometrics: Automated person recognition** from *body traits*

Anil K. Jain, Forensics: The Next Frontier for Biometrics, Iowa State University, Ames, Iowa, October 27, 2015.

# Conclusions

- **Great progress on biometric recognition has been achieved using novel sensors (biometrics-on-the-fly, light field camera) and algorithms (CNN, GAN).**
- **State-of-the-art biometric methods are accurate and fast enough for many practical applications.**
- **Many open problems remain to be resolved to make biometric recognition more user-friendly, robust and secure.**

# Thank you!

## Q & A