

# Soft biometrics for human identification

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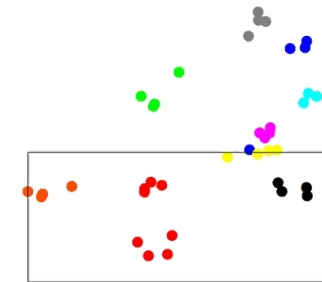
Body



Face



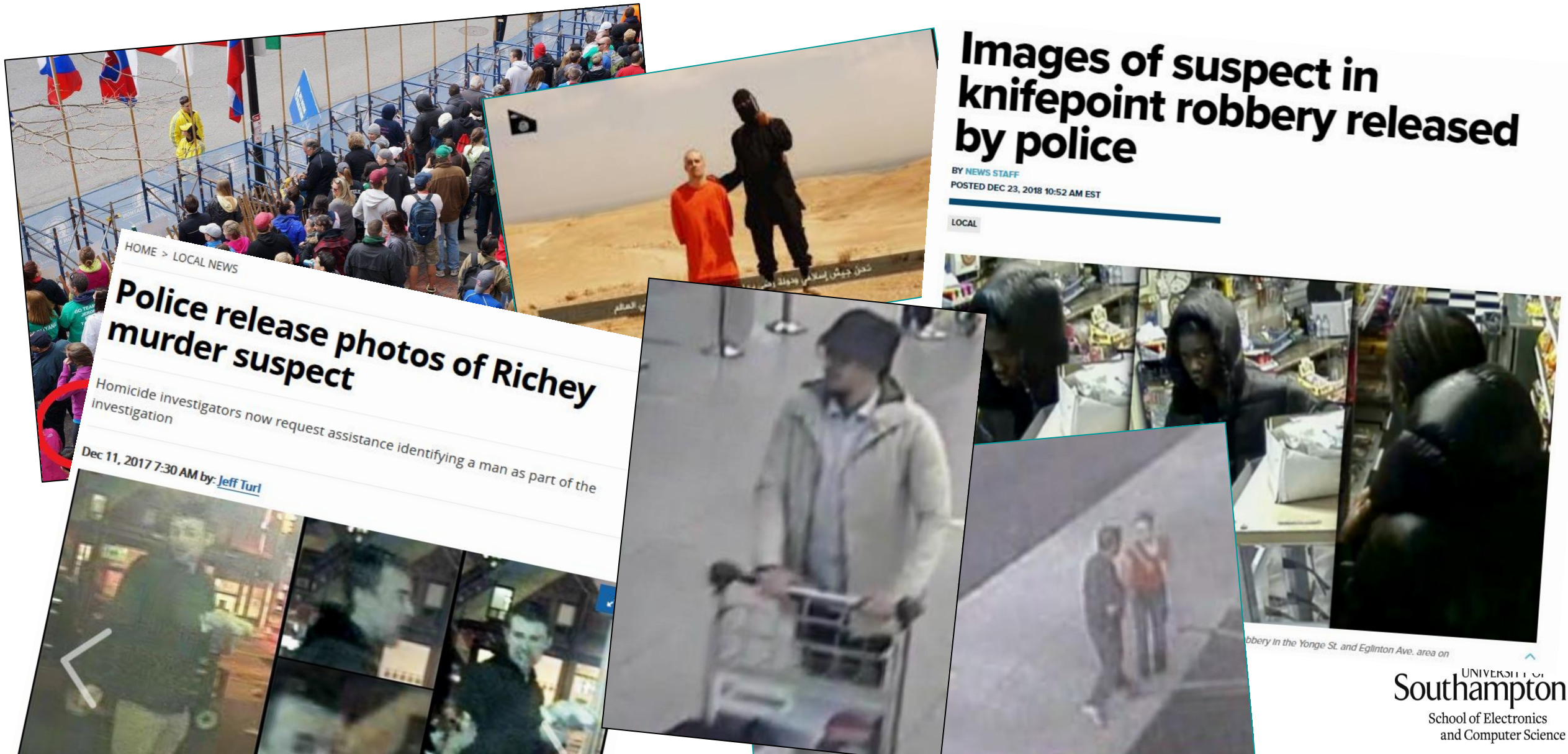
Clothing



Recognition

IEEE/ IAPR Winter School on Biometrics,  
Shenzen China 2019

# Society needs means of identification

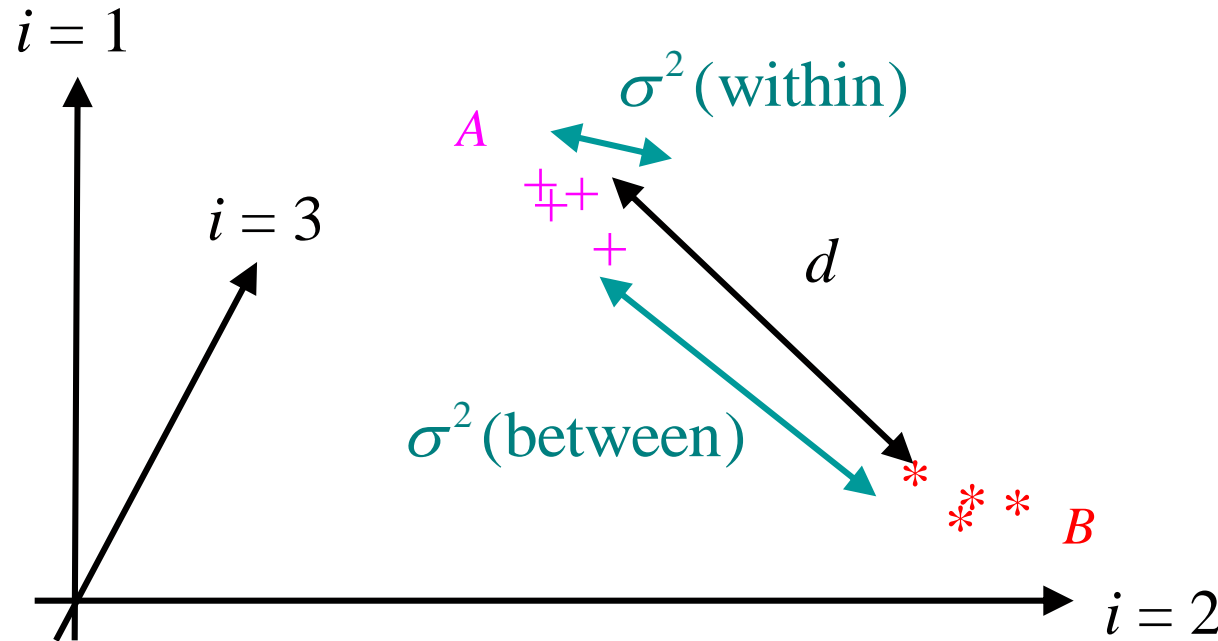


# Basis

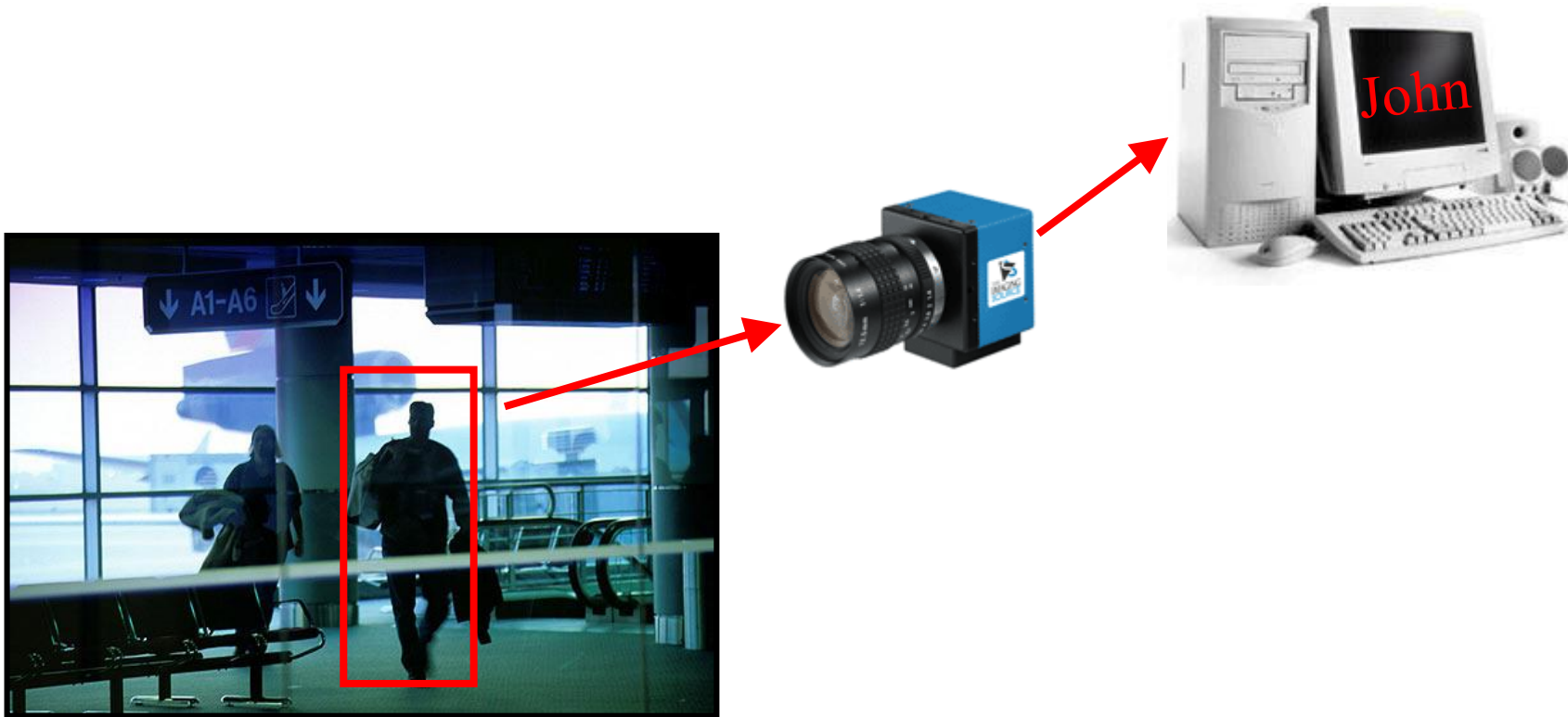
i) we measure distance  $d$ :

$$d(A, B) = \sqrt{\sum_{i=1}^N (x_{iA} - x_{iB})^2}; N = \# \text{ measurements}; A, B = \text{subjects}$$

ii) we want variance **within** subject  $\ll$  variance **between** subjects



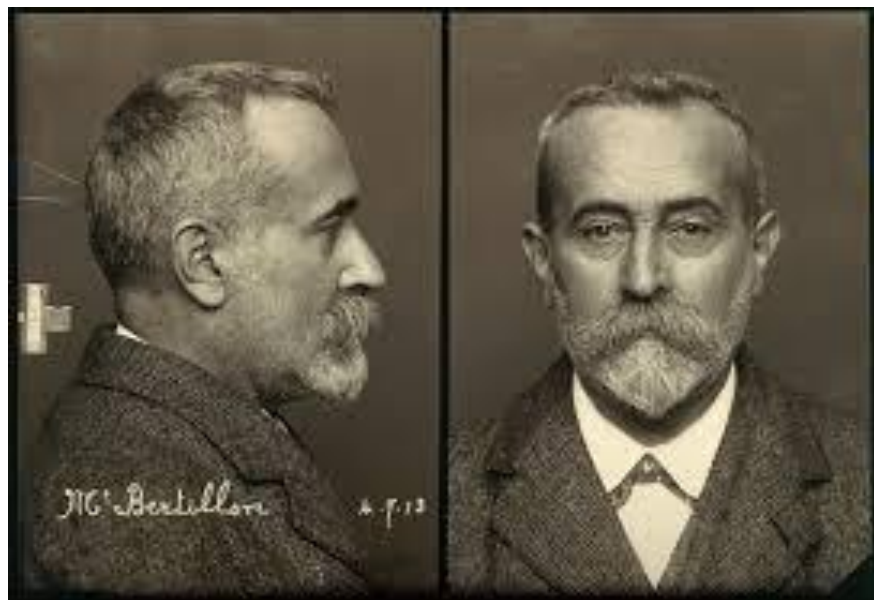
# Vision-based biometrics







# History of soft biometrics: Bertillonage



Recycled from  
Ross and Nixon  
Tutorial on Soft Biometrics  
BTAS 2016



BUREAU OF CRIMINAL INVESTIGATION			NO. 9155
POLICE DEPARTMENT B. 20207 CITY OF BOSTON			
BERTILLON MEASUREMENTS			
HEIGHT	175.6	HEEL, LENGTH	19.21
WEIGHT	180.0	HEEL, WIDTH	16.8
THUMB	92.2	INDEX	14.3
		RIGHT EAR	6.8
		FORE F.	42.4
NAME Thomas Conway			
ALIAS	Thos J. Conway	CRIME	Larceny
AGE	29	BUILD	Med
HAIR	Dark	COMPLEXION	Rd
SCAR	Albany, N.Y.	OCCUPATION	Salmon
DATE OF ARREST	May 11/11	OFFICER	Hadley, J. Angell, R. B. X
REMARKS: Small brown patch on right forearm front lower elbow			

A. Bertillon, *Identification of Criminals*

# West vs West

- 1903, **Will West** committed to penitentiary at Leavenworth, Kansas
- Bertillon measurements matched **William West**, who was committed for murder in 1901
- Led to **fingerprints**
- Story is true?

*"This image was probably used in a ca. 1960s FBI training session"*  
[www.LawEnforcementMuseum.org](http://www.LawEnforcementMuseum.org)

FEDERAL BUREAU OF INVESTIGATION  
UNITED STATES DEPARTMENT OF JUSTICE  
J. Edgar Hoover, Director

## History of the "West Brothers" Identification..



Bertillon Measurements are not always a Reliable Means of Identification



In 1903, one WILL WEST was committed to the U. S. Penitentiary at Leavenworth, Kansas, a few days thereafter being brought to the office of the record clerk to be measured and photographed. He denied having been in the penitentiary before, but the clerk doubting the statement, ran his measuring instruments over him, and from the Bertillon measurements obtained went to his files, returning with the card the measurements called for properly filled out, accompanied with the photograph and bearing the name WILLIAM WEST. Will West, the new prisoner, continued to deny that the card was his, whereupon the record clerk turned it over and read that William West was already a prisoner in that institution, having been committed to a life sentence on September 9, 1901, for murder.

The Bertillon measurements of these, given below, are nearly identical whereas the fingerprint classifications given are decidedly different.

The case is particularly interesting as indicating the fallacies in the Bertillon system, which necessitated the adoption of the fingerprint system as a medium of identification. It is not even definitely known that these two Wests were related despite their remarkable resemblance.

Their Bertillon measurements and fingerprint classifications are set out separately below:

**Will West (1903):**  
177.5; 188.0; 91.3; 19.8; 15.9; 14.8; 6.5; 27.5; 12.2; 9.6; 50.3  
15- 30 W OM 13 Ref: 30 W OM 13  
28 W I 26 U OO

**William West (1901):**  
178.5; 187.0; 91.2; 19.7; 15.8; 14.8; 6.6; 28.2; 12.3; 9.7; 50.2  
10- 13 U O O Ref: 13 U O 17  
32 W I 18 28 W I 18

# What are 'soft' biometrics?

---

1. Descriptors to **aid search**. (Wayman *CTST* 1997)
2. Broad descriptors to **separate populations**. (Wayman *CTST* 1997)
3. Improving **accuracy** of primary biometrics. (Jain, Dass and Nandakumar *SPIE* 2004)
4. Descriptions to **facilitate recognition** by bridging human and machine descriptions (Samangooei and Nixon *BTAS* 2008)
5. “Estimation or use of personal characteristics describable by humans that can be used to aid or effect person recognition” (Nixon et al, *PRL* 2015)
6. “These attributes are typically gleaned from primary biometric data, are classifiable in pre-defined human understandable categories, and can be extracted in an automated manner.” (Dantcheva ... Ross, *TIFS* 2016)

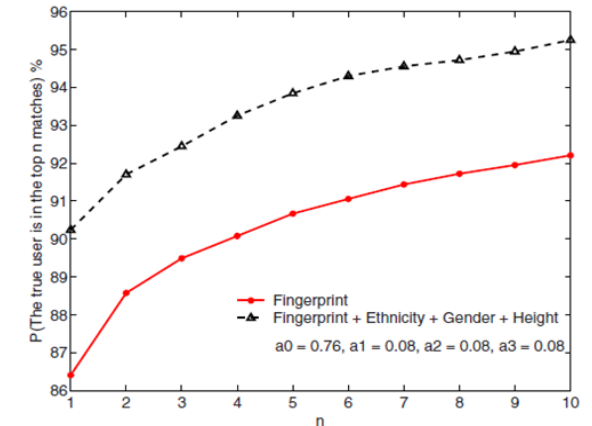
# Soft biometrics for identification

**Bertillonage 1890**  
(body, face, iris, ear, nose...)

**Nandakumar and Jain 2004**  
(augmenting traditional biometrics)



Ethnicity  
+ Gender  
Height



## Face Soft

*Attribute*

Kumar, Klare, Zhang

*Relative Attribute*

[Graumann], Reid,

Almudhahka

*Forensic Tome*

## Body Soft

*Categorical* Samangoeei

*Comparative*

Reid, Martinho-Corbishley

*Semantic* Denman

## Other Soft

*Tattoos* Lee, Di

*Clothing* Jaha

*Makeup* Dantcheva

*Hair* Chan, Proenca

**Estimation of Age + Gender + Ethnicity + Weight + Height + ...**



# Advantages of soft biometrics

## 1. Human understandable description

rich in semantics, e.g., a face image described as a “young Asian male”  
bridges gap between human and machine descriptions

## 1. Robustness to image quality

soft biometric attributes and low quality data  
subject at a distance from the camera

## 1. Privacy

lack of distinctiveness implies privacy friendly  
... but we can recognise you anywhere

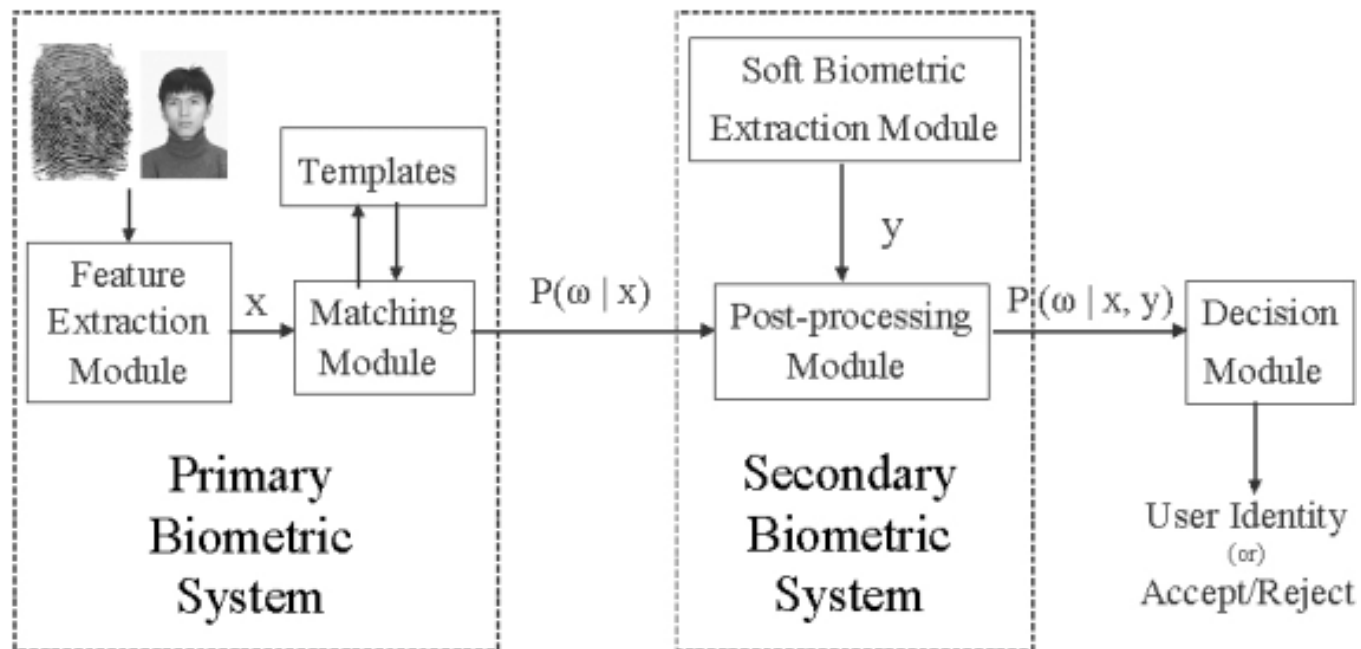
## 1. Performance improvement

use in conjunction with biometric cues such as face, fingerprint and iris  
fusion to improve accuracy. ID invariance to **viewpoint**, **illumination**.



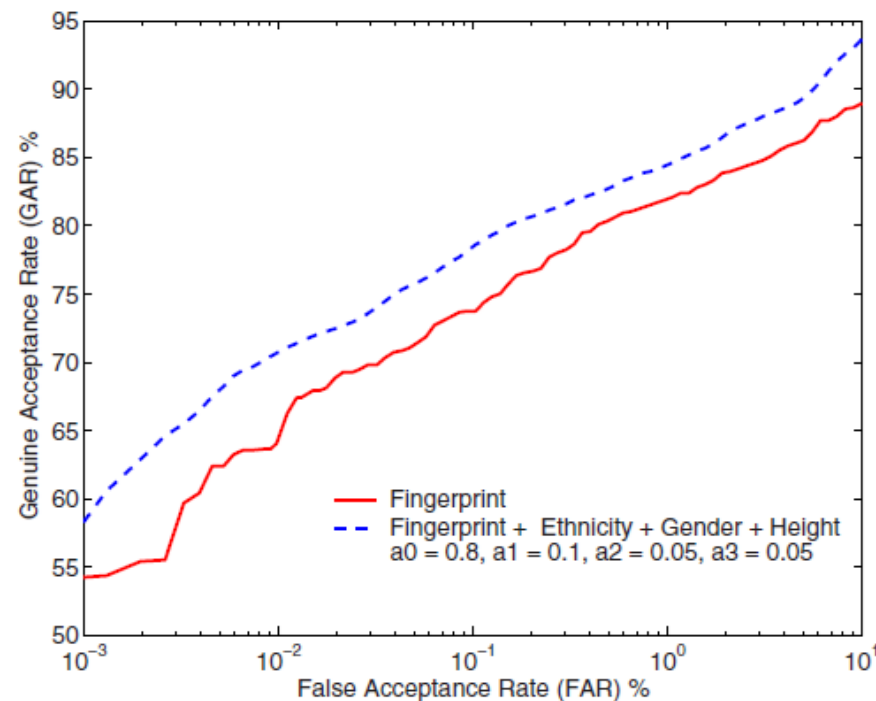
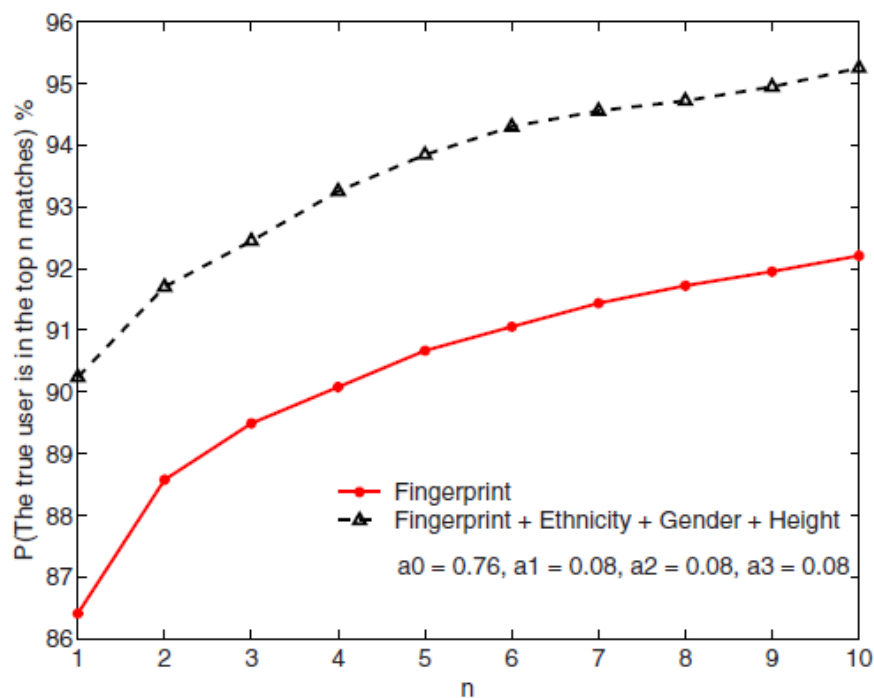
# First mention of soft biometrics

- Integration of Soft Biometric Traits with a Fingerprint Biometric System
- $x$  is the fingerprint,  $y$  is the soft biometric



# Performance

- Recognition performance of a fingerprint system after including soft biometrics
- Identification and verification
- Fingerprint + ethnicity + gender + height

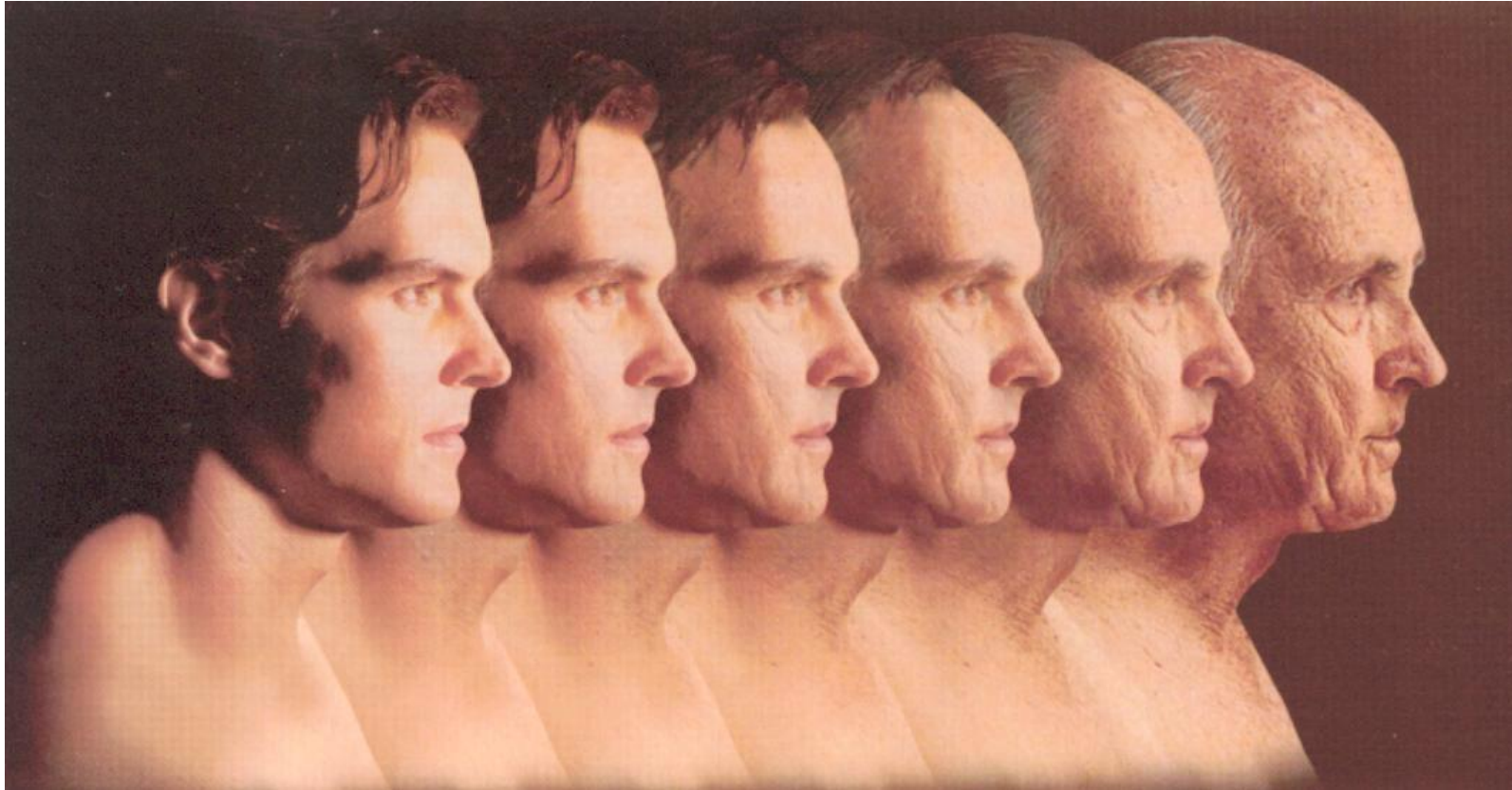


# Soft Biometrics from Face





# Face and Age



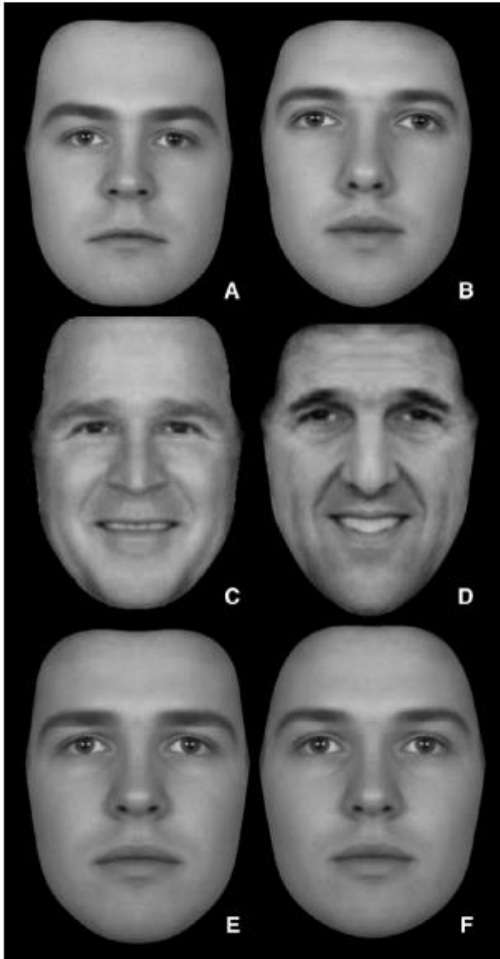
Beautyanalysis.co  
m

# Face and Kinship



[Lu 2013]  
[Guo 2012]  
[Fang 2010]  
[Shao 2011]

# Face and Voting Decisions



- The role of facial shape in voting behavior
- Face and sexual inclination??????

[Little 2007][Todorov 2005]

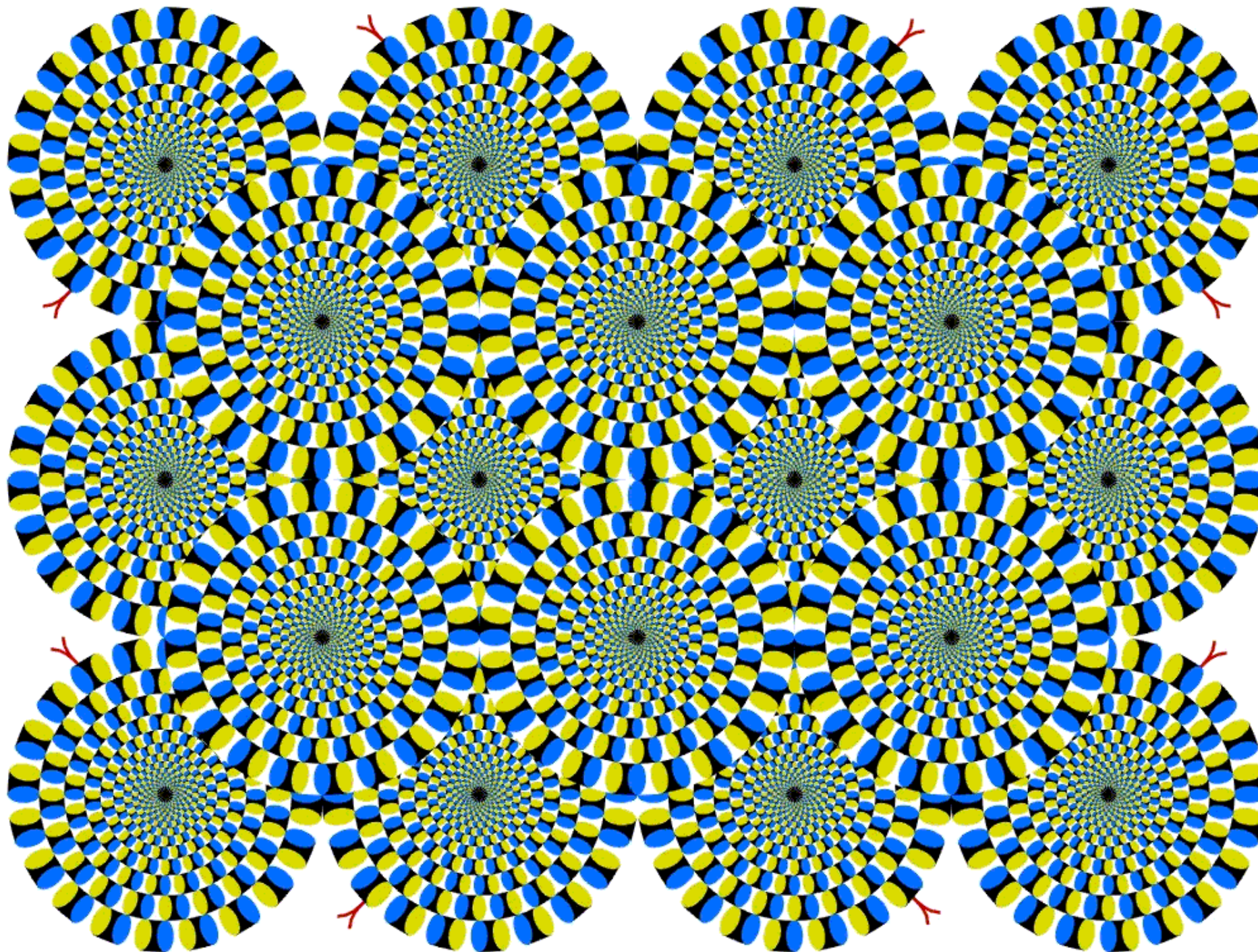


# Images: more than meets the eye?



Computer vision and human vision have different abilities







# Motivation: Murder case in Australia 2014



**Herald Sun**  
MELBOURNE 8C-15C

WE FLY FROM 35 LOCAL AIRPORTS ACROSS THE UK  
flybe. The fastest way from A to B

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NEWS / LAW & ORDER / LATEST TRUE CRIME SCENE CASE FILES THE INVESTIGATOR COLD CASES CRIME STOPPERS

**TRUE CRIME SCENE**  
new crimes, cold cases, latest investigations

## Murdered jeweller Dermot O'Toole's widow Bridget says her husband would be alive if his killer Gavin Perry wasn't out on parole

PADRAIC MURPHY HERALD SUN JUNE 24, 2014 2:19PM

0% off if you join today  
12 weeks only  
Herald Sun FIND OUT MORE

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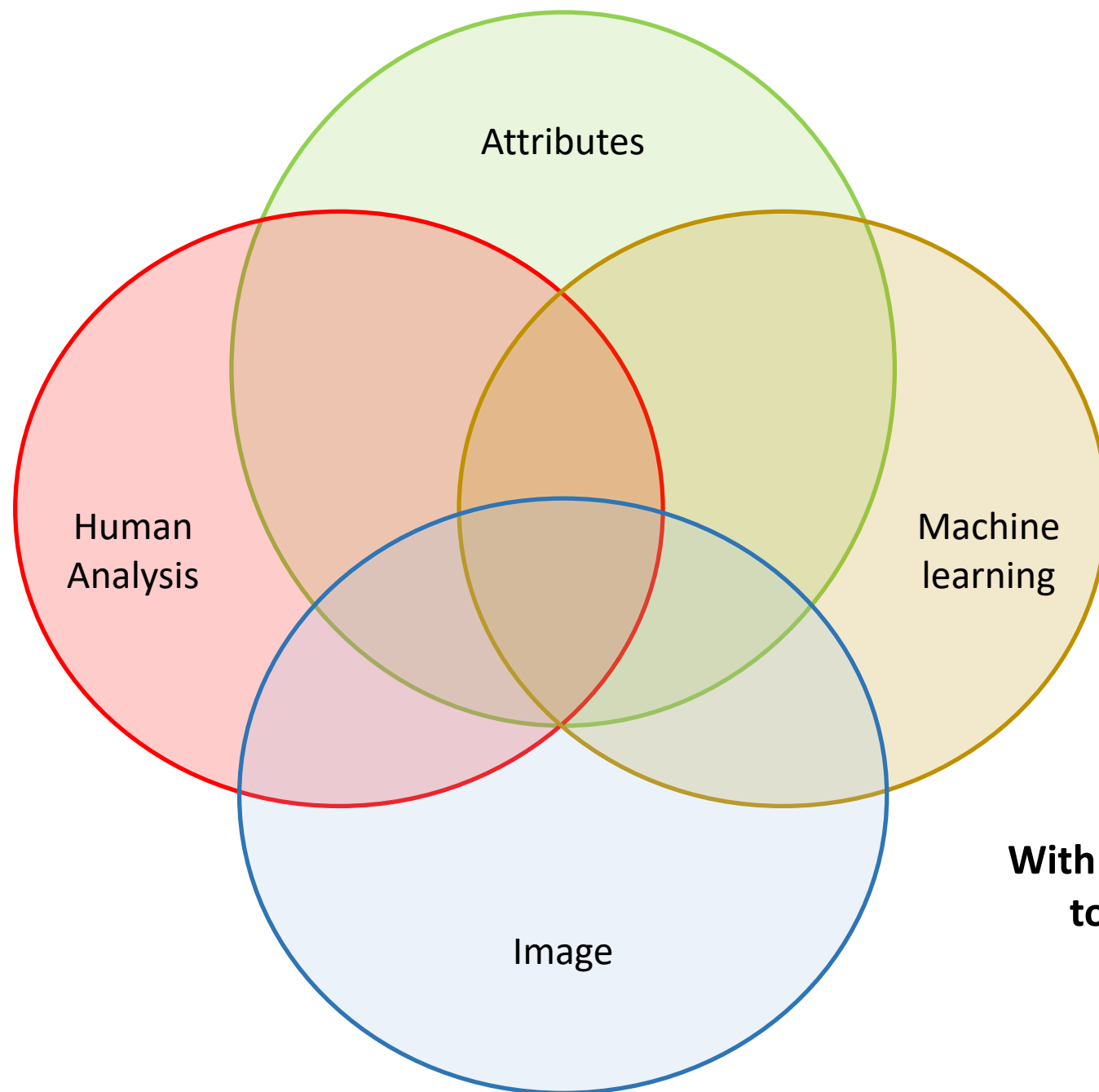
SPONSORED LINKS  
Celebrity news and video!



Bridget O'Toole has described the impact of her husband's murder to the

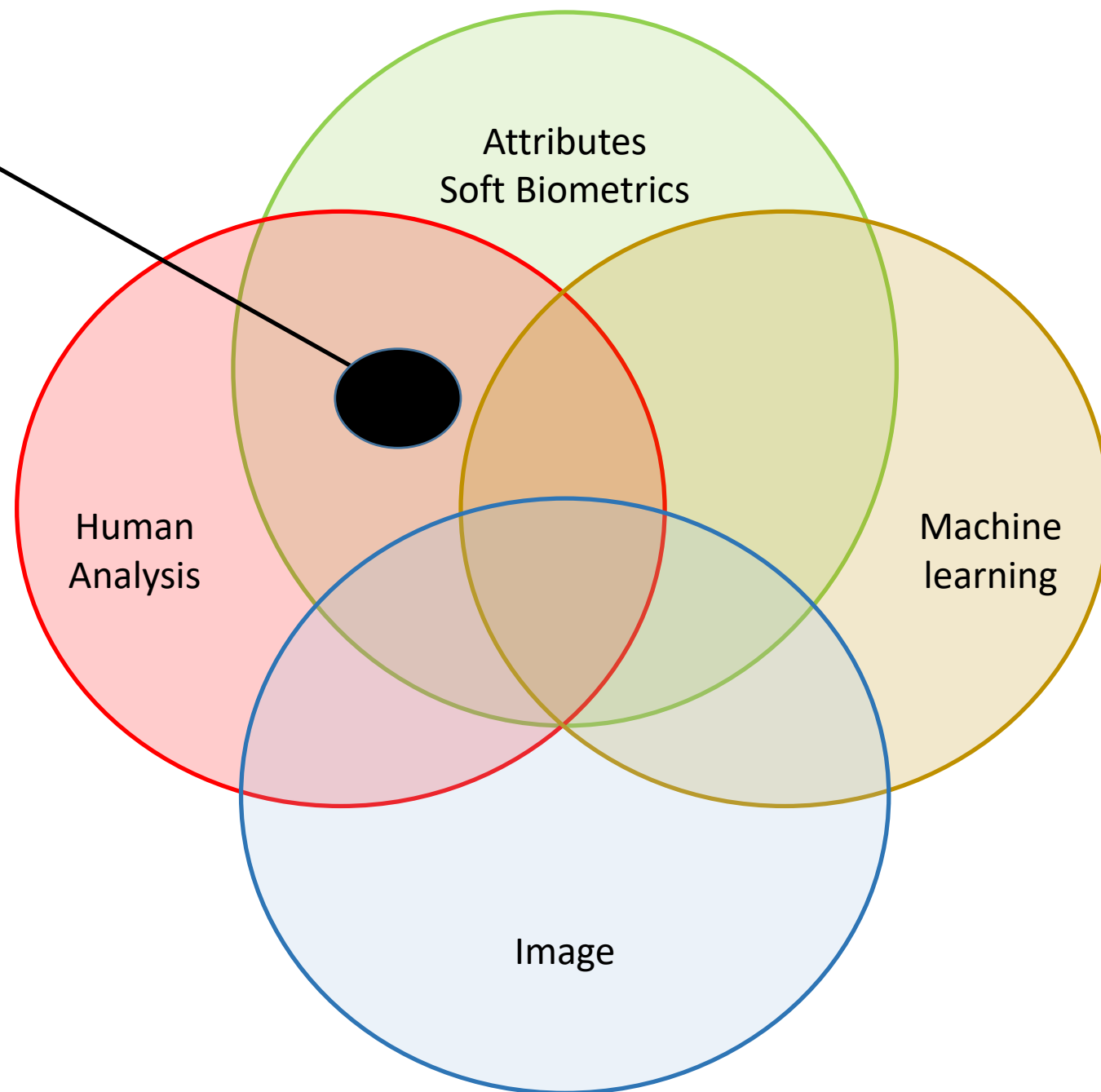


60 Minutes Australia: Eye Catching

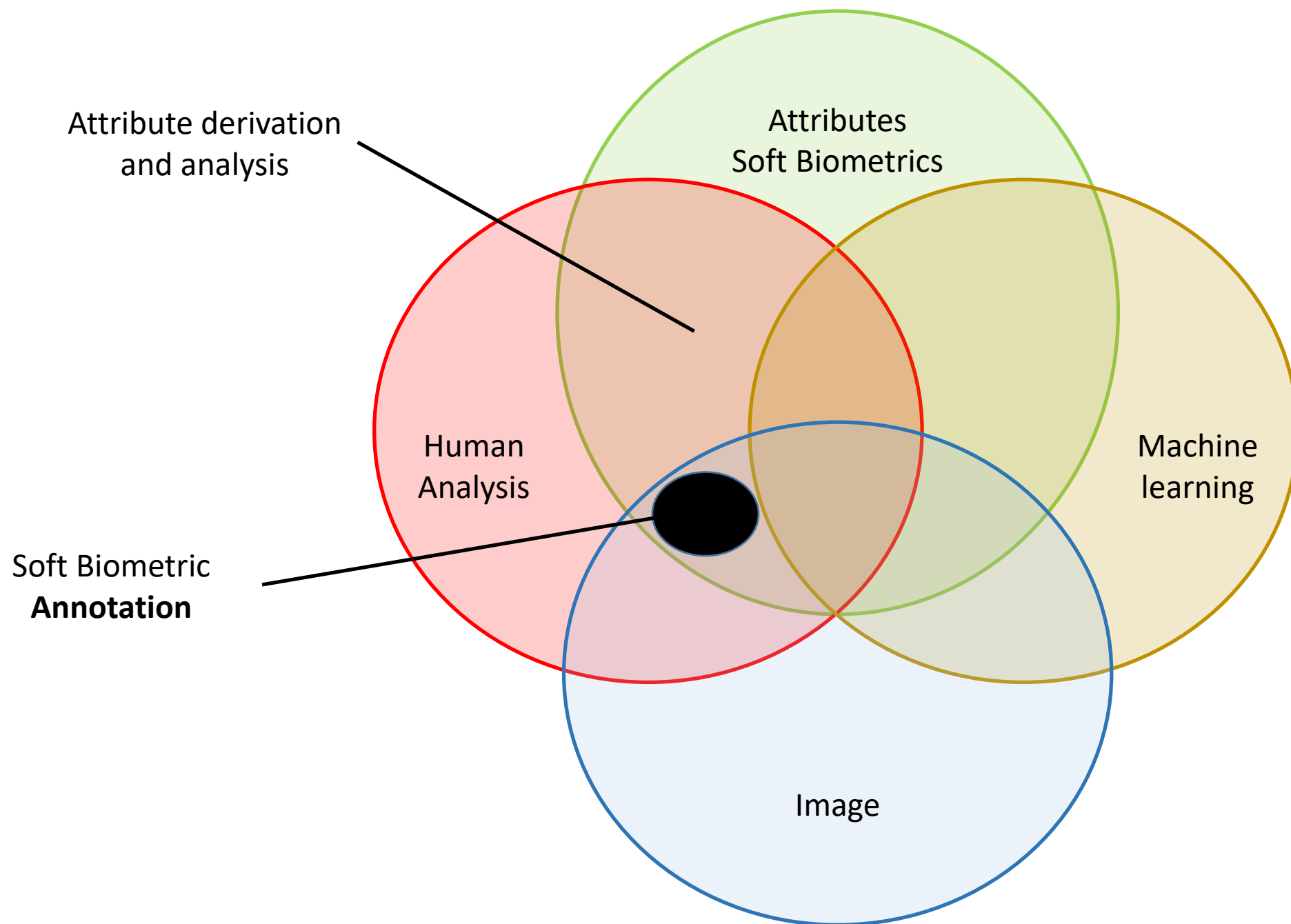


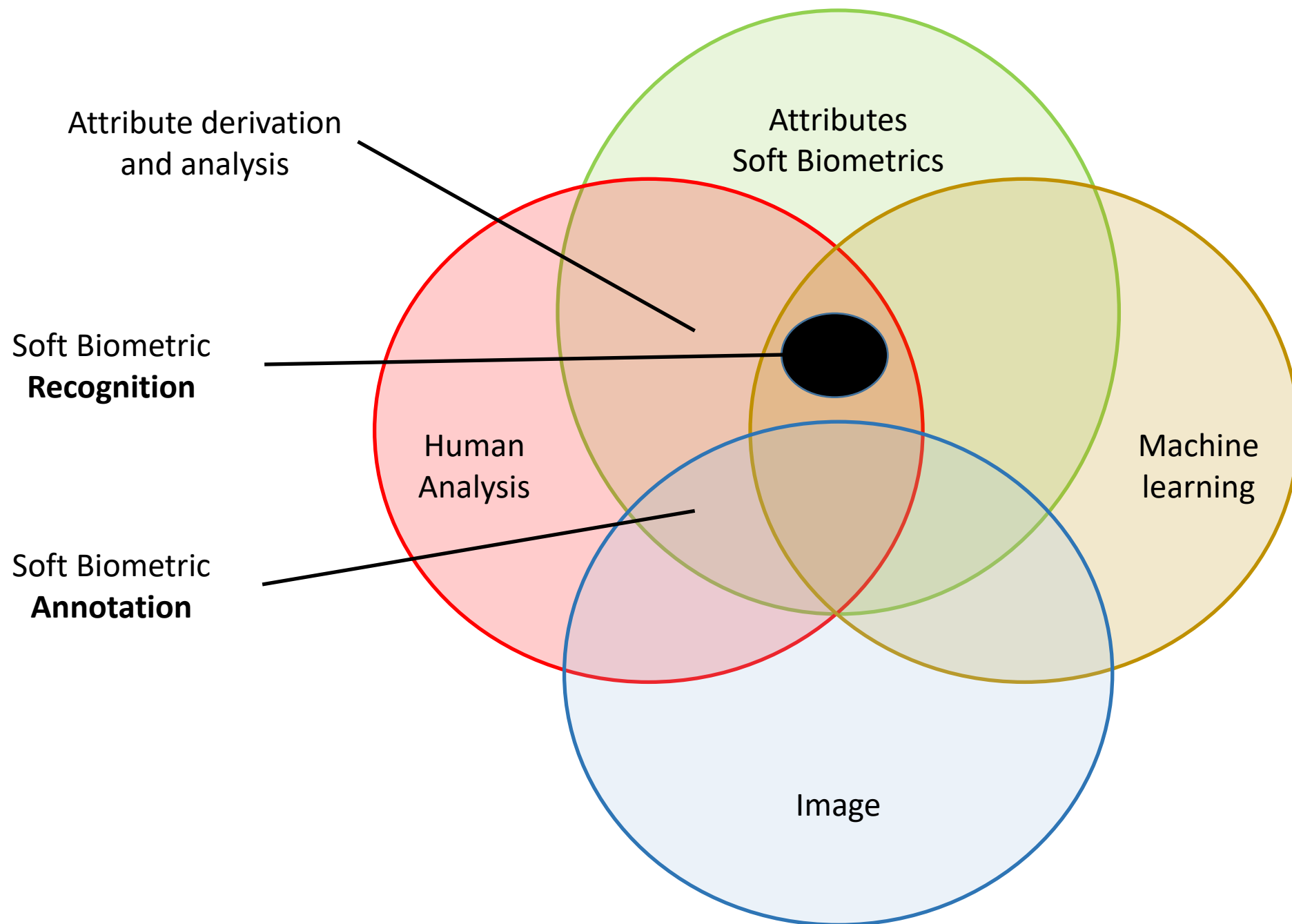
**With many thanks  
to Dan MC!**

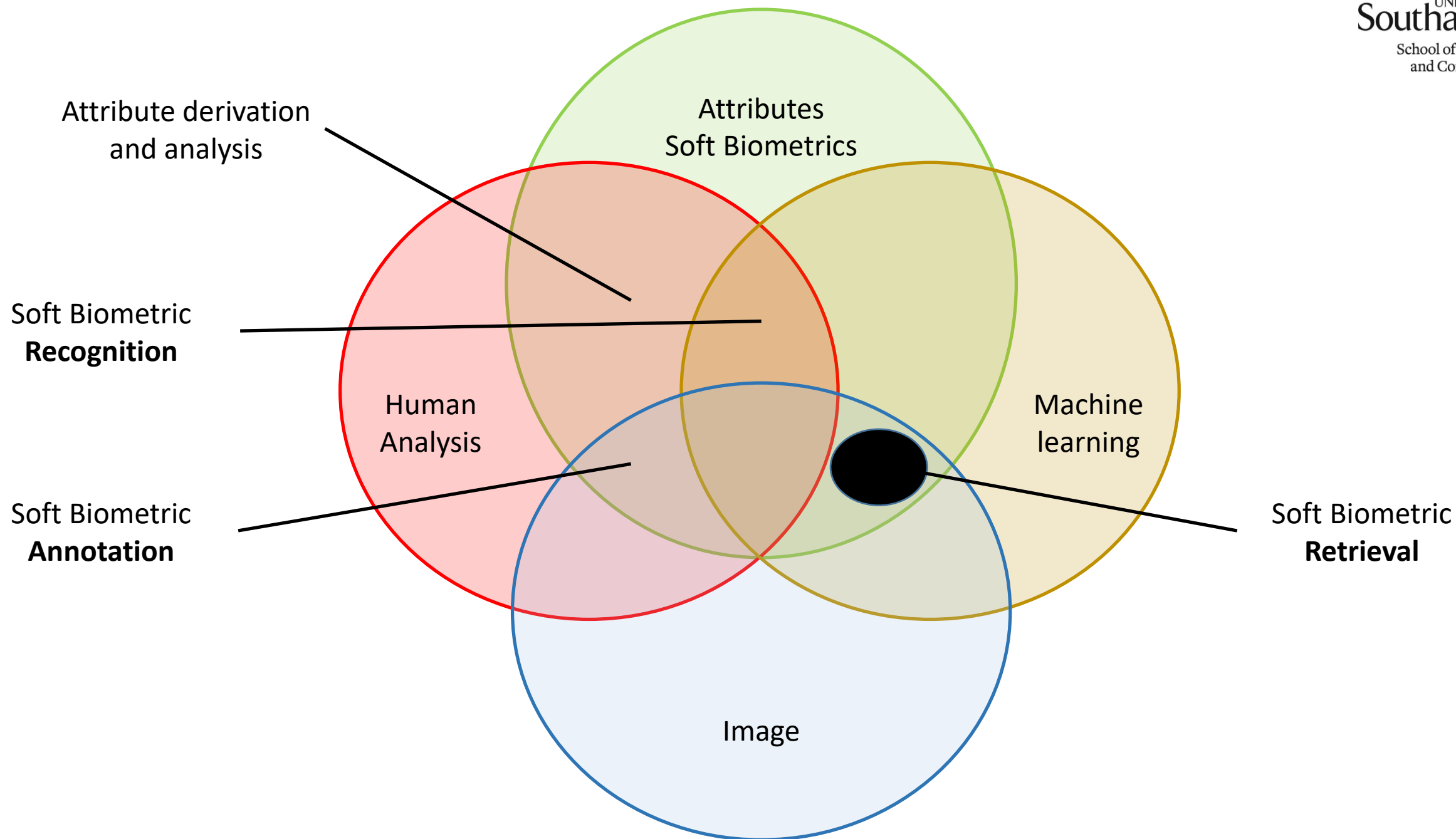
Attribute derivation  
and analysis

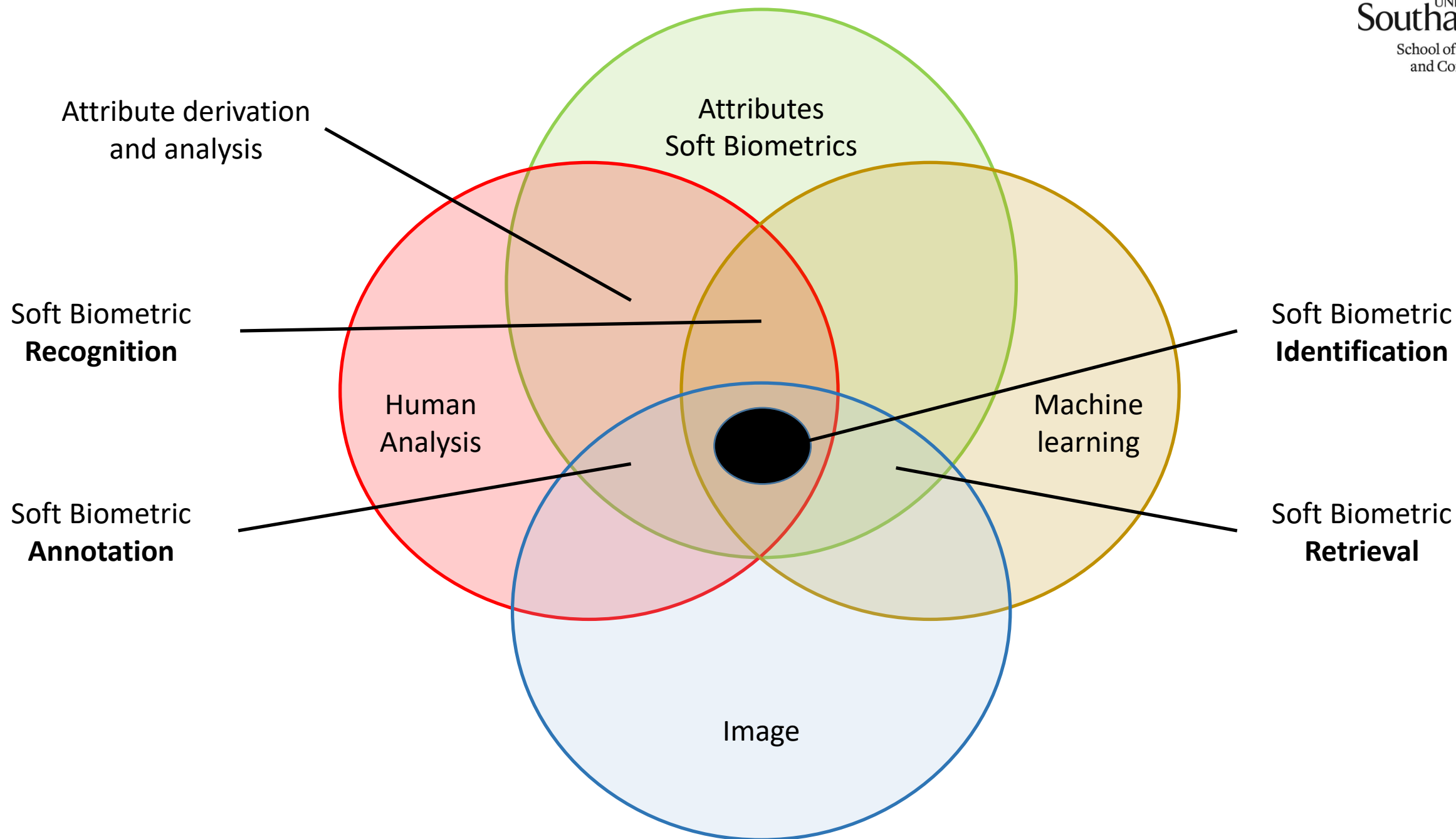




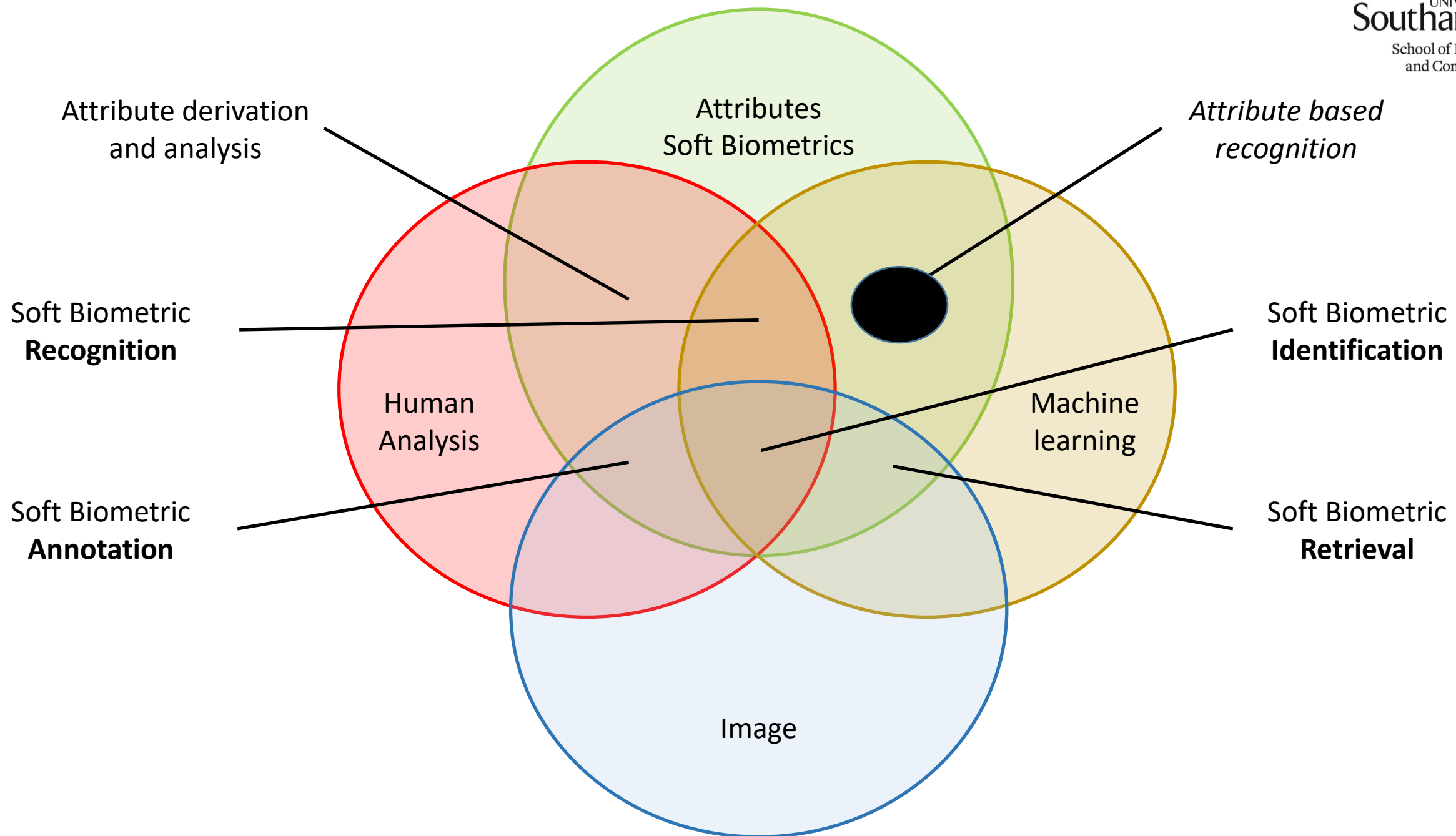


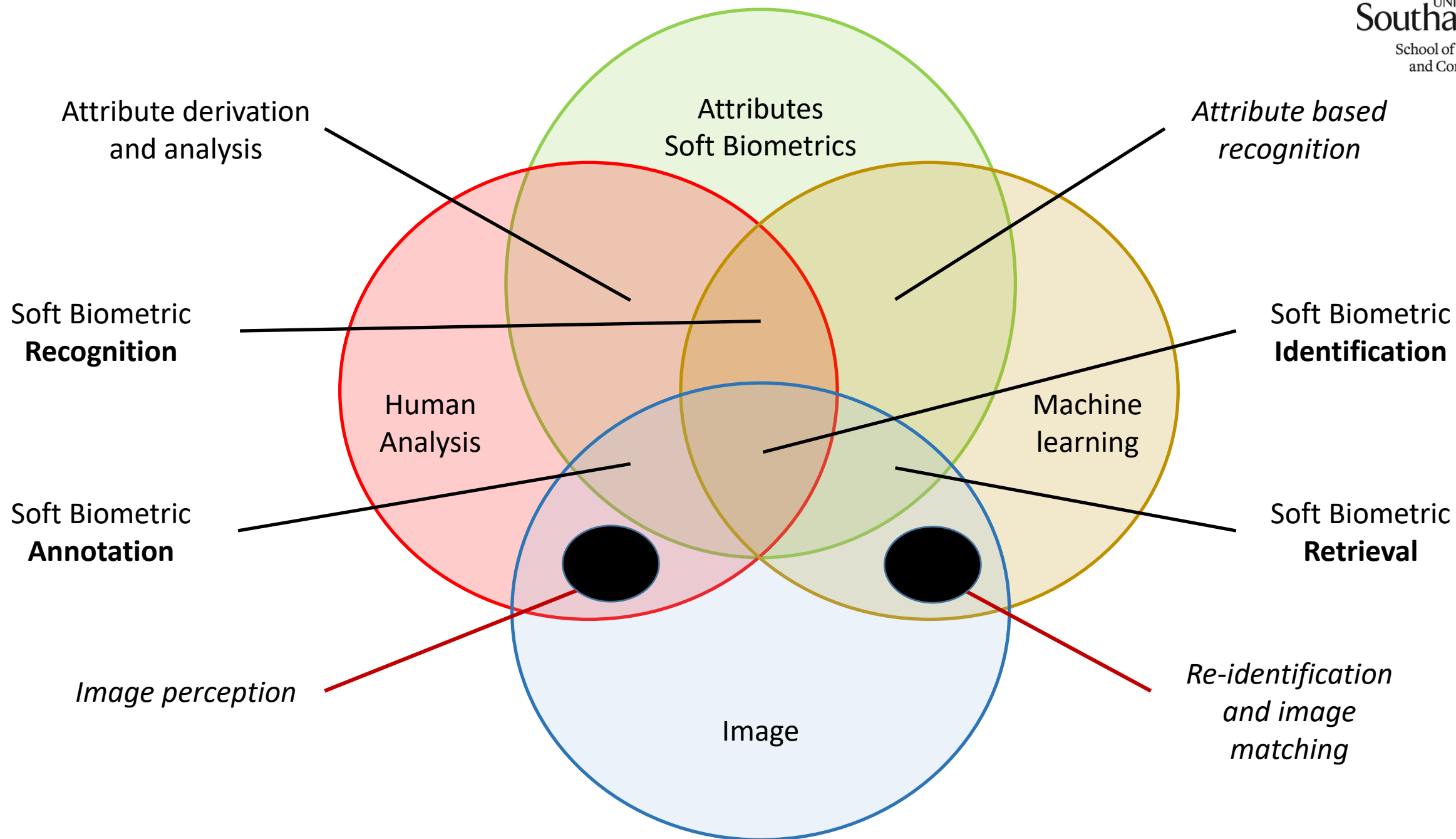


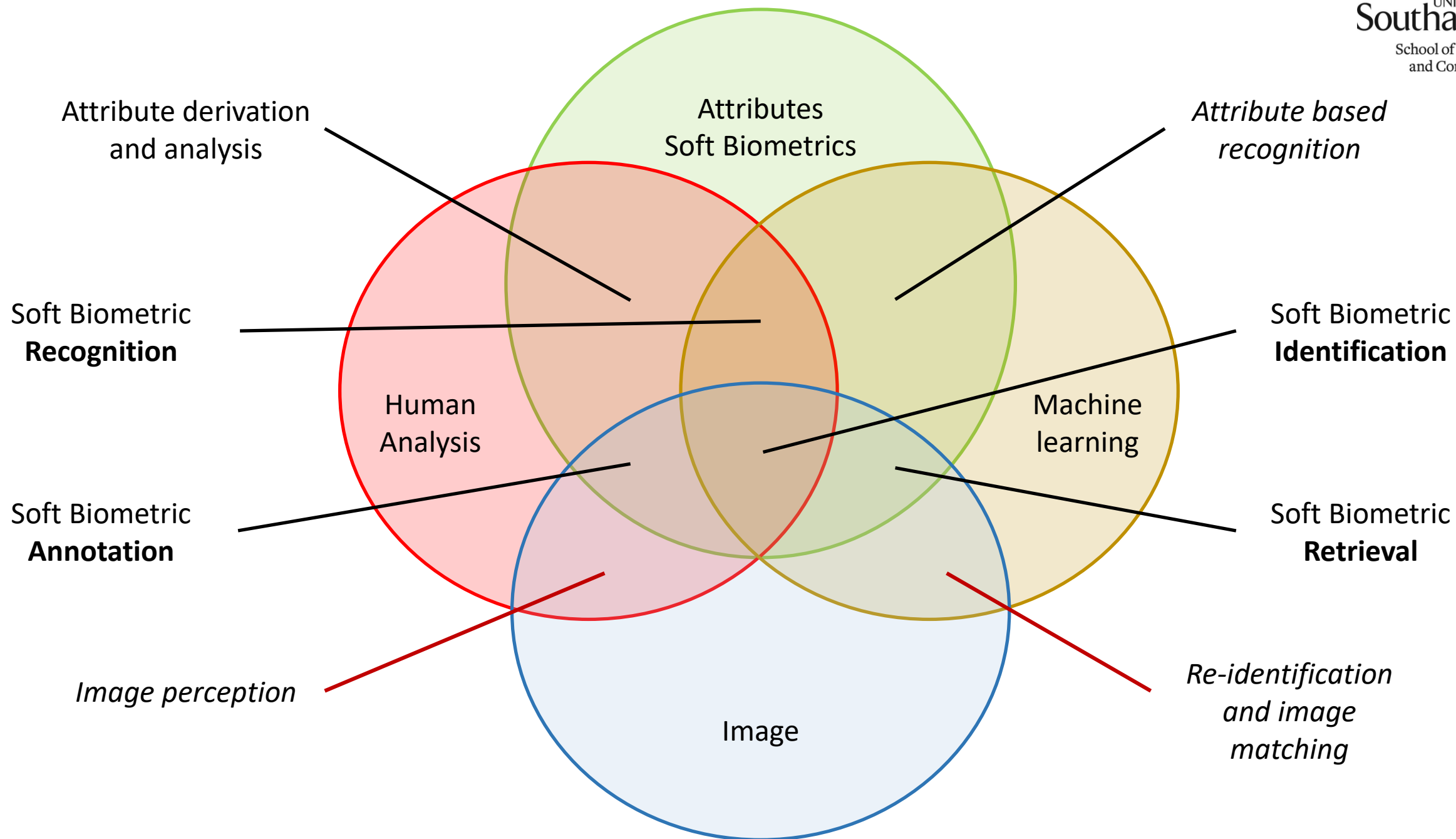






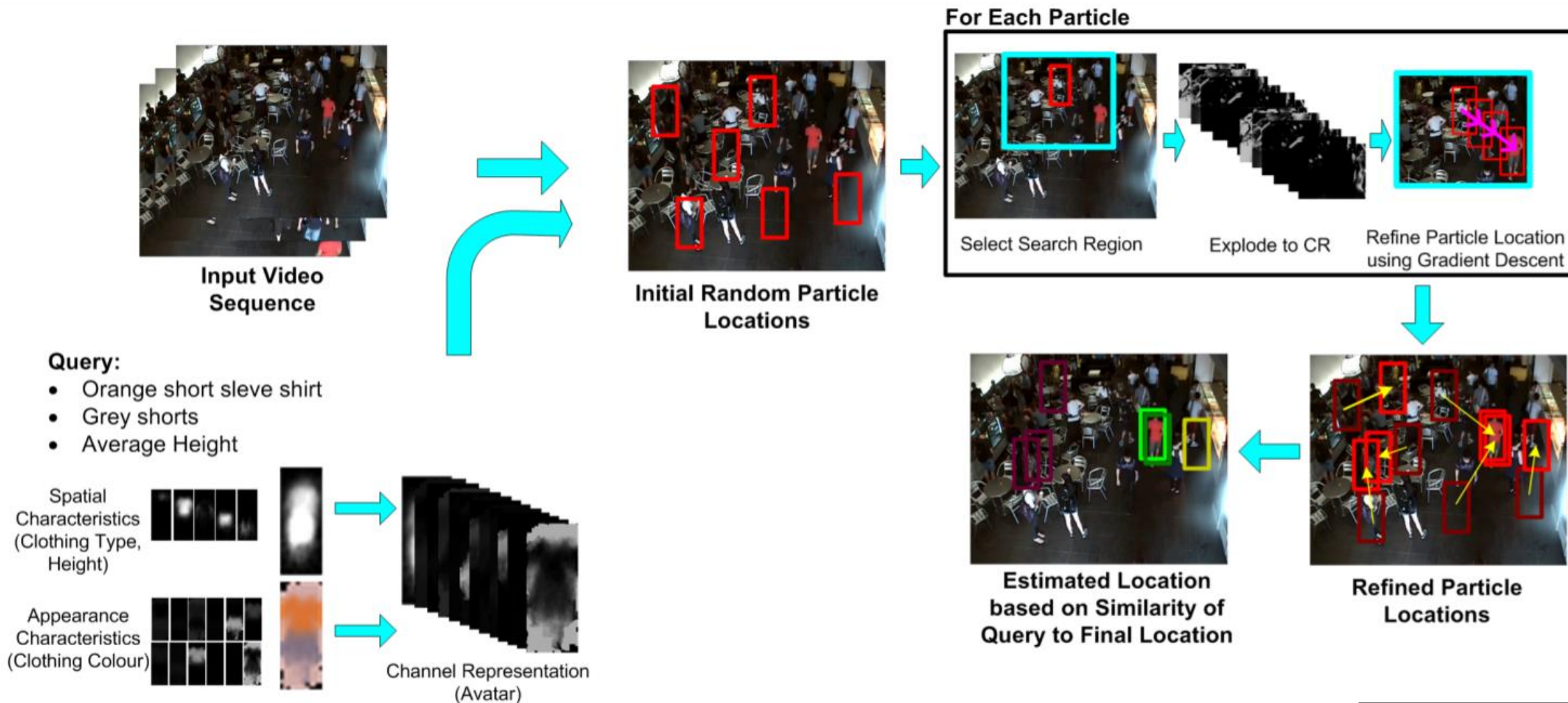






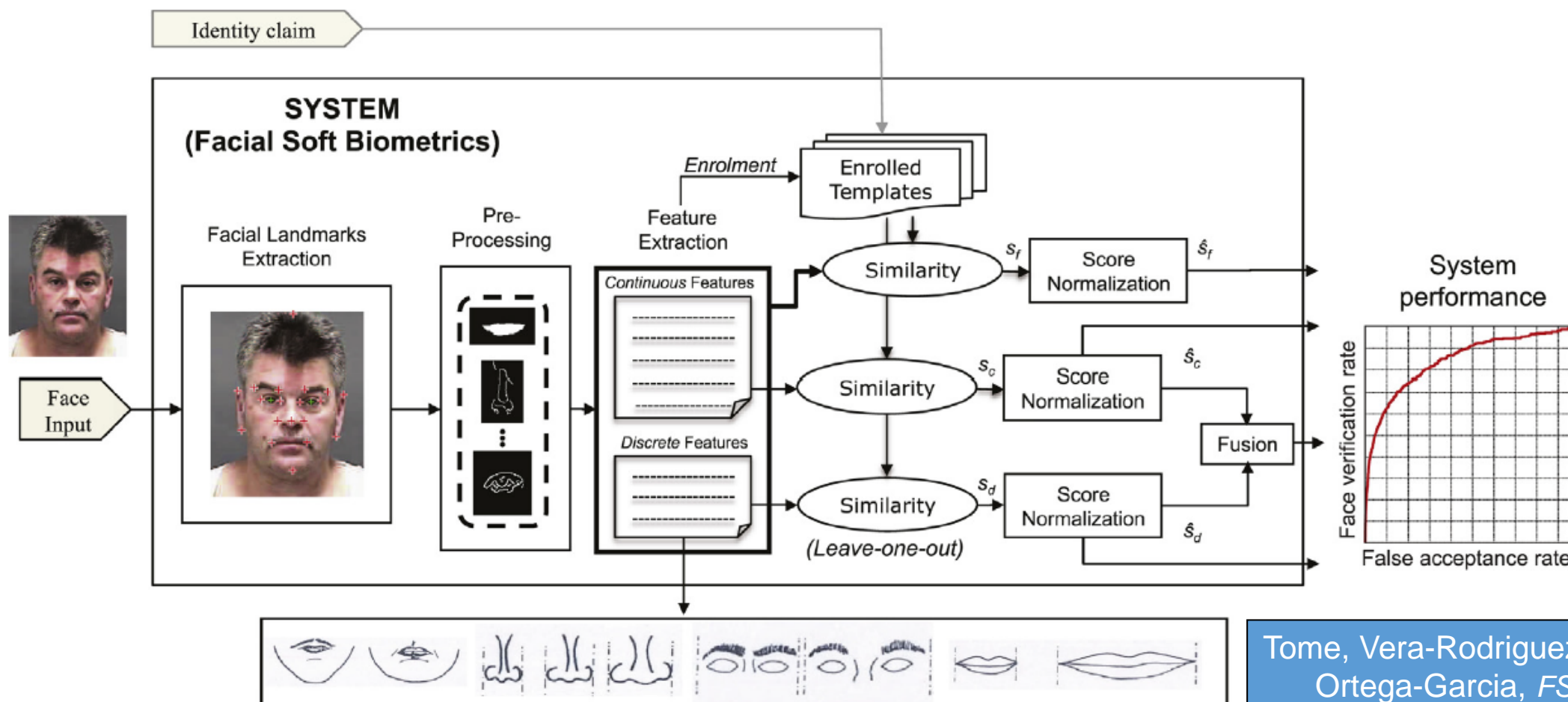


# Halstead's approach



# Facial soft biometric features for forensic face recognition

“a functional feature-based approach useful for real forensic caseworks, based on the shape, orientation and size of facial traits”



# Descriptions and attributes for identification

**Eyewitness statement**  
“24 year old male average height  
wearing shirt”

Generate description

**Image of crime**



Subject	Gender	Age	Height	Nose W	Top
?	M	24	171	2.4	Shirt

**Database of images**



Generate descriptions

Subject	Gender	Age	Height	Nose W	Top
123456	M	25	172	2.3	Shirt
123457	F	36	156	2.2	Blouse
123458	M	58	182	1.2	T shirt

**Database of  
descriptions**



# What can you recognise?



64×97



128×194




256×386





# Gender estimation on PETA



- Gender?


Subject	1	2	3
PETA image			
PETA label			A. Male B. Female

# Suspect & Vehicle Identification Chart

SEX	AGE	HEIGHT	WEIGHT	RACE
Male <input type="checkbox"/> Female <input type="checkbox"/>				White <input type="checkbox"/> Black <input type="checkbox"/> Other <input type="checkbox"/>
HAIR (Colour/Style)				HAT (Colour/Type)
EYES (Glasses)				COAT
COMPLEXION				SHIRT
JEWELLERY				TROUSERS
SCARS/MARKS				SHOES
TATTOOS				TIE

FACIAL APPEARANCE	Write below specific facial details that you definitely remember
	
	What did the suspect say?
	Tool or weapon seen?

Vehicle			
			
Colour	Make	Model	Licence Number
Body Style		Damage Rust	
Antenna	Bumper Sticker	Wheel Covers	
Direction of Travel			



**DON'T HANG UP!  
STAY ON THE PHONE**

Remember, Your Safety Comes First!  
Working Together To Prevent Crime

**EMERGENCY  
9-1-1**





# OK, eyewitnesses are fallible



# Traits and terms

## Global Features

- Features mentioned most often in witness statements
- Sex and age quite simple
- Ethnicity
  - Notoriously unstable
  - There could be anywhere between 3 and 100 ethnic groups
  - 3 “main” subgroups plus 2 extra to match UK Police force groupings

So we thought!!

Samangooei, Guo and  
Nixon, *IEEE BTAS* 2008

- Global
  - Sex
  - Ethnicity
  - Skin Colour
  - Age
- Body Shape
  - Figure
  - Weight
  - Muscle Build
  - Height
  - Proportions
  - Shoulder Shape
  - Chest Size
  - Hip size
  - Leg/Arm Length
  - Leg/Arm Thickness
- Head
  - Hair Colour
  - Hair Length
  - Facial Hair Colour/Length
  - Neck Length/Thickness

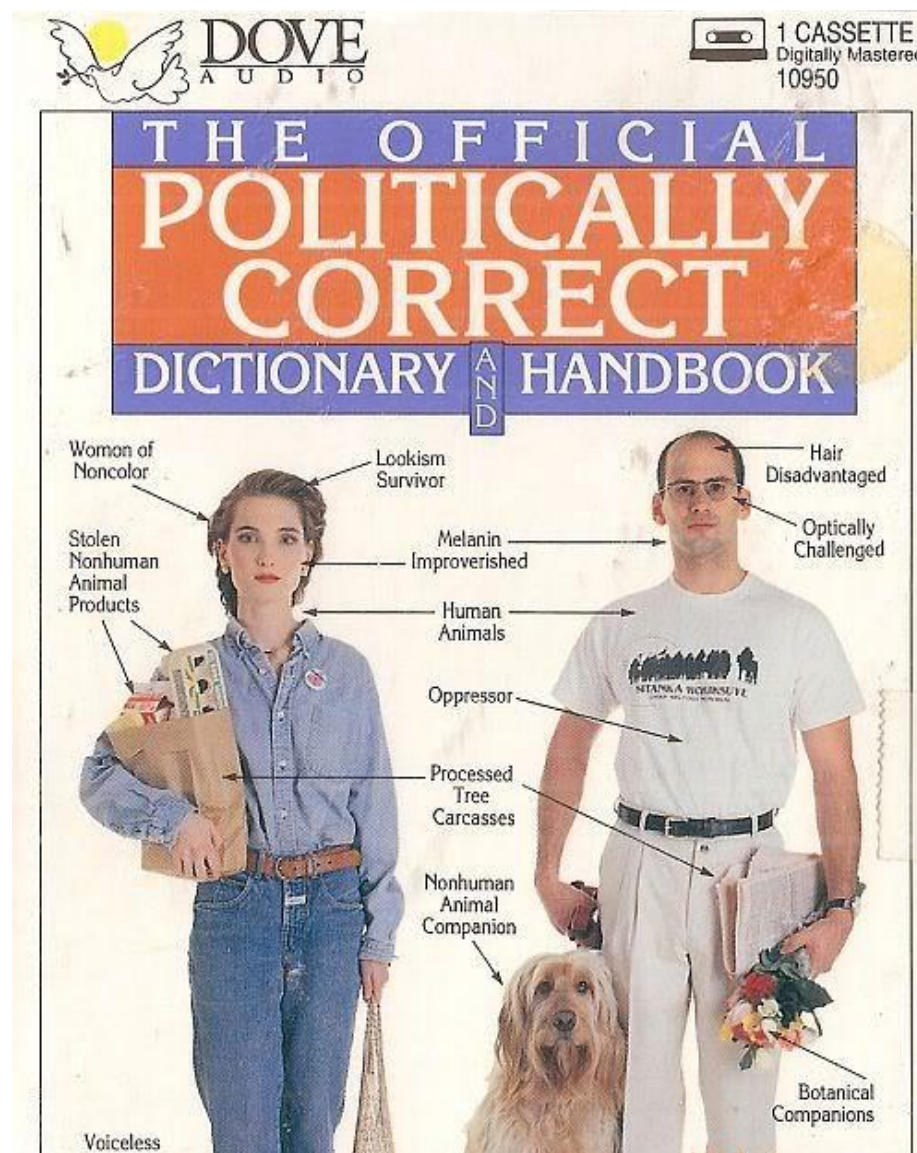


# Phrasing questions

- **No** 'political correctness'
- Note, or avoid, homonyms and polysemes
- **Eschew** completely **argot** and colloquialism

E.g. **nose**: hooter, snitch, conk (UK), schnozzle (US?)

..... and avoid words like eschew



# Traits and terms

## Body Features

- Based on **whole body** description **stability** analysis by **MacLeod** et al.
  - Features showing **consistency** by different **viewers** looking at the same **subjects**
- Mostly comprised of **5 point** qualitative measures
  - e.g. very fat, fat, average, thin, very thin
- Most likely candidate for **fusion** with gait

Samangooei, Guo and  
Nixon, *IEEE BTAS* 2008

- Global
  - Sex
  - Ethnicity
  - Skin Colour
  - Age
- **Body Shape**
  - **Figure**
  - **Weight**
  - **Muscle Build**
  - **Height**
  - **Proportions**
  - **Shoulder Shape**
  - **Chest Size**
  - **Hip size**
  - **Leg/Arm Length**
  - **Leg/Arm Thickness**
- Head
  - Hair Colour
  - Hair Length
  - Facial Hair Colour/Length
  - Neck Length/Thickness





# A bit of psychology

Need to gather labels from humans

*Memory issues*: view a subject as **many** times as needed

*Defaulting*: explicitly asked to fill out every feature

*Value Judgments*: categorical qualitative values.

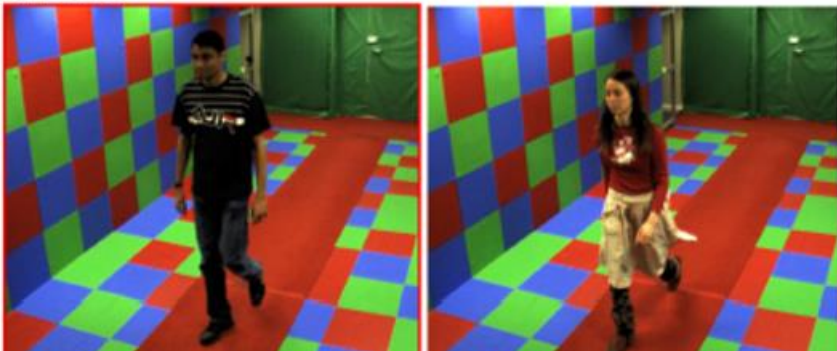
*Observer variables*: collect **description of annotators**

*Other race effect* is very difficult to handle



# Labelling via CrowdFlower

Age (required)




Compare the person on the left, to the person on the right.  
For Age, the person on the left is:

Age

☐ Much more Old  
☐ More Old  
☐ Same  
☐ More Young  
☐ Much more Young  
☐ Can't see

Gender



How different is the **appearance** and **visibility** of Gender between the two people?

Answer

<b>Visible in both images</b>	<b>Impossible to see</b>
<input type="radio"/> No different	<input type="radio"/> Impossible to see in one image
<input type="radio"/> Slightly different	<input type="radio"/> Impossible to see in both images
<input type="radio"/> Quite different	
<input type="radio"/> Very different	
<input type="radio"/> Completely different	

- Professional labelling environment
- Can **evaluate labellers** (continuously)
- Ensure **wide population** of labellers
- **Not** expensive
- **Others** available (Amazon Mechanical Turk not available in UK)

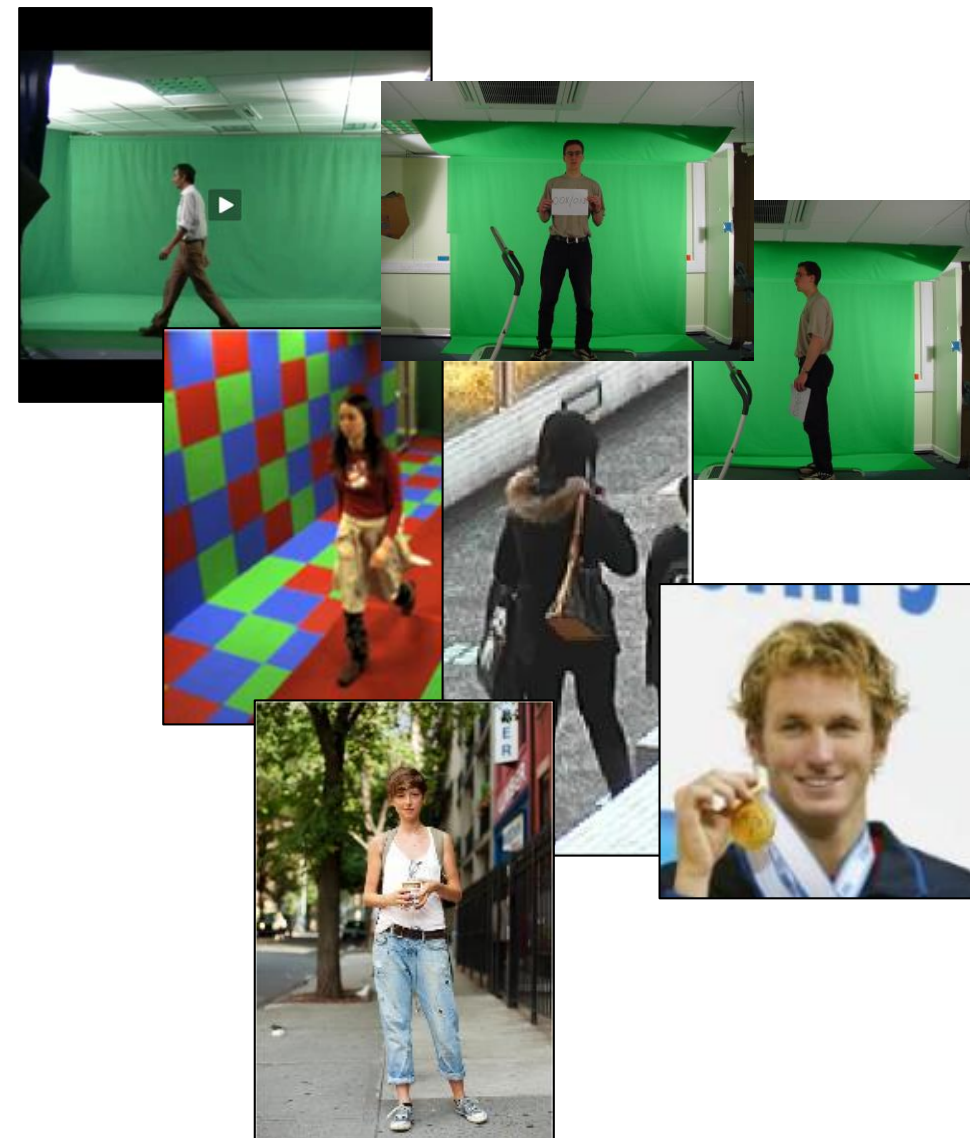
# Databases

## Laboratory

- Southampton Gait Database
- Southampton 3D Gait and Face

## 'Real' World

- PEdesTrian Attribute (PETA)
- LFW
- Clothing Attribute Dataset




# Adding semantic labels

Home
Annotate Self
Annotate Videos
logout
Logged in as: ss06r ()

**Subjects:**

- Self • Done
- 1 • Done
- 2 • Done
- 3 • Done
- 4 • Done
- 5 • Done
- 6 • Done
- 7 • Done
- 8 • Done
- 9 • Done
- 10 • Done
- 11 •



Video 1

Click **Save** when you're done annotating and have reached the bottom of the list below

Save

**Global**

Sex ?  

Male ▾

Age ?  

Middle Aged ▾

Ethnicity ?  

European ▾

Skin Colour ?  

Tanned ▾

---

**Head**

Hair Colour ?  

Grey ▾

Hair Length ?  

Short ▾

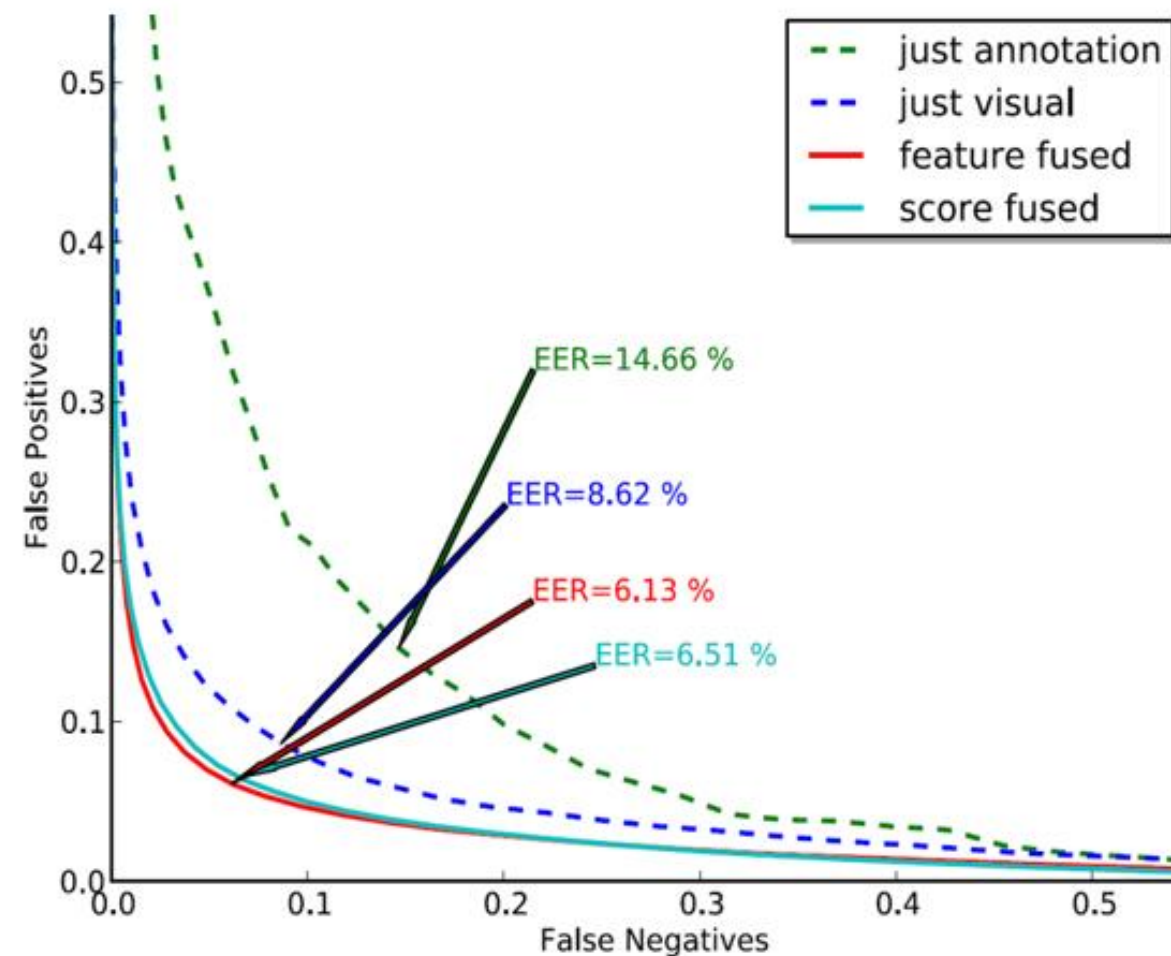
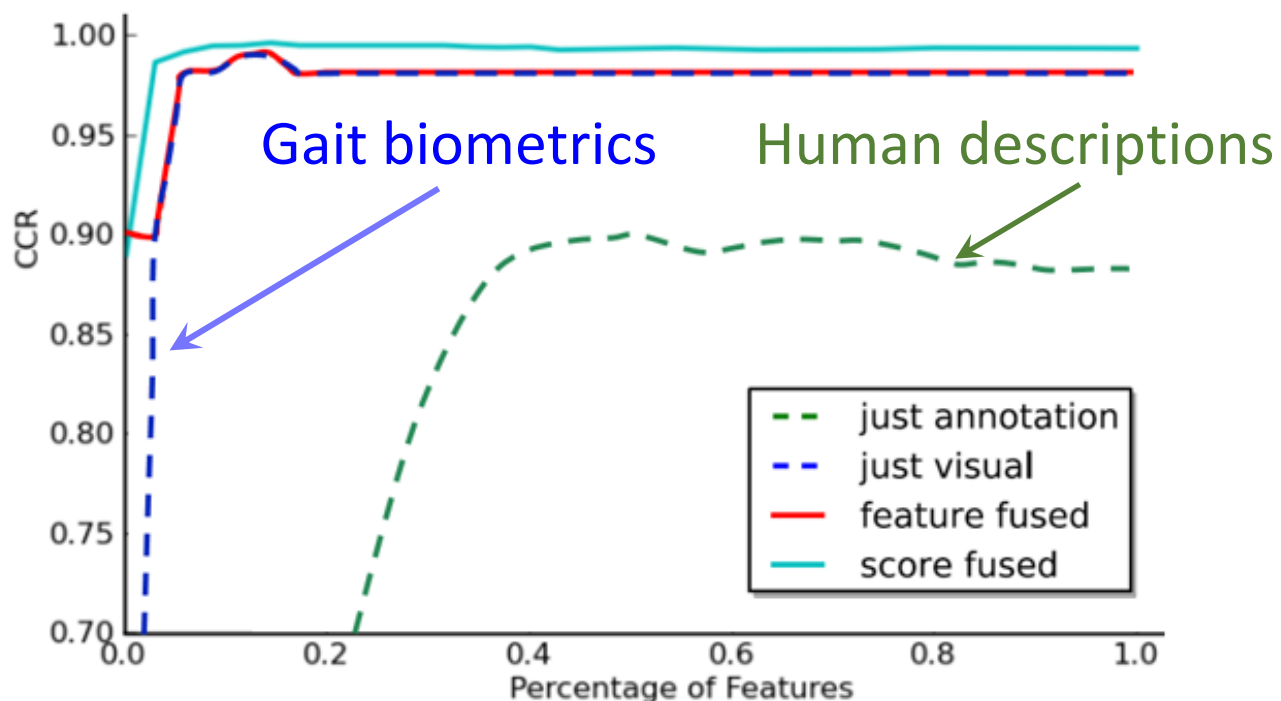
Facial Hair Length ?

Facial Hair Colour ?

**help**



# Human body descriptions: recognition capability

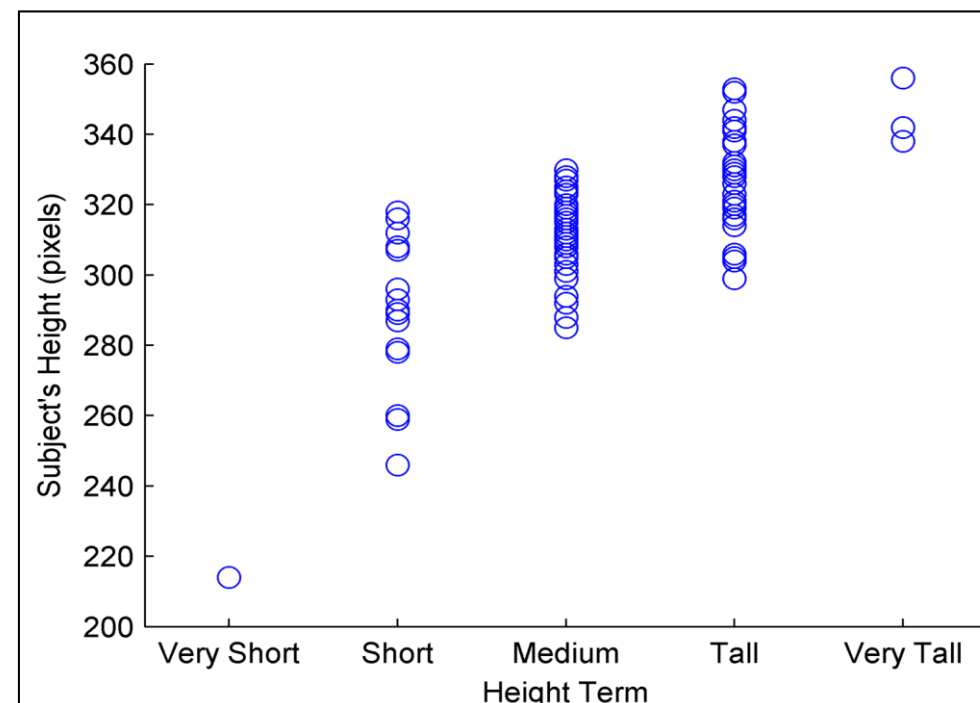


First result

Samangooei and Nixon,  
IEEE BTAS 2008

# Problems with absolute descriptors

Subjective = **unreliable**; Categorical = lacks **detail**



# Comparative human descriptions

- Compare one subject's attribute with another's
- Infer continuous relative measurements

Please compare the subject in the lower video to the subject in the top video.  
For example if the subject in the bottom video is taller than the subject

Attribute	Annotation
Age	Older <input type="button" value="v"/>
Bottom subject is OLDER than the top	
Hair Colour	Same <input type="button" value="v"/>
Subjects have roughly the SAME hair colour	
Hair Length	Longer <input type="button" value="v"/>
Bottom subject has LONGER hair than the top	
Height	Taller <input type="button" value="v"/>
Bottom subject is TALLER than the top	
Figure	Same <input type="button" value="v"/>
Subjects both have roughly the SAME figure	
Neck Length	Same <input type="button" value="v"/>
Subjects have roughly the SAME length neck	
Neck Thickness	Thinner <input type="button" value="v"/>
Bottom subject has a THINNER neck than the top	
Shoulder Shape	Same <input type="button" value="v"/>
Subjects have roughly the SAME shoulder shape	
Chest	Same <input type="button" value="v"/>
Subjects have roughly the SAME size chest	
Arm Length	Longer <input type="button" value="v"/>
Bottom subject has a LONGER arms than the top	





# Context: relative attributes



(a) Smiling



(b) ?



(c) Not smiling



(d) Natural



(e) ?



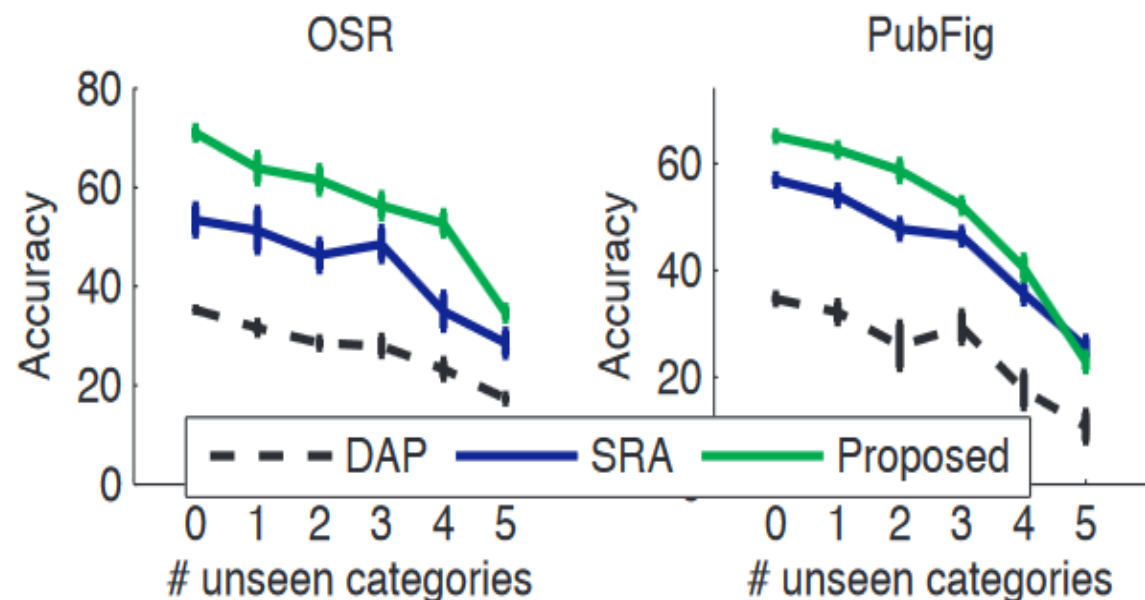
(f) Manmade

PubFig	ACHJ MS V Z	
Masculine-looking	1 1 1 1 0 0 1 1	S<M<Z<V<J<A<H<C
White	0 1 1 1 1 1 1 1	A<C<H<Z<J<S<M<V
Young	0 0 0 0 1 1 0 1	V<H<C<J<A<S<Z<M
Smiling	1 1 1 0 1 1 0 1	J<V<H<A~C<S~Z<M
Chubby	1 0 0 0 0 0 0 0	V<J<H<C<Z<M<S<A
Visible-forehead	1 1 1 0 1 1 1 0	J<Z<M<S<A~C~H~V
Bushy-eyebrows	0 1 0 1 0 0 0 0	M<S<Z<V<H<A<C<J
Narrow-eyes	0 1 1 0 0 0 1 1	M<J<S<A<H<C<V<Z
Pointy-nose	0 0 1 0 0 0 0 1	A<C<J~M~V<S<Z<H
Big-lips	1 0 0 0 1 1 0 0	H<J<V<Z<C<M<A<S
Round-face	1 0 0 0 1 1 0 0	H<V<J<C<Z<A<S<M

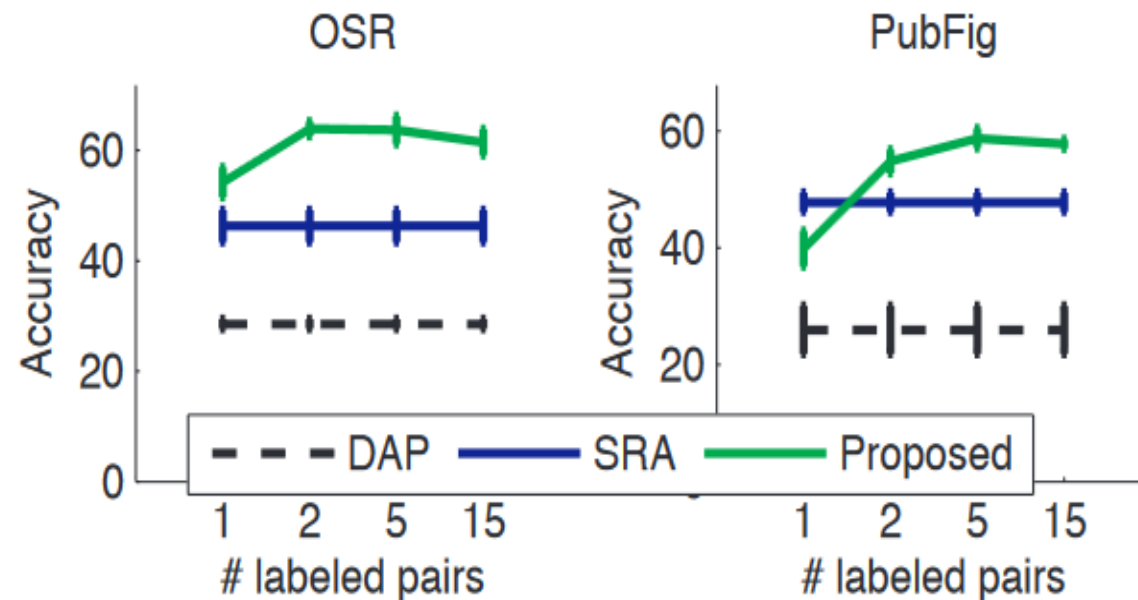
Subset of attributes and Alex Rodriguez (A), Clive Owen (C), Hugh Laurie (H), Jared Leto (J), Miley Cyrus (M), Scarlett Johansson (S), Viggo Mortensen (V) and Zac Efron (Z)

Used ranking SVM

# Context: relative attributes



Zero-shot learning performance as the proportion of unseen categories increases. Total number of classes  $N$  remains constant at 8

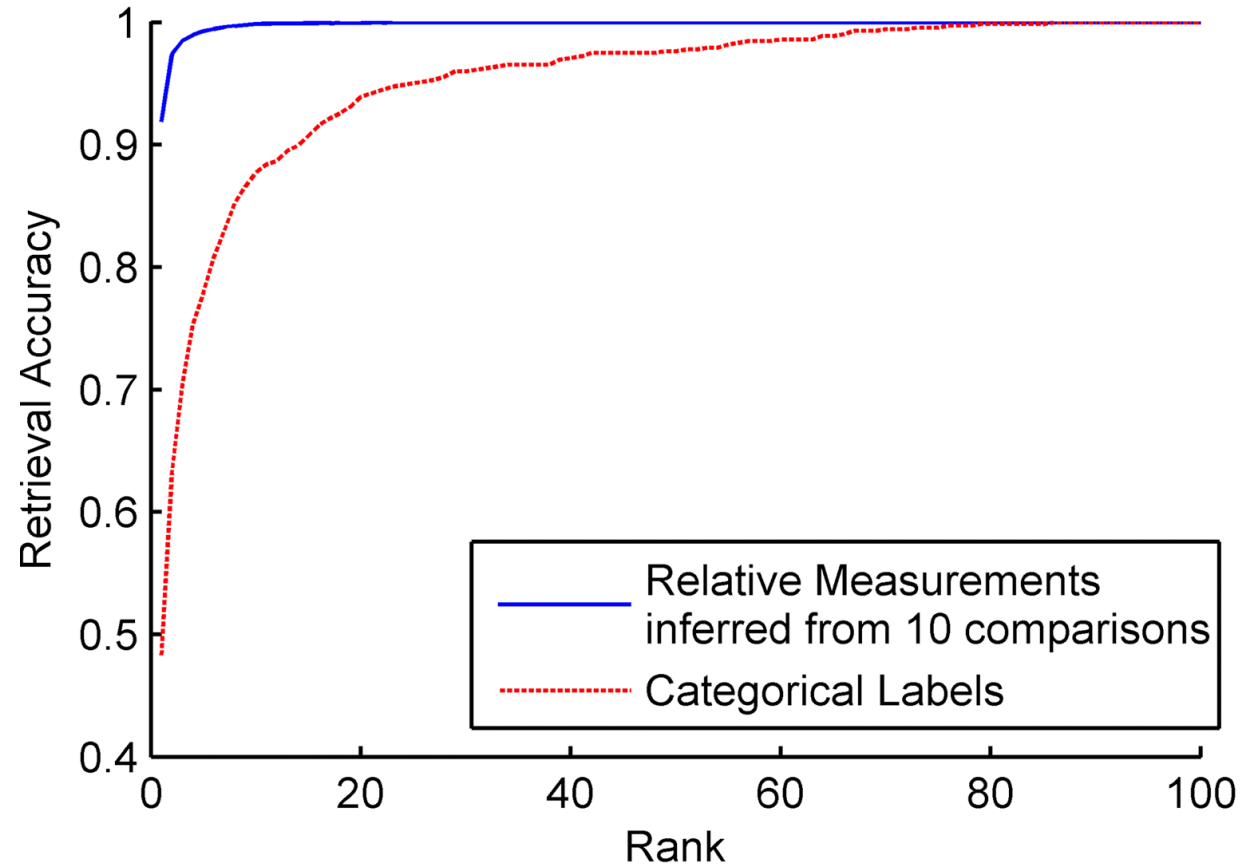


Zero-shot learning performance as more pairs of seen categories are related (i.e. labeled) during training

**DAP** Direct Attribute Prediction

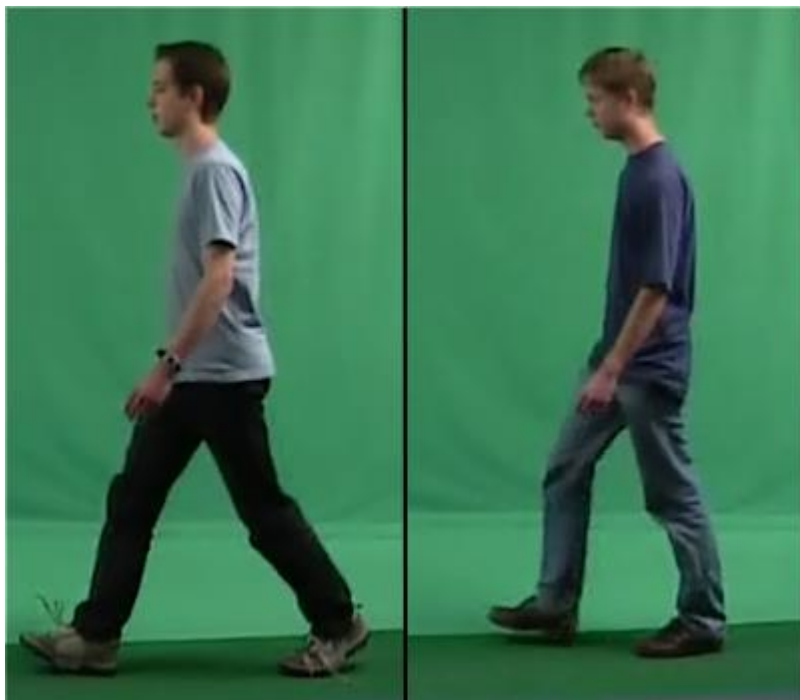
**SRA** score-based relative attributes

# Recognition



Reid and Nixon,  
*IEEE ICDP 2011*

# Recognition/ retrieval



Incorrect with 10  
comparisons

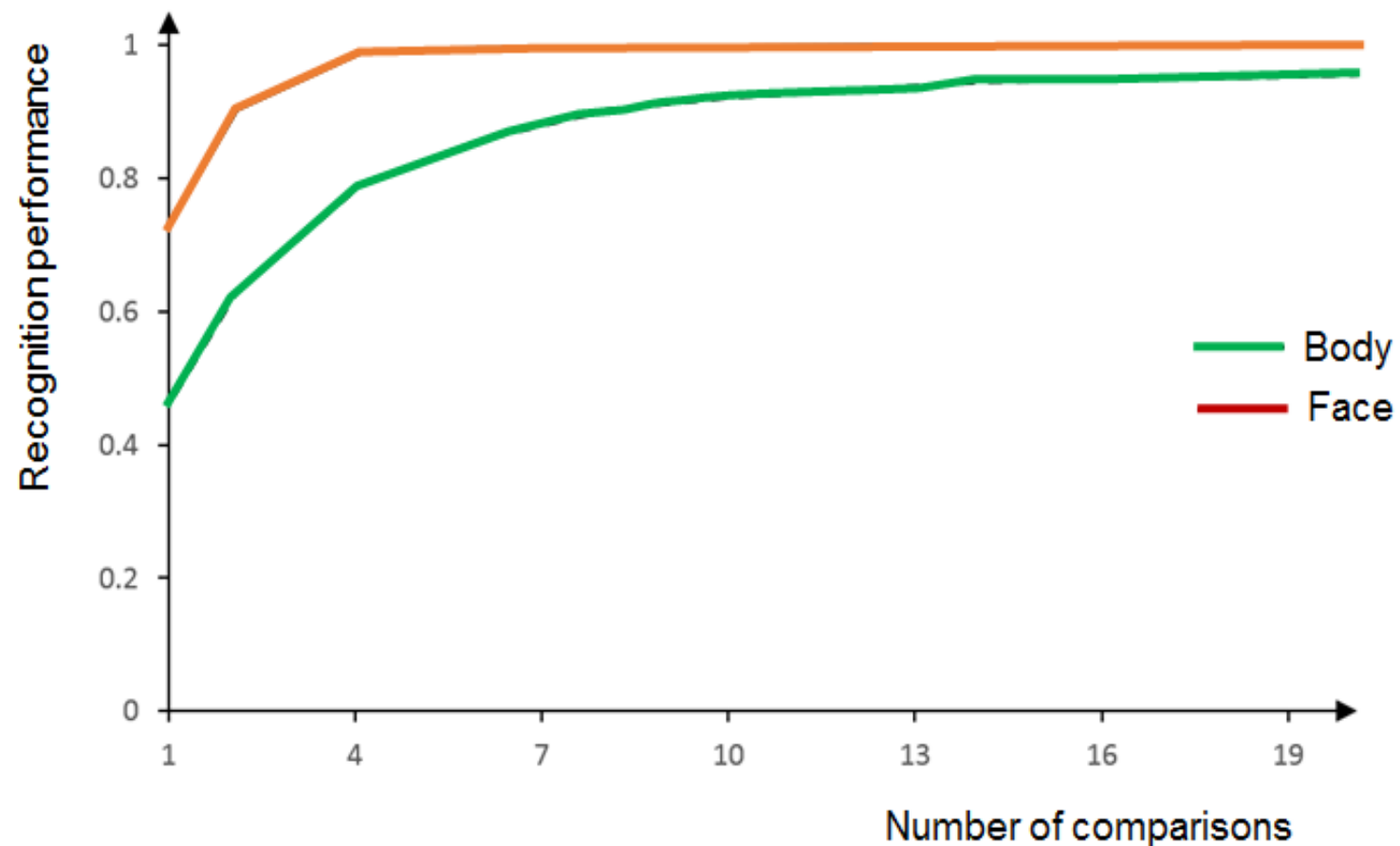


Correct with 1 comparison



# Ranking comparative descriptions

- Use **ELO rating system** from chess to infer relative descriptions
- Turn comparative labels into a **ranked list**
- Comparative › categorical
- **Alternatives?**
- **Parameters?**



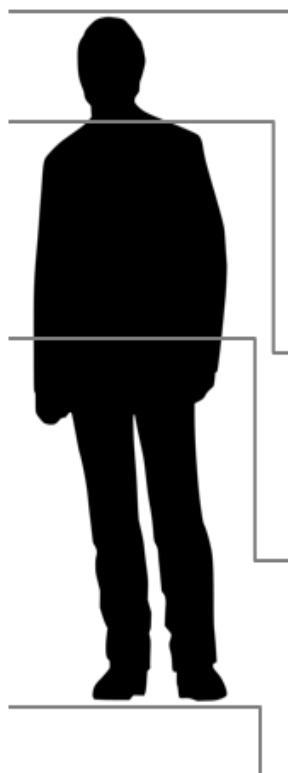
# ‘Give us the tools to finish the job’

## Components

- **Data**
- **Labels** (categorical or comparative)
- **Ranking** algorithm (for comparative labels)
- Feature selection (e.g. SFSS, entropy)
- Computer vision (feature extraction, colour mapping,)
- **Classifier** ( e.g. kNN, SVM, DBN)
- **CNNs**



# Labelling the body, face and clothing



*All*: gender, age, ethnicity, skin colour

## **General**

*Body*: figure, weight

*Face*: length, width, fleshiness

*Clothing*: tattoos, attachment(s), overall style category

## **Head/ Face**

*Body*: skin colour, hair colour/ length, neck length/ thickness

*Face*: parts of skin, hair, forehead, eyes, ears, nose, lips, chin

*Clothing*: hat, face/ head coverage

## **Upper Body**

*Body*: arm length/ thickness, chest,

*Clothing*: neckline, clothing category, sleeve length

## **Lower Body**

*Body*: leg length/ shape/ thickness, hips' width

*Clothing*: clothing category/ length, belt, shoes, heel

# Body

**Eyewitness statement**  
“24 year old male average height  
wearing shirt”

Generate description

**Image of crime**



Subject	Gender	Age	Height	Nose W	Top
?	M	24	171	2.4	Shirt

**Database of images**



Generate descriptions

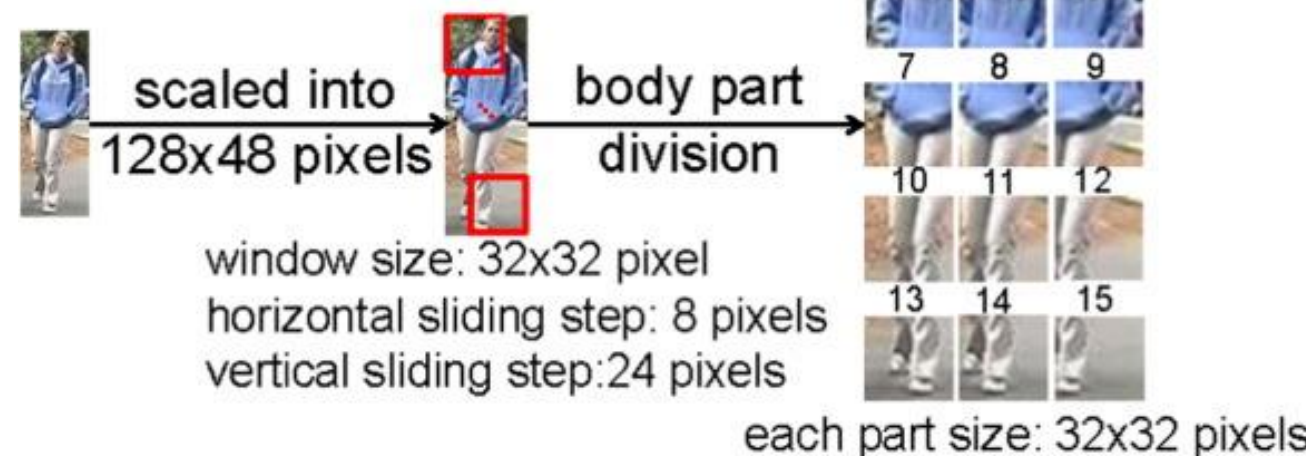
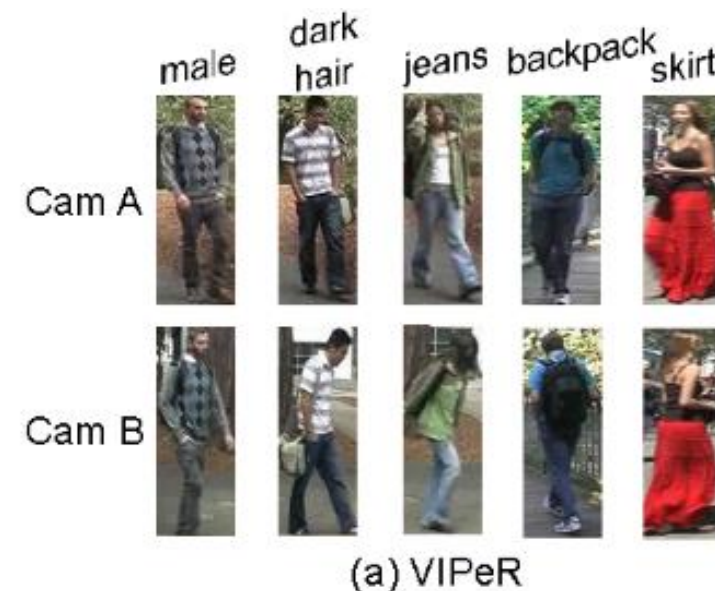
Subject	Gender	Age	Height	Nose W	Top
123456	M	25	172	2.3	Shirt
123457	F	36	156	2.2	Blouse
123458	M	58	182	1.2	T shirt

**Database of  
descriptions**

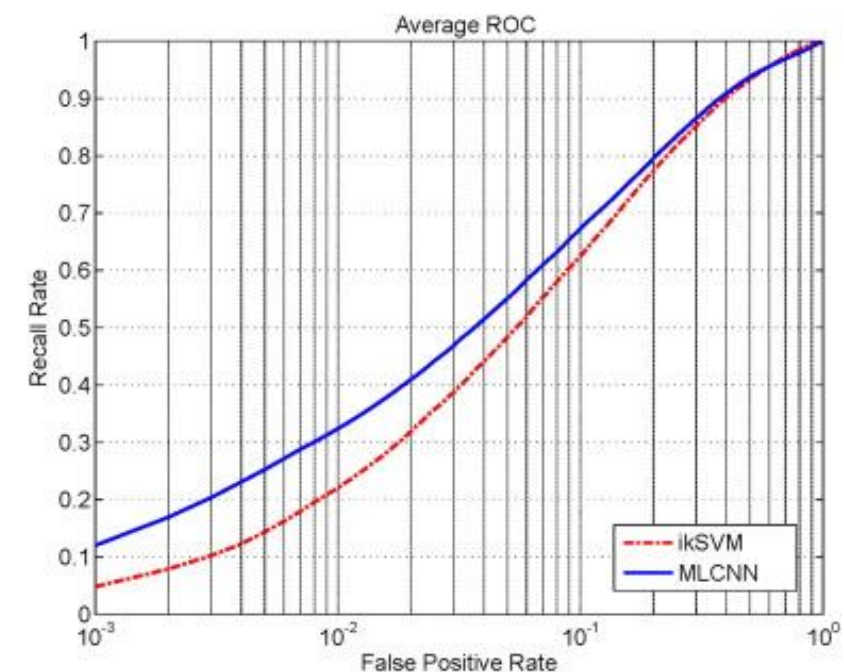
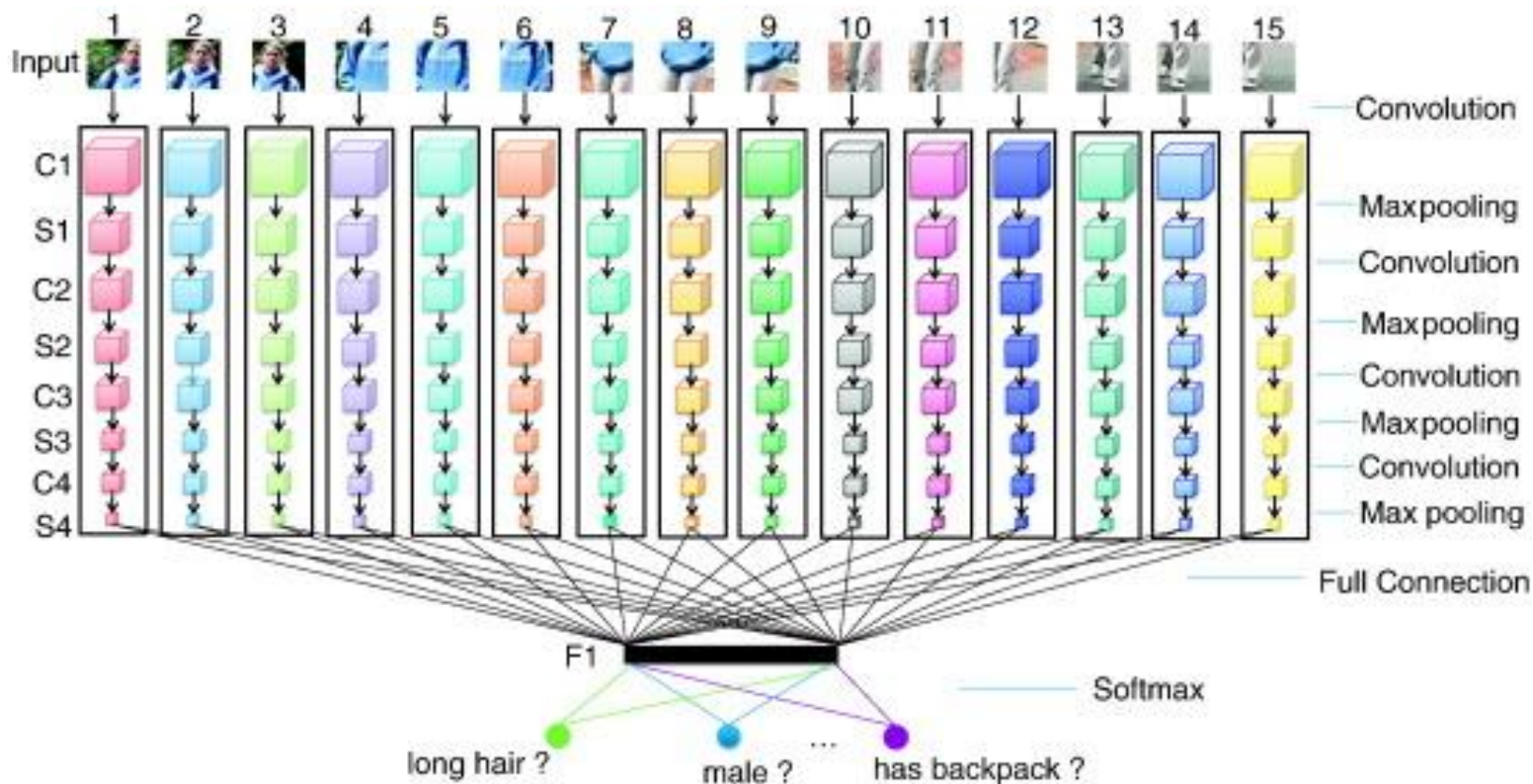


# Context: attribute estimation

- Pedestrian attribute estimation (gender, clothing)
- Pre-segment pedestrian image
- Use multi label CNN
- Applied to VIPeR , GRID and PETA
- Increased average attribute estimation
- Can be used for re-identification



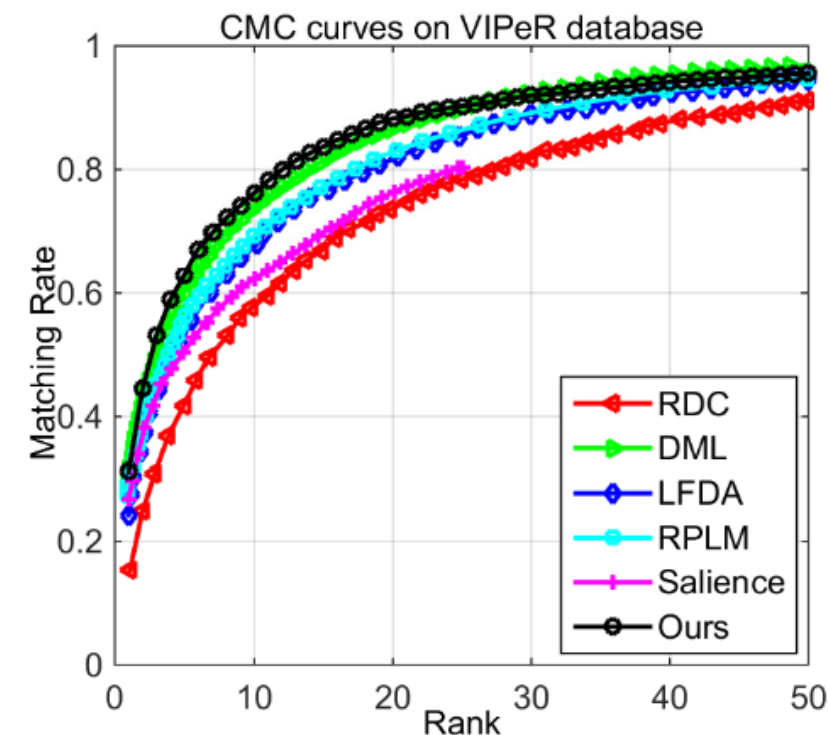
# Context: attribute estimation



Analysis on PETA

# Context: attribute estimation

attribute	accuracy rate (%)		recall rate (%) @ FPR=10%		AUC(%)	
	ikSVM	MLCNN	ikSVM	MLCNN	ikSVM	MLCNN
accessoryHat	92.04	96.05	81.37	86.06	91.27	92.62
accessoryMuffler	94.84	97.17	90.68	88.42	95.09	94.47
accessoryNothing	78.87	86.11	35.37	52.57	81.79	86.09
carryingBackpack	76.39	84.30	46.19	58.40	84.52	85.19
carryingMessengerBag	74.51	79.58	50.22	58.30	78.44	82.01
carryingNothing	75.84	80.14	49.36	55.15	81.60	83.08
carryingOther	76.18	80.91	38.57	46.90	74.11	77.68
carryingPlasticBags	86.86	93.45	70.57	67.30	87.69	86.01
footwearBlack	74.29	75.97	50.37	57.24	81.42	84.07




Analysis on ViPER



# Crowdsourcing body labels

Soft traits	Response labels (5-p 5
Gender	Much more Feminine
Age	Much more Old
Height	Much more Tall
Weight	Much more Heavy
Figure	Much more Fat
Chest size	Much more Big
Arm thickness	Much more Thick
Leg thickness	Much more Thick
Skin colour	Much more Dark
Hair colour	Much more Dark
Hair length	Much more Long
Muscle build	Much more Muscle

Age (required)



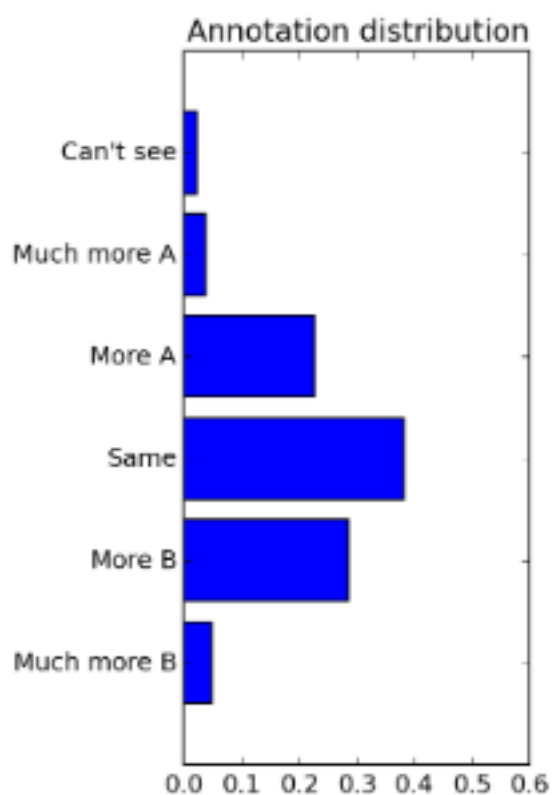
Compare the person on the left, to the person on the right.  
For Age, the person on the **left** is:

Age

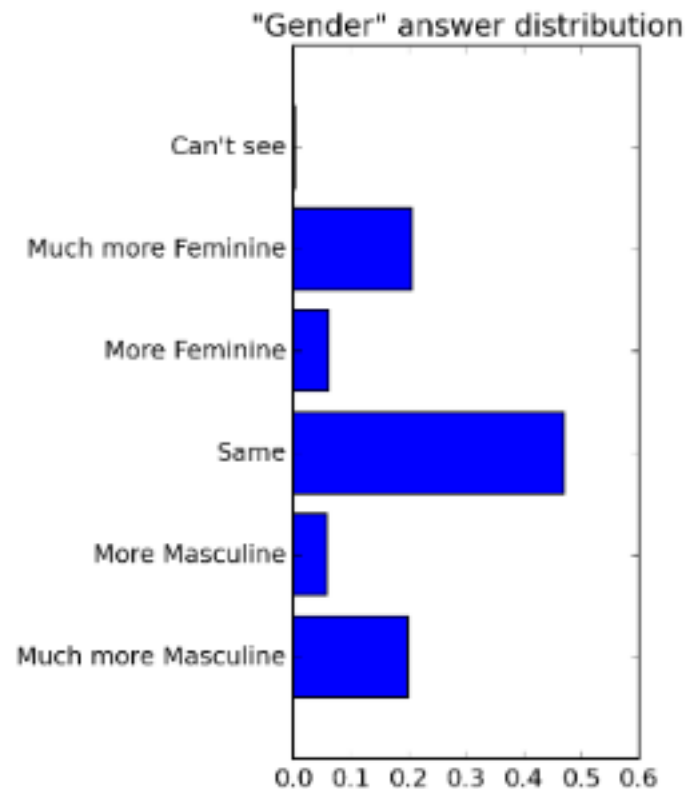
☐ Much more Old  
☐ More Old  
☐ Same  
☐ More Young  
☐ Much more Young  
☐ Can't see



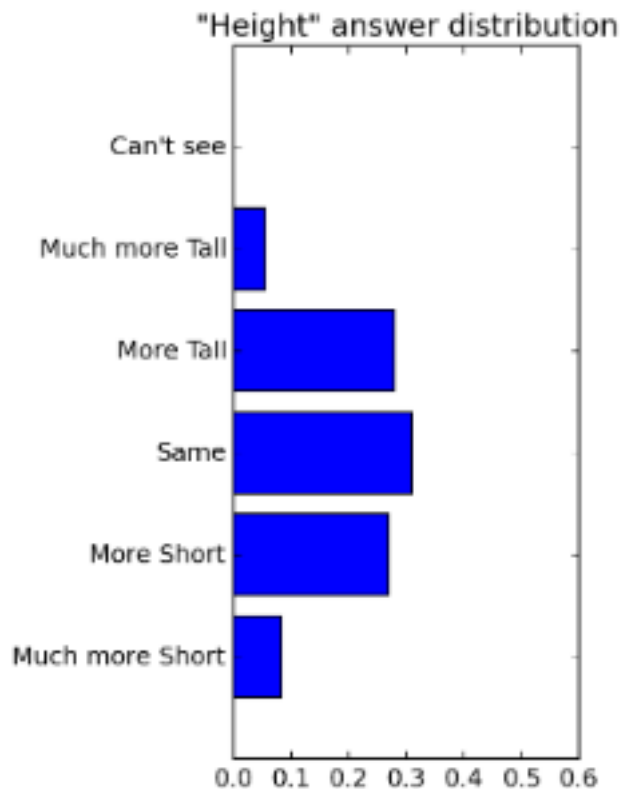
# Distributions of body labels



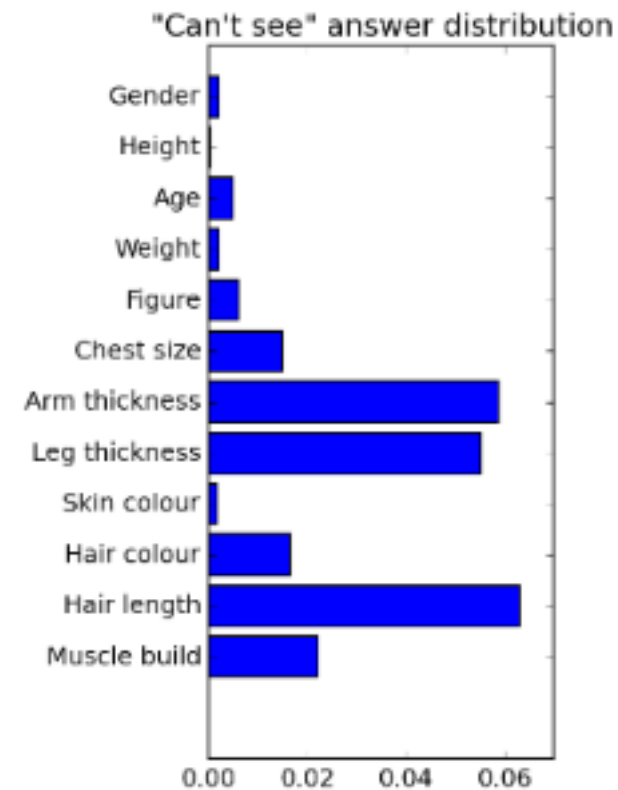
All



Gender

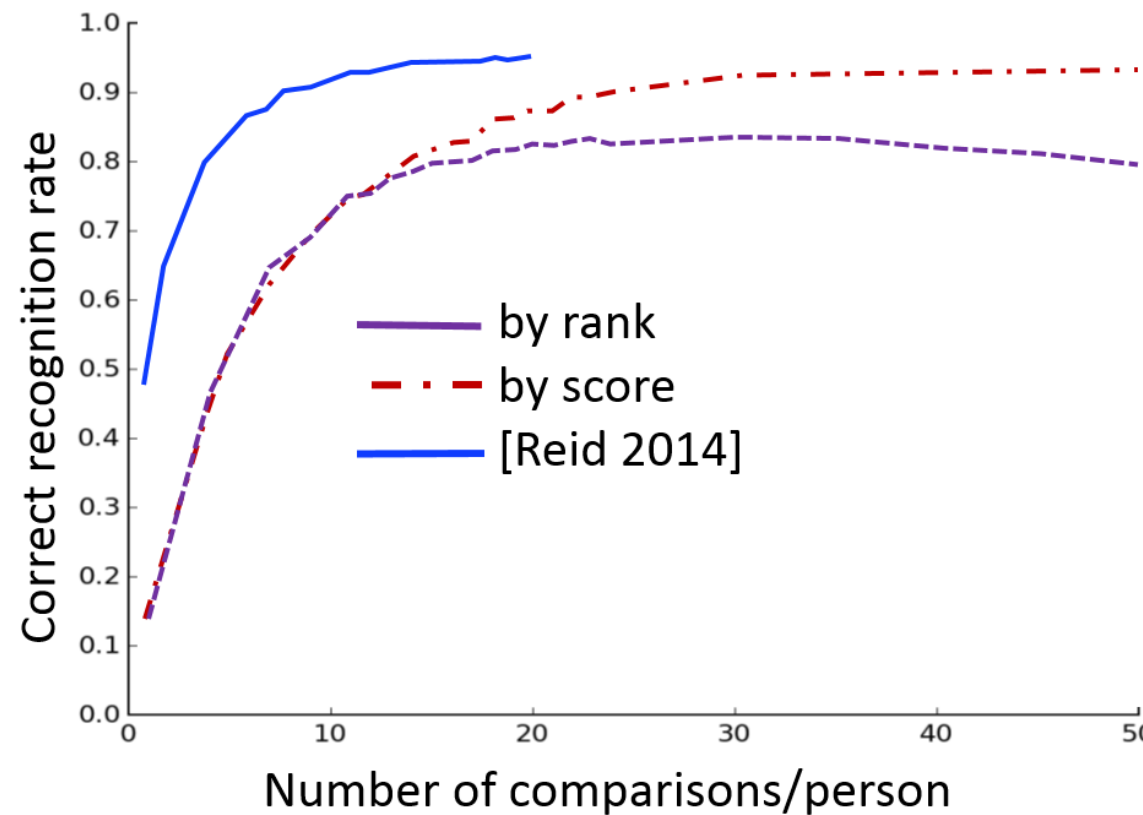
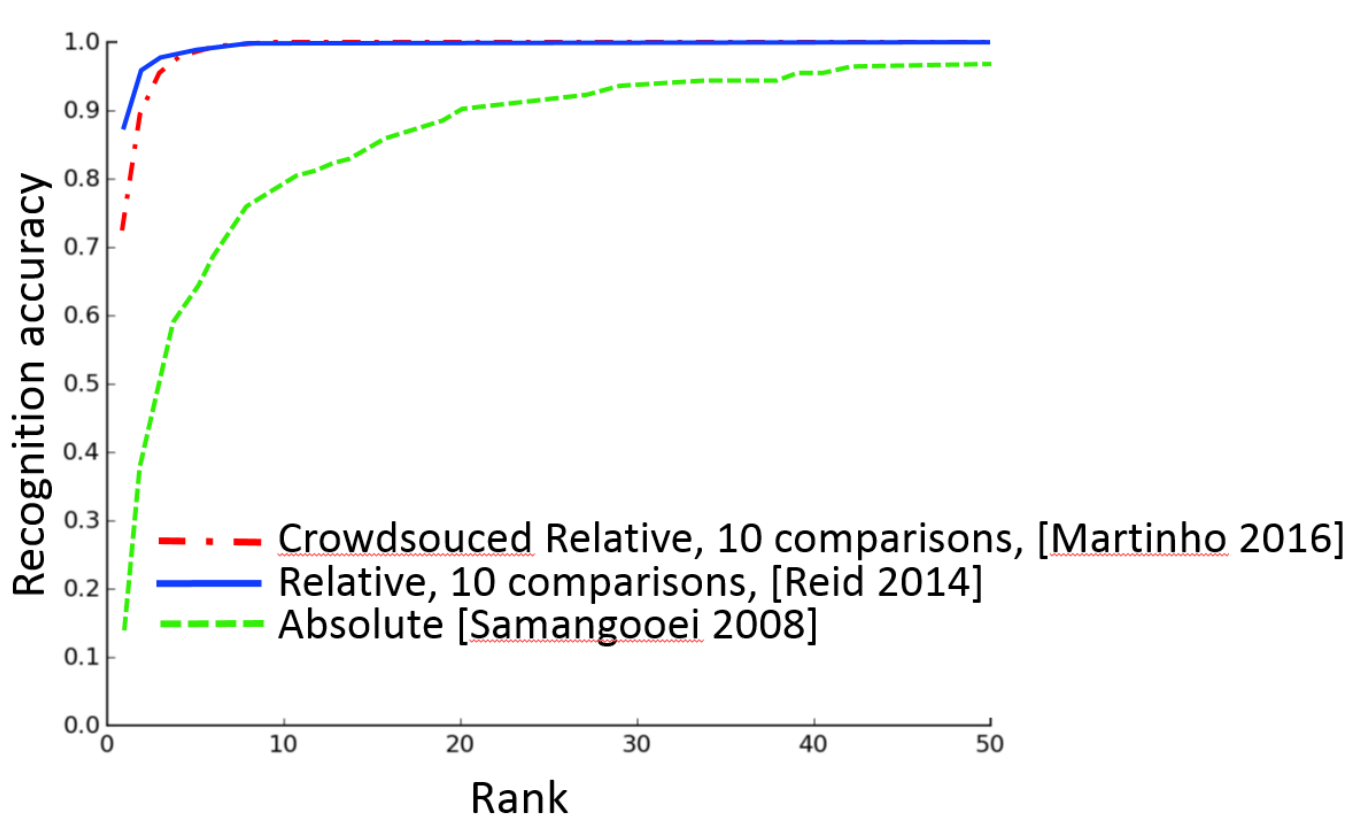


Height



Uncertainty

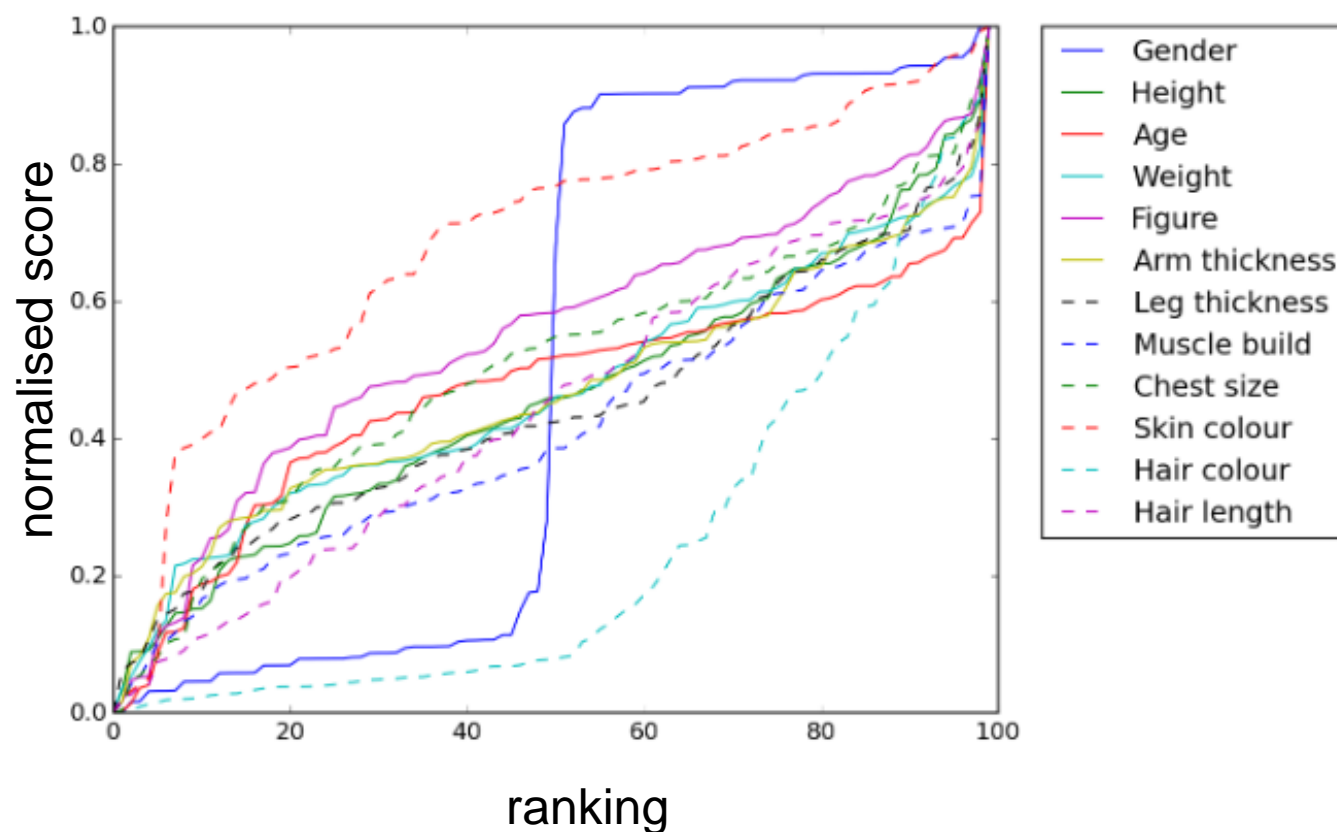
# Recognition by crowdsourced body labels



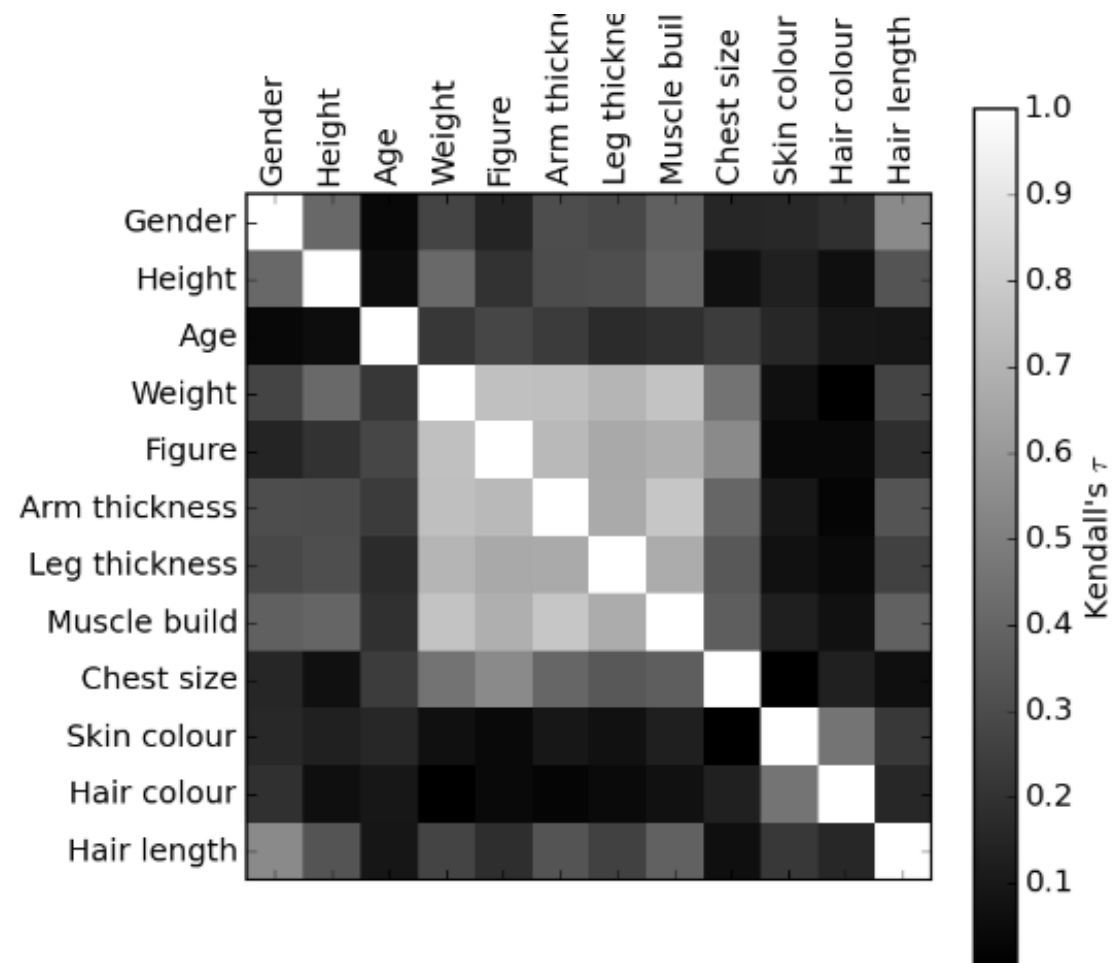
Lower recognition accuracy (expected)

More labels and comparisons increase accuracy (expected)

# Trait performance

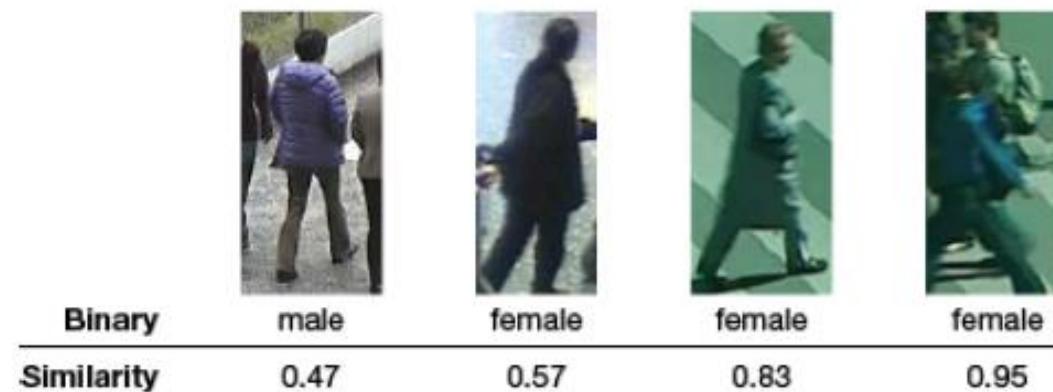
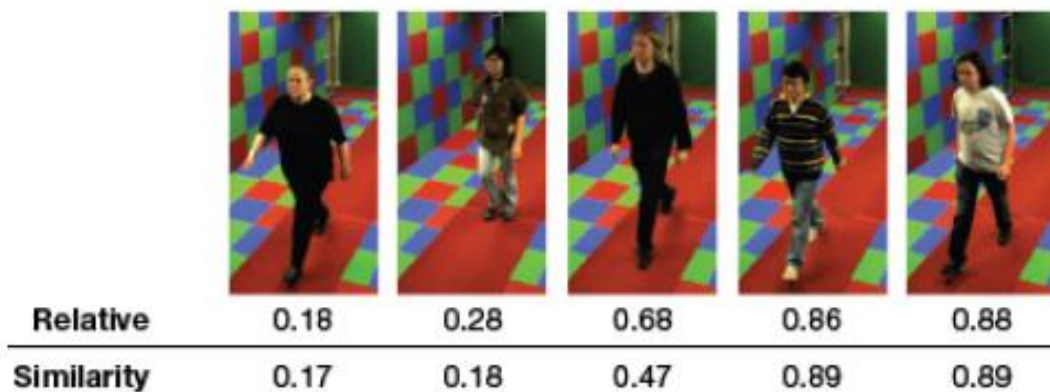
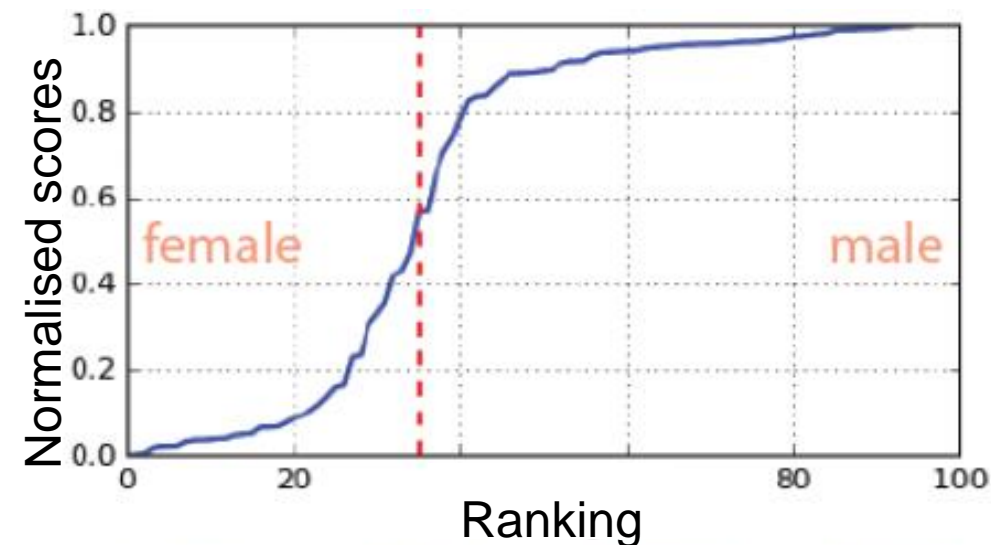
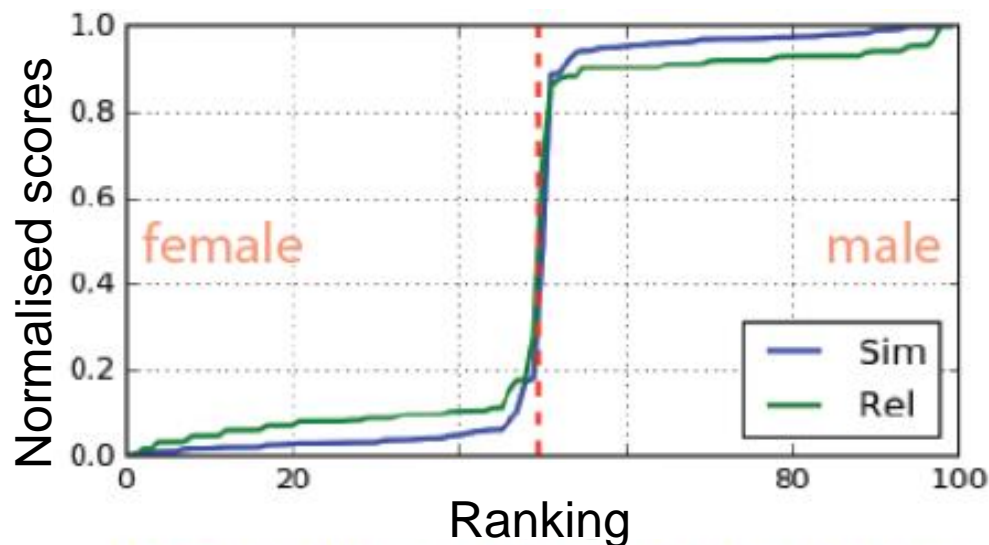


Normalised relative scores vs ranks



Kendall's  $\tau$  correlation

# Pairwise similarity comparisons on PETA



Gender distribution not binary  
Can measure confidence



# Analysing gender on PETA

## Group 0 - "male"

54 subjects  
6.8% uncertainty  
(98.1% labelled male)



## Group 1 - "female"

27 subjects  
6.8% uncertainty  
(0.0% labelled male)



## Group 2 - "possibly male"

6 subjects  
25.8% uncertainty  
(66.7% labelled male)

## Group 3 - "neutral"

1 subject  
3.2% uncertainty  
(0.0% labelled male)

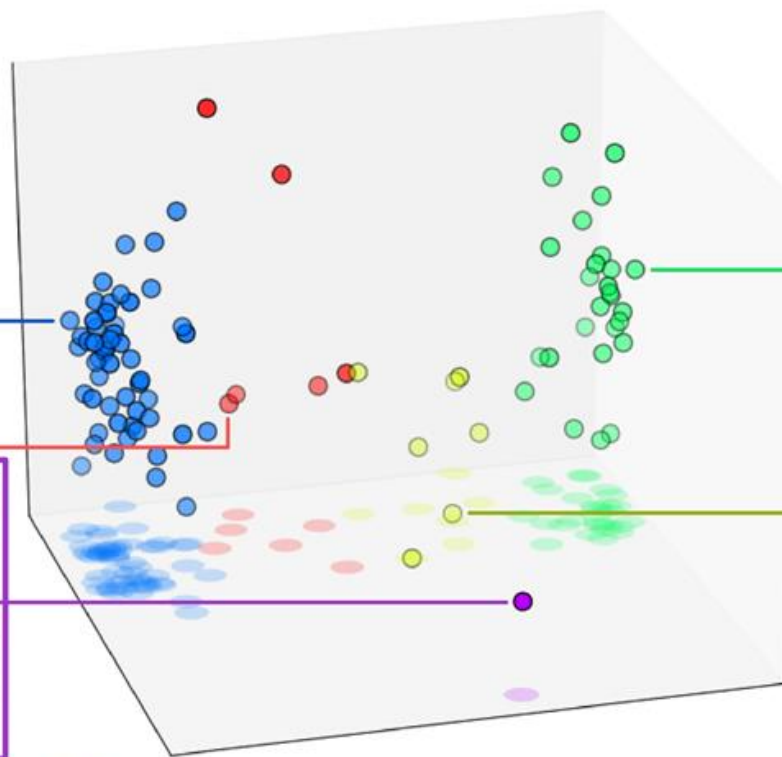
## Overall

95 subjects  
9.7% uncertainty  
(61.1% labelled male)



## Group 4 - "possibly female"

7 subjects  
31.5% uncertainty  
(14.3% labelled male)

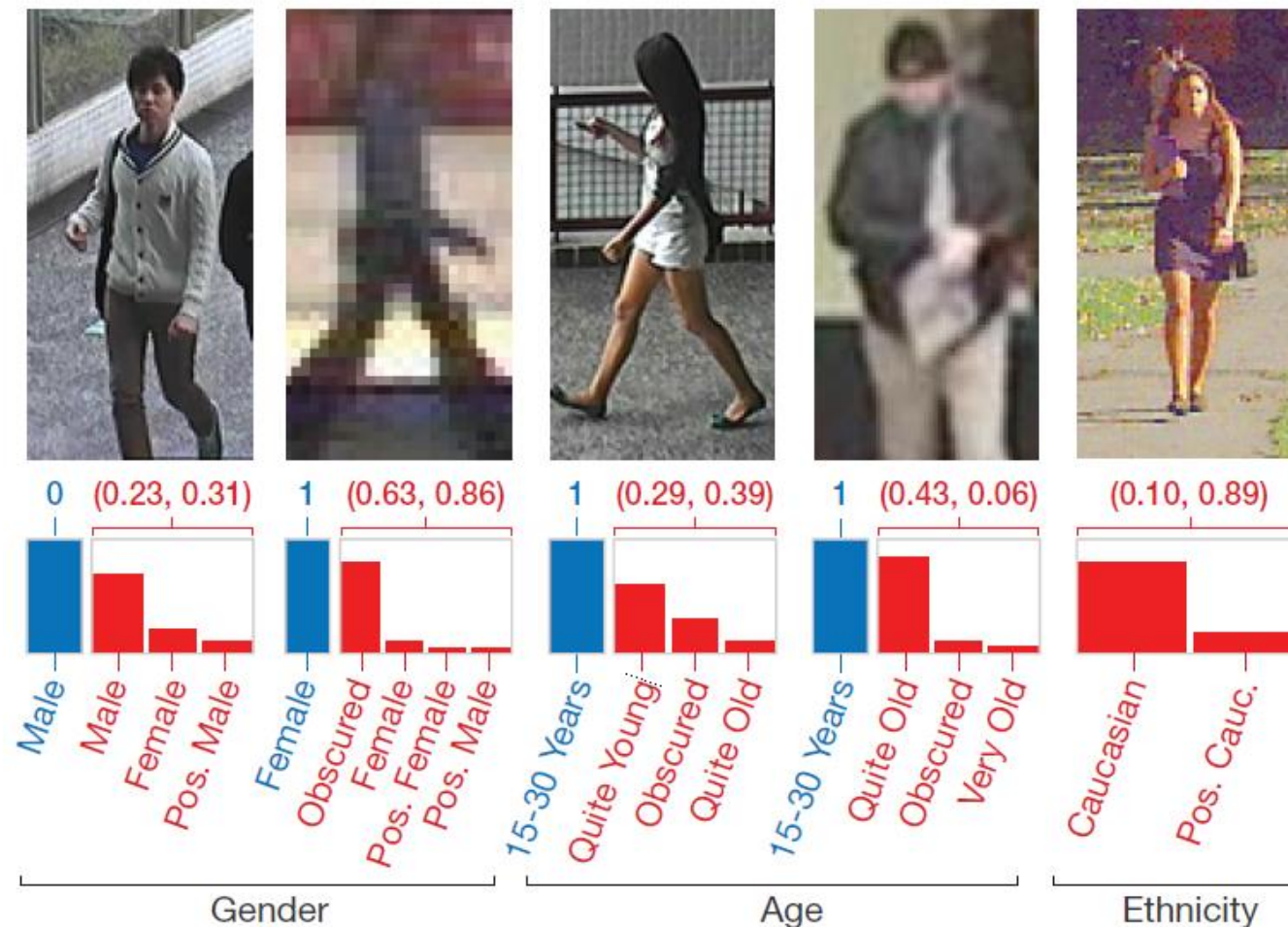


# Superfine labels

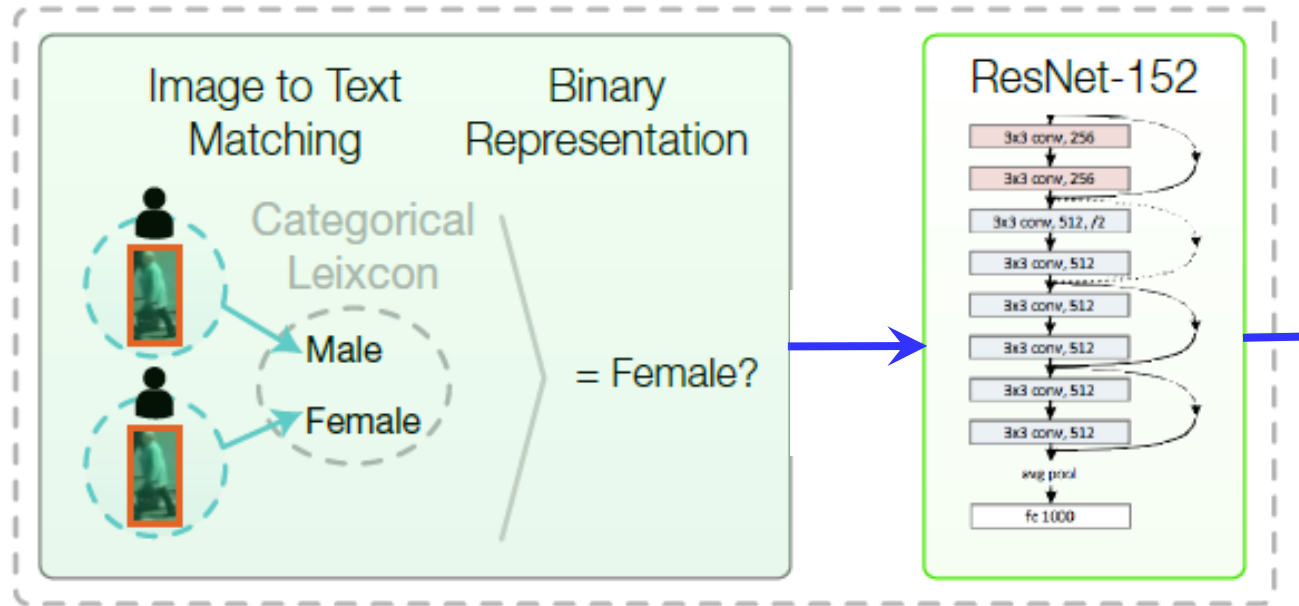
Most 'fine' are actually  
**coarse**

Our comparative attributes  
are **superfine**

Comparison/ ranking gives  
many advantages

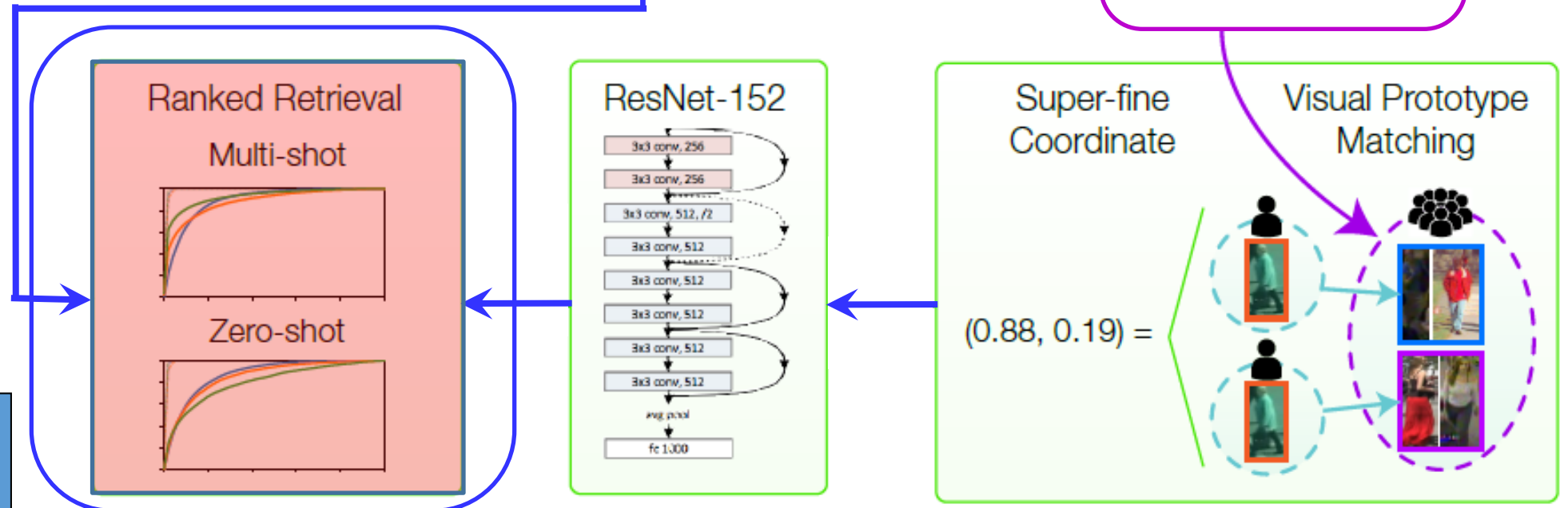


## Conventional attribute-based analysis



## Retrieval architecture

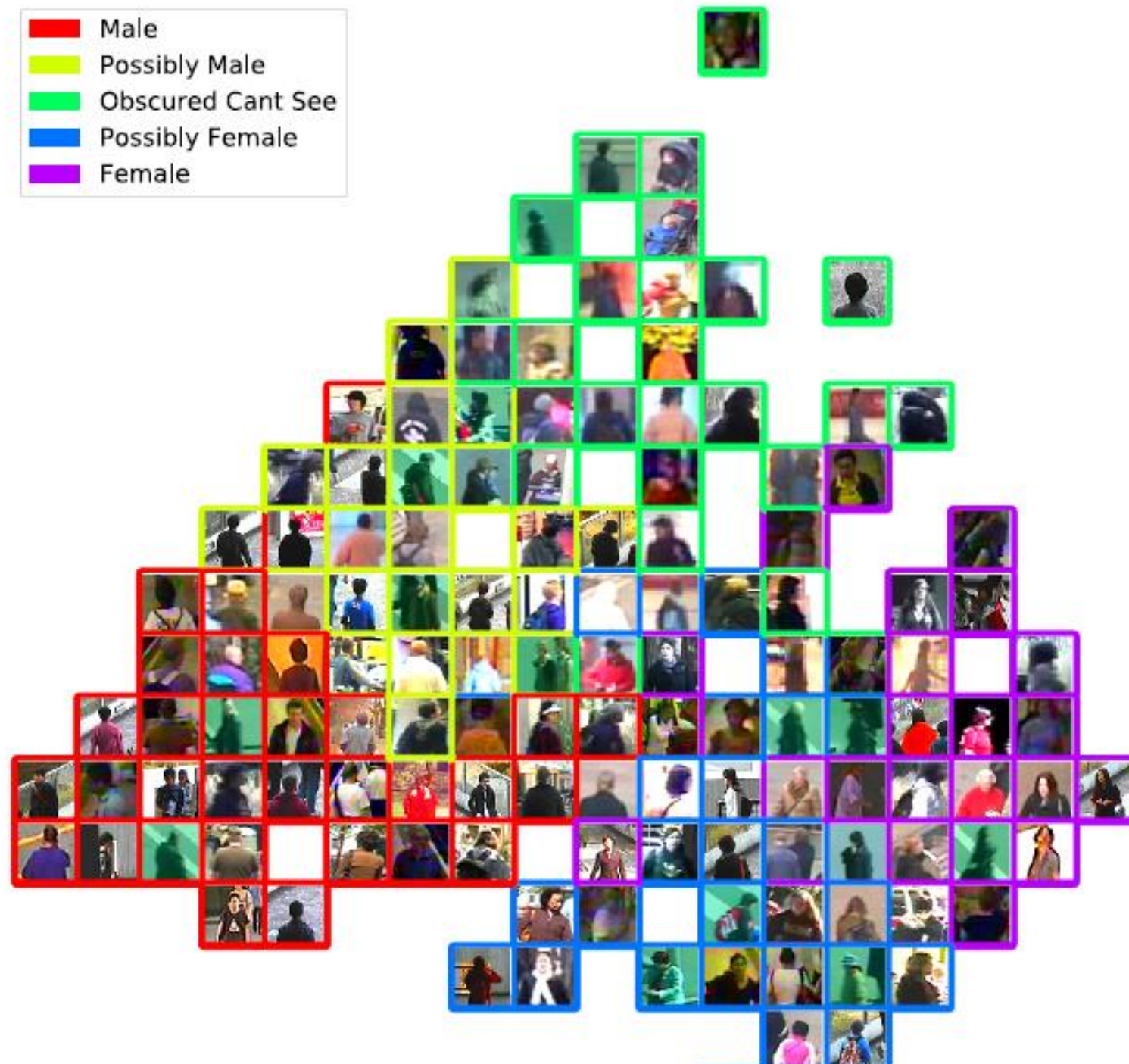
Superfine  
attribute  
analysis





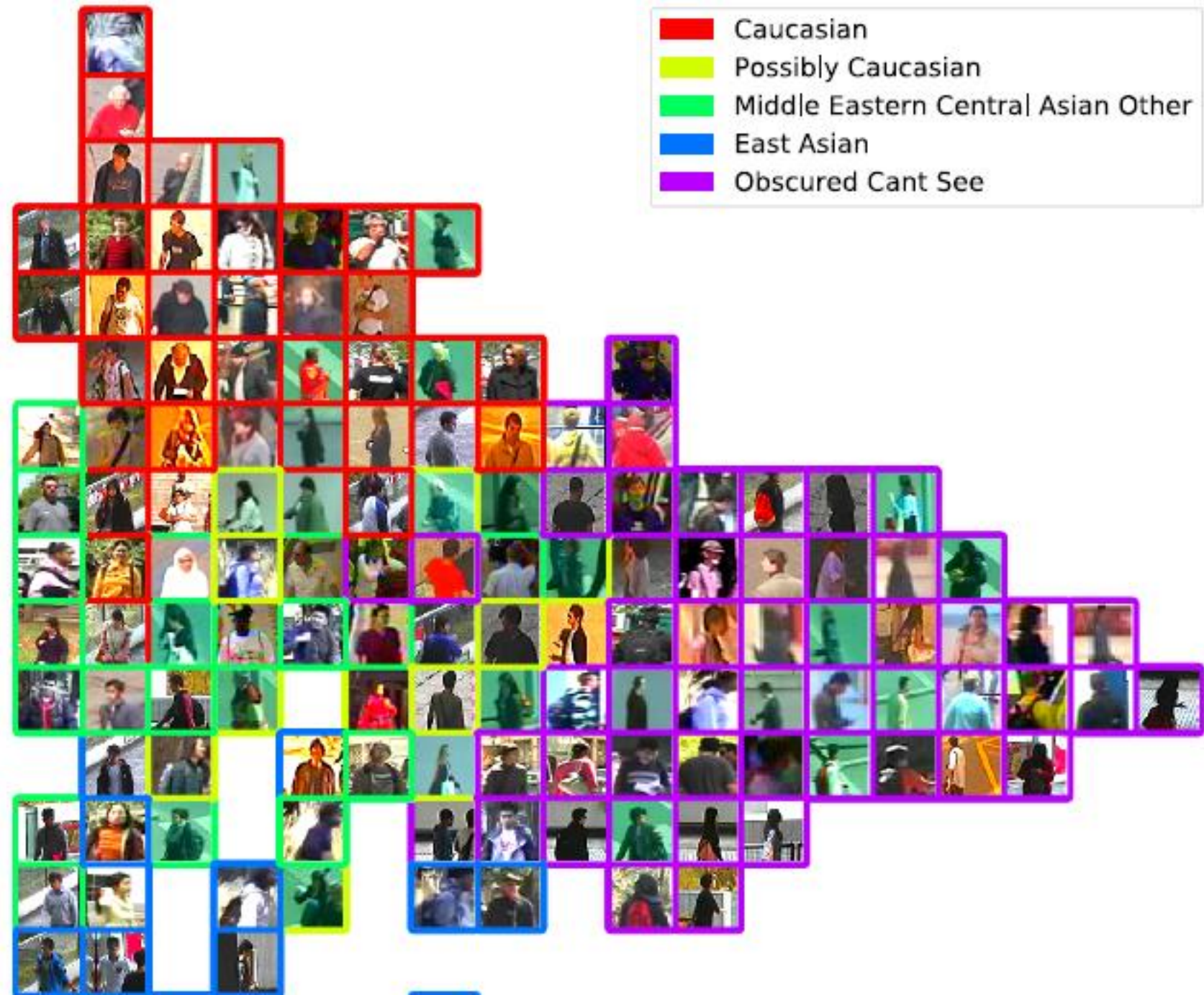
# Gender

- Male
- Possibly Male
- Obscured Cant See
- Possibly Female
- Female

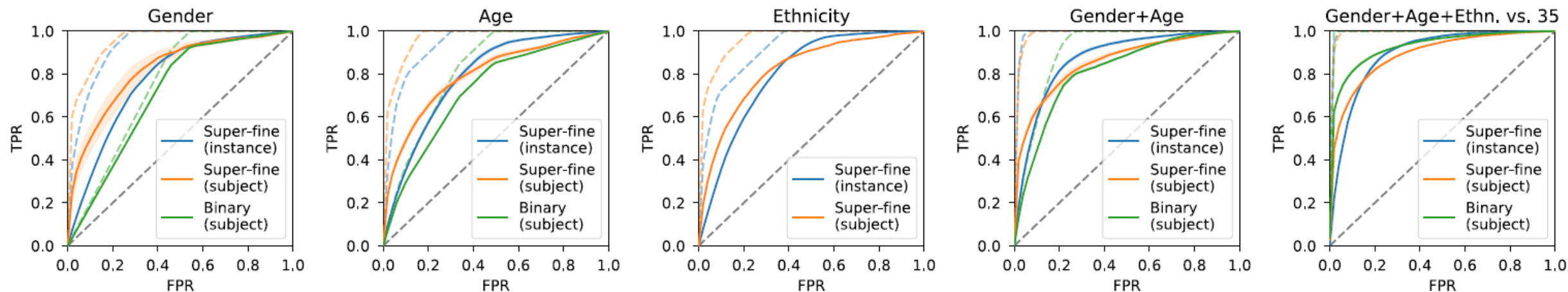




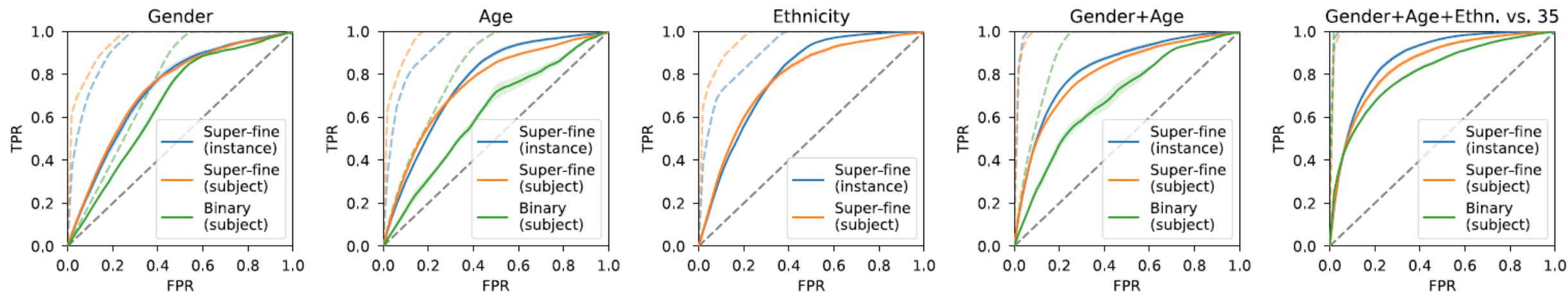
# Ethnicity



# Overall



(a) Multi-shot scenario (instance-level set-split criteria).



(b) Zero-shot scenario (subject-level set-split criteria).

# Face

**Eyewitness statement**  
“24 year old male average height  
wearing shirt”

Generate description

**Image of crime**



Subject	Gender	Age	Height	Nose W	Top
?	M	24	171	2.4	Shirt

**Database of images**




Generate descriptions

Subject	Gender	Age	Height	Nose W	Top
123456	M	25	172	2.3	Shirt
123457	F	36	156	2.2	Blouse
123458	M	58	182	1.2	T shirt

**Database of  
descriptions**

# Analysing gender (??!!)

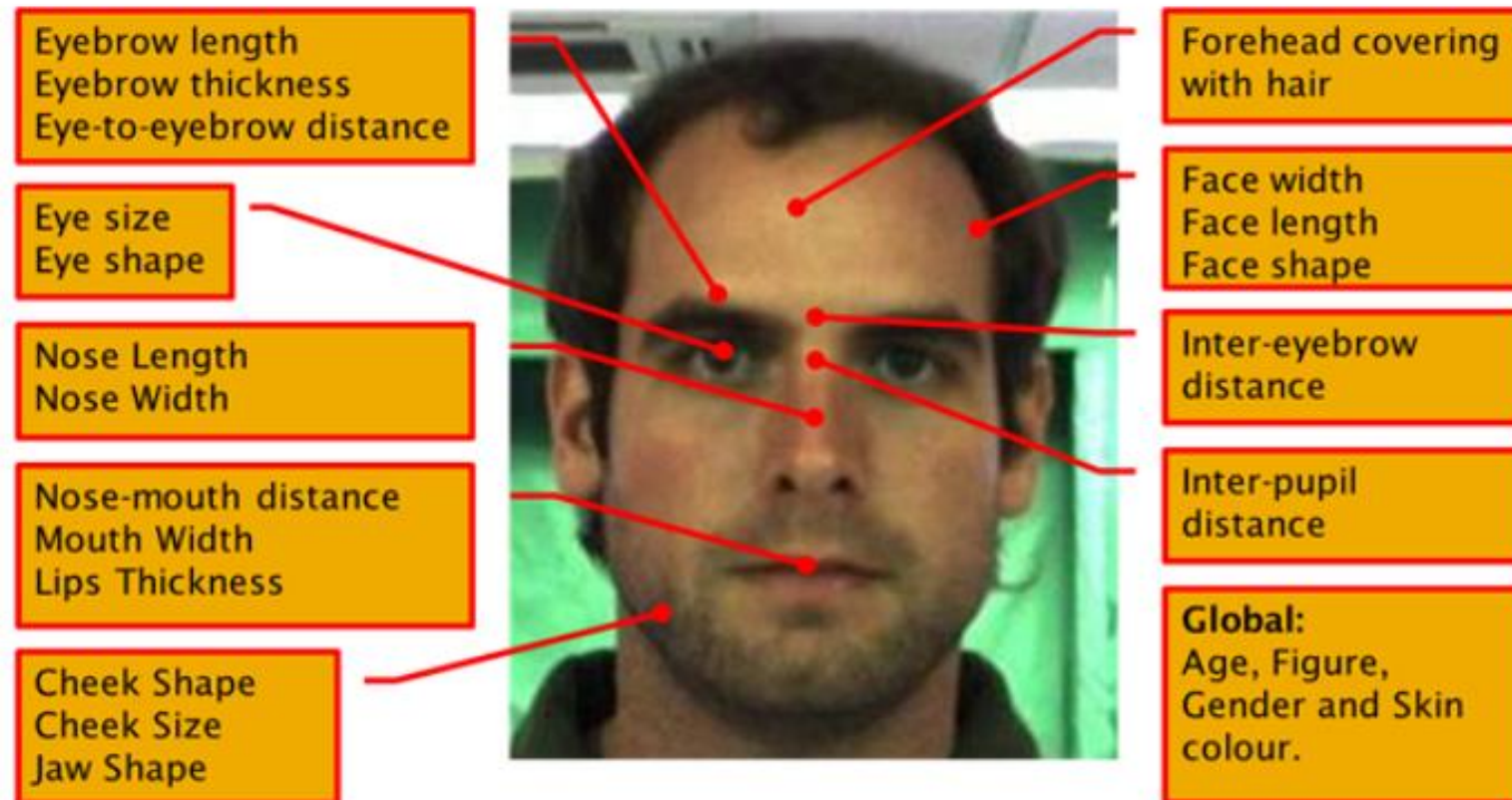
- Gender?

Subject	1	2	3
			
Gender			<p>A. Male</p> <p>B. Female</p>



# Recognition by face attributes

Categorical labels  
(gender, age +...)  
Comparative labels



Reid and Nixon, *IEEE ICB 2013*

Almudhahka, Nixon and Hare, *IEEE ISBA 2016*

# Recognition by face via comparative attributes on LFW

	Collected	Inferred	Total
Traits comparisons	241560	132879504	133121064
Subjects' comparisons	10065	5536646	5546711
Average number of comparisons per subject	4.98	1371.1	N/A
Number of annotators	9901		



Person-A



Person-B

The eyebrow horizontal length of **person-A** relative to that of **person-B** is:

- More Short
- Same
- More Long
- Don't know

# Compression of 5 point scale: recognition by comparative face labels

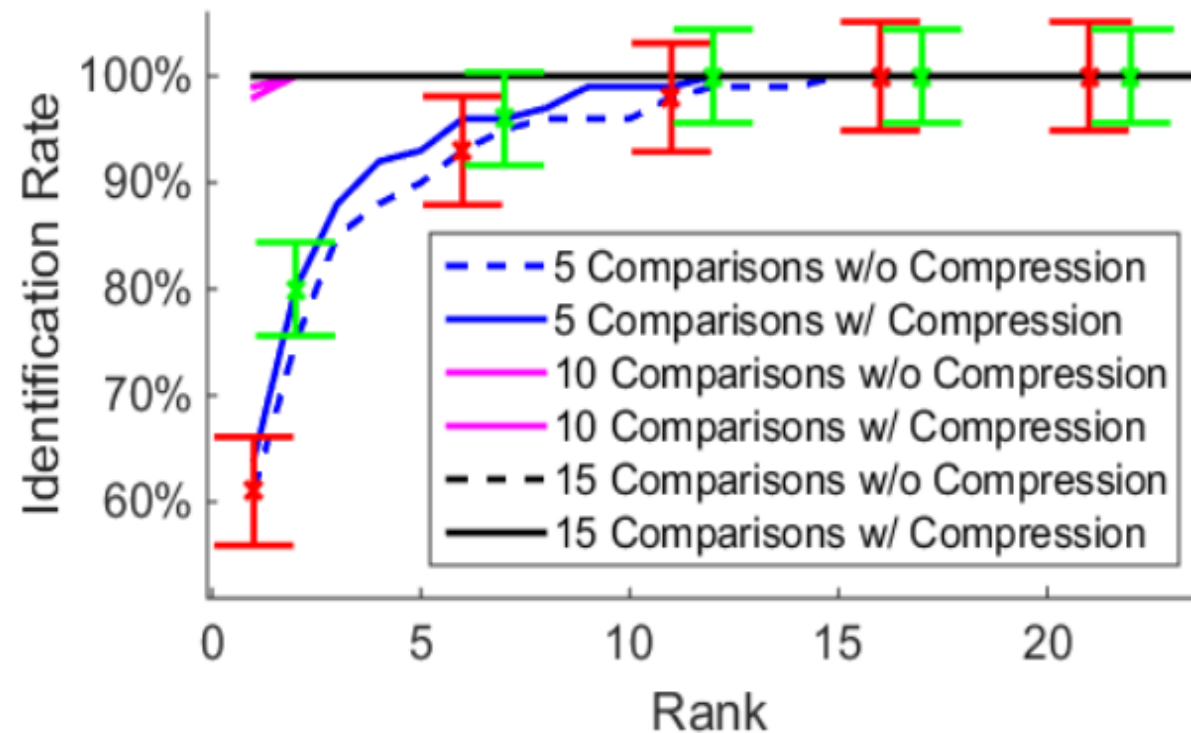
Label compression improves recognition

Data is Southampton tunnel

New system just 3:

**bigger, same, smaller**

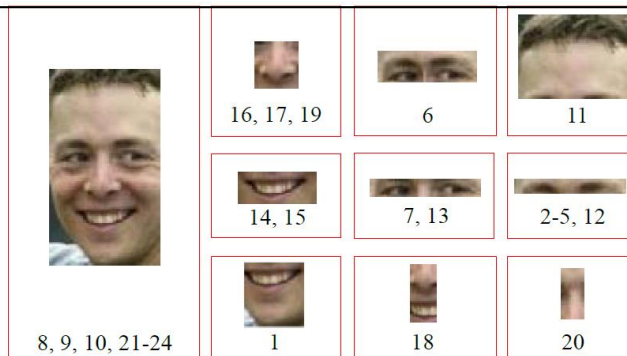
Had we previously added categorical to comparative?



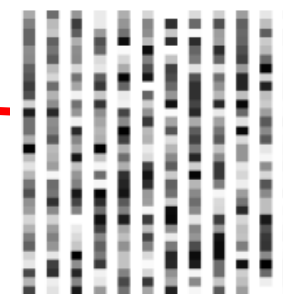
# Crossing the semantic gap: estimating relative face attributes



Face alignment  
Constrained Local Models/ AAMs

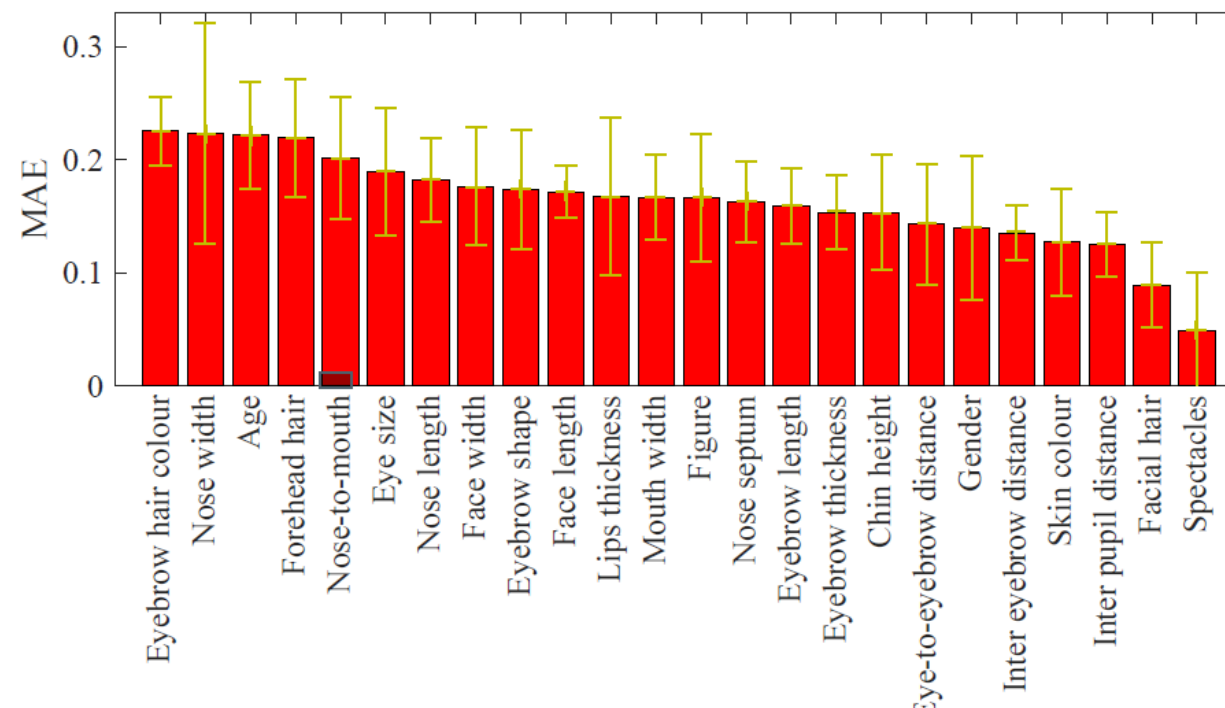


Segmented face parts



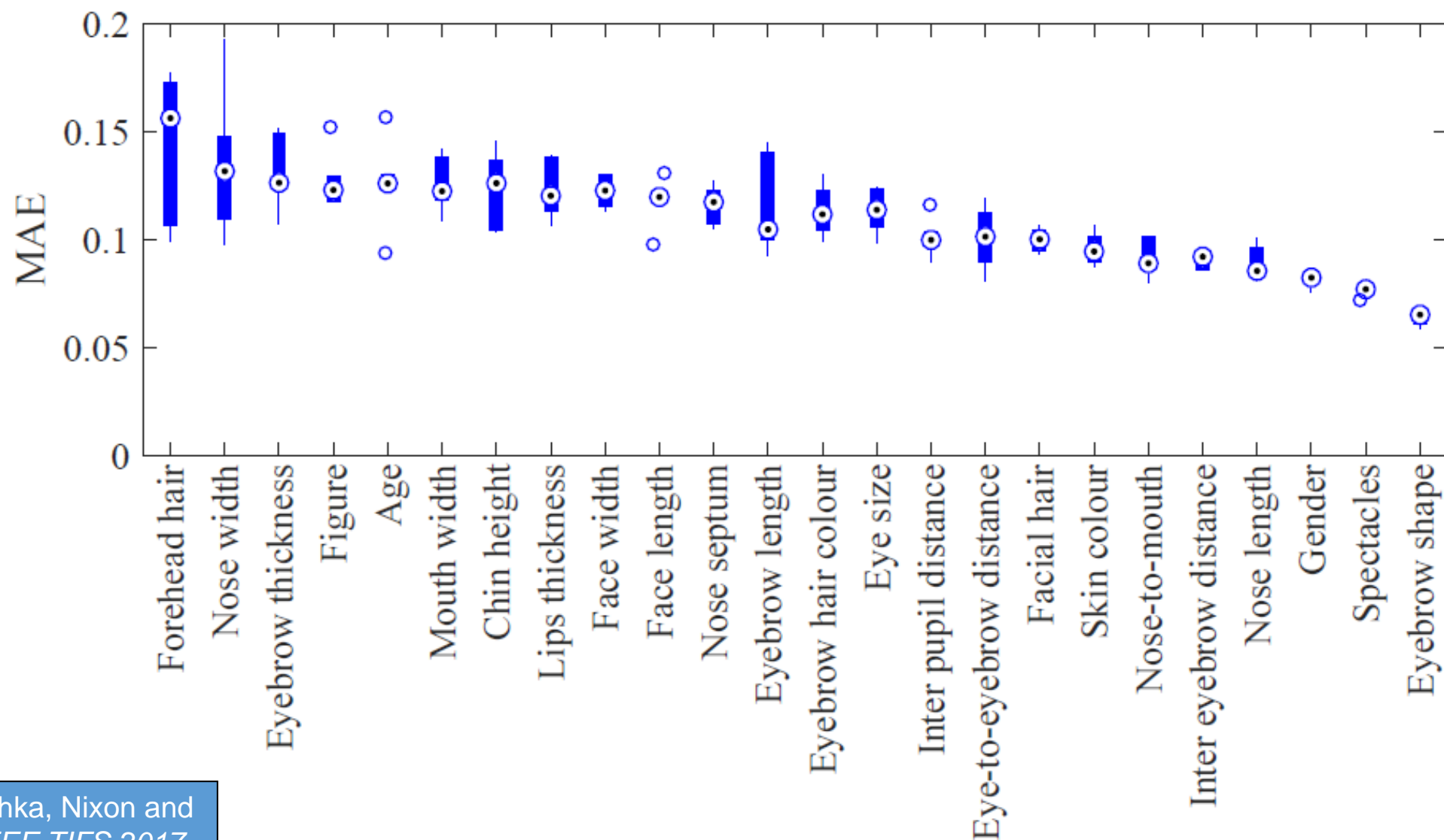
Features HOG/GIST/ULBP

Estimation of comparative labels





# Estimating face attributes



# Ranking subjects (images) by estimated face attributes

MIURank semantic

ECL

REL

MIURank semantic

ECL

REL

Youngest



Most feminine



Oldest



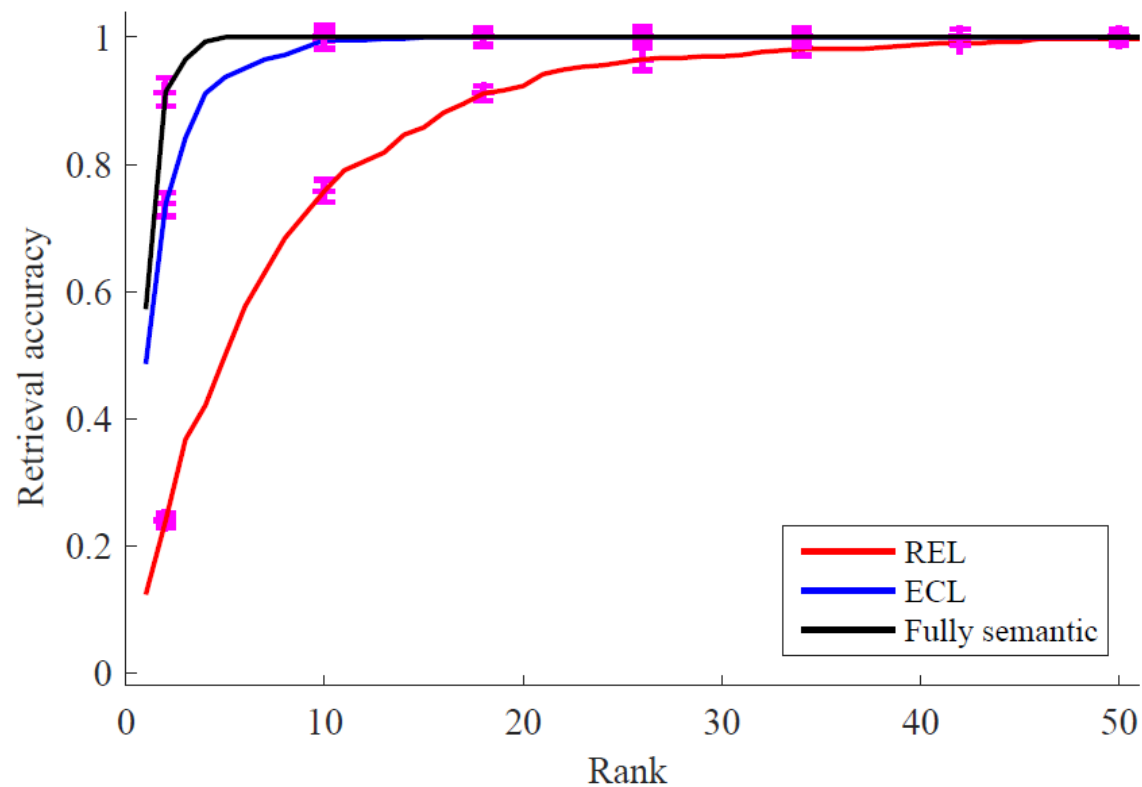
Most masculine



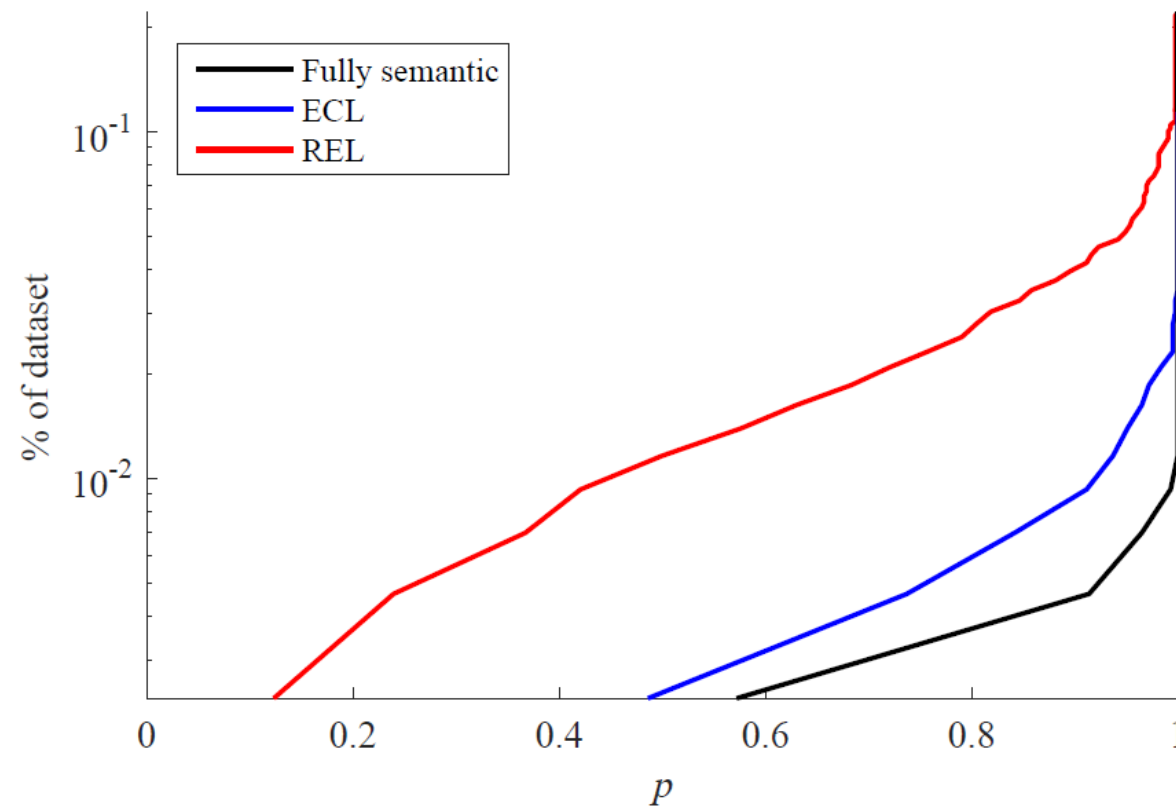
(a) Age

(b) Gender

# Recognition on LFW



Retrieval performance



Compression of 430 subject LFW-MS4 dataset

# Clothing

**Eyewitness statement**  
“24 year old male average height  
wearing shirt”

Generate description

**Image of crime**



Subject	Gender	Age	Height	Nose W	Top
?	M	24	171	2.4	Shirt

**Database of images**



Generate descriptions

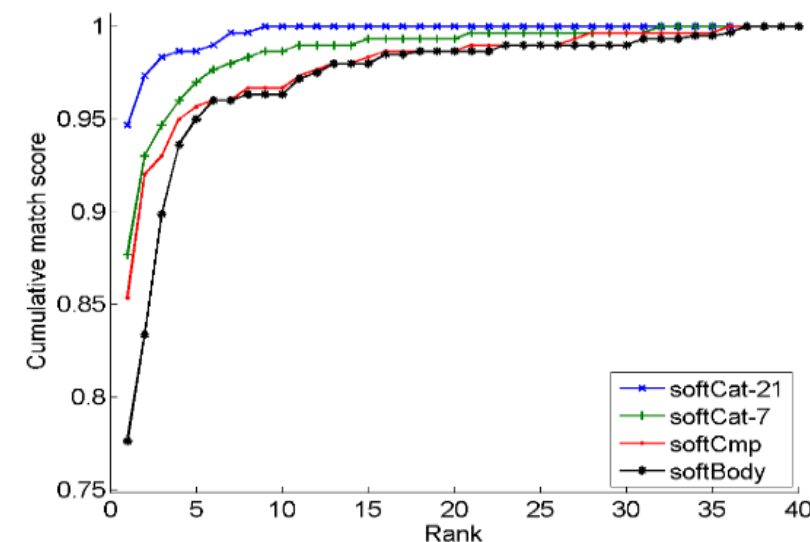
Subject	Gender	Age	Height	Nose W	Top
123456	M	25	172	2.3	Shirt
123457	F	36	156	2.2	Blouse
123458	M	58	182	1.2	T shirt

**Database of  
descriptions**



# Subject recognition, by clothing

- Clothing generally **unique**
- **Shakespeare**  
*"Know'st me not by my clothes?"*  
(Cymbeline Act 4 Scene 2)
- **Short term** biometric
- Has strong **invariance**
- Links with computer vision and **automatic clothing analysis/ re-identification**



# Clothing alone and in addition to body descriptions

By **clothing alone** 100% accuracy achieved at rank:

tradCat-21: 29

tradCat-7: 37

tradCmp: 63

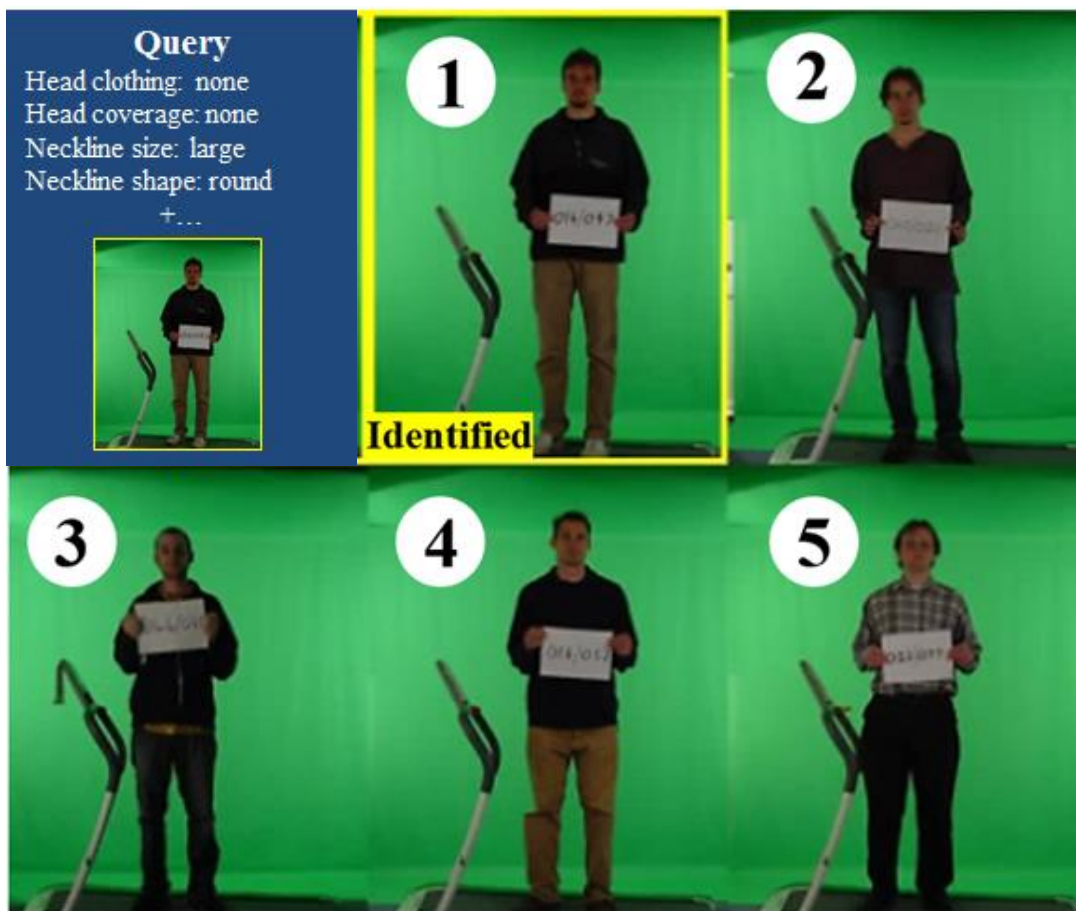
As expected, **less power** than body

Adding **clothing** to **body** allows much greater power



Approach	Top rank	AVG sum match scores up to rank		100% accuracy achieved at rank	EER	AUC	$d'$
	=1	=10	=128				
<i>softBody</i>	0.78	0.92	0.991	37	0.087	0.028	2.785
<i>softCat-21</i>	<b>0.95</b>	<b>0.99</b>	<b>0.999</b>	<b>9</b>	<b>0.050</b>	<b>0.014</b>	2.634
<i>softCat-7</i>	0.88	0.96	0.996	32	0.063	0.018	2.814
<i>softCmp</i>	0.85	0.94	0.994	36	0.080	0.026	<b>2.827</b>

# Recognition by clothing



Good match



Poor matches

# Viewpoint invariant recognition, by clothing

## Query Description

Head coverage: None  
Neckline shape: Round  
Sleeve length: Long  
+...



## Example 1:



## Example 2:

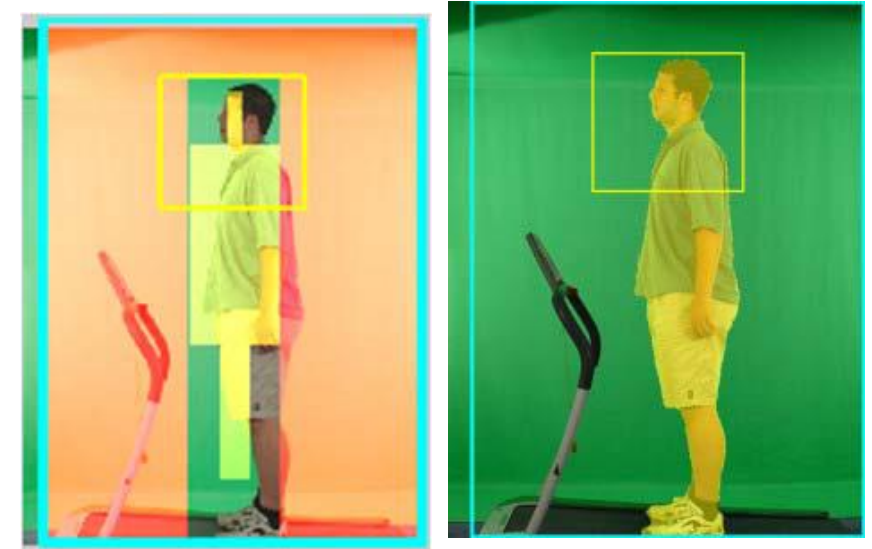


Clothing has ability to handle 90  
degree change

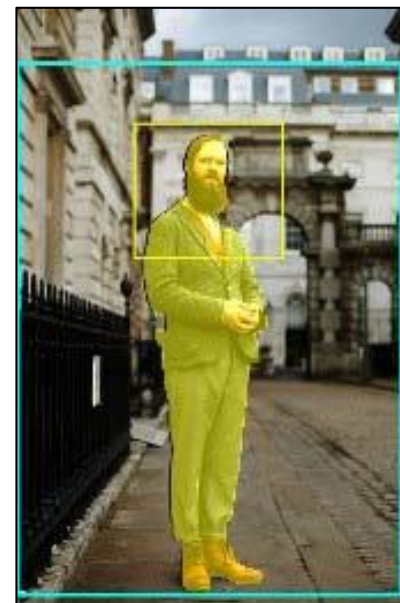


# Automated clothing: grabcut person/ clothing initialisation

- Color models used to initialize the Grabcut person extractor
- Color models arranged to highlight foreground/ background
- Result highlighted for (later) subject segmentation



# Automated clothing labelling on CAT



# Fusion (or what if one is hidden...)

**Eyewitness statement**  
“24 year old male average height  
wearing shirt”

Generate description

**Image of crime**



Subject	Gender	Age	Height	Nose W	Top
?	M	24	171	2.4	Shirt

**Database of images**



Generate descriptions

Subject	Gender	Age	Height	Nose W	Top
123456	M	25	172	2.3	Shirt
123457	F	36	156	2.2	Blouse
123458	M	58	182	1.2	T shirt

**Database of  
descriptions**

# Fusion for recognition – traditional soft





# Fusion for recognition - data



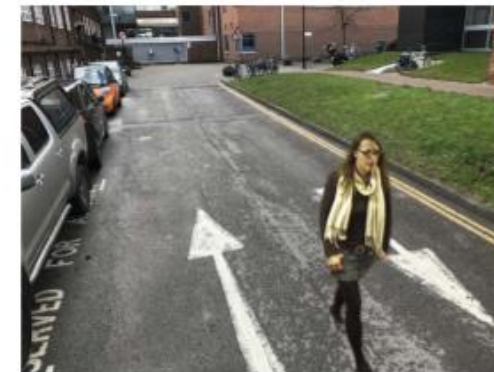
(a) Laboratory -- close



(b) Subject extraction



(c) Outdoor background



(d) Synthetic -- close



(a) 2m



(b) 4.5m



(c) 7m

# Fusion for recognition -body

TABLE I BODY ATTRIBUTES AND CORRESPONDING COMPARATIVE LABELS

Body traits	Labels
Gender	More feminine, Same, More masculine
Age	Older, Same, Younger
Height	Taller, Same, Shorter
Weight	Fatter, Same, Thinner
Shoulder shape	More square, Same, Rounder
Hair colour	Lighter, Same, Darker
Hair length	Shorter, Same, Longer
Neck length	Shorter, Same, Longer
Humpback	More straight, Same, More curved
Arm length	Longer, Same, Shorter

# Fusion for recognition -face

TABLE II      FACE ATTRIBUTES AND CORRESPONDING COMPARATIVE LABELS

Face traits	Labels
Eyebrow shape	More straight, Same, More curved
Nose shape	More flatter, Same, More protruding
Forehead	Straighter hairline, Same, More receded hairline
Eyes	Smaller, Same, Larger
Ears	More hidden, Same, More evident
Skin colour	Lighter, Same, Darker
Face size	Shorter, Same, Longer
Face	More bony, Same, Fleshier
Lips	Thinner, Same, Thicker
Chin and jaw	More angular, Same, Rounder

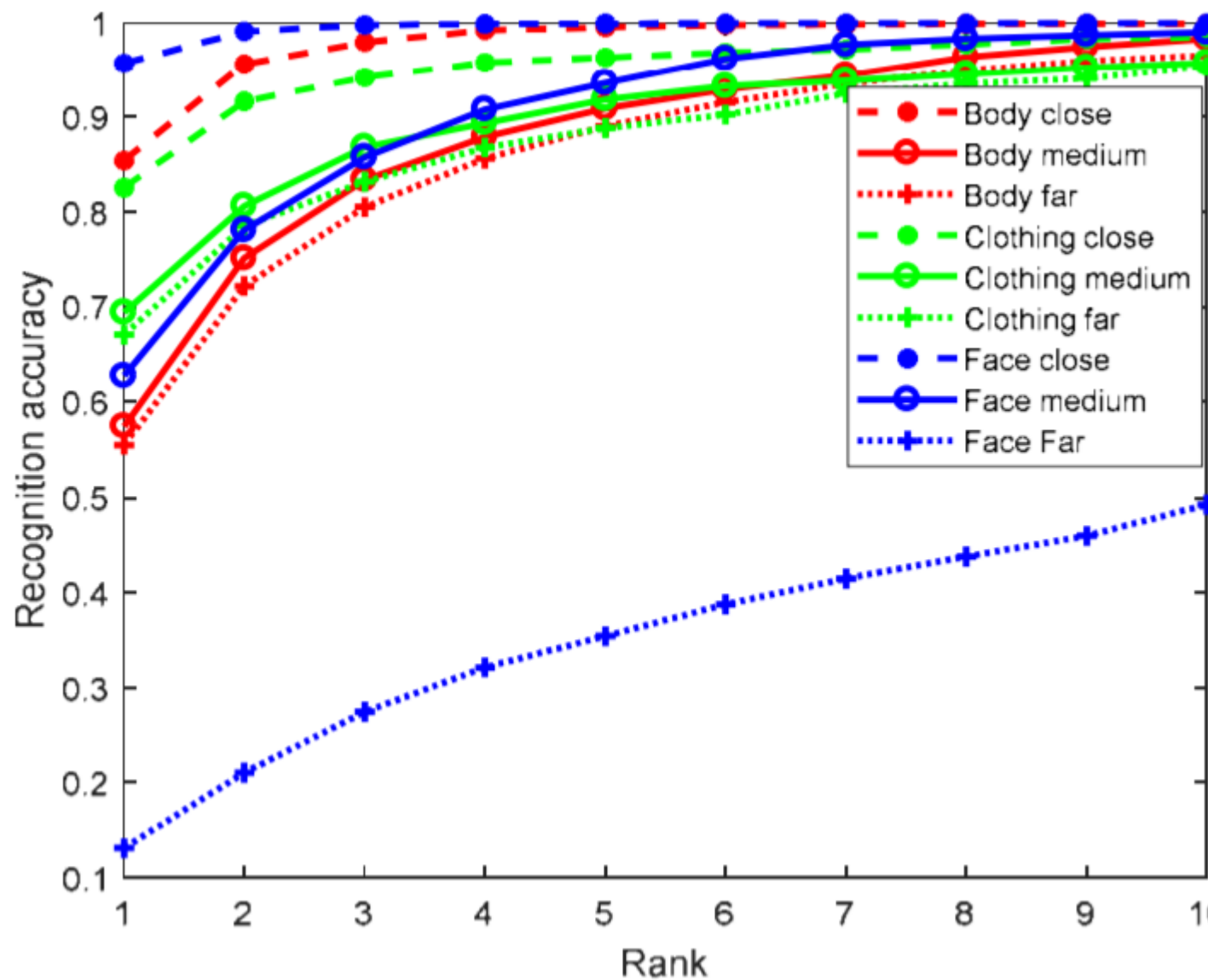
# Fusion for recognition -clothing

TABLE III CLOTHING ATTRIBUTES AND CORRESPONDING CATEGORICAL LABELS

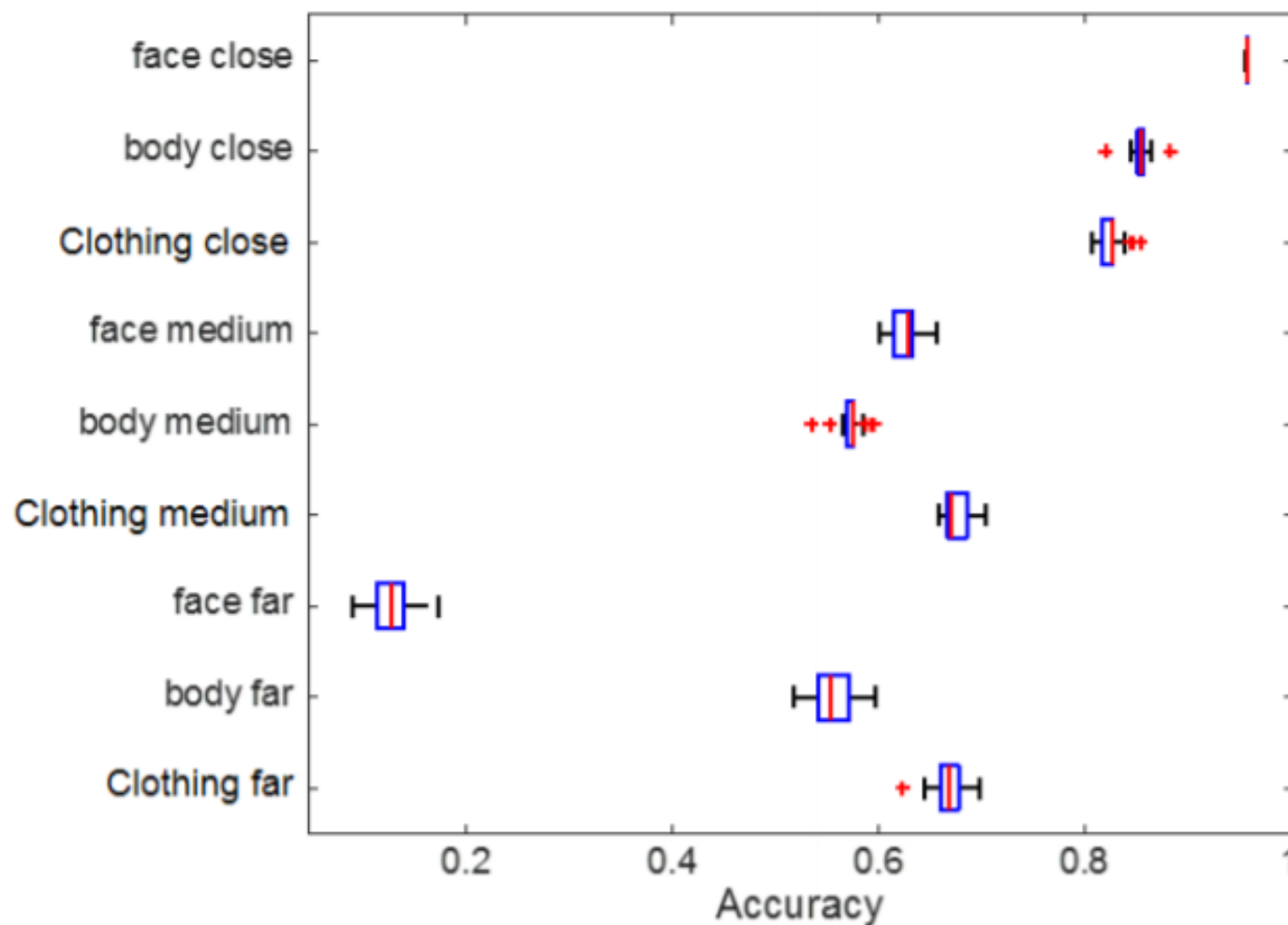
Clothing traits	Labels
Upper body clothing category	Jumper, T-shirt, Shirt, Blouse, Sweater, Coat, Hoodie, Other
Lower body clothing category	Trouser, Skirt, Dress
Any attached object category	None, Bag, Gloves, Hat, Scarf, Necktie, Other
Clothing style	Well-dressed, Business, Sporty, Fashionable, Casual, Other
The majority colour of upper body	Grey, Black, White, Jeans blue, Others
The majority colour of lower body	Grey, Black, White, Jeans blue, Others
Face coverage	Yes, No
head coverage	Yes, No
Presence of belt	Yes, No, Unsure
Wear glasses	Yes, No



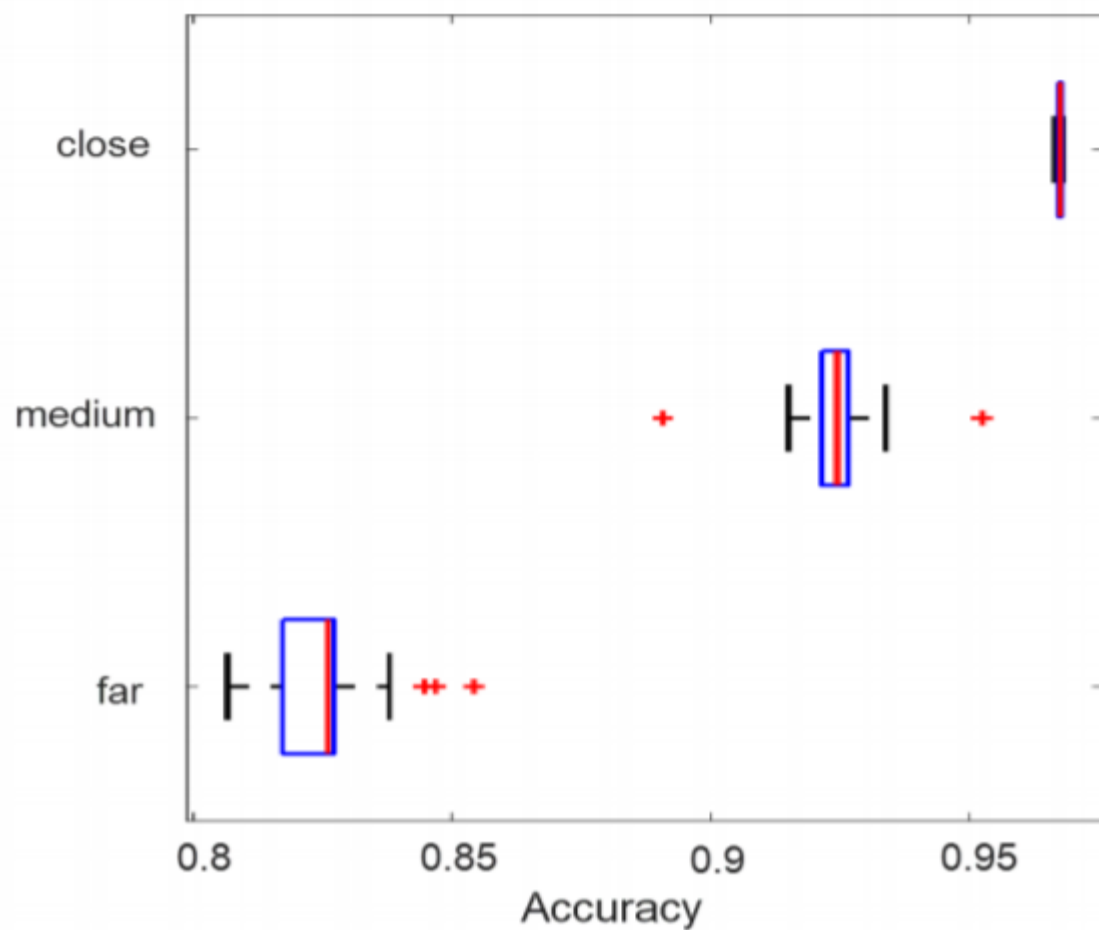
# Fusion for recognition –single mode



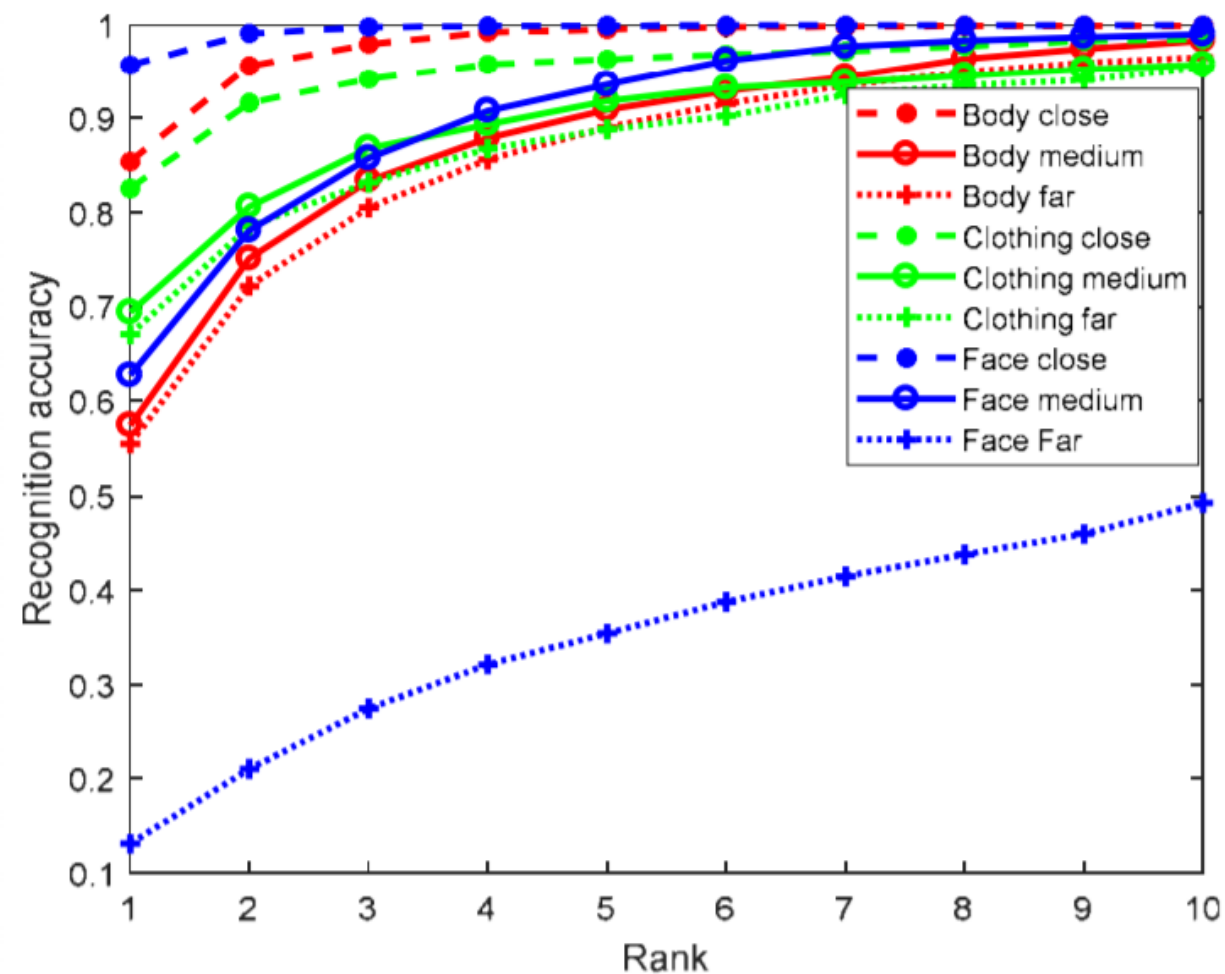
# Fusion for recognition – modalities and distance



# Fusion for recognition – fusion at 3 distances



Fusion



Single (for comparison)

# Fusion for recognition – fusion methods

	Close		Medium		Far	
	<i>Accuracy</i>	<i>EER</i>	<i>Accuracy</i>	<i>EER</i>	<i>Accuracy</i>	<i>EER</i>
<i>Bayesian theory [7]</i>	96.3%	3.84%	84.6%	4.15%	78.1%	4.26%
<i>Log likelihood ratio [9]</i>	96.1%	3.91%	87.7%	4.02%	76.5%	4.29%
<i>Logistic regression [12]</i>	96.4%	3.86%	82.3%	4.23%	75.5%	4.33%
<i>Nonlinear weight ranks [15]</i>	96.9%	3.85%	86.2%	4.11%	79.3%	4.24%
<i>PAV based [16]</i>	97.0%	3.83%	86.0%	4.09%	79.1%	4.24%
<i>Rank-score fusion</i>	97.3%	3.77%	92.5%	3.88%	82.6%	4.17%.



**AVSS 2018**

**15th IEEE International Conference on Advanced Video and Signal-based Surveillance**

**27-30 November 2018, Auckland, New Zealand**

# Semantic Person Retrieval in Surveillance Using Soft Biometrics

Michael Halstead, Simon Denman, Clinton Fookes, YingLi Tian, Mark Nixon

November 27th, 2018

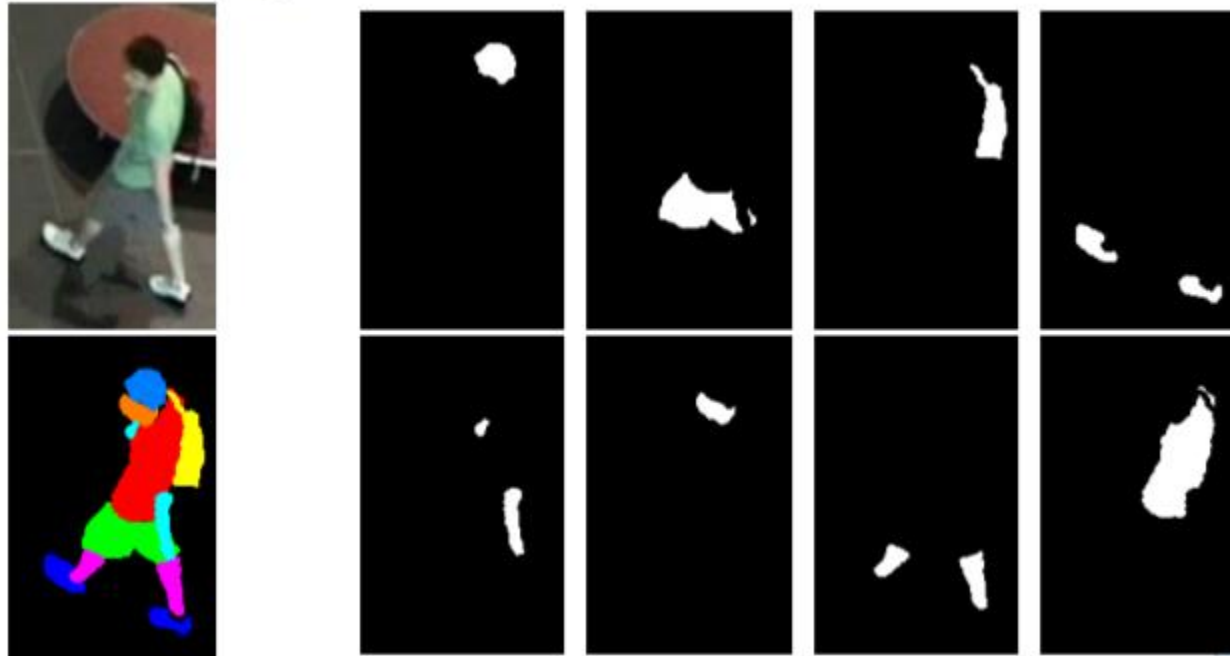


Halstead, Denman,  
Fookes, Li, Nixon  
*IEEE AVSS 2018*

# Task 1

Data:

- Separated into training (520 images) and evaluation (196 images) sets.
- Subjects were captured across two locations on a university campus, using ten cameras.
- Each “image” has an RGB image, a soft biometric query, and in the training set a semantic mask is included.



# Task 1

- Leg and torso type as either long or short.
- Gender.
- Luggage (carrying or not).
- Pose (front, back, 45°, or 90°).



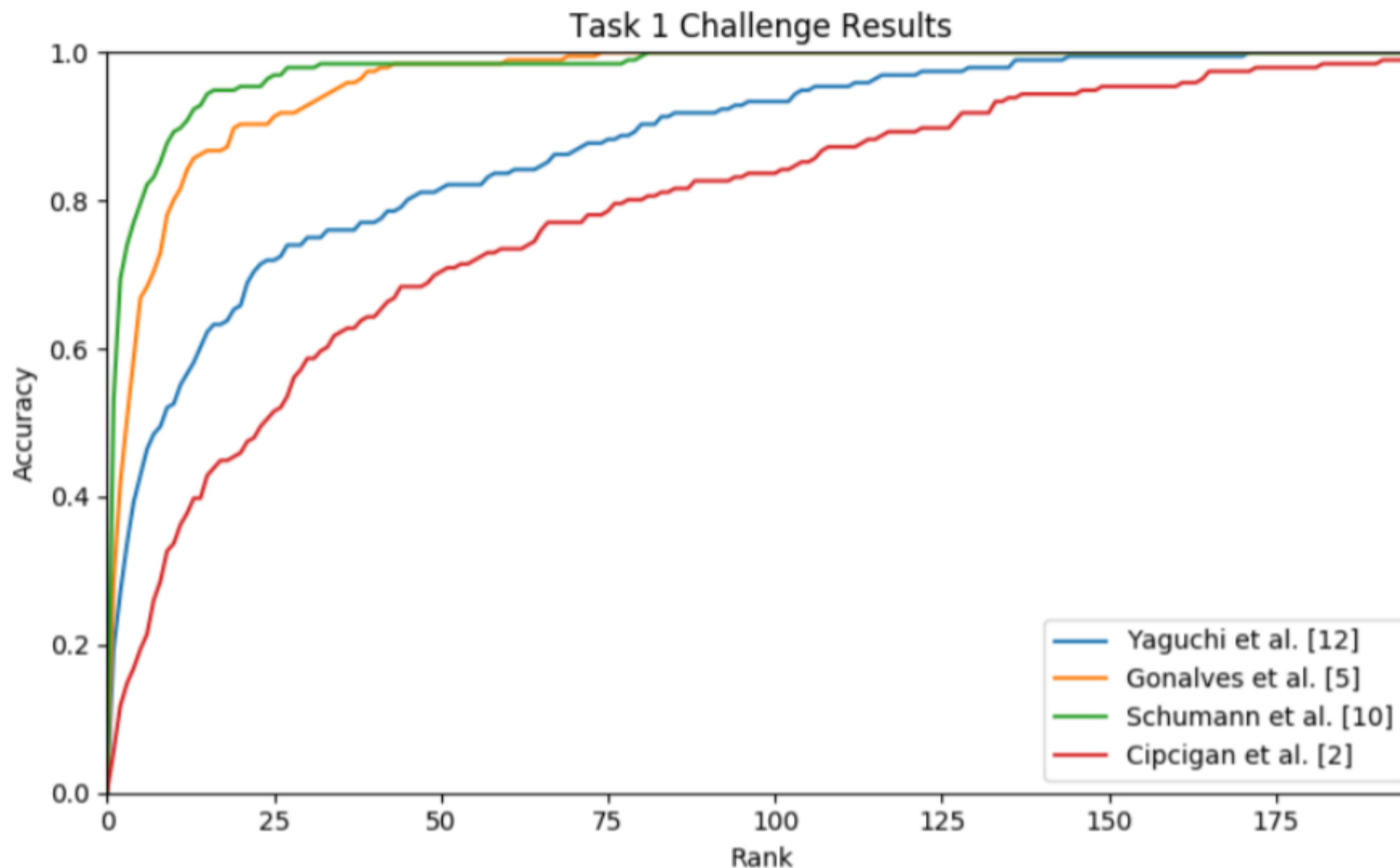
Halstead, Denman,  
Fookes, Li, Nixon  
*IEEE AVSS 2018*

## Task 1 Performance

- Unsurprisingly all techniques based around deep learning.
- Cipcigan et. al:
  - Used semantic segmentation to locate body parts;
  - Hand selected features and classifiers used on appropriate regions;
  - Only technique to not be solely deep learning.
- Goncalves et. al, Schumann et. al, and Yaguchi et. al:
  - Similar pipeline;
  - Trained DCNN (four for Schumman) adapted to attribute prediction.



# The results of Task 1 - CMC curve and rank performance.



# Task 1 Performance: Difficult Subjects



- Subject 111, average rank 66.
- Query: Male, short sleeved brown and white shirt, grey shorts, with luggage.
- Poor lighting conditions.
- Ambiguity in clothing colour.

# Task 2

How is this different from Task 1?

- More inline with a surveillance situation.
- The query is used to search a video for the desired subject.
- Multiple potential pedestrians in a scene.
- Varying levels of crowd density, crowd flow, occlusions, and illumination.

Query



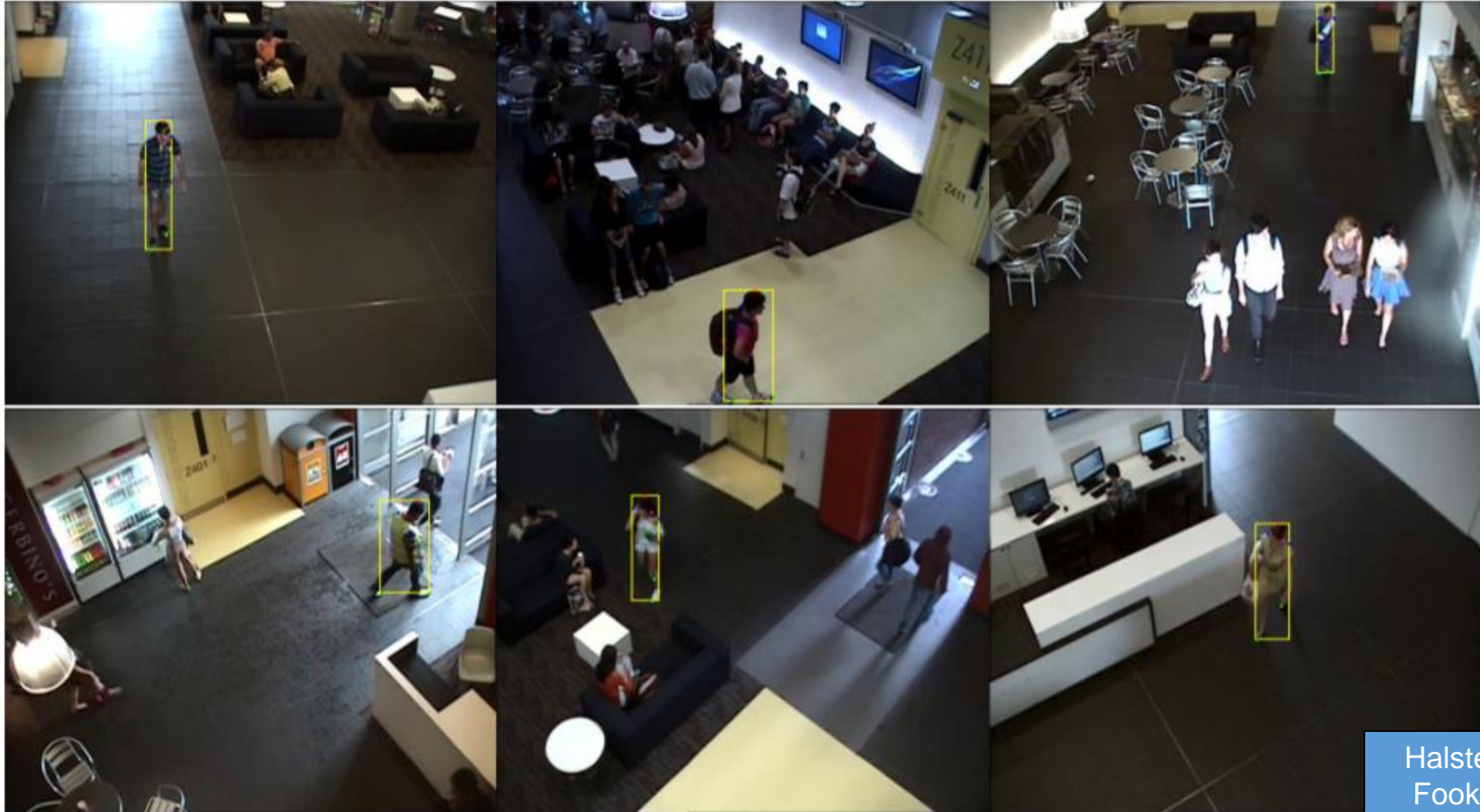
Scene



Selected



# Task 2





# Task 2 Performance

Results based on Intersection over Union.

Approach	Average IoU	% w IoU > 0.4
Yaguchi [12]	<b>0.511</b>	0.669
Galiyawala [4]	0.363	0.522
Schumann [10]	0.503	<b>0.759</b>
Baseline [1]	0.290	0.493

## Task 2 Performance

- Sequence 27 (Hard).
- High level of crowding.
- Illumination issues.
- Subjects with similar appearance (striped shirt).



# Conclusions (and where does this take us?)

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- Yes, we can recognise people by the way they walk
- ..... and by human descriptions
- Challenging technology
- Needs new techniques and new insight
- Can generalise to forensics
- Human descriptions need wider investigation (covariates, antispooofing) as to performance advantages
- Motivate need for new insight as to automated identification vs. human identification
- and they are great fun. ....questions?



# And thanks to ....

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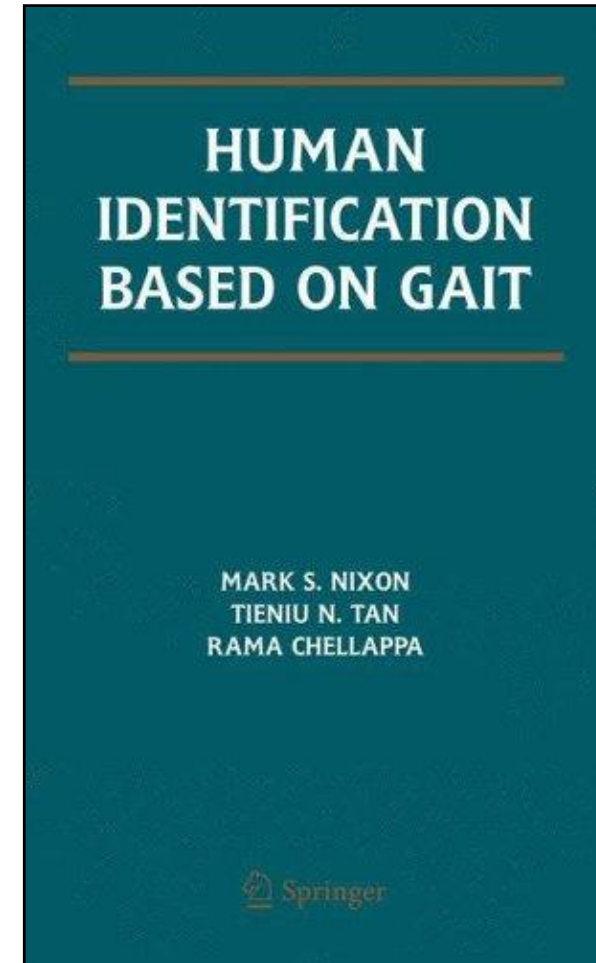
Dr John Carter, Dr Sasan Mahmoodi, Dr Jon Hare

Dr Hani Muammar, Dr Adrian Evans, Prof. Xiaoguang Jia, Prof Yan Chen, Prof Steve Gunn, Dr Colin Davies, Dr Mark Jones, Dr Alberto Aguado, Dr David Cunado, Dr Jason Nash, Prof Ping Huang, Dr David Benn, Dr Liang Ng, Dr Mark Toller, Dr John Manslow, Dr Mike Grant, Dr Jamie Shutler, Dr Karl Sharman, Prof Andrew Tatem, Layla Gordon, Dr Richard French, Dr Vijay Laxmi, Dr James Hayfron-Acquah, Dr Chew-Yean Yam, Dr Yalin Zheng, Dr Jeff Foster, Dr Jang Hee Yoo, Dr Nick Spencer, Dr Stuart Prismall, Wan Mohd.-Isa, Dr Peter Myerscough, Dr Richard Evans, Dr Stuart Mowbray, Dr Rob Boston, Dr Ahmad Al-Mazeed, Dr Peter Gething, Dr Dave Wagg, Dr Alex Bazin, Dr Mike Jewell, Dr Lee Middleton, Dr Galina Veres, Dr Imed Bouchrika, Dr Xin Liu, Dr Cem Direkoglu, Hidayah Rahmalan, Dr Banafshe Arbab-Zavar, **Dr Baofeng Guo**, **Dr Sina Samangoeei**, Dr Michaela Goffredo, Dr Daniel Thorpe, Dr Richard Seely, Dr John Bustard, Dr Alastair Cummings, Dr Muayed Al-Huseiny, Dr Mina Ibrahim, Dr Darko Matovski, Dr Gunawan Ariyanto, Dr Sung-Uk Jung, Dr Richard Lowe, **Dr Dan Reid**, Dr George Cushen, Dr Ben Waller, Dr Nick Udell, Dr Anas Abuzaina, Dr Thamer Alathari, Dr Musab Sahrim, Dr Ah Reum Oh, Dr Tim Matthews, **Dr Emad Jaha**, Dr Peter Forrest, Dr Jaime Lomeli, **Dr. Dan Martinho-Corbishley**, **Dr Bingchen Guo**, Dr Jung Sun, **Dr Nawaf Almudhahka**, **Tom Ladyman**, Di Meng, **Moneera Alamnani**

**Sponsors: EPSRC, Home Office, MoD (GD), DARPA, ARL, EU**



# More information .....





Thank you!!
> 21 (!!)
Male
White (?)
(was) 6'
Slim
Grey(ish) hair
Random hairstyle

## ... and some papers

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### Surveys on soft biometrics

- D Reid, MS Nixon, A Ross, On Soft Biometrics for Surveillance, *Handbook of Stats*, 2013
- MS Nixon, PL Correia, K Nasrollahi, TB Moeslund, A Hadid, M Tistarelli, On soft biometrics, *Pattern Recognition Letters*, **68**(2), 2015

### Recent (soft) papers

- P Tome, J Fierrez, R Vera-Rodriguez, MS Nixon, Soft biometrics and their application in person recognition at a distance, *IEEE TIFS*, **9**(3), 2014
- D Reid, MS Nixon, Soft biometrics; human identification using comparative descriptions, *IEEE TPAMI*, **36**(6), 2014
- ES Jaha, MS Nixon, From Clothing to Identity; Manual and Automatic Soft Biometrics, *IEEE TIFS*, **11**(10), 2016
- N Almudhahka, MS Nixon, J Hare, Semantic Face Signatures: Recognizing and Retrieving Faces By Verbal Descriptions, *IEEE TIFS*, **13**(3), 2017
- D Martinho-Corbishley, MS Nixon, JN Carter, Analysing comparative soft biometrics from crowdsourced annotations, *IEEE TPAMI*, 2018
- B Guo, MS Nixon, JN Carter, A Joint Density Based Rank-Score Fusion for Soft Biometric Recognition at a Distance, *ICPR* 2018