
Fusion of Multimodal Biometrics

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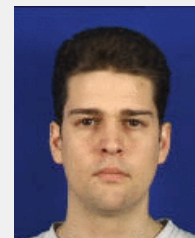
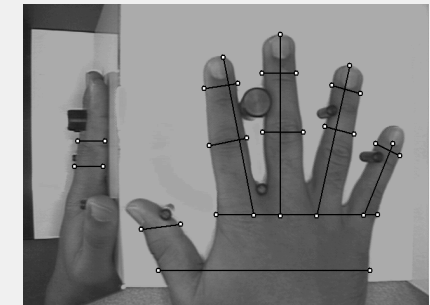
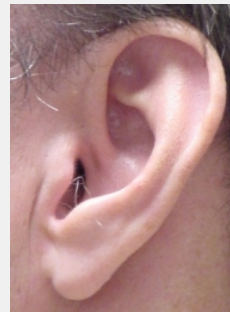
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Multimodal biometrics

- Different biometric modalities developed

- finger print
- iris
- face (2D, 3D)
- voice
- hand
- lips dynamics
- gait



Different traits- different properties

- usability
- acceptability
- performance
- robustness in changing environment
- reliability
- applicability (different scenarios)

- Motivation for multiple biometrics
 - To enhance performance
 - To increase population coverage by reducing the failure to enrol rate
 - To improve resilience to spoofing
 - To permit choice of biometric modality for authentication
 - To extend the range of environmental conditions under which authentication can be performed

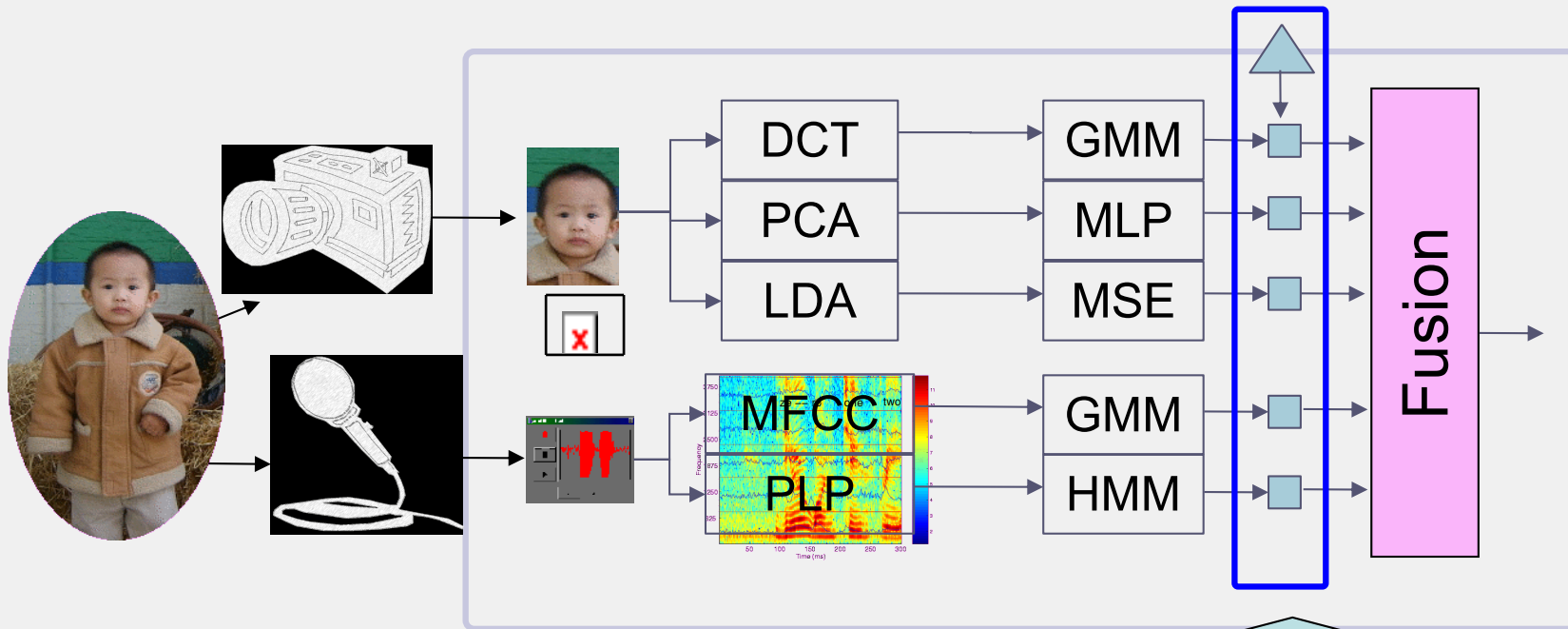
OUTLINE

- Fusion architectures
- Problem formulation
- Estimation error
- Case study: Multimodal and cross-modal person re-identification
- Conclusions

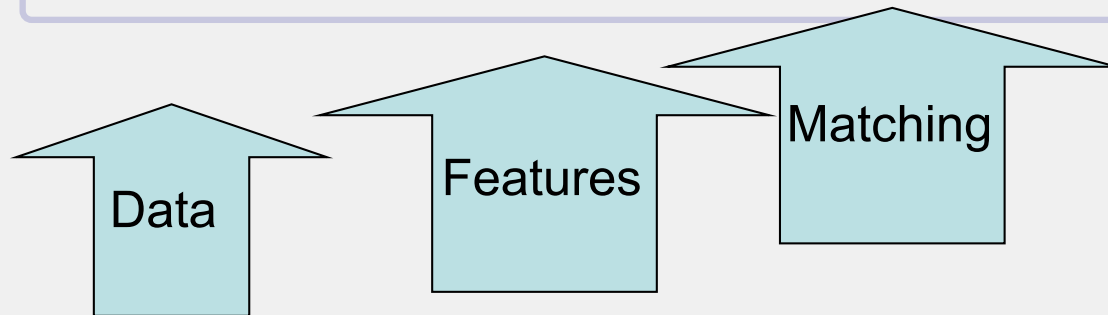
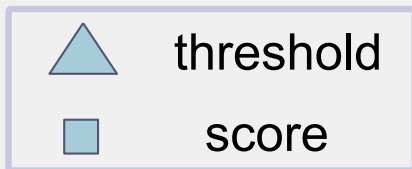
The aim: *To discuss the purpose of multimodal biometrics fusion, and to introduce basic fusion architectures and underlying mathematical models*

- Integration of multiple biometric modalities
- Sensor (data) level fusion
 - Linear/nonlinear combination of registered variables
 - Representation space augmentation
- Feature level fusion
- Soft decision level fusion
- Decision level fusion

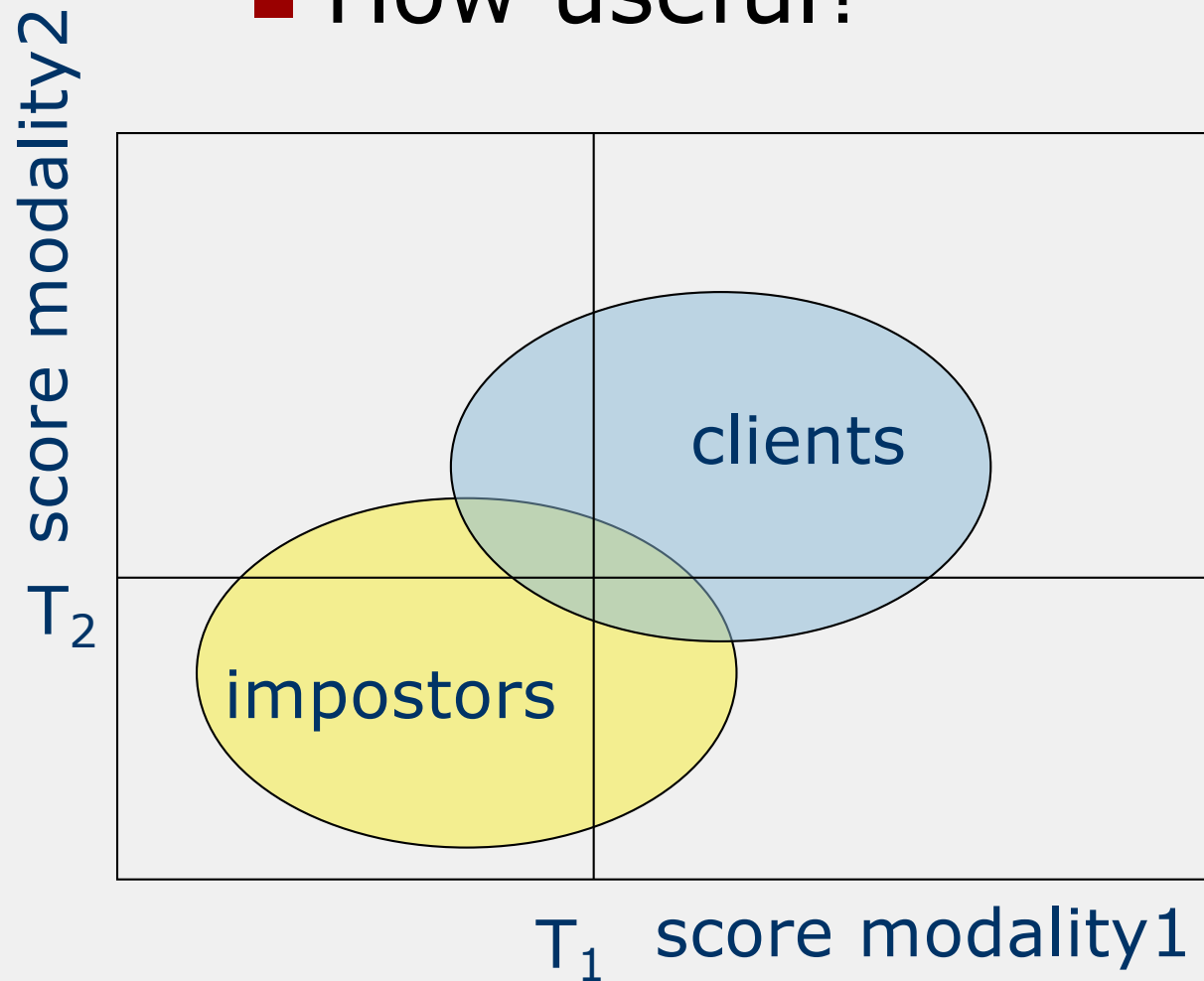
Decision level fusion



Legend

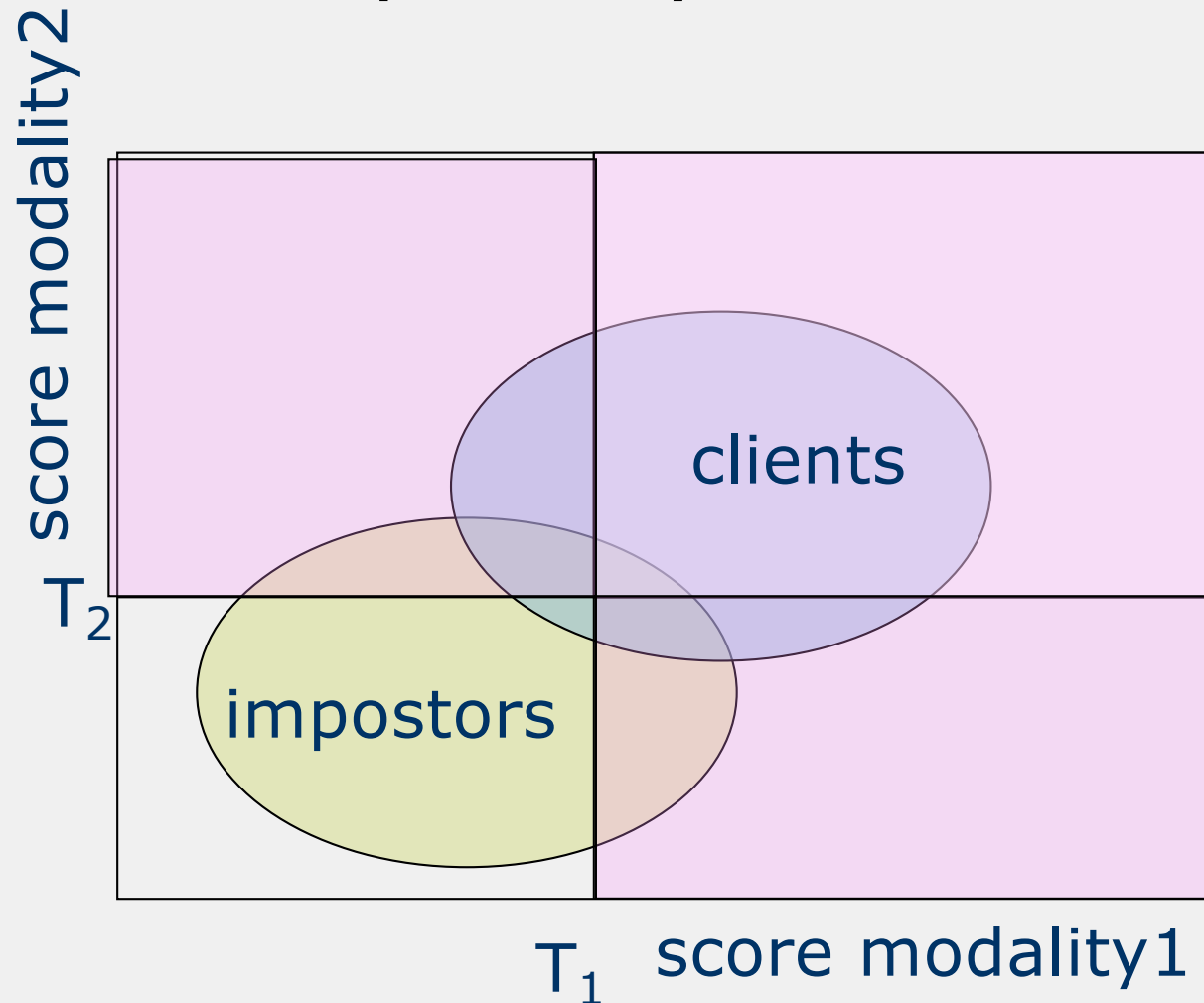


■ How useful?



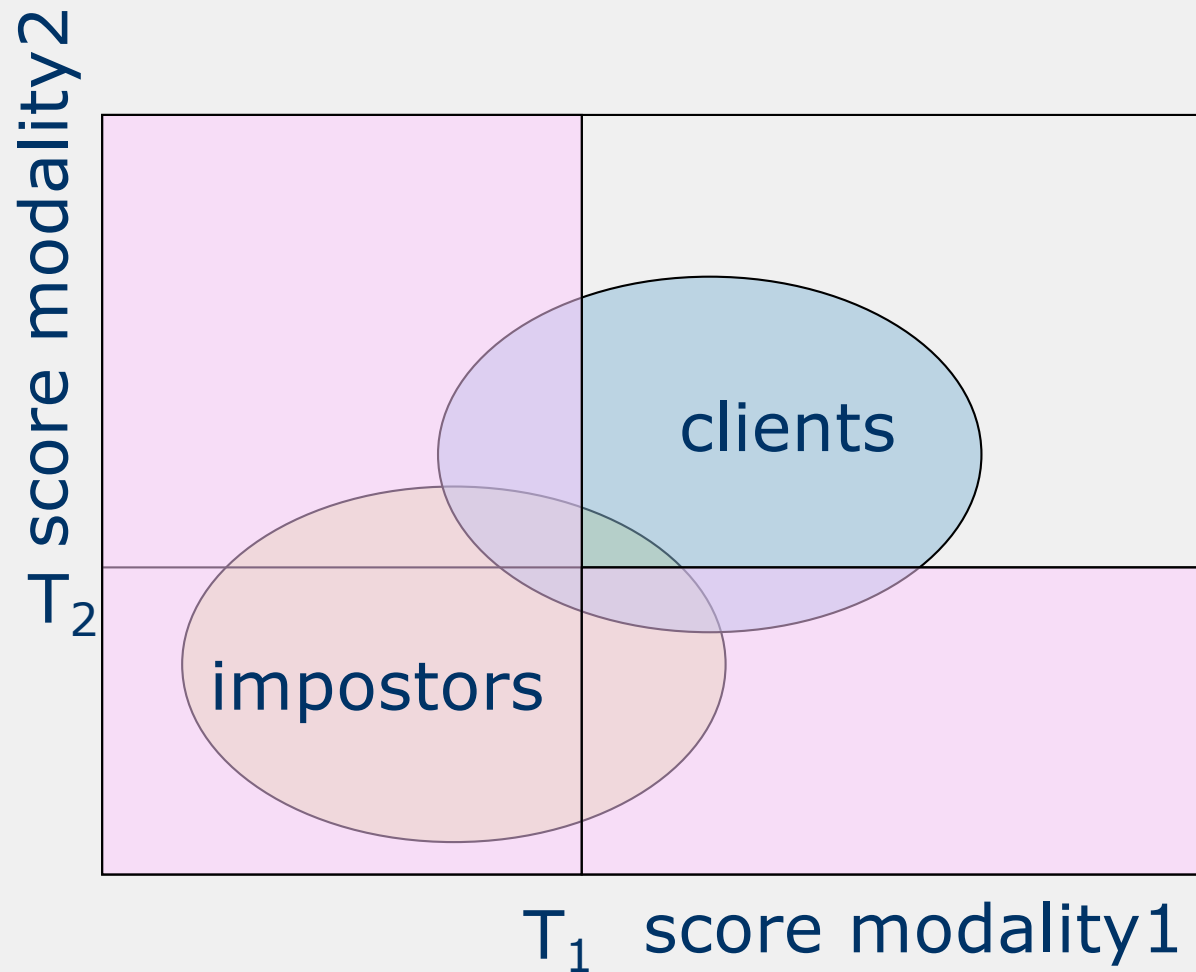
Decision-level fusion

- Accepted by either modality

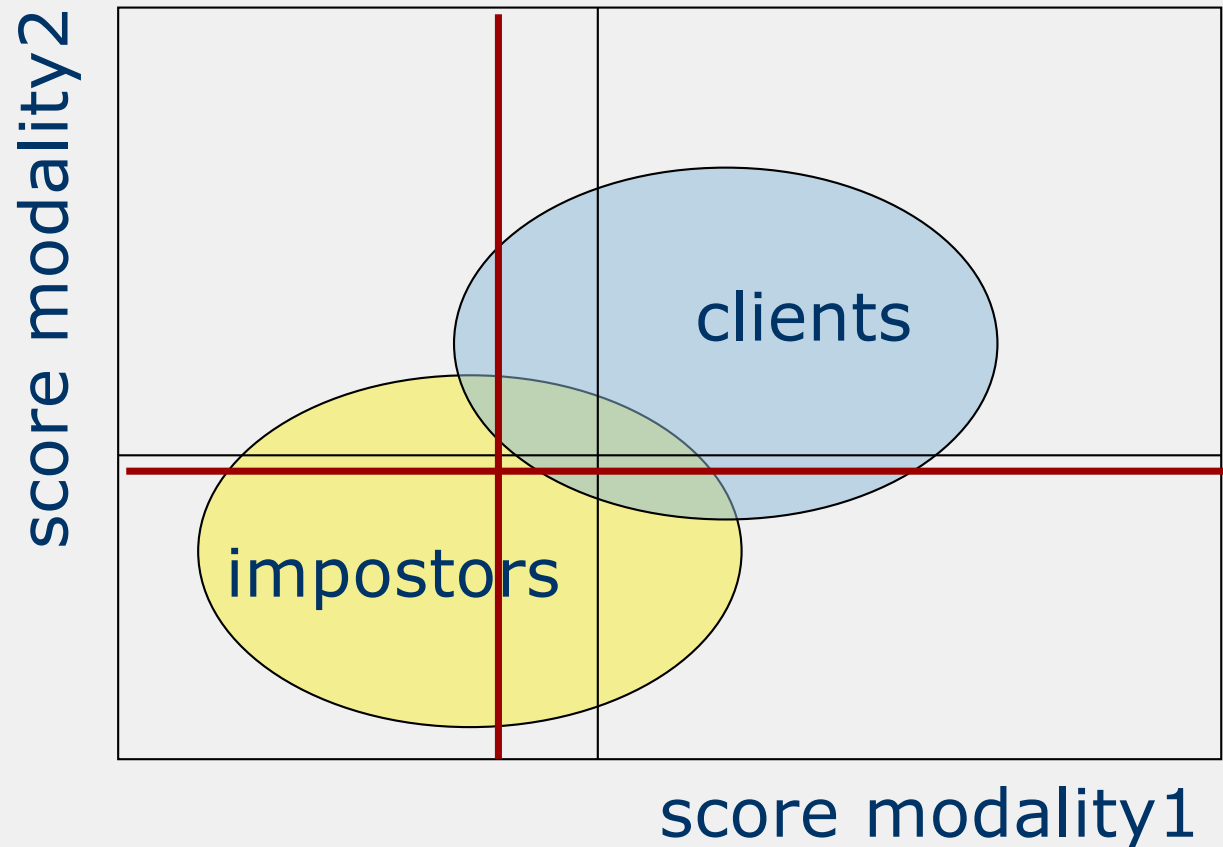


Decision-level fusion

- Accepted by both

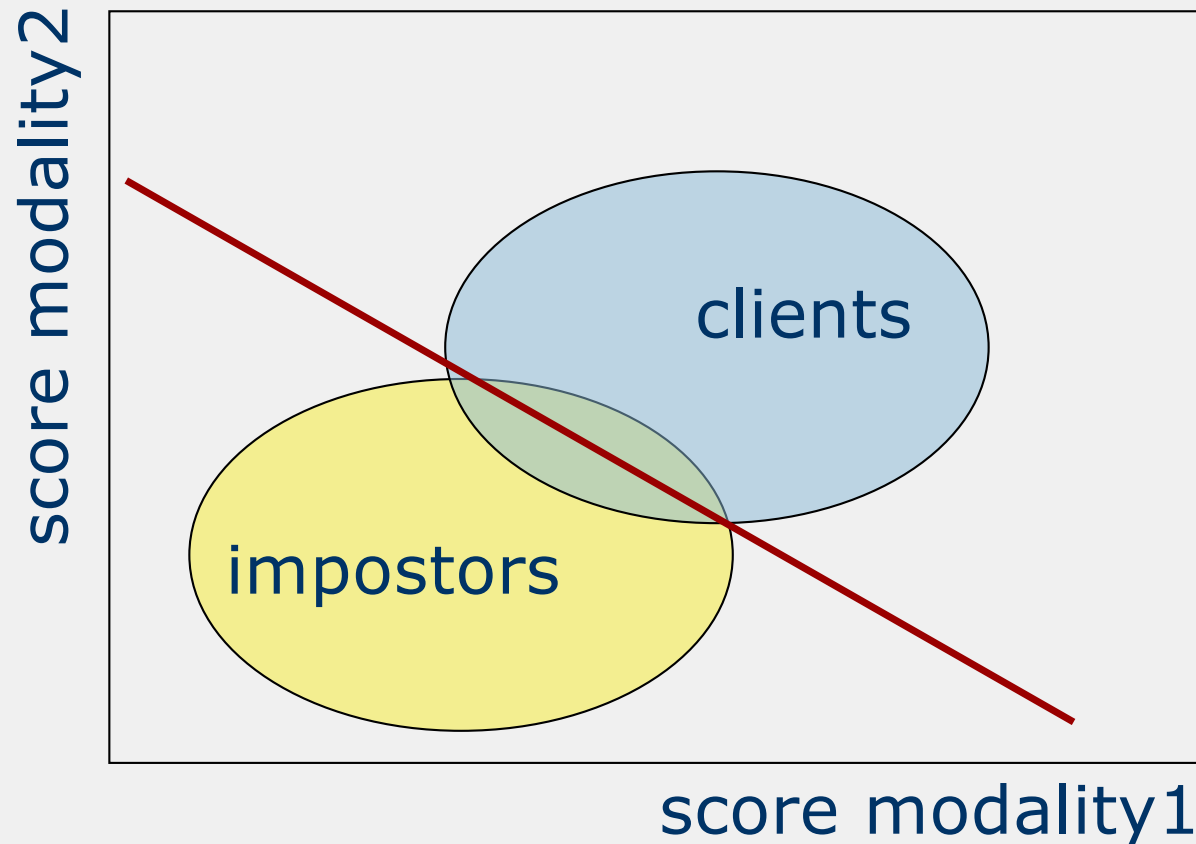


Better performance by adapting the thresholds

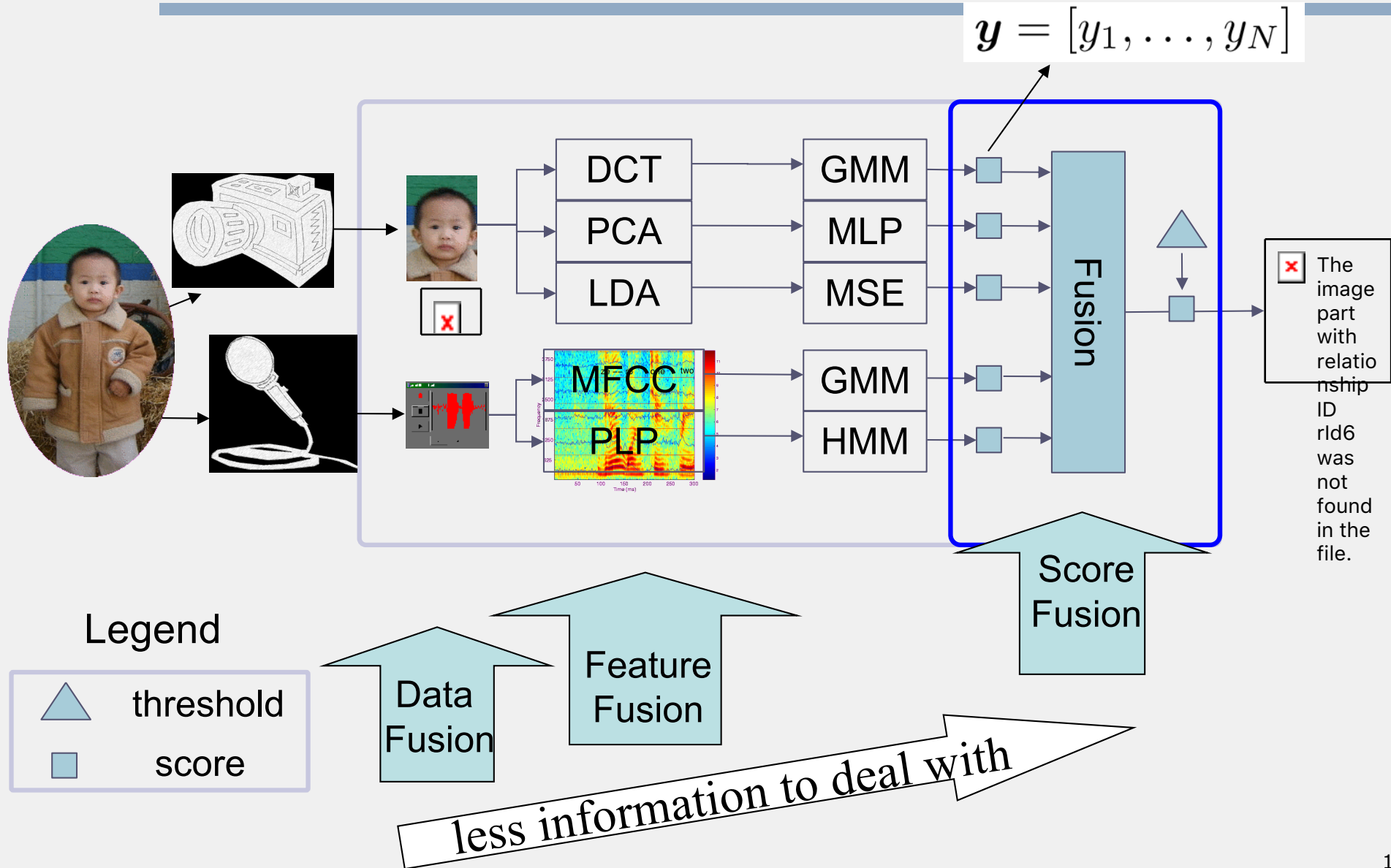


Score-level fusion

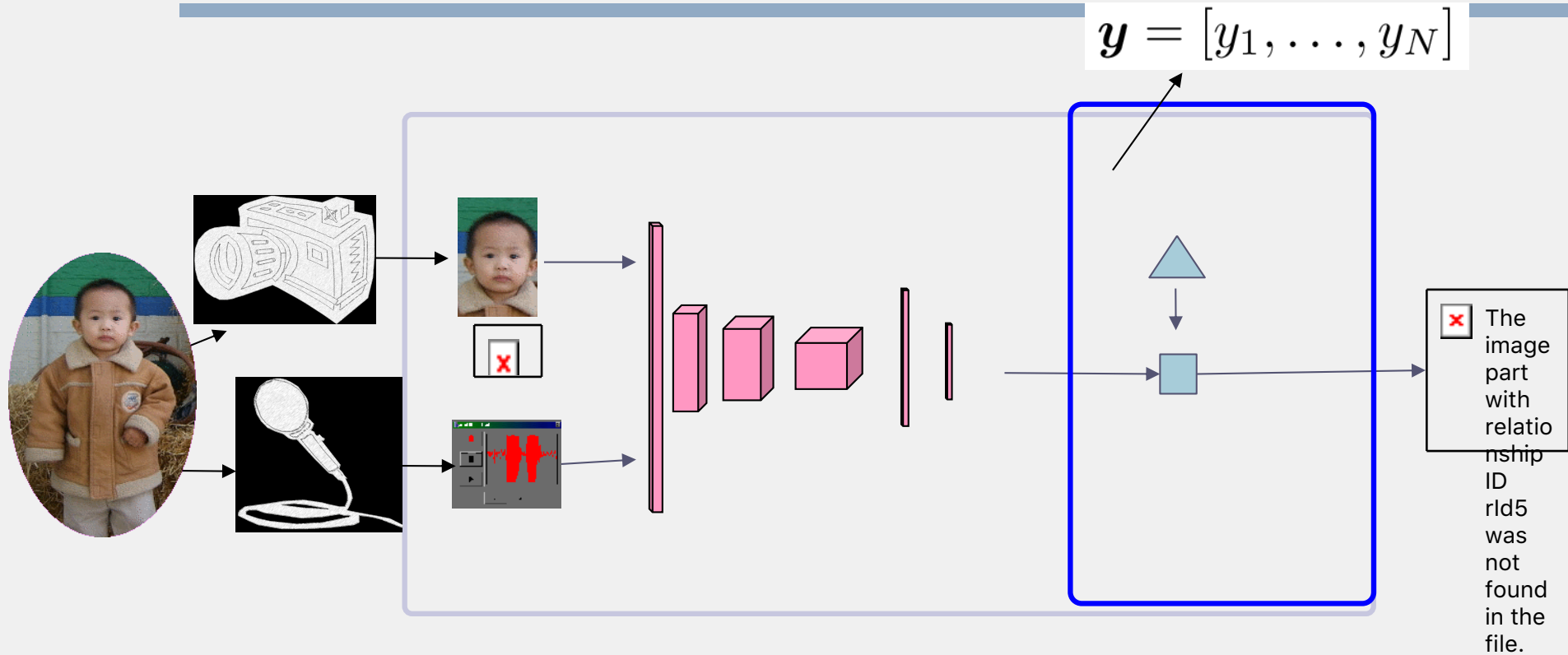
- Should improve performance



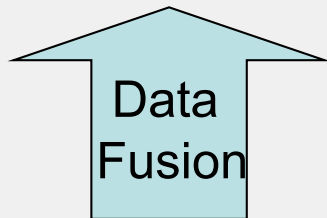
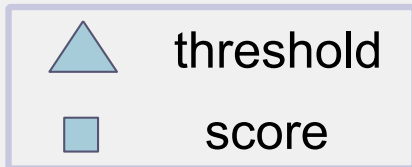
Levels of Fusion



Data level fusion

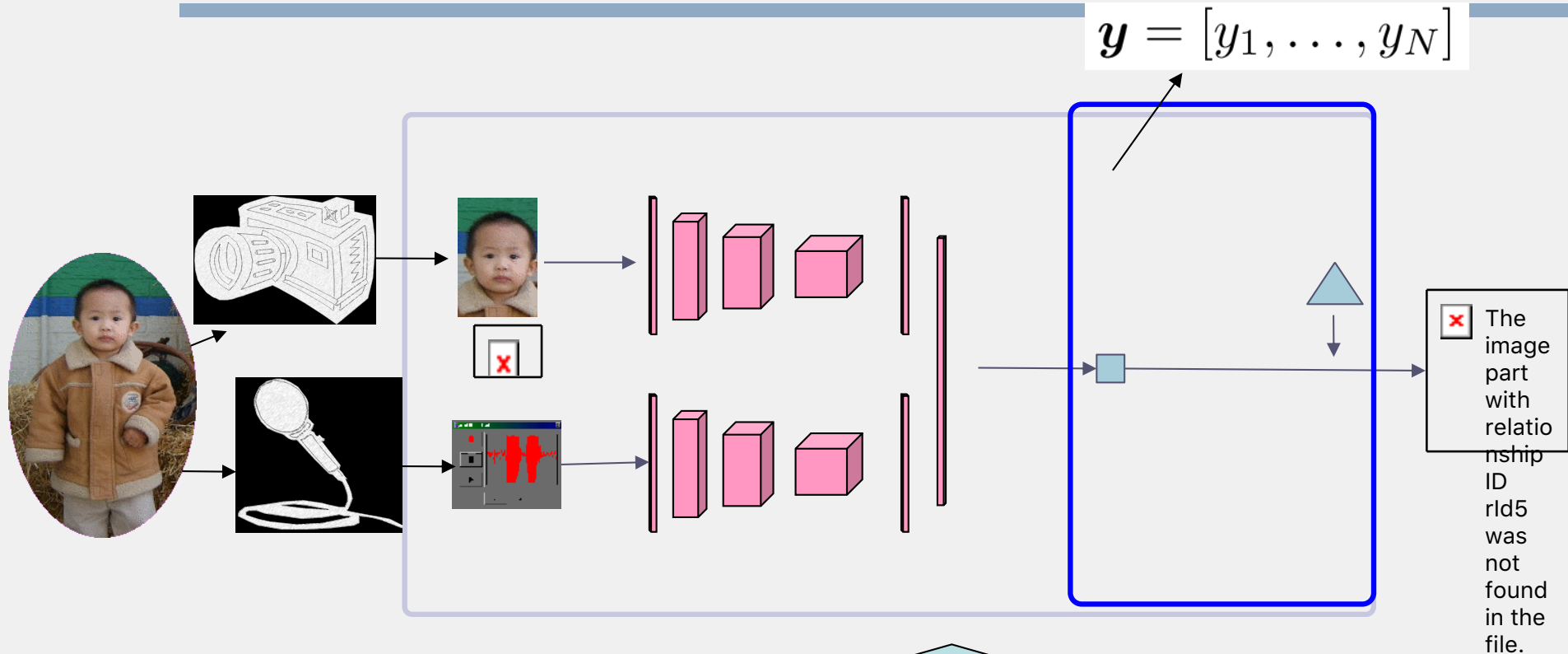


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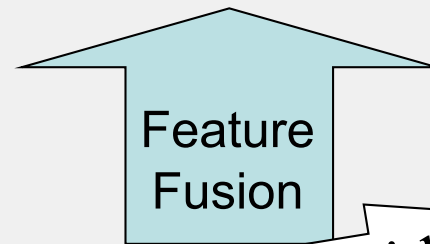
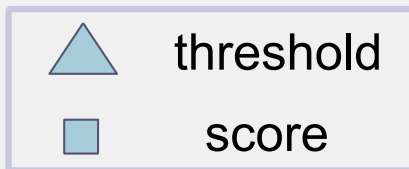


less information to deal with

Feature level fusion

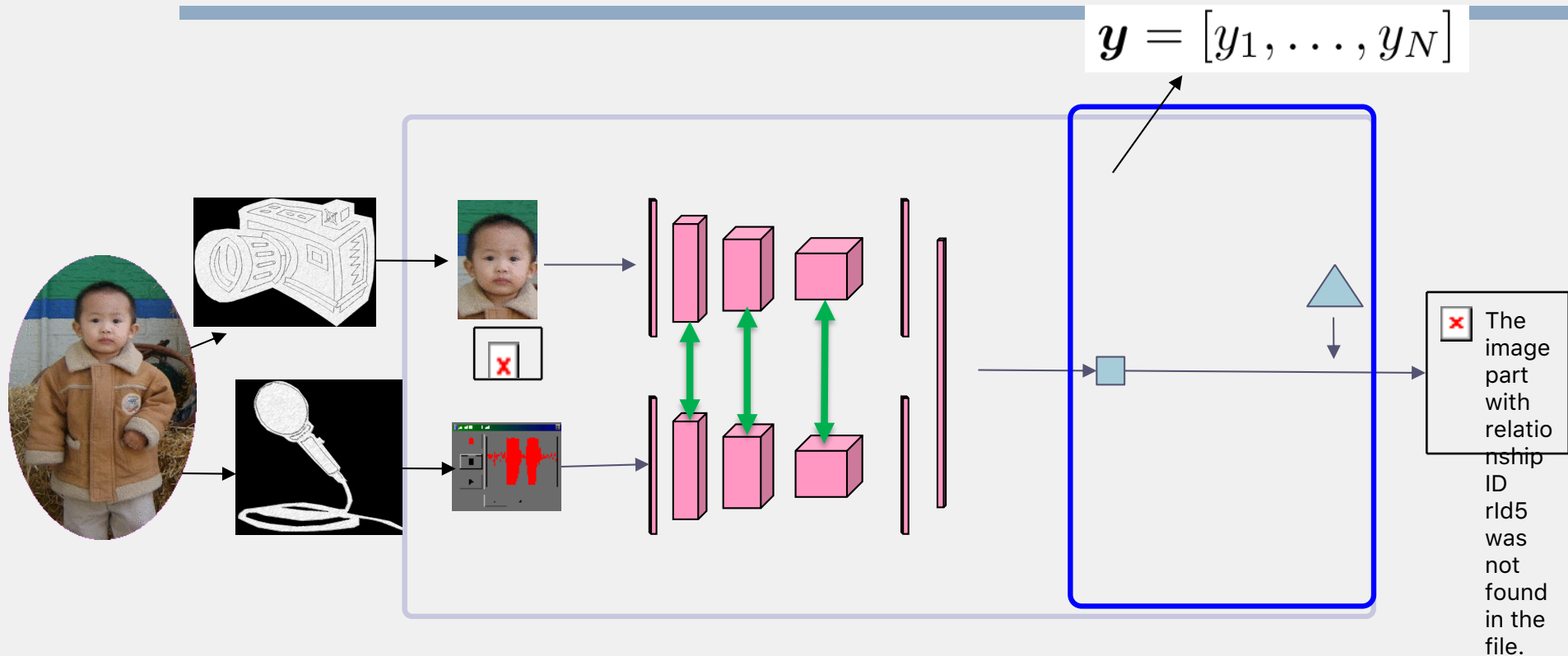


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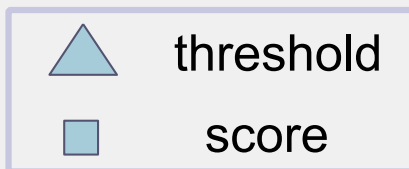


less information to deal with

Feature level fusion



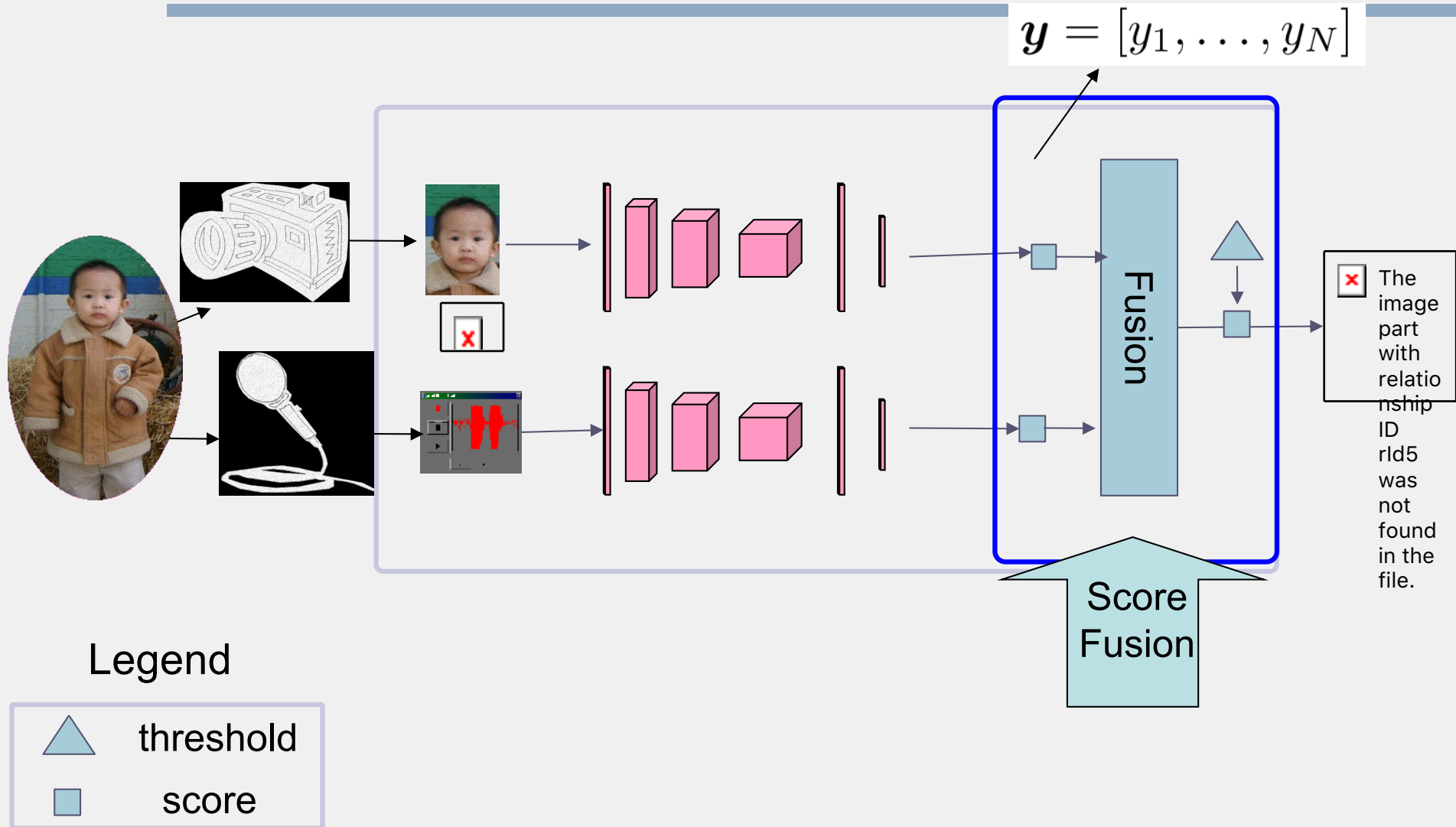
Legend



Feature Fusion

less information to deal with

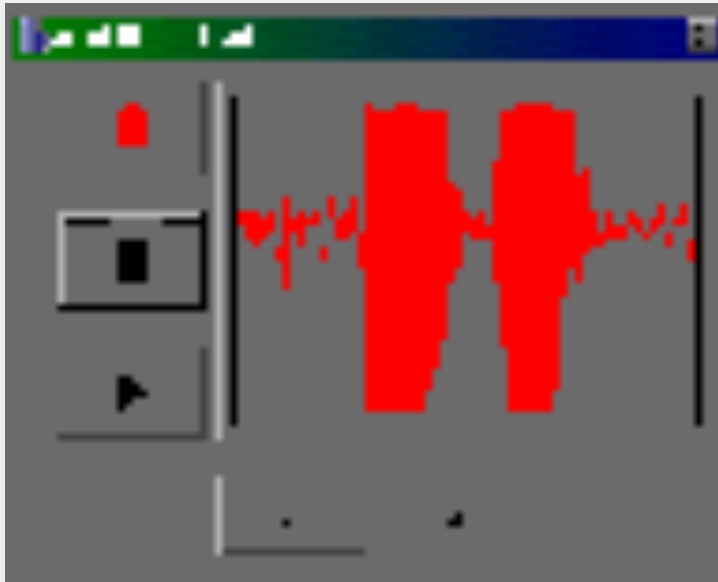
Score level fusion



Biometric system



Pattern representation



Pattern recognition problem

N – number of classes

b - biometric trait

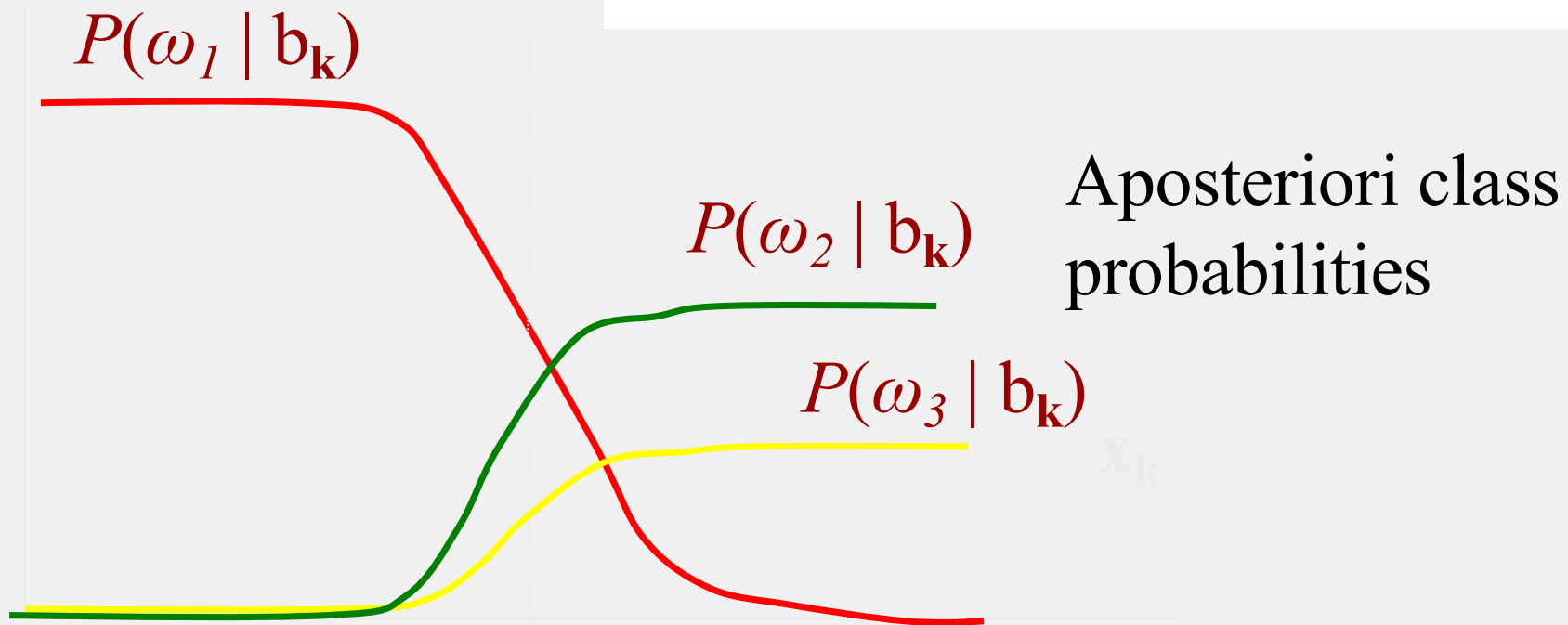
x - feature vector

$P(\theta)$ -priori probability of class θ

$p(x_k | \theta)$ -measurement distributions of patterns in class θ
 $p(b_k | \theta)$

Bayes minimum
Error rule

$$b_k \rightarrow \omega \text{ if}$$
$$P(\omega|b_k) = \max_{\theta} P(\theta|b_k)$$



Problem formulation

- Given biometric traits: $[b_1, \dots, b_K]$
biometric features: $[x_1, \dots, x_K]$
identities: $[\theta_1, \dots, \theta_R]$

- Bayes decision rule

- *Assign* subject *to class* θ *if*

$$P(\omega | b_1, \dots, b_K) = \max P(\theta | b_1, \dots, b_K)$$

- Note

$$P(\omega | b_1, \dots, b_K) \propto \frac{p(b_1, \dots, b_K | \omega) P(\omega)}{\text{normalisation factor}}$$

Fusion options

■ Signal level fusion

$$\begin{aligned} p(b_1, \dots, b_K | \omega) &\propto \int_{\hat{x}} p(\hat{x}, b_1, \dots, b_K | \omega) \\ &\propto \int_{\hat{x}} \hat{P}(\omega | \hat{x}) p(\hat{x} | b_1, \dots, b_K) \\ &\propto P(\omega | x) \end{aligned}$$

- The integration over \hat{x} is marginalisation over the distribution $p(\hat{x} | b_1, \dots, b_K)$
 - x is a feature vector determined by all traits
 - Implicitly a multiple classifier fusion
 - Bagging, boosting, drop out, hard sample mining
 - Marginalised estimate of class posterior $P(\omega | x)$

■ Feature level fusion

$$\begin{aligned} p(b_1, \dots, b_K | \omega) &\propto \int_{\hat{x}_1, \dots, \hat{x}_K} p(\hat{x}_1, \dots, \hat{x}_K, b_1, \dots, b_K | \omega) \\ &\propto \int_{\hat{x}_1, \dots, \hat{x}_K} \hat{P}(\omega | \hat{x}_1, \dots, \hat{x}_K) \prod_i p(\hat{x}_i | b_i) \\ &\propto P(\omega | x_1, \dots, x_K) \end{aligned}$$

- Each modality has its own set of features x_i
- Score is a function of all x_i jointly
- Fusion process marginalisation is over the joint distribution of all modalities
- In addition, there could be modality specific marginalisation at the feature extraction level

Fusion options

- Score level fusion

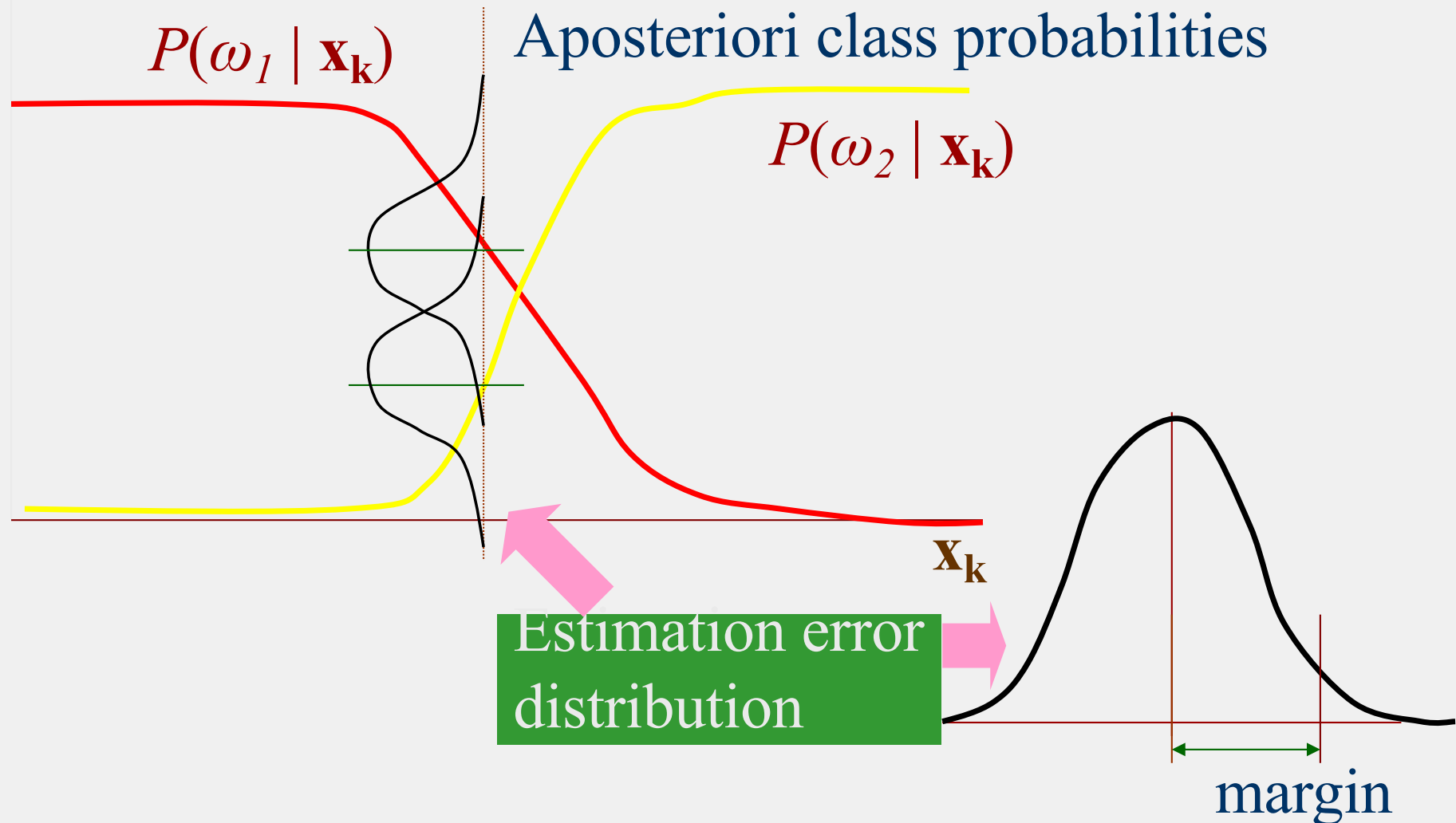
$$\begin{aligned} p(b_1, \dots, b_K | \omega) &\propto \prod_i \int_{\hat{x}_i} p(\hat{x}_i, b_i | \omega) \\ &\propto \prod_i \int_{\hat{x}_i} \hat{P}(\omega | \hat{x}_i) p(\hat{x}_i | b_i) \\ &\propto \prod_i P(\omega | x_i) \end{aligned}$$

- Each modality has its own set of features x_i
- The fused score is a product of individual modality specific scores
- Fusion process marginalisation is over modality specific distributions

- basic score level fusion is by product
- product can be approximated by a **sum** if $\hat{P}(\theta|x_k)$ does not deviate much from $P(\theta)$
i.e. $\hat{P}(\theta|x_k) = P(\theta) + \Delta_k$
- the resulting decision rule becomes

$$\begin{aligned} p(b_1, \dots, b_K | \omega) &\propto \prod_i P(\omega | x_i) \\ &\propto \sum_i P(\omega | x_i) \end{aligned}$$

Effect of estimation errors



$$\tilde{P}(\omega|\mathbf{x}_i) = \int \int P(\omega|\mathbf{x}_i, X_i, M, \gamma_i) p(M) p(\gamma_i) dM d\gamma_i$$

 \mathbf{x}_i

Feature vector output by sensor i

 X_i

Training set for the i-th expert

 M

Classifier model

 $p(M)$

Distribution of models

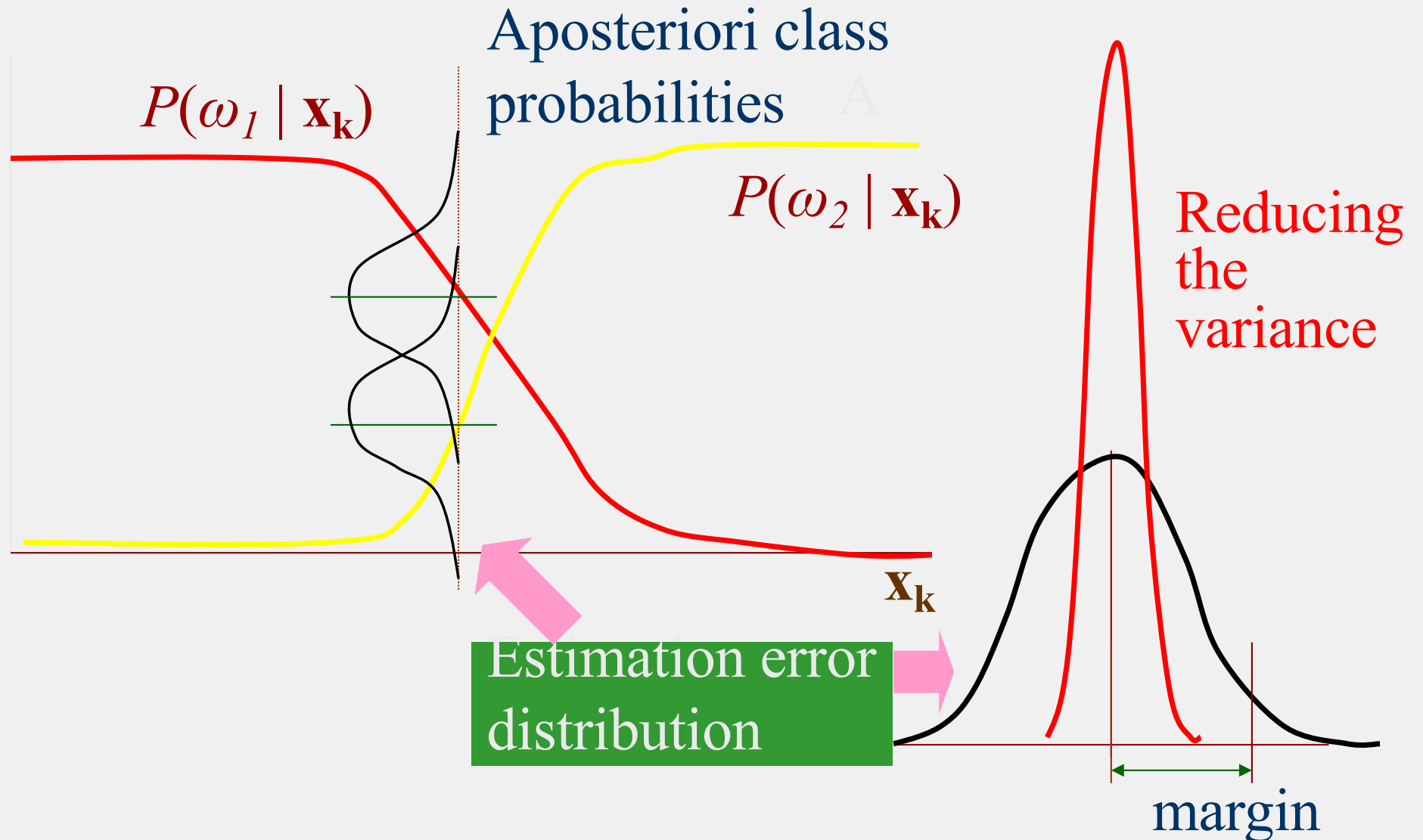
 γ_i

Parameters for expert i

 $p(\gamma_i)$

Distribution of expert i parameter

Coping with estimation errors



Case study in multimodal soft biometric fusion

- Multimodal biometric traits
- Multimodal sensing of the same biometric trait
 - Different spectral bands
 - Voice/image sensed lips dynamics
 - Visual/language modalities for person re-identification

- Video surveillance very important tool for crime prevention and detection
 - Watch list
 - Forensic video analysis
- Hard biometrics (face) not always available
- Other video analytics tools are useful alternatives
 - Soft biometrics (clothing, gait)
 - Tracking



Soft biometrics and re-identification

- **Person Re-Identification**
 - Recognising a person from non-overlapping cameras
 - Formulated as a ranking problem



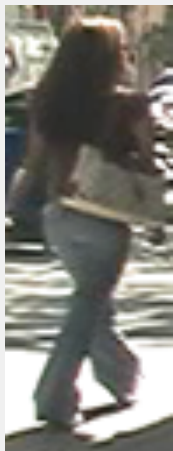
- **The majority of existing methods are vision only**
 - Images or videos
- **Joint vision and language modelling**
 - Image and video captioning, Visual question answering, Image synthesis from language, ...
- **Can language help vision in Re-ID?**

- **Augmenting existing datasets**
 - CUHK03: ~2700 descriptions
 - VIPeR: ~1300 descriptions
- **Crowd-sourced, 8 annotators**
- **Annotation**
 - Free style sentences, not attributes
 - Encouraged to cover details
 - On average 45 words per description
 - Per image rather than per identity

Language annotation

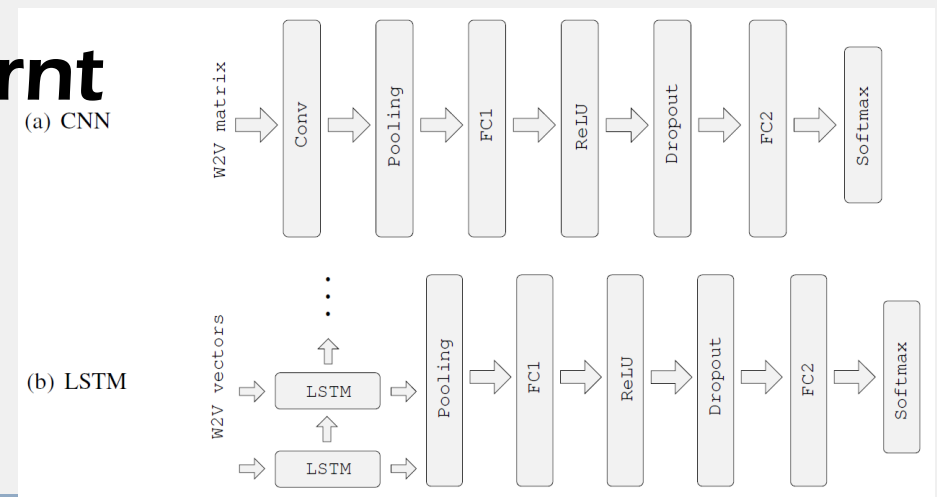


A front profile of a young, slim and average height, black female with long brown hair. She wears sunglasses and possibly earrings and necklace. She wears a brown t-shirt with a golden coloured print on its chest, blue jeans and white sports shoes.

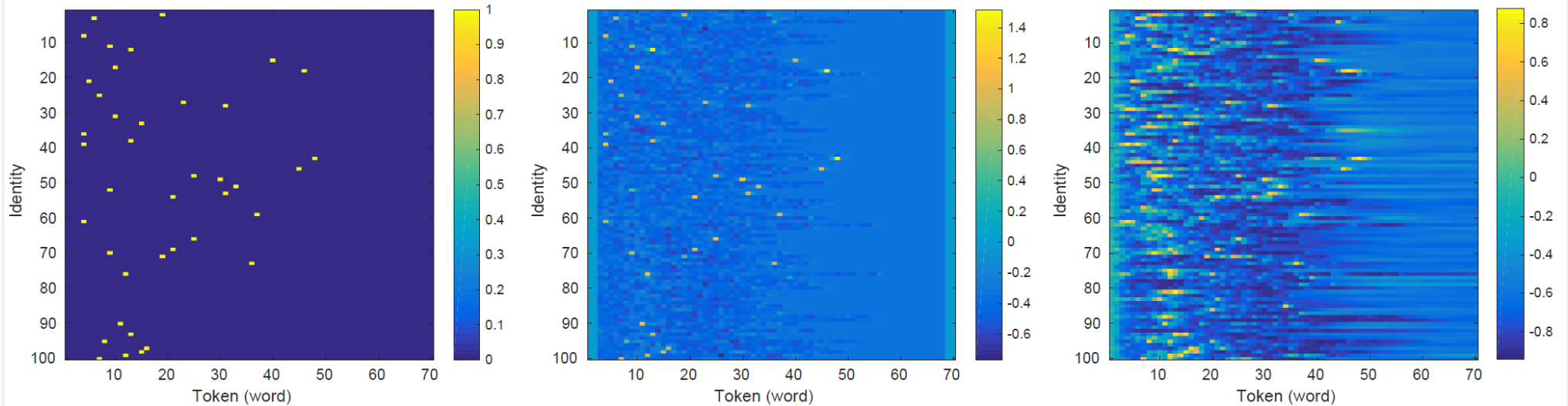


A short and slim young woman carrying a tortilla coloured rectangular shoulder bag with caramel straps, on her right side. She has a light complexion and long, straight auburn hair worn loose. She wears a dark brown short sleeved top along with bell bottomed ice blue jeans and her shoes can't be seen but she might be wearing light colored flat shoes.

- ResNet-50 for visual information
- Word2Vec embedding
- Neural networks: CNN and LSTM
- Multi-class setting, 2 examples per class (identity)
- Data augmentation
- Metric learning with learnt representations (XQDA)
- Canonical Correlation



Re-ID with language



- Detecting the concept of “spectacles”
 - “bespectacled”, “glasses”, “eye-glasses”, ...
 - GT, CNN, LSTM
 - One channel becomes “spectacles” detector during training
 - Good representation learnt from unstructured data

- Consider features x and y extracted from two biometric modalities
- Basic principle – find direction in the respective feature spaces that yield maximum correlation
 - Gauging linear relationship between two multidimensional random variables (feature vectors of two biometric modalities)
 - Finding two sets of basis vectors such that the projection of the feature vectors onto these bases is maximised
 - Determine correlation coefficients

CAA problem formulation

- Training set of pairs of vectors $(x_i, y_i), i = 1, n$
- Maximisation of the correlation of the projections

$$\begin{aligned} \max_{w_x, w_y} E\{w_x^T x y^T w_y\} &= \max_{w_x, w_y} w_x^T C_{xy} w_y \quad s.t. \\ E\{w_x^T x x^T w_x\} &= w_x^T C_{xx} w_x = 1 \\ E\{w_y^T y y^T w_y\} &= w_y^T C_{yy} w_y = 1 \end{aligned}$$

- Leads to an eigenvalue problem

$$\begin{aligned} \begin{bmatrix} 0 & C_{xy} \\ C_{yx} & 0 \end{bmatrix} \begin{bmatrix} w_x \\ w_y \end{bmatrix} &= \\ = \lambda \begin{bmatrix} (1 - \kappa)C_{xx} + \kappa I & 0 \\ 0 & (1 - \kappa)C_{yy} + \kappa I \end{bmatrix} \begin{bmatrix} w_x \\ w_y \end{bmatrix} \end{aligned}$$

- With cov matrices regularised by κI

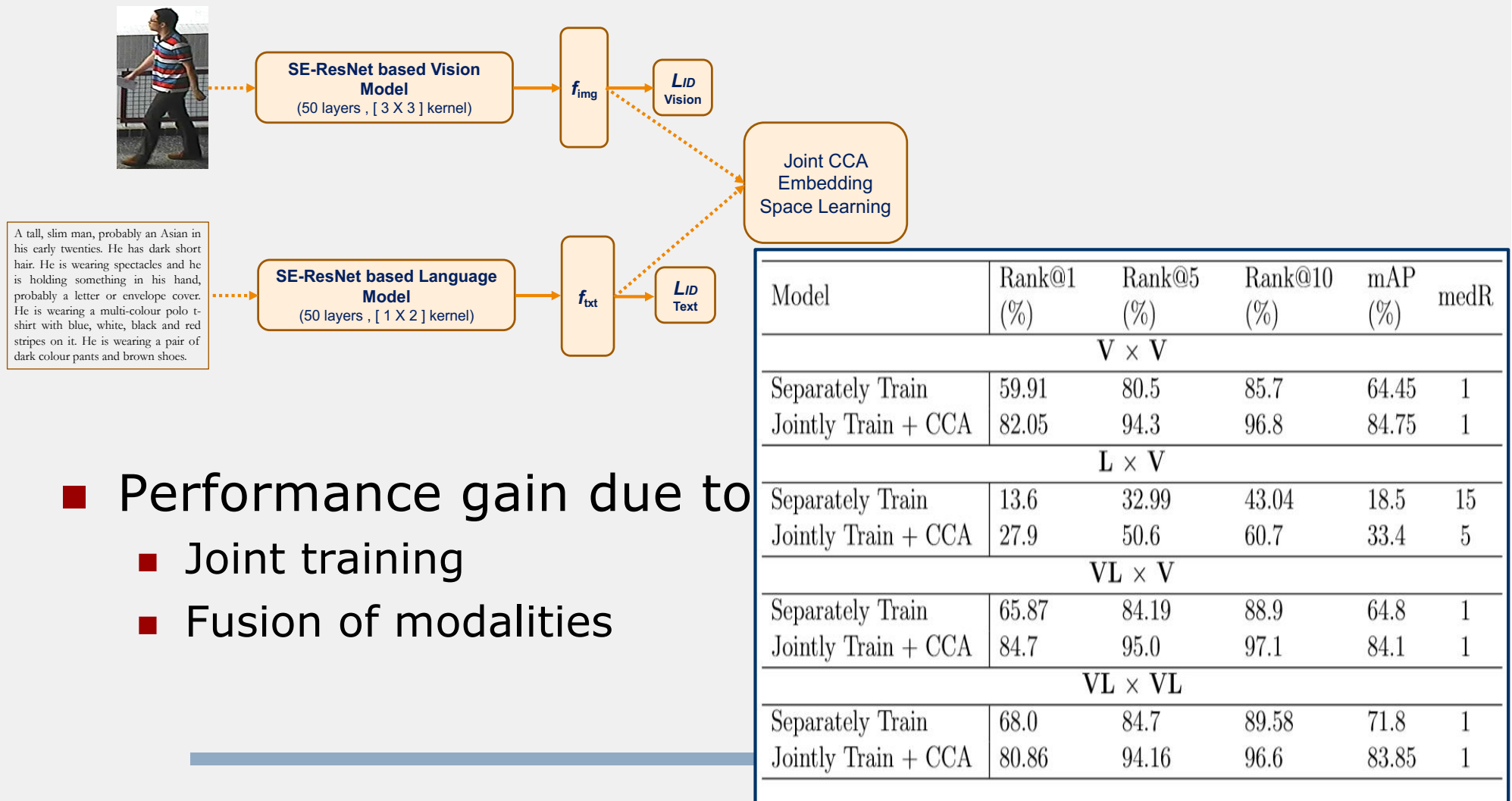
- **Three sets:**
 - Training, query, gallery
 - Training: image and language pairs
- **Various settings, query x gallery:**
 - $V \times V, L \times L, V \times L, V \times VL, VL \times VL$
- **Asymmetric settings:**
 - Transfer language info. With CCA
- **XQDA as metric learning**

Re-ID with V&L

	Gated CNN	68.1	88.1	94.6
Ours	V x V	70.3	93.2	96.6
	L x L	41.1	69.8	82.5
	V x L	17.7	48.5	66.0
	V x VL	73.5	94.5	97.7
	VL x VL	81.8	98.1	99.3

- Results on CUHK03, R1, R5, R10
- LxL worse than VxV: more information in vision
- VxVL 3.2 points higher than VxV
- VLxVL 12.7 points higher than VxV, better than state-of-the-art
- Language helps

- Crossmodal & multimodal matching facilitated by CAA



- Performance gain due to
 - Joint training
 - Fusion of modalities

Model	Rank@1 (%)	Rank@5 (%)	Rank@10 (%)	mAP (%)	medR
V × V					
Separately Train	59.91	80.5	85.7	64.45	1
Jointly Train + CCA	82.05	94.3	96.8	84.75	1
L × V					
Separately Train	13.6	32.99	43.04	18.5	15
Jointly Train + CCA	27.9	50.6	60.7	33.4	5
VL × V					
Separately Train	65.87	84.19	88.9	64.8	1
Jointly Train + CCA	84.7	95.0	97.1	84.1	1
VL × VL					
Separately Train	68.0	84.7	89.58	71.8	1
Jointly Train + CCA	80.86	94.16	96.6	83.85	1

Take home message

- Role of multimodal biometrics
- Fusion levels
- Math formulation of different alternatives
- The concept of marginalisation/multiple classifier systems
- Notion of quality based, user specific and cohort based extensions of fusion
- Multimodal sensing and fusion of a single biometric
- Example: fusion of vision/language modalities for soft biometrics

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