

Human Face Recognition: Learning from Biological Deep Networks



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Credits



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IC1106 - Integrating Biometrics and Forensics for the Digital Age





Computer Vision Enabled Multimedia Forensics and People Identification

Face Recognition



A class (*identity*) separation problem



Face shape and texture Vision Lab



 A. Savran, N. Alyüz, H. Dibeklioğlu, O. Çeliktutan, B. Gökberk, B. Sankur, L. Akarun, "Bosphorus Database for 3D Face Analysis", The First COST 2101 Workshop on Biometrics and Identity Management (BIOID 2008) Roskilde University, Denmark, May 2008.

Visual challenges











Visual challenges





P – Pose







I – Illumination

E - Expression



An ill-posed problem





An inverse problem is *well-posed* in the sense of Hadamard when:

- 1) a *unique* solution exists and
- 2) it depends *continuously* upon the data.

J. Hadamard, "Sur les problemes aux derivees partielles et leur signification physique". In: Princeton University Bulletin, 1902, 49–52.

An ill-posed problem





Two adverse conditions:

- 1) Noise in the data (many sources)
- **2) Dimensionality** of the data (from 4D to 2D)

Solution: Regularization

A.N. Tikhonov, "On the stability of inverse problems". Doklady Acad. Sci. USSR 39 (1943), 176–179.

A.N. Tikhonov, "**On the solution of ill-posed problems and the method of regularization**". Dokl. Akad. Nauk SSSR 151(3) (1963), 501–4.

A.N. Tikhonov, "On the regularization of ill-posed problems". Dokl. Akad. Nauk SSSR 153(1) (1963), 49–52 (in Russian).

A. N. Tikhonov and V. Ya. Arsenin, "Solutions of Ill-Posed Problems". Wiley, New York, 1977.

Face recognition milestones, Lab



http://photodoto.com/camera-history-timeline/

Takeo Kanade, Picture Processing System by Computer Complex and Recognition of Human Faces, KyotoViola, Jones: Robust Real-time Object Detection, IJCV 2001.

Mnivurleaged A. Pentland, Eigenfaces for recognition. Journal of Cognitive Neuroscience 3 (1): 71-86, Ahonen.et al. Face Description with Local Binary Patterns: Application to Face Recognition, Bethumeur, P.N. et al., Eigenfaces vs. Fisherfaces: recognition using class specific linear projection, PAMI. pawyrightiget al. Robust Face Recognition via Sparse Representation, PAMI, 31-2, 2009. 19-7, 1997. http://static7.businessinsider.com/image/4d013ea7cadcbb7033010000/looxcie-video-

V. Blanz and T. Vetter, A morphable model for the synthesis of 3D faces, SIGGRAPH 1999.

camera.ipg



Scale Invariant Features

$$\mathbf{D}(x, y, \sigma, k) = (\mathbf{G}(x, y, k\sigma) - \mathbf{G}(x, y, \sigma)) * \mathbf{I}(x, y)$$
$$\mathbf{D}(x, y, \sigma, k) = \mathbf{L}(x, y, k\sigma) - \mathbf{L}(x, y, \sigma)$$



G. Lowe, "Object recognition from local scale invariant features", International Conference on Computer Vision, 1999.

Kernel methods



- **K-PCA; K-ICA; K-LDA**... (B. Schölkopf et al. 1998)
- Are all variations of existing face-space representations. The transformation is mediated by a kernel function such as Gaussian, polinomial, sigmoid and Radial Basis Functions
- More robust to noise and discretization Better separation of classes
- Related to the general *Learning Theory*



Convolutional Neural Networks



Why CNNs... today?



Neural networks have been proposed since the early '40s: In 1943, neurophysiologist Warren McCulloch and mathematician Walter Pitts wrote a paper on how neurons might work. They modeled a simple neural network using electrical circuits.



Convolutions or **digital filtering** have been used since the 50's for several vision tasks, including face recognition.

The progress in the **Theory of Learning** and of **computing power** allowed to implement more efficient and complex neural networks with multiple hidden layers...









How do <u>humans</u> perform in recognizing faces?



Jenkins, White, Burton (2011)

CNN Performance



How do machines perform in recognizing faces?







However, we're not done yet...



K. Grm , V. Štruc, A. Artiges, M. Caron, H. K. Ekenel, "Strengths and weaknesses of deep learning models for face recognition against image degradations" IET Biometrics, 7(1):81-89, 2018

CNN Performance



The "magic glasses"





M. Sharif , S. Bhagavatula, L. Bauer, M. K. Reiter, "Accessorize to a Crime: Real and Stealthy Attacks on State-of-the-Art Face Recognition", CCS'16 October 24-28, 2016, Vienna, Austria

The "curse of training"





A deep CNN is used to extract a feature vector with relatively high dimension. The network can be supervised by multiclass loss and verification loss

PCA, Joint Bayesian or metric-learning methods are used to learn a more efficient low dimensional representation

The amount of training data can range from **100K** up to **260M**

The "curse of training" Vision Lab



Is this you?





Face recognition under ban Lab

BUSINESS

San Francisco just banned facial-recognition technology

By Rachel Metz, CNN Business Updated 2315 GMT (0715 HKT) May 14, 2019



San Francisco (CNN Business) – San Francisco, long one of the most techfriendly and tech-savvy cities in the world, is now the first in the United States to prohibit its government from using facial-recognition technology.

The ban is part of a broader anti-surveillance ordinance that the city's Board of Supervisors approved on Tuesday. The ordinance, which outlaws the use of facial-recognition technology by police and other government departments, could also spur other local governments to take similar action. Eight of the board's 11 supervisors voted in favor of it; one voted against it, and two who support it were absent.

TOP STORIES



What we learned from one of Jeffrey Epstein's final interviews with a...



A 3-year-old was found alone and adrift in a boat in Texas. A man's...

Recommended by Outbrain

...The ordinance adds yet more fuel to the fire blazing around facial-recognition technology.

While the technology grows in popularity, it has come under increased scrutiny as concerns mount regarding its <u>deployment</u>, accuracy, and even <u>where</u> <u>the faces come from</u> that are used to train the systems.

https://edition.cnn.com/2019/05/14/tech/san-francisco-facial-recognition-ban/index.html WSB 24-1-2021 - Shenzhen © M. Tistarelli

Face perception



How many pixels to detect/recognize a face?



It's more a question of **spatial distribution** and ...proper **frequency tuning**



The human retina





Receptive fields









Hubel & Wiesel 1962



Text-fig. 19. Possible scheme for explaining the organization of simple receptive fields. A large number of lateral geniculate cells, of which four are illustrated in the upper right in the figure, have receptive fields with 'on' centres arranged along a straight line on the retina. All of these project upon a single cortical cell, and the synapses are supposed to be excitatory. The receptive field of the cortical cell will then have an elongated 'on' centre indicated by the interrupted lines in the receptive-field diagram to the left of the figure.





Text-fig. 20. Possible scheme for explaining the organization of complex receptive fields. A number of cells with simple fields, of which three are shown schematically, are imagined to project to a single cortical cell of higher order. Each projecting neurone has a receptive field arranged as shown to the left: an excitatory region to the left and an inhibitory region to the right of a vertical straight-line boundary. The boundaries of the fields are staggered within an area outlined by the interrupted lines. Any vertical-edge stimulus falling across this rectangle, regardless of its position, will excite some simple-field cells, leading to excitation of the higherorder cell.

Simple and Complex cells

Hubel DH & Wiesel TN (1962). "Receptive fields, binocular interaction and functional architecture in the cat's visualcortex". JPhysiol160, 106–154

Retinotopic mapping V1 retinotopic maps





Vision Lab

- Each point of the visual field maps on to a local group of neurons in V1.
- Retinotopy = Remapping of retinal image onto cortical surface
- Foveal region uses more of V1 (greater magnification factor)



Retinotopic mapping





Log-Polar mapping



The **complex log-polar transform** is a good approximation of the retinal sampling



$$\begin{cases} x = \rho \sin \theta \\ y = \rho \cos \theta \end{cases} \begin{cases} \xi = \log_a(\rho/\rho) \\ \eta = q\theta \end{cases}$$





Eye movements while watching a girl's face

A.L. Yarbus, "Eye Movements and Vision", Plenum Press, 1967





Attention is driven by utilitarian features related to the objects' meaning

J.M,. Henderson, T.R. Hayes, "Meaning guides attention in real-world scene images: Evidence from eye movements and meaning maps", Journal of Vision 18(6):1-18, June 2018





Body parts have a meaning

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Body parts have a meaning

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Tistarelli, M. and Grosso, E. (2000) "Active vision-based face authentication" *Image and Vision Computing*, Vol. 18, no. 4, pp 299-314, 2000

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Functional MRI





Figure 2. Areas of significantly increased (*red-yellow* scale) and decreased (*blue-cyan* scale) MR signal intensity from t tests (p < 0.005) comparing the three conditions: FF minus NL, FF minus FO, and NL minus FO. Numbers below each image represent millimeters from the interhemispheric fissure (-, left; +, right). Numbers adjacent to activated foci correspond to location numbers (first column) of Tables 1, 2, and 3.

Recognition of 50 Familiar Faces (**FF**) vs 50 Newly Learned Faces (**NL**) and compared to rejection of 50 Foil (**FO** -False Objective) faces. Encoding (**EN**) session for learning new faces.

C. L. Leveroni et al. "Neural Systems Underlying the Recognition of Familiar and Newly Learned Faces", The Journal of Neuroscience, January 15, 2000, 20(2):878–886

Brain models





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The HMAX model



Riesenhuber, M. & Poggio, T. (1999). Hierarchical Models of Object Recognition in Cortex. Nature Neuroscience 2: 1019-1025.

- (S1) In this layer an input image is analyzed with a pyramid of filters (16 filter sizes×4 orientations = 64 images)
- (C1) In this layer, the local maximum between 2 adjacent scales with the same orientation is taken.
- (S2) The Euclidean distances between stored prototypes, which are obtained in the learning stage, and new input is computed. This process occurs for all bands in C1 and as a result, S2 maps are obtained.
- (C2) The global maximum is computed over all S2 responses in all positions and scales in this layer.

Face recognition with HMAX, Lab



The architecture is inspired by the concept of *Inception-Perception*.

The **Inception** part is implemented by the **S1** and **C1** layers of the **HMAX** network, followed by a down-sampling operator to build the feature vectors.

The *Perception* part is implemented by a **SoftMax** layer.

Face recognition with HMAX Lab



The **Gabor** and **max pooling** layers encode the face images based on a biologically-inspired chain running from the **retinal** stage to the **V1 cortex.**

The connections between the V1 cortex and the Superior Temporal Sulcus, the face-selective area, is simulated by a network whose neurons are activated by a SoftMax function.



- Meaningful facial regions are extracted according to the position of facial landmarks
- Images are clustered in different categories, according to the approximate head rotation along the vertical axis.
- Regions are associated to each pose category according to their visibility



Feature extraction and fusion Lab

> The **S1** and **C1** layers in the HMAX are used.

- The S1 layer performs a band-pass filtering with a bank of Gabor kernels.
- At the local invariance layer (C1), a local maximum is computed for each orientation.
- The final feature vector is built by down-sampling the output by 8, obtaining a 256-dimensional feature vector.
- The feature vectors, extracted from different facial regions, are concatenated into a single feature vector of fixed size, according to the head rotation. For example, the feature vector for head right rotation is:

$$F = [F_{le}; F_m; F_c; F_a]$$

 F_{le} ; F_m ; F_c and F_a are the feature vectors obtained from the face regions extracted from the left eye, mouth, chin and forehead.

Classification



During the learning phase, a neural network, with a SoftMax activation, is trained from a subset of the available sample data (disjoint from the test data).

The loss function for the SoftMax layer is based on the computation of the crossentropy:

$$L_i = -\log(\frac{e^{f_i}}{\sum j e^{f_j}})$$

Where f_j is the *j*-th element of the feature vector representing subject f, while L_i is the full loss over the training examples.

The concatenated feature vectors are fed to the classification network. The scores obtained from each image group are fused by applying a mean rule.

Experimental results





TABLE VI

FROM DIFFERENT FACIAL REGIONS.

| Session | Best frames | Average frames | Bad frames |
|---------|-------------|----------------|------------|
| 1 | 96.36 | 72.73 | 58.18 |
| 2 | 87.27 | 34.55 | 74.55 |
| 3 | 80.00 | 50.91 | 54.55 |

- Recognition rate obtained by fusing the features extracted > In this experiment different regions are fused from each frame category.
 - The features extracted from the fiducial regions are concatenated into a single feature vector for classification.

TABLE VII

COMPARISON WITH THE METHODS DESCRIBED IN [1] AND FOLLOWING THE TESTING PROTOCOL 1. THE RECOGNITION RATE FOR THE PROPOSED METHOD WAS OBTAINED FROM THE THREE HEAD POSE GROUPS.

- In this experiment the protocols defined for the UMDAA database were applied.
- > Performances are compared with Fisher Faces (FF), Sparse Representation based classification (SRC) and Mean-Sequence SRC (MSSRC) and the VGG deep network model.

| Training | Testing | FF | SRC | MSSRC | S1C1 | VGG | Proposed | |
|----------|---------|-------|-------|-------|-------|-------|----------|--|
| | | | | | | | ap- | |
| | | | | | | | proach | |
| 1 | 2 | 54.48 | 52.79 | 47.21 | 7.27 | 67.27 | 61.82 | |
| 1 | 3 | 45.27 | 51.18 | 46.15 | 16.36 | 49.09 | 52.73 | |
| 2 | 1 | 25.52 | 44.18 | 43.06 | 20.00 | 50.91 | 65.45 | |
| 2 | 3 | 56.8 | 58.58 | 60.36 | 52.73 | 38.18 | 72.73 | |
| 3 | 1 | 24.77 | 17.64 | 17.64 | 20.00 | 47.27 | 36.36 | |
| 3 | 2 | 56.01 | 51.95 | 45.85 | 51.82 | 33.64 | 50.91 | |

Khellat Kiehl, S, Lagorio, A and Tistarelli, M (2019) "A Biologically-Inspired Attentional Approach for Face **Recognition**" Proc. of IAPR/IEEE Int. I Workshop on Biometrics and Forensics – IWBF 2019, Cancun, Mexico, May 2019.

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Foveated face recognition sion Lab



Foveated face recognitionsion Lab



HMAX Space representation on uniformly sampled face images



HMAX Space representation on log-polar sampled face images

Foveated face recognition Sion Lab



Uniform resolution



Log-polar mapping

| Training | Testing | FF | SRC | MSSRC | VGG | Outer face | Ocular regions | Fusion |
|------------------------|------------------------|-------|-------|-------|-------|---------------|----------------|--------|
| Lab ¹ light | Dim Tight | 54.48 | 52.79 | 47.21 | 62.27 | 53.15 | 33.33 | 54.95 |
| Lab ¹ light | Sun ³ light | 45.27 | 51.18 | 46.15 | 49.09 | 94.31 | 91.87 | 95.12 |
| Dim ² light | Lab light | 25.52 | 44.18 | 43.06 | 50.91 | 56.76 | 66.67 | 78.38 |
| 2 Dim light | Sun ³ light | 56.80 | 58.58 | 60.36 | 38.18 | 84.68 | 73.87 | 84.68 |
| Sun ³ light | Lab light | 24.77 | 17.64 | 17.64 | 47.27 | 48.78 | 73.17 | 73.98 |
| Sun ³ light | 2 Dim Tight | 56.01 | 51.95 | 45.85 | 33.64 | 48.65 | 31.53 | 50.45 |

Performances are compared with Fisher Faces (FF),

Sparse Representation based Classification (SRC), Mean-Sequence SRC (MSSRC) and VGG deep CNN.

S. Khellat Khiel, A. Lagorio, M. Tistarelli. "Face Recognition 'On the Move' Combining Incomplete Information". Proc. of 6th Int.I Workshop on Biometrics and Forensics, June 7,8 2018, Alghero, Italy. IEEE 2018.

S. Khellat Khiel, A. Lagorio, M. Tistarelli. "Foveated vision for biologically-inspired continuous face authentication". In Av Rattani Ed. Selfie Biometrics: Methods and Challenges, Springer 2019 istarelli 53

Conclusion



- Deep neural architectures provide today the current state of the art performance of face recognition in the wild.
 - The large number of layers requires a huge amount of data for training to reach a stable configuration of the neural connectivity.
 - They are sensitive to unexpected changes in the spatial frequencies of the input patterns.
- Simple biologically-inspired networks may allow to perform very complex visual tasks.
- In biological systems attention drives recognition.
 - A space-variant scale-space decomposition of the input signal allows to select the most informative data.
- The S1C1 neural architecture, derived from the HMAX model, with face quality, outperforms the deep VGG model.
 - The peripheral area of the face (face outline and hair dressing) proved to be very distinctive for recognition.

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THANK YOU FOR YOUR ATTENTION