

Multimodal Biometrics

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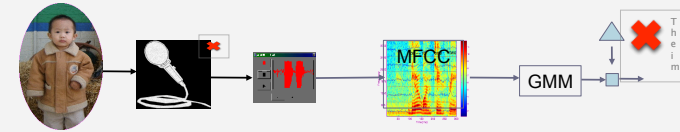
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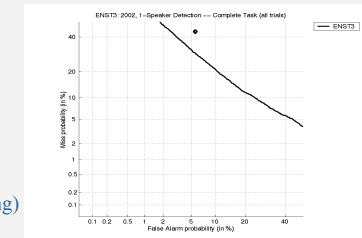
Acknowledgements: Dr Norman Poh

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Biometric authentication and Performance characterisation



- False rejection
- False acceptance
- Total error rate/Half total error rate
- Operating point
 - Equal error rate (civilian)
 - Zero false acceptance (high security forensic)
 - Zero false rejection (low risk banking)



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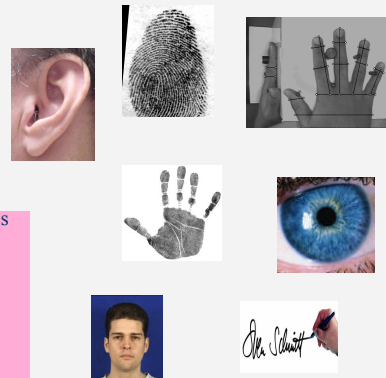
Multimodal biometrics

• Different biometric modalities developed

- finger print
- iris
- face (2D, 3D)
- voice
- hand
- lips dynamics
- gait

Different traits- different properties

- usability
- acceptability
- performance
- robustness in changing environment
- reliability
- applicability (different scenarios)



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Benefits of multimodality

- Motivation for multiple biometrics
 - To enhance performance
 - To increase population coverage by reducing the failure to enroll rate
 - To improve resilience to spoofing
 - To permit choice of biometric modality for authentication
 - To extend the range of environmental conditions under which authentication can be performed

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OUTLINE

- Fusion architectures
- Score level fusion: Problem formulation
- Estimation error
- Multiple expert paradigm
- Quality based fusion of biometric modalities
- Discussion and conclusions

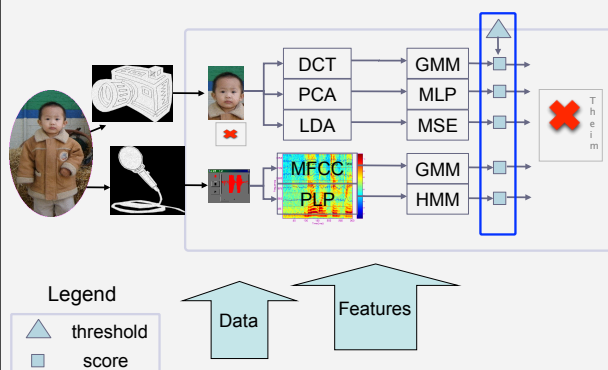
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Fusion architectures

- Integration of multiple biometric modalities
- Sensor (data) level fusion
 - Linear/nonlinear combination of registered variables
 - Representation space augmentation
- Feature level fusion
- Soft decision level fusion
- Decision level fusion

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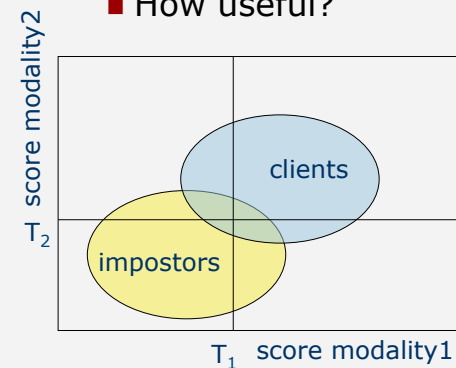
Decision level fusion



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Decision-level fusion

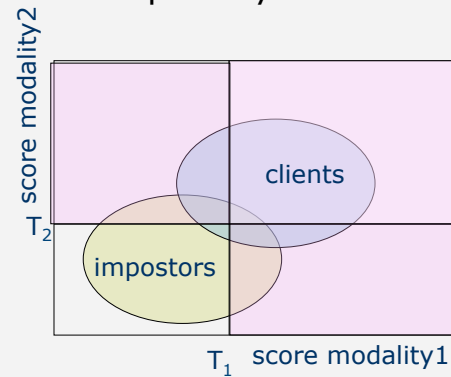
- How useful?



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Decision-level fusion

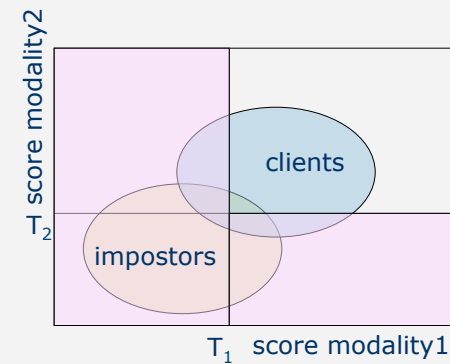
- Accepted by either modality



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Decision-level fusion

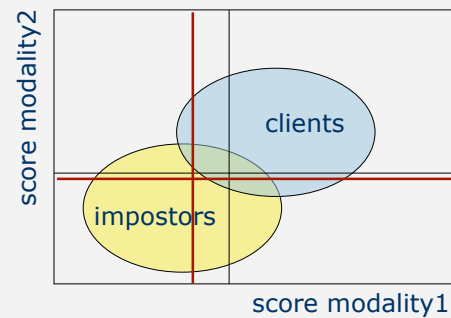
- Accepted by both



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Decision-level fusion

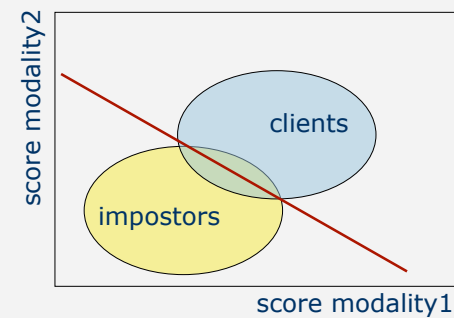
Better performance by adapting the thresholds



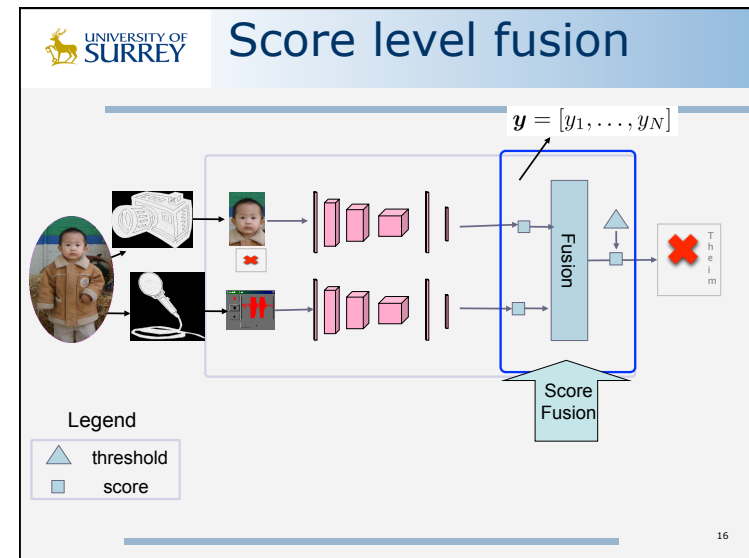
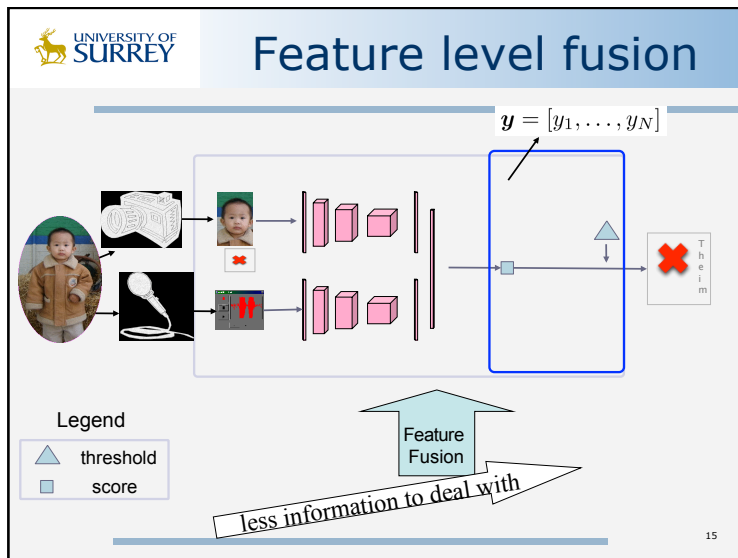
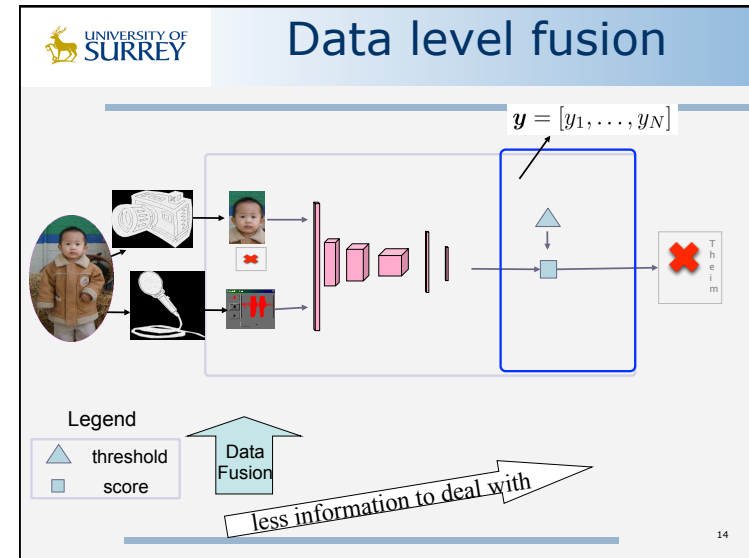
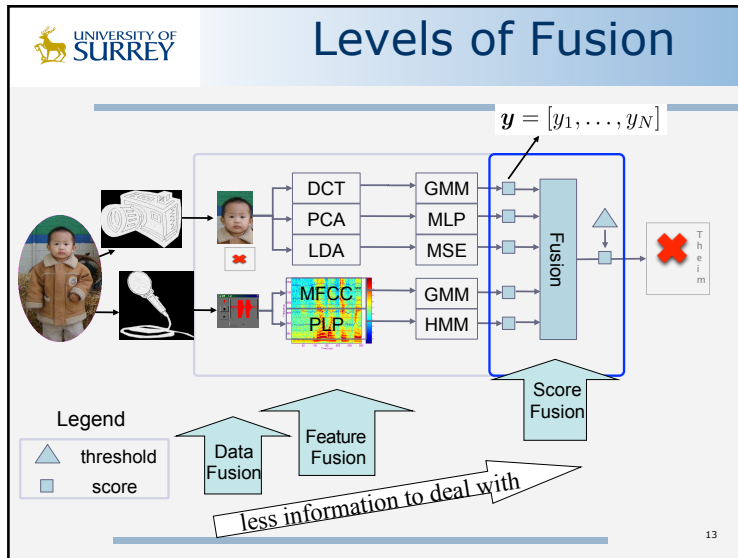
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Score-level fusion

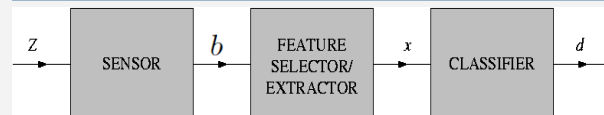
- Should improve performance



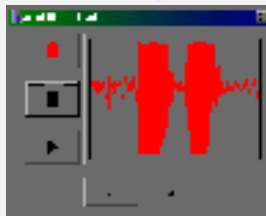
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Biometric system



Pattern representation



Pattern recognition problem

N – number of classes

b - biometric trait

x - feature vector

$P(\theta)$ -priori probability of class θ

$p(x_k|\theta)$ -measurement distributions of patterns in class θ

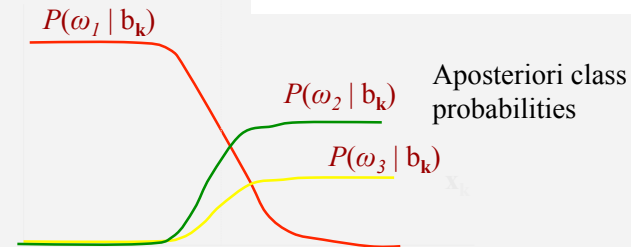
$p(b_k|\theta)$

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Bayesian decision making

Bayes minimum Error rule

$$b_k \rightarrow \omega \text{ if } P(\omega|b_k) = \max_{\theta} P(\theta|b_k)$$



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Problem formulation

- Given biometric traits: $[b_1, \dots, b_K]$
biometric features: $[x_1, \dots, x_K]$
identities: $[\theta_1, \dots, \theta_R]$

- Bayes decision rule

- Assign subject to class θ if

$$P(\omega | b_1, \dots, b_K) = \max_{\theta} P(\theta | b_1, \dots, b_K)$$

- Note

$$P(\omega | b_1, \dots, b_K) \propto \frac{p(b_1, \dots, b_K | \omega) P(\omega)}{\text{normalisation factor}}$$

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Fusion options

- Signal level fusion

$$\begin{aligned} p(b_1, \dots, b_K | \omega) &\propto \int_x p(x, b_1, \dots, b_K, \omega) \propto \\ &\propto \int_x P(\omega | x) p(x | b_1, \dots, b_K) \\ &\propto \hat{P}(\omega | x) \end{aligned}$$

- The integration over x is marginalisation over the distribution $p(x | b_1, \dots, b_K)$

- x is a feature vector determined by all traits

- Implicitly a multiple classifier fusion

- Bagging, boosting, drop out, hard sample mining

- Marginalised estimate of class posterior $\hat{P}(\omega | x)$

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■ Feature level fusion

$$\begin{aligned} p(b_1, \dots, b_K | \omega) &\propto \int_{x_1, \dots, x_K} p(x_1, \dots, x_K, b_1, \dots, b_K, \omega) \propto \\ &\propto \int_{x_1, \dots, x_K} P(\omega | x_1, \dots, x_K) p(x_1, \dots, x_K | b_1, \dots, b_K) \\ &\propto \hat{P}(\omega | x_1, \dots, x_K) \end{aligned}$$

- Each modality has its own set of features x_i
- Score is a function of all x_i jointly
- Fusion process marginalisation is over the joint distribution of all modalities
- In addition, there could be modality specific marginalisation at the feature extraction level

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■ Score level fusion

$$\begin{aligned} p(b_1, \dots, b_K | \omega) &\propto \prod_i \int_{x_i} p(x_i, b_i, \omega) \propto \\ &\propto \prod_i \int_{x_i} P(\omega | x_i) p(x_i | b_i) \\ &\propto \prod_i \hat{P}(\omega | x_i) \end{aligned}$$

- Each modality has its own set of features x_i
- The fused score is a product of individual modality specific scores
- Fusion process marginalisation is over modality specific distributions

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- basic score level fusion is by product
- product can be approximated by a **sum** if $\hat{P}(\theta | x_k)$ does not deviate much from $P(\theta)$
i.e. $\hat{P}(\theta | x_k) = P(\theta) + \Delta_k$
- the resulting decision rule becomes

$$\begin{aligned} p(b_1, \dots, b_K | \omega) &\propto \prod_k \hat{P}(\omega | x_k) \\ &\propto \sum_k \hat{P}(\theta | x_k) \end{aligned}$$

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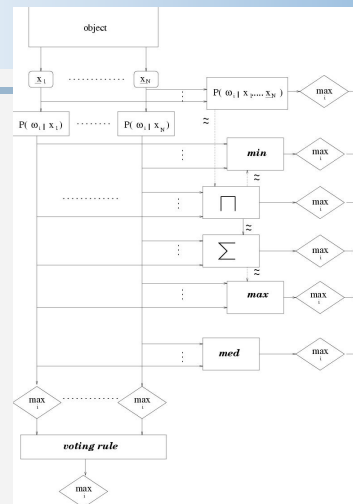
■ Decision level fusion

- Builds on score level fusion
- Different fusion rules (rank, vote, ect)
- Example: Vote fusion
 - Each modality produces a hard decision

$$b_i \rightarrow \theta(i) \text{ if } \theta(i) = \max_{\gamma} \arg \hat{P}(\gamma | x_i)$$
 - n_{θ} - the count of modalities outputting θ
 - Final decision $[b_1, \dots, b_K] \rightarrow \omega \text{ if } n_{\omega} = \max_{\theta} n_{\theta}$
- In a two class case, a hard decision is made by comparing the score against a threshold

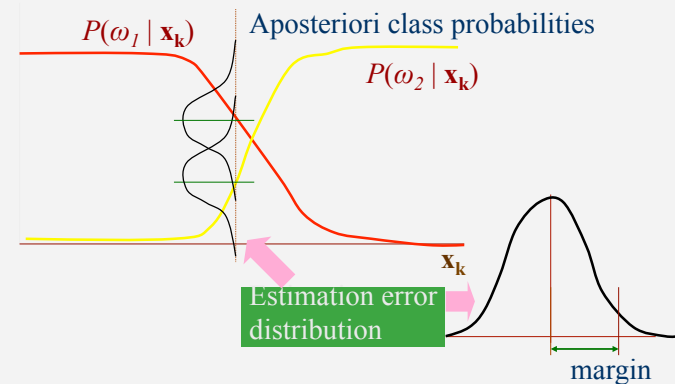
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Fixed fusion strategies



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Effect of estimation errors



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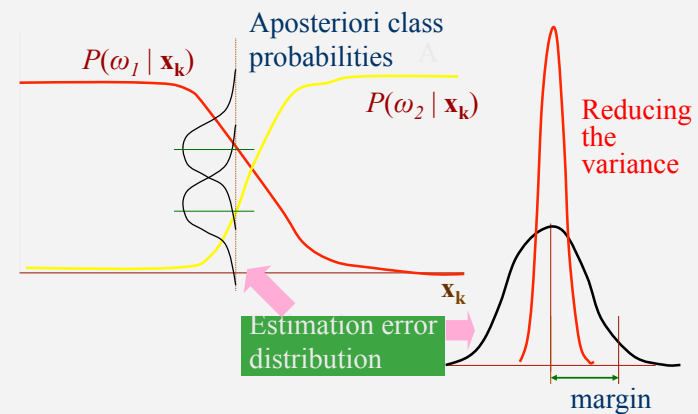
Sources of estimation errors

$$\tilde{P}(\omega | \mathbf{x}_i) = \int \int P(\omega | \mathbf{x}_i, X_i, M, \gamma_i) p(M) p(\gamma_i) dM d\gamma_i$$

- \mathbf{x}_i Feature vector output by sensor i
- X_i Training set for the i-th expert
- M Classifier model
- $p(M)$ Distribution of models
- γ_i Parameters for expert i
- $p(\gamma_i)$ Distribution of expert i parameter

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Coping with estimation errors



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Variance reduction

- Consider a vector of normalised scores

$$\hat{s} = [\hat{s}_1, \hat{s}_2, \dots, \hat{s}_R]^T$$

- with mean

$$\mu_k = [\mu_{k1}, \mu_{k2}, \dots, \mu_{kR}]^T, \quad k = c, i$$

- and covariance matrix

$$\Sigma_k = \begin{bmatrix} \sigma_{k11} & \cdot & \cdot & \cdot & \sigma_{k1R} \\ \sigma_{k21} & \sigma_{k22} & \cdot & \cdot & \sigma_{k2R} \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \sigma_{kR1} & \sigma_{kR2} & \cdot & \cdot & \sigma_{kRR} \end{bmatrix}$$

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Variance reduction

- Fuse scores by $\hat{S} = \frac{1}{R} \sum_{j=1}^R \hat{s}_j$
- Average class conditional variance

$$\bar{\sigma}_k = \frac{1}{R} \sum_{j=1}^R \sigma_{kjj}$$

- Variance of fused score

$$\hat{\sigma}_k = E\{(\hat{S} - \hat{\mu}_k)^2\} = E\left\{\left[\frac{1}{R} \sum_{j=1}^R (\hat{s}_{kj} - \mu_{kj})\right]^2\right\}$$

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Variance reduction

- Rearranging

$$\hat{\sigma}_k = E\left\{\frac{1}{R^2} \left[\sum_{j=1}^R (\hat{s}_{kj} - \mu_{kj})^2 + 2 \sum_{j=1}^R \sum_{i>j}^R (\hat{s}_{kj} - \mu_{kj})(\hat{s}_{ki} - \mu_{ki}) \right]\right\}$$

- Variance can be bounded

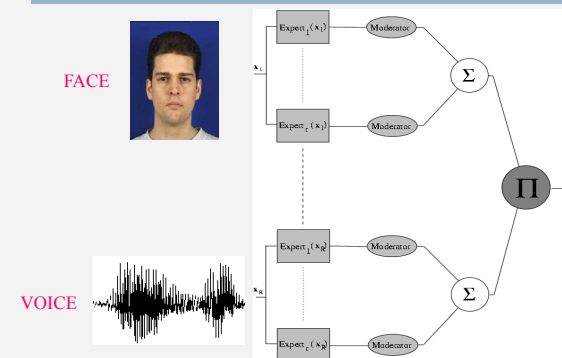
$$\frac{1}{R} \bar{\sigma}_k \leq \hat{\sigma}_k \leq \bar{\sigma}_k \quad 0 \leq \hat{\sigma}_k \leq \bar{\sigma}_k$$

- For uncorrelated scores - variance reduces by a factor of R
- For negatively correlated scores - variance can be brought to zero
- For negatively correlated scores the variance drops most when

$$\sigma_{ii} = \sigma_{jj} \quad \forall j$$

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Biometric Personal Identity Authentication



Fusion of face and voice

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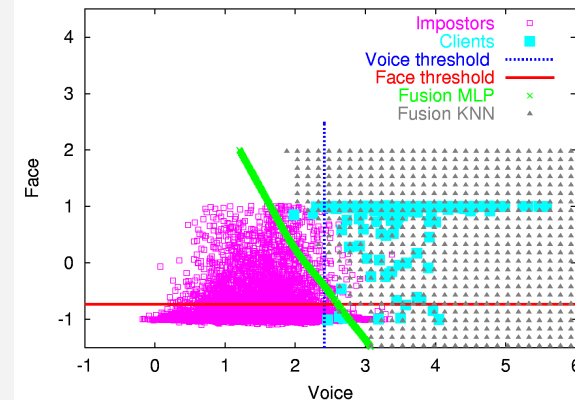
Performance of individual and fused experts

Toy example

Modalities	Performance		
	FAR	FRR	HTER
Face	1.75	2.00	1.88
Voice	1.47	1.00	1.23
Fusion SVM	0.32	0.25	0.28
Fusion MLP	0.34	0.25	0.29

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Merits of multimodal fusion



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Fusion strategies

- simple rules (sum, product, max, min, rank)
- trained fusion rule (logistic regression, decision templates, sparse based representation, svm, deep architectures)
- multistage systems (stacking)
- machine learning tools
 - Separability measures
 - Feature selection
 - Clustering
 - Distance metric
 - Classification

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Direct score fusion: score normalisation

- Aposteriori class probabilities are automatically normalised to [0,1]
- Some systems compute a matching score s_i , rather than $P(\omega_i|x)$
- Scores have to be normalised to facilitate fusion by simple rules
 - aposteriori probability estimate

$$P(\omega_i|s) = \frac{p(s|\omega_i)P(\omega_i)}{\sum_{k=1}^R p(s|\omega_k)P(\omega_k)}$$

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Score normalisation (cont)

- Motivation for score normalisation
 - Non-homogeneous scores (distance, similarity)
 - Different ranges
 - Different distributions
- Desirable properties
 - Robustness
 - Efficiency
- Most effective methods
 - Nonlinear mapping with saturation for very large/small scores
 - Increased sensitivity near the boundaries (Ross and Jain)

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Score normalisation (cont)

■ Min-max

$$\hat{s} = \frac{s - \min s}{\max s - \min s}$$

■ Scaling

$$\hat{s} = \frac{s}{\max s}$$

■ Z-score

$$\hat{s} = \frac{s - \mu}{\sigma}$$

μ and σ are the mean and standard deviation of the score distribution,

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Score normalisation (cont)

■ Median

$$\hat{s} = \frac{s - \text{median } s}{MAD}$$

$$MAD = \text{median}(s - \text{median } s)$$

■ Double sigmoid

$$\hat{s} = \frac{1}{1 + \exp\{-2(\frac{s-t}{r})\}}$$

r has different values for scores greater/smaller than threshold t

■ Tanh

$$\hat{s} = 0.5[\tanh\{0.01\frac{s-\mu}{\sigma}\} + 1]$$

- Min-max, Z-score and tanh are efficient, median, double-sigmoid and tanh are robust

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Score normalisation (cont)

■ Designated means (for verification)

$$\hat{s} = \frac{s - \mu_i}{\mu_c - \mu_i}$$

client and impostor distributions mapped on 1 and -1 respectively

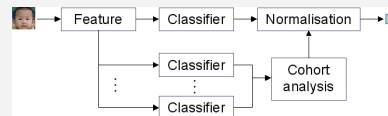
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Score normalization (cont)

Cohort normalisation

- T-norm
- Impostor scores parameters are computed **online** for each query (**computationally expensive**) and at the same time **adaptive** to test access
- mean and standard deviation of a cohort of impostor scores

$$\hat{s} = \frac{s - \mu}{\sigma}$$

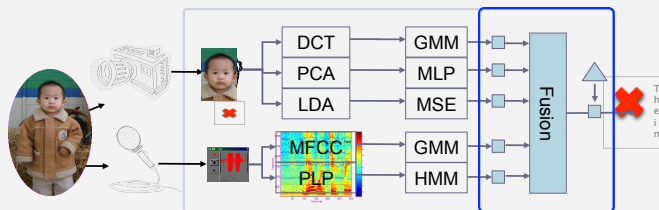


Pros and cons of score-level fusion

- Pros:
 - Less information to deal with
 - Convenient to design the fusion classifier
- Cons:
 - Loss vital information associated with the data
- Solutions:
 - Supply auxiliary information, e.g., quality measures, and use it at the fusion stage

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Conventional Fusion Algorithms



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Issues in Fusion

- accuracy
- diversity
- competence
 - Integration
 - Fusion with excluded modalities
- quality
- confidence
- adaptivity

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Biometric trait quality

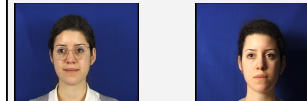
- global quality
- local quality
- multiple aspects of quality
- genuine/fake samples
- accuracy versus quality
 - algorithm independent quality measures?
- relative nature of quality
- quality controlled fusion mechanisms

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Examples of Quality Measures

■ Face

- Frontal quality
- Illumination
- Rotation
- Reflection
- Spatial resolution
- Bit per pixel
- Focus
- Brightness



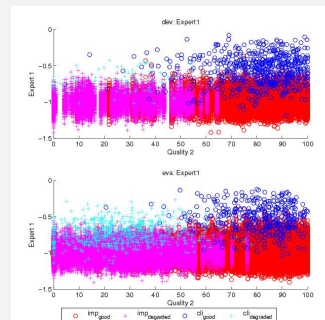
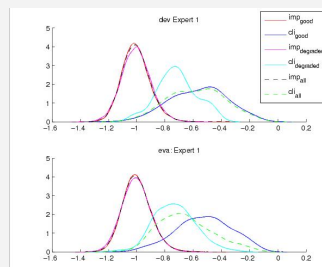
■ Speech

- signal-to-noise ratio (SNR)
- entropy quality

« entropy » measures peakiness of the distribution of the power spectrum within an observed short-term window of speech frames.

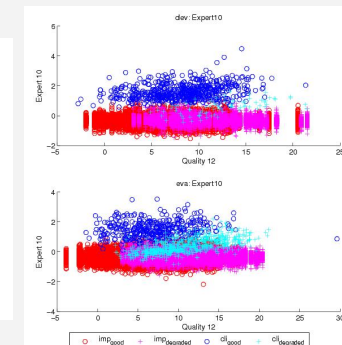
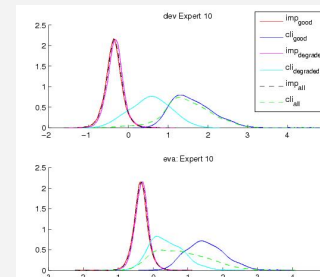
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Face Expert

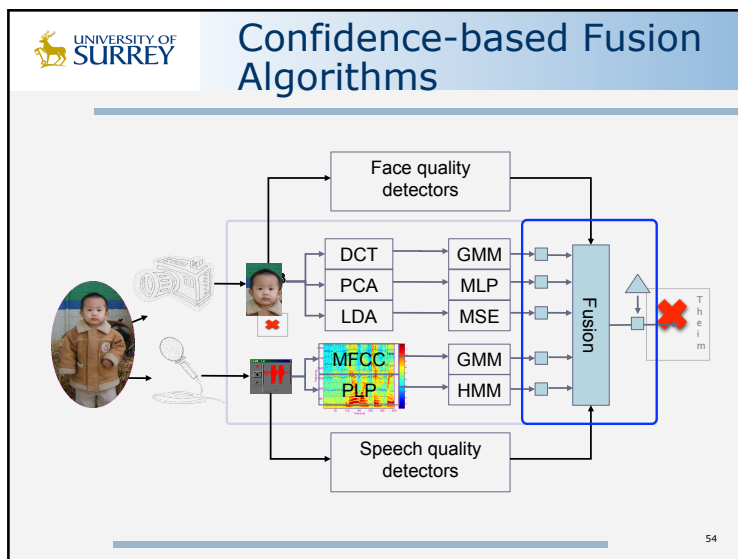


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Speech Expert



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Generative & Discriminative Approaches in QDF

Generative	$y_{com}^{lr} \equiv f^{lr}(x, q) = \log \frac{p(x, q C)}{p(x, q I)}$	e.g. GMM
Discriminative (probability-based)	$y_{com}^{prob} \equiv f^{prob}(x, q) = P(C x, q)$	e.g. MLP logistic regression
Discriminative (function-based)	$P(C x, q) \approx \text{sigmoid}(f^{disc}(x, q)) = \frac{1}{1 + \exp(-f^{disc}(x, q))}$	e.g. SVM, MLP

Algorithm used in experiments
 x and q are vectors

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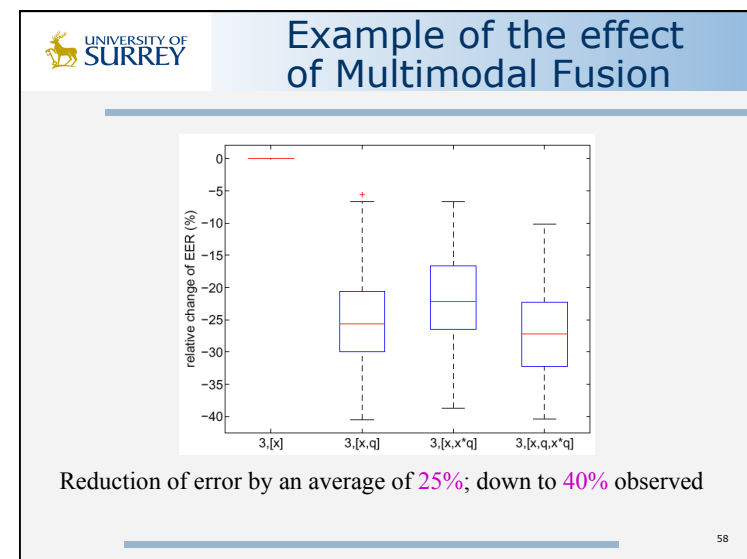
Sample QDF Functions

Fusion by a linear classifier

no.	arrangement	the resulting function $f^{disc}(x, q)$	no. of parameters
1	$[x]$	$\sum_i x_i w_i$	R
2	$[x, q]$	$\sum_i x_i w_i + \sum_j q_j v_j$	$R + P$
3	$[x, x \otimes q]$	$\sum_i x_i (\sum_j q_j w_{i,j} + w_i)$	$R \times (P + 1)$
4	$[x, q, x \otimes q]$	$\sum_i x_i (\sum_j q_j w_{i,j} + w_i) + \sum_j v_j q_j$	$R + P + R \times P$

Increasing order
complexity

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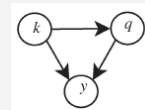
Biometric sample quality: issues

- Quality is multi-faceted
- The use of too many quality measures can cause over fitting
- Independence assumption
- How can a biometrics expert assess its own competence
- How should a competence based based quality measure control the fusion process
- Algorithm dependent overlap
- Fusion architecture

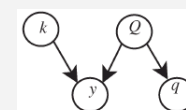
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The learning problem

- Approach 1: train a classifier with $[y, q]$
- Approach 2: cluster q into Q clusters. For each cluster, train a classifier using $[y]$ as observations



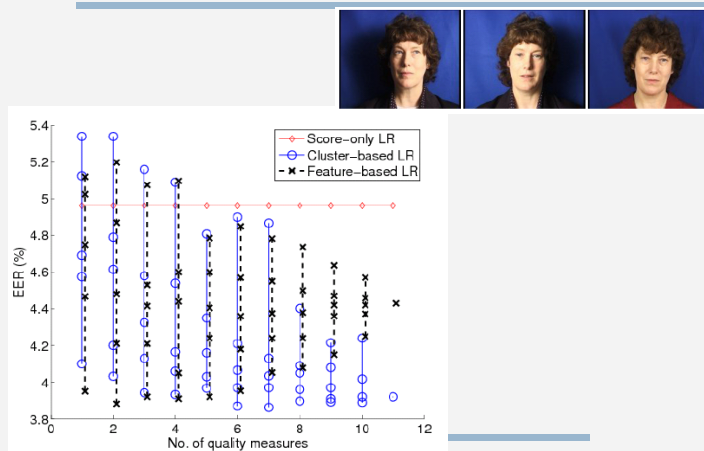
Approach 1
Feature-based



Approach 2
Cluster-based

y: score
q: quality measures
Q: quality cluster
k: class label

Effect of high dimensionality of q

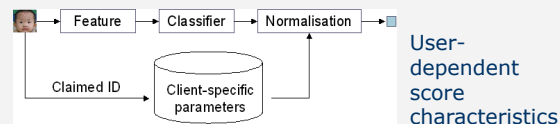


Why biometric systems should be adaptive ?

- Each user (reference/target model) is different, i.e., every one is unique
 - user/client-specific score normalization [IEEE TASLP'08]
 - user/client-specific threshold
- Signal quality may change, due to
 - the user interaction
 - the environment
 - the sensor
 → Quality-based normalization
- Biometric traits change
 - eg, due to use of drugs and ageing
 - semi-supervised learning (co-training/self-training)
 → Cohort-based normalization

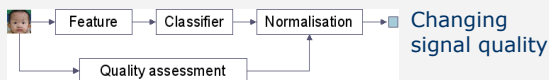
Information sources

Client/user-specific normalization (offline)



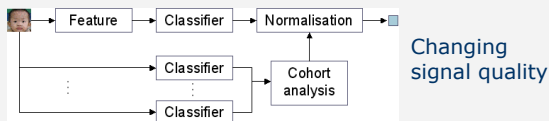
User-dependent score characteristics

Quality-based normalization



Changing signal quality

Cohort-based normalization (online)



Changing signal quality

The properties of user-specific score normalization

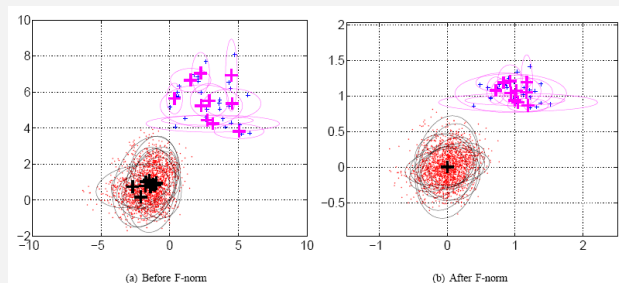
Procedures	Formulas	Properties
Z-norm	$y_j^Z = \frac{y - \mu_j^I}{\sigma_j^I}$	$E_j[y_j^Z I] = 0$ and $var_j[y_j^Z I] = 1$
F-norm	$y_j^F = \frac{y - \mu_j^I}{\gamma \mu_j^C + (1 - \gamma) \mu_j^I - \mu_j^I}$	$E_j[y_j^F I] = 0$ and $E_j[y_j^F C] = 1$
EER-norm	$y_j^{EER} = y - \Delta_j$	$y_j^{EER} > 0$ is an optimal decision function (at EER) for all j
MS-LLR norm	$y_j^{LLR} = \log \frac{p(y C,j)}{p(y I,j)}$	$y_j^{LLR} > 0$ is an optimal decision function (at EER) for all j

$$\mu_j^{F,C} \equiv E[y_j^F | C] = \frac{E[y_j^C] - \mu_j^I}{\mu_j^C - \mu_j^I} = 1, \text{ for all } j$$

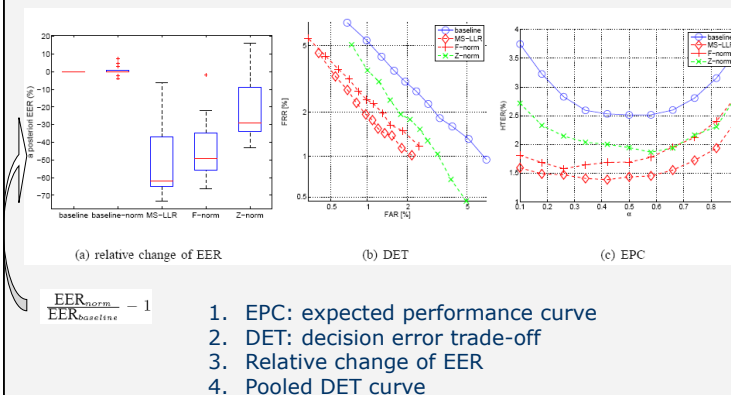
$$\mu_j^{F,I} \equiv E[y_j^F | I] = \frac{E[y_j^I] - \mu_j^I}{\mu_j^C - \mu_j^I} = 0, \text{ for all } j$$

[IEEE TASLP'08]

User-specific score normalization



Results on the XM2VTS



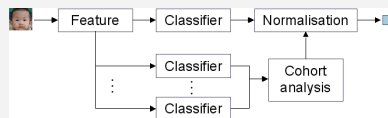
Cohort normalization

- T-norm – a well-established method, commonly used in speaker verification
- Impostor scores parameters are computed **online** for each query (**computationally expensive**) and at the same time **adaptive** to test access

$$y_T = \frac{y - \mu^c}{\sigma^c}$$

$$\mu^c = E[y]$$

$$(\sigma^c)^2 = E[(y^c - \mu^c)^2]$$

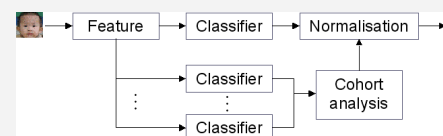


Other Cohort-based Normalisation

- Tulyakov's approach $y_{Tul} = P(C|y, \max_{y^c \in \mathcal{Y}^c} \{y^c\})$

A probability function estimated using logistic regression or neural network

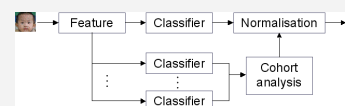
- Aggrawal's approach $y_{Ag} = \frac{y}{\max_{y^c \in \mathcal{Y}^c} \{y^c\}}$



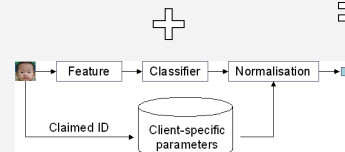
Combination of different information sources

- Cohort, client-specific and quality information are not mutually exclusive factors
- We will show the benefits of:
 - Cohort+client-specific information
 - Cohort+quality information

A client-specific+cohort normalization



Cohort normalization



Client-specific normalization

An example: Adaptive F-norm

Apply adaptation to F-norm

Adaptive F-norm:

- It uses cohort scores
- And user-specific parameters

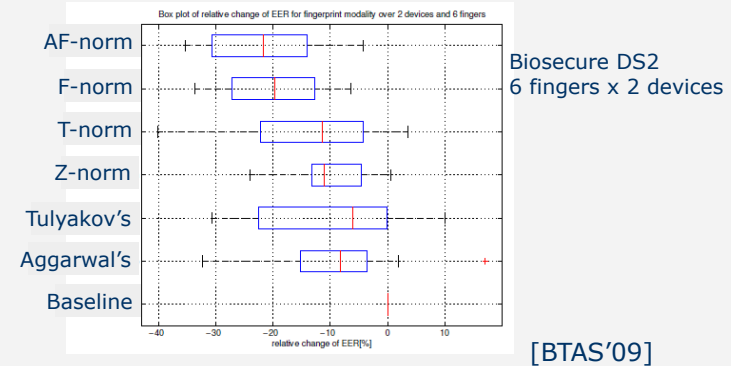
$$y_j^{AF} = \frac{y - \mu^c}{\tilde{\mu}(\gamma, j) - \mu^c}$$

where $\tilde{\mu}(\gamma, j) = \gamma \mu_{G,j}^d + (1 - \gamma) \mu_G^d$ and $\gamma \in [0, 1]$

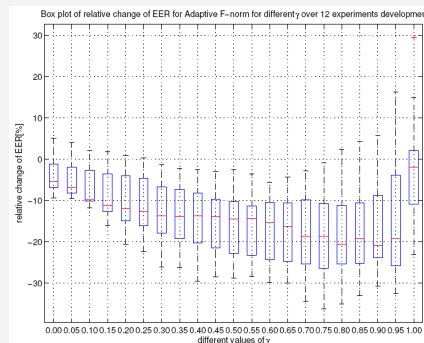
Client-specific mean
(offline)

Global client mean:
 $\mu_G^d = \mathbb{E}_{j \in [1, \dots, J]} [\mu_{G,j}^d]$

Fingerprint experiments



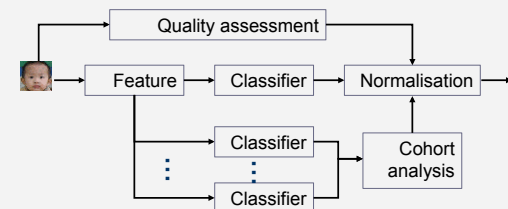
Effect of the gamma parameter



Box plot of relative change of EER (%) versus γ , assessed on the development set (and not the evaluation set).

Recommendation:
Set gamma=0.5
when there is
only one genuine
score to adapt;
and higher if
there are more
training samples

Cohort + quality information



Fingerprint experiments

T-norm+quality

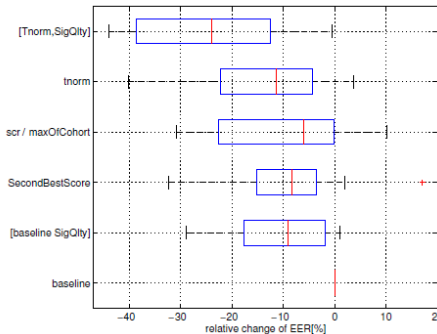
T-norm

Aggarwal's

Tulyakov's

Q-stack

Baseline



$$\text{rel. change of EER} = \frac{\text{EER}_{\text{algo}} - \text{EER}_{\text{baseline}}}{\text{EER}_{\text{baseline}}}$$

[EUSIPCO'09]

Case study in multimodal soft biometric fusion

- Multimodal biometric traits
- Multimodal sensing of the same biometric trait
 - Different spectral bands
 - Voice/image sensed lips dynamics
 - Visual/language modalities for person re-identification

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Canonical correlation analysis

- Consider features x and y extracted from two biometric modalities
- Basic principle – find direction in the respective feature spaces that yield maximum correlation
 - Gauging linear relationship between two multidimensional random variables (feature vectors of two biometric modalities)
 - Finding two sets of basis vectors such that the projection of the feature vectors onto these bases is maximised
 - Determine correlation coefficients

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CAA problem formulation

- Training set of pairs of vectors (x_i, y_i) , $i = 1, n$
- Maximisation of the correlation of the projections

$$\begin{aligned} \max_{w_x, w_y} E\{w_x^T x y^T w_y\} &= \max_{w_x, w_y} w_x^T C_{xy} w_y \text{ s.t.} \\ E\{w_x^T x x^T w_x\} &= w_x^T C_{xx} w_x = 1 \\ E\{w_y^T y y^T w_y\} &= w_y^T C_{yy} w_y = 1 \end{aligned}$$

- Leads to an eigenvalue problem

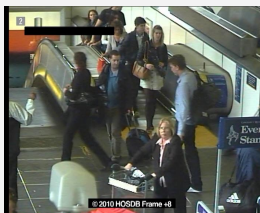
$$\begin{aligned} \begin{bmatrix} 0 & C_{xy} \\ C_{yx} & 0 \end{bmatrix} \begin{bmatrix} w_x \\ w_y \end{bmatrix} &= \\ = \lambda \begin{bmatrix} (1 - \kappa)C_{xx} + \kappa I & 0 \\ 0 & (1 - \kappa)C_{yy} + \kappa I \end{bmatrix} \begin{bmatrix} w_x \\ w_y \end{bmatrix} \end{aligned}$$

- With cov matrices regularised by κI

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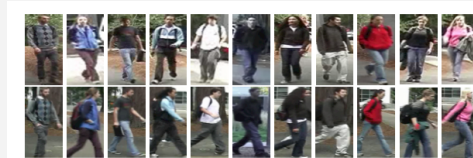
Background and motivation

- Video surveillance very important tool for crime prevention and detection
 - Watch list
 - Forensic video analysis
- Hard biometrics (face) not always available
- Other video analytics tools are useful alternatives
 - Soft biometrics (clothing, gait)
 - Tracking



Soft biometrics and re-identification

- Person Re-Identification
 - Recognising a person from non-overlapping cameras
- Formulated as a ranking problem



Re-ID with V&L

- The majority of existing methods are vision only
 - Images or videos
- Joint vision and language modelling
 - Image and video captioning, Visual question answering, Image synthesis from language, ...
- Can language help vision in Re-ID?

Language annotation

- Augmenting existing datasets
 - CUHK03: ~2700 descriptions
 - VIPeR: ~1300 descriptions
- Crowd-sourced, 8 annotators
- Annotation
 - Free style sentences, not attributes
 - Encouraged to cover details
 - On average 45 words per description
 - Per image rather than per identity

Language annotation



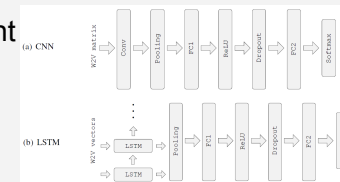
A front profile of a young, slim and average height, black female with long brown hair. She wears sunglasses and possibly earrings and necklace. She wears a brown t-shirt with a golden coloured print on its chest, blue jeans and white sports shoes.



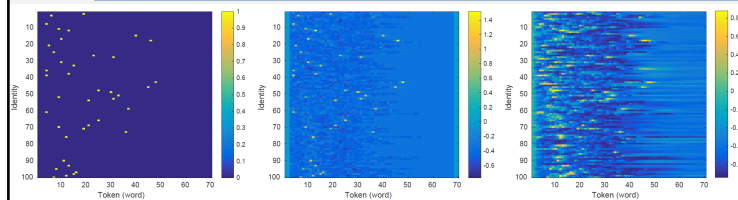
A short and slim young woman carrying a tortilla coloured rectangular shoulder bag with caramel straps, on her right side. She has a light complexion and long, straight auburn hair worn loose. She wears a dark brown short sleeved top along with bell bottomed ice blue jeans and her shoes can't be seen but she might be wearing light colored flat shoes.

Re-ID with language

- ResNet-50 for visual information
- Word2Vec embedding
- Neural networks: CNN and LSTM
- Multi-class setting, 2 examples per class (identity)
- Data augmentation
- Metric learning with learnt representations (XQDA)
- Canonical Correlation



Re-ID with language



- Detecting the concept of "spectacles"
 - "bespectacled", "glasses", "eye-glasses", ...
 - GT, CNN, LSTM
 - One channel becomes "spectacles" detector during training
 - Good representation learnt from unstructured data

Re-ID with V&L

- Three sets:
 - Training, query, gallery
 - Training: image and language pairs
- Various settings, query x gallery:
 - V x V, L x L, V x L, V x VL, VL x VL
- Asymmetric settings:
 - Transfer language info. With CCA
- XQDA as metric learning

Re-ID with V&L

	Gated CNN	68.1	88.1	94.6
	V x V	70.3	93.2	96.6
	L x L	41.1	69.8	82.5
Ours	V x L	17.7	48.5	66.0
	V x VL	73.5	94.5	97.7
	VL x VL	81.8	98.1	99.3

- Results on CUHK03, R1, R5, R10
- LxL worse than VxV: more information in vision
- VxVL 3.2 points higher than VxV
- VLxVL 11.5 points higher than VxV, 13.7 points better than state-of-the-art
- Language helps

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