

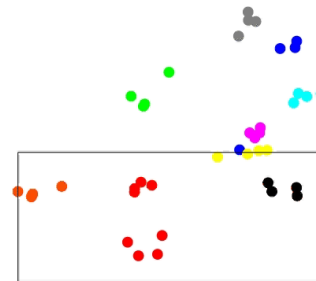
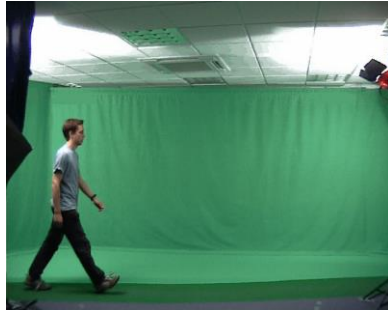
# Using movement and attributes for recognition: gait and soft biometrics

---

Mark S. Nixon

Professor of Computer Vision

Electronics and Computer Science, University of Southampton



**IEEE/ IAPR Winter School on Biometrics 2018**

# Society needs means of identification

---

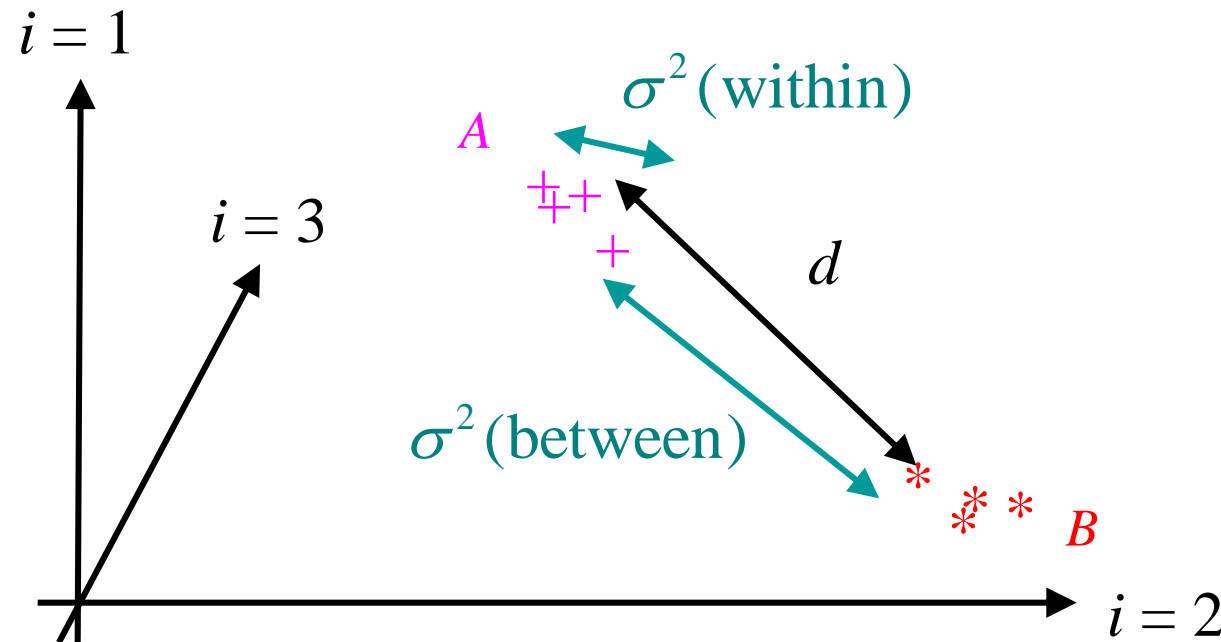


# Basis

i) we measure distance  $d$ :

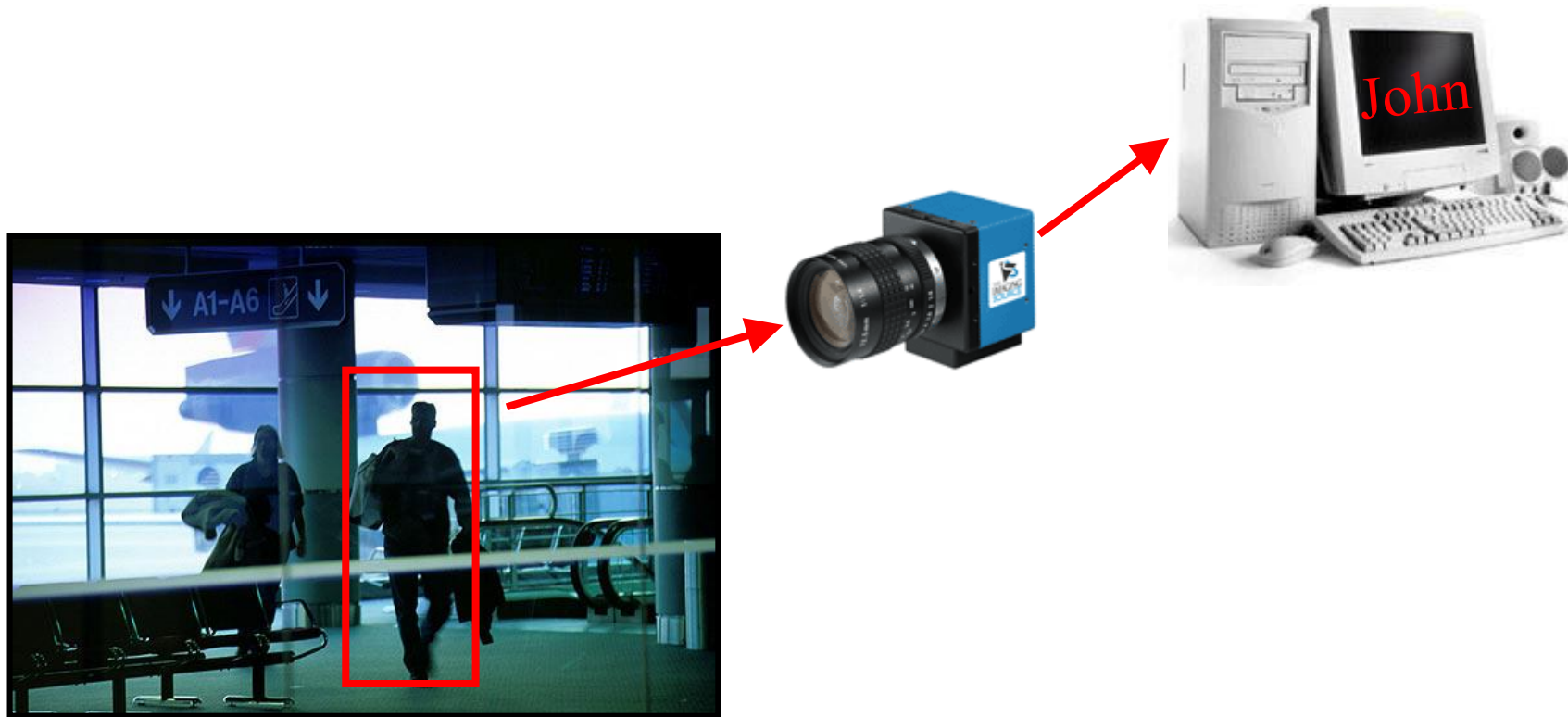
$$d(A, B) = \sqrt{\sum_{i=1}^N (x_{iA} - x_{iB})^2}; N = \# \text{ measurements}; A, B = \text{subjects}$$

ii) we want variance **within** subject  $\ll$  variance **between** subjects



# Vision-based biometrics

---

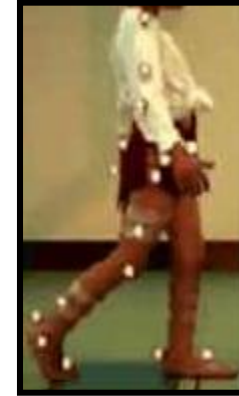




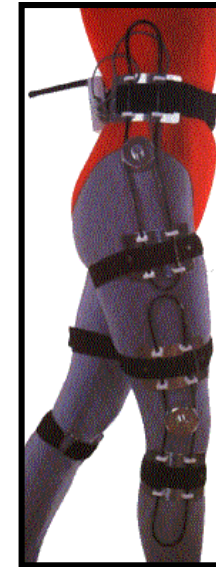
# Biometrics and gait

---

- Emerging gait research
- Gait is **non-contact** and uses sequences
- Advantages: perceivable at **distance** and hard to disguise
- Potential applications: security/**surveillance**, immigration, forensic, medicine?
- Other applications: **moving** objects
- Related fields: animation, tracking



Clinical Gait Analysis



MIE Medical Research

# Why? (and a better explanation)

---

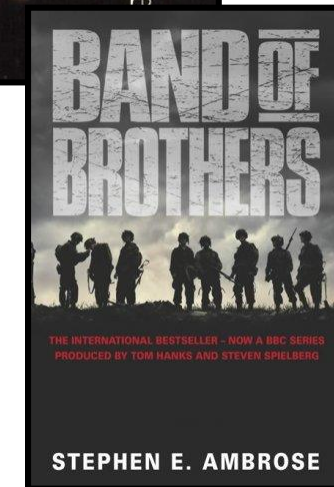
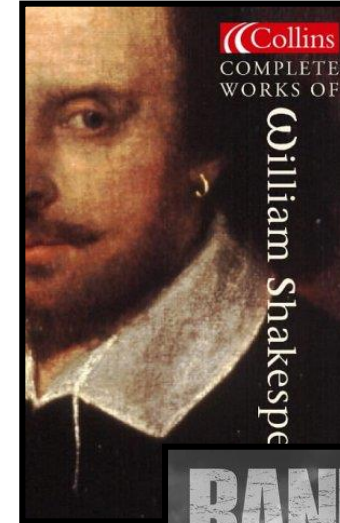


As a biometric, **gait** is available at a **distance** when other biometrics are obscured or at too **low resolution**

# Gait and literature

---

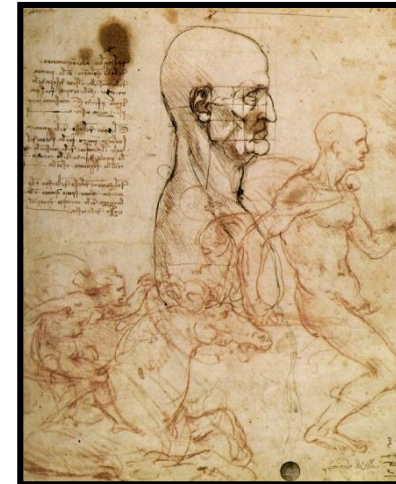
- Dictionary: “manner of walking”
- **Shakespeare** observed recognition:
  - “High’st Queen of state; Great Juno comes;  
I know her by her **gait**” [The Tempest]
  - “For that John Mortimer....in face, in **gait** in  
speech he doth resemble” [Henry IV/2]
- Other **literature**: e.g. Band of Brothers: “I noticed this figure coming, and I realized it was John Eubanks from the way he walked”



# Gait and history

---

- Aristotle (~350 BC)  
On the gait of animals
- Leonardo da Vinci (~1500)  
movement sketches
- Eadweard Muybridge  
(1830-1904)
  - Movie pioneer
  - Studied horses (1872)
  - Studied movement (1884)



# Early UCSD Data

---



Image frame



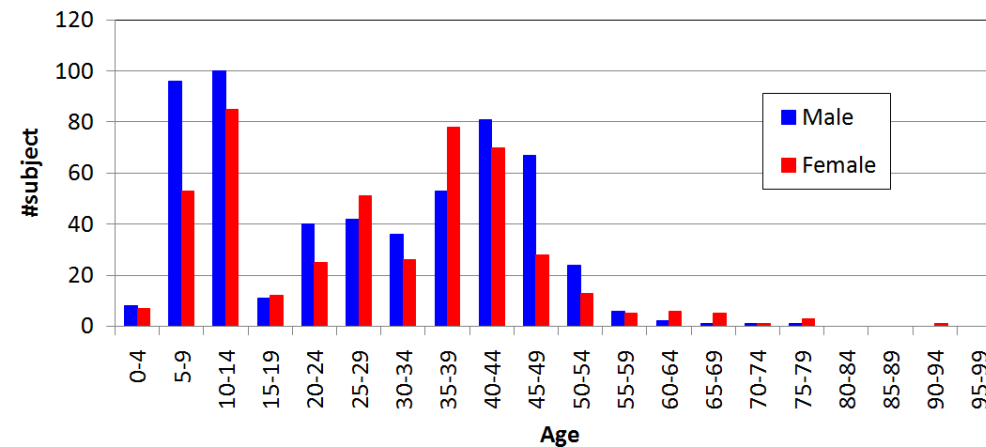
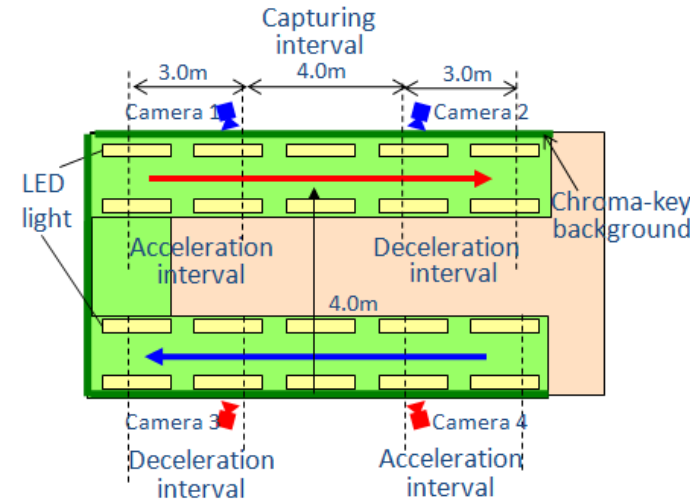
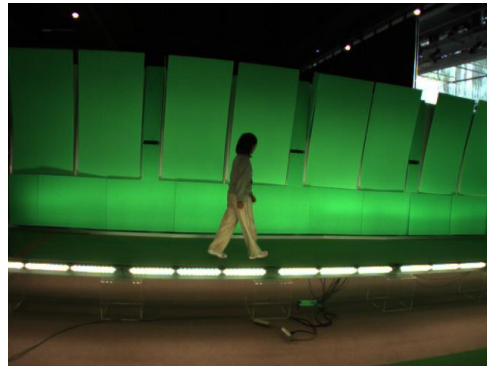
Extracted  
subject

- 6 subjects; 7 sequences
- Sony Hi8 video camera
- Circular track ....exhausted subjects?



# Performance Evaluation of Vision-based Gait Recognition using a Very Large-scale Gait Database

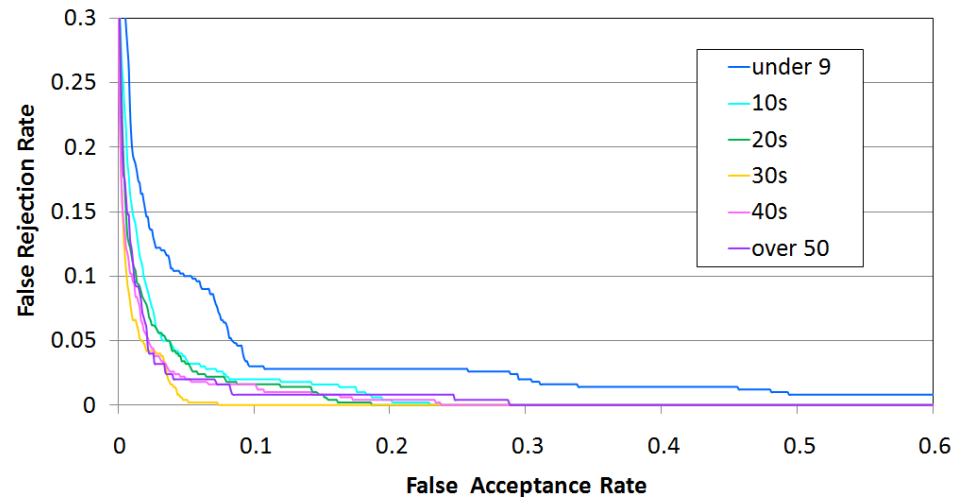
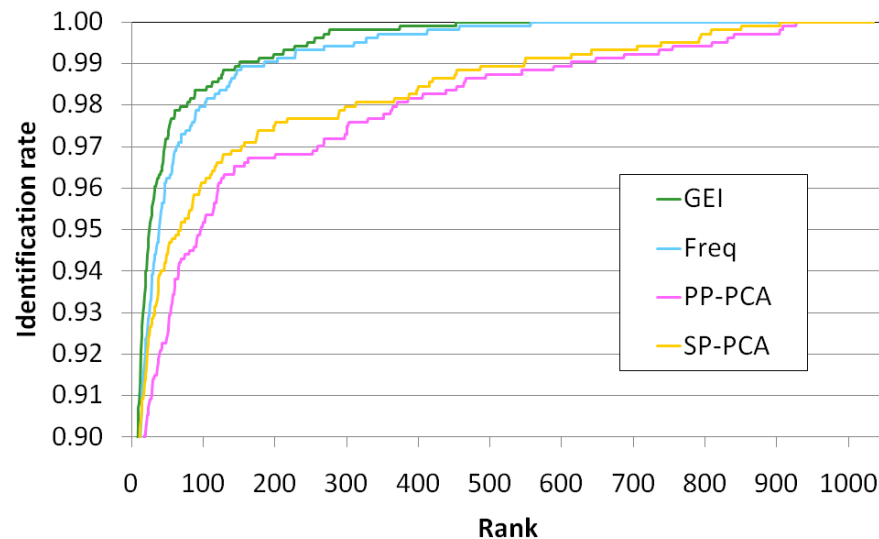
- From Osaka Univ, Japan
- Gathered large database >1000 subjects, at exhibition



Okumura, Iwama, Makihara, and  
Yagi, *Proc. BTAS 2010*

# Recognition

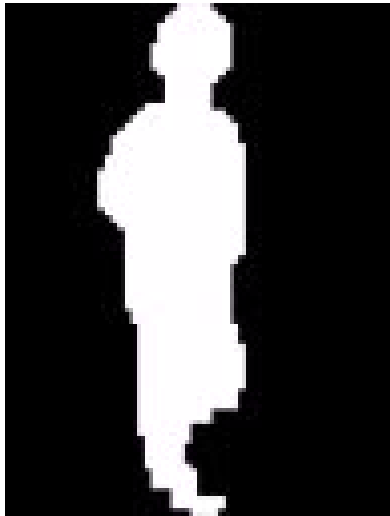
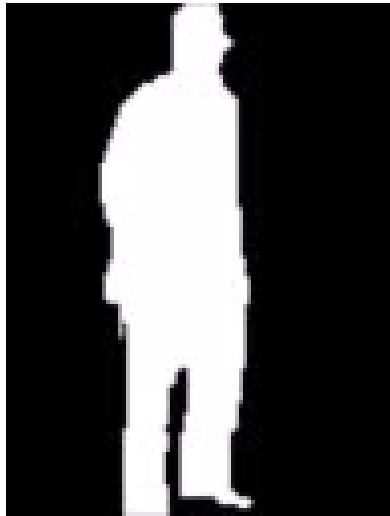
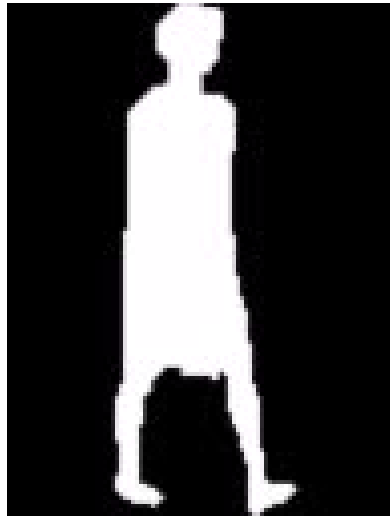
- Consistent with many other studies
- First gait biometrics paper - Cunado, Nixon and Carter (AVBPA 1997) - had 90% CCR



Okumura, Iwama, Makihara, and  
Yagi, *Proc. BTAS 2010*

# Gait-based Age Estimation using a Whole-generation Gait Database

- How old is he/she?

Subject	1	2	3
Gait			
Age	A. 4 years old B. 14 years old C. 24 years old	A. 62 years old B. 72 years old C. 82 years old	A. 24 years old B. 34 years old C. 44 years old

Makihara, Okumura, Iwama,  
and Yagi, *Proc. IJCB 2011*

# Techniques for gait extraction and description

- **Silhouette** descriptions (many)
  - ◆ Established **statistical** analysis
  - ◆ (Temporal) **symmetry**
  - ◆ (Velocity) **moments**
  - ◆ (Unwrapped) **silhouette**



- **Modelling Movement** (few)
  - ◆ Pendular **thigh** motion model
  - ◆ Coupled and forced **oscillator**
  - ◆ **Anatomically-guided** skeleton

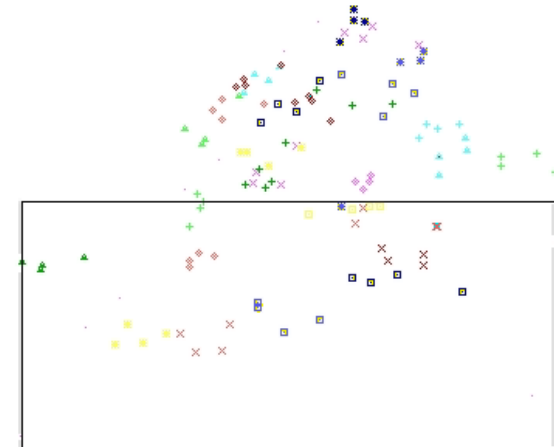


# Velocity moments

---

- Extension of spatial moments
- Applied to silhouettes
- Selected by ANOVA

$$A_{mn\mu\gamma} = \frac{m+1}{\pi} \sum_{i=2}^{images} \sum_{x,y} U(i, \mu, \gamma) S(m, n) P_{i_{x,y}}$$

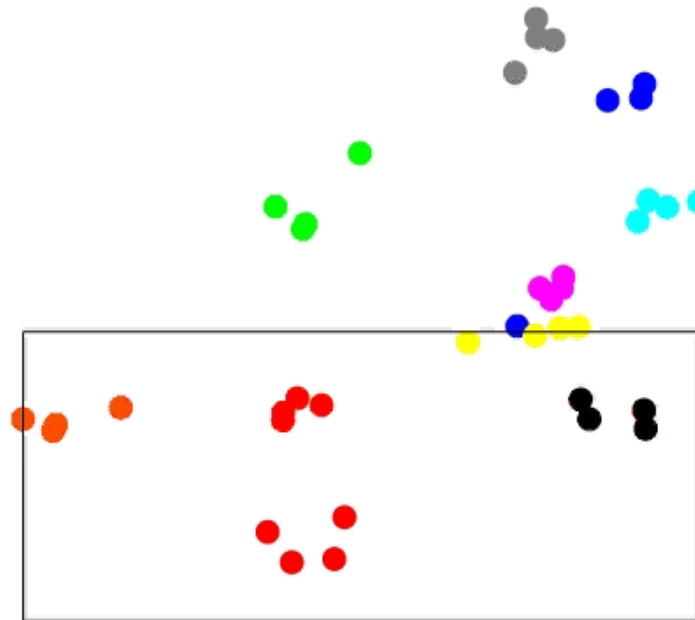




# Gait recognition

---

- 3 moments for visualisation; subjects are clusters of 4



# Gait recognition

---

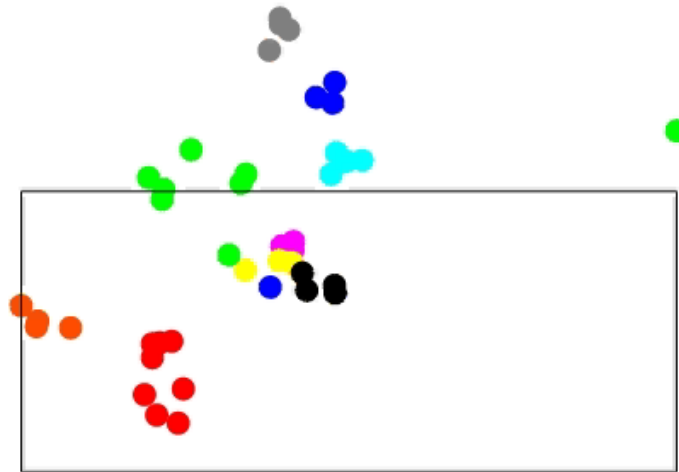
- natural walking (well....)



# Gait recognition

---

- Including a funny walk ...



# Processing

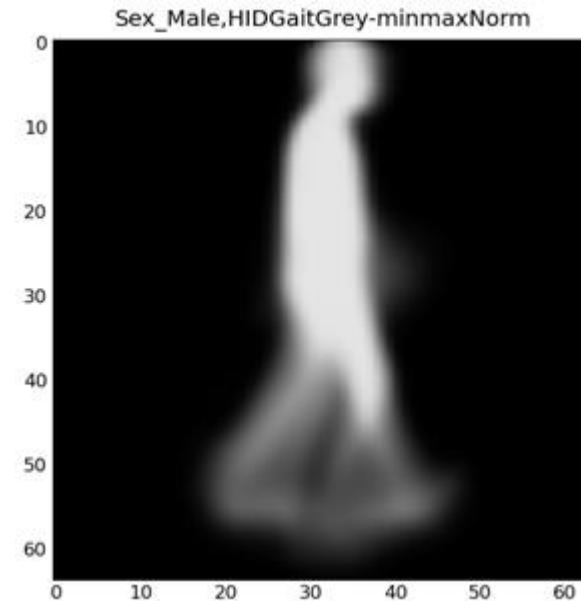
---



# Average Silhouette

---

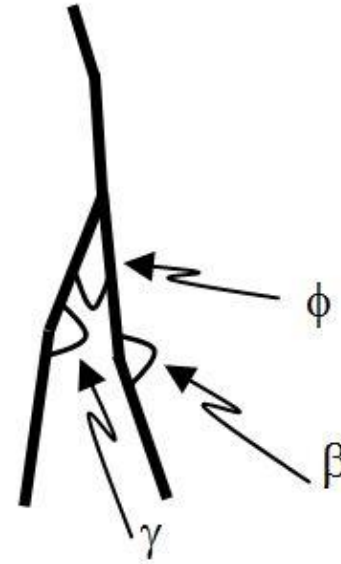
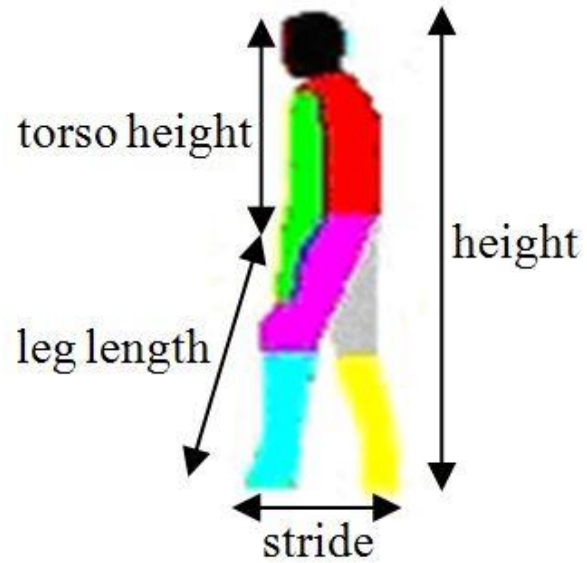
- Most popular technique for **gait representation**
- **Simple** and **effective**  
(Liu and Sarkar *ICPR* 2004 ; Veres et al *CVPR* 2004)
- Also called gait **energy** image  
(Han and Bhanu *CVPR* 2004; *TPAMI* 2006)
- New form is gait **entropy** image  
(Bashir and Gong *ICDP* 2009)
- **Analysed** on HiD, Soton and Casia databases





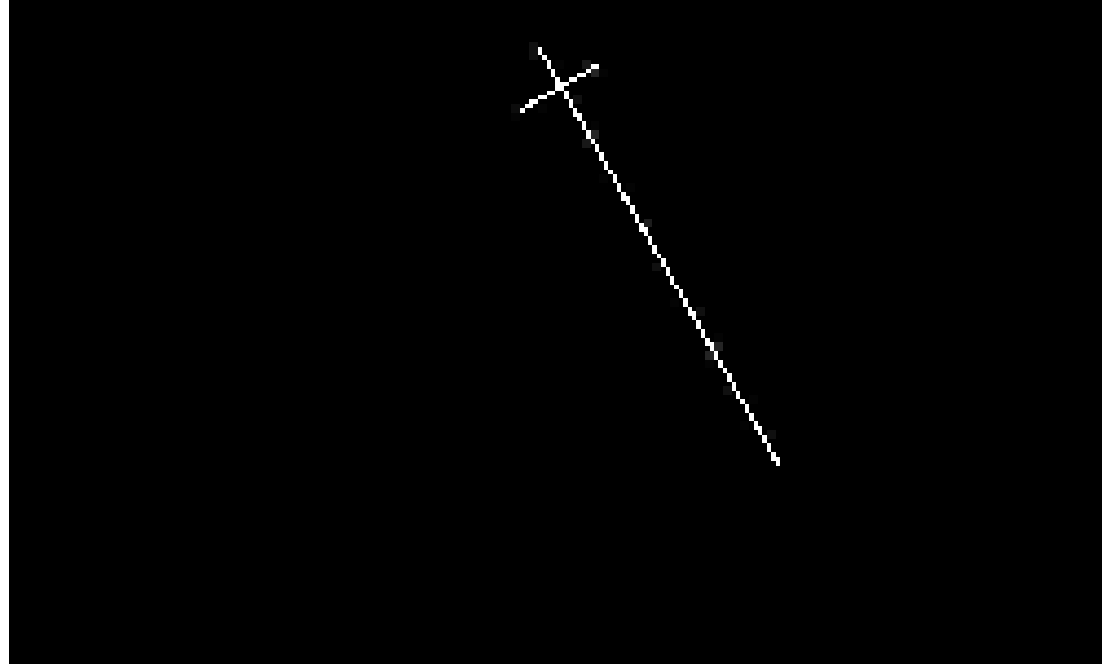
# Model-based approaches

---



# Modeling the Thigh's Motion 1

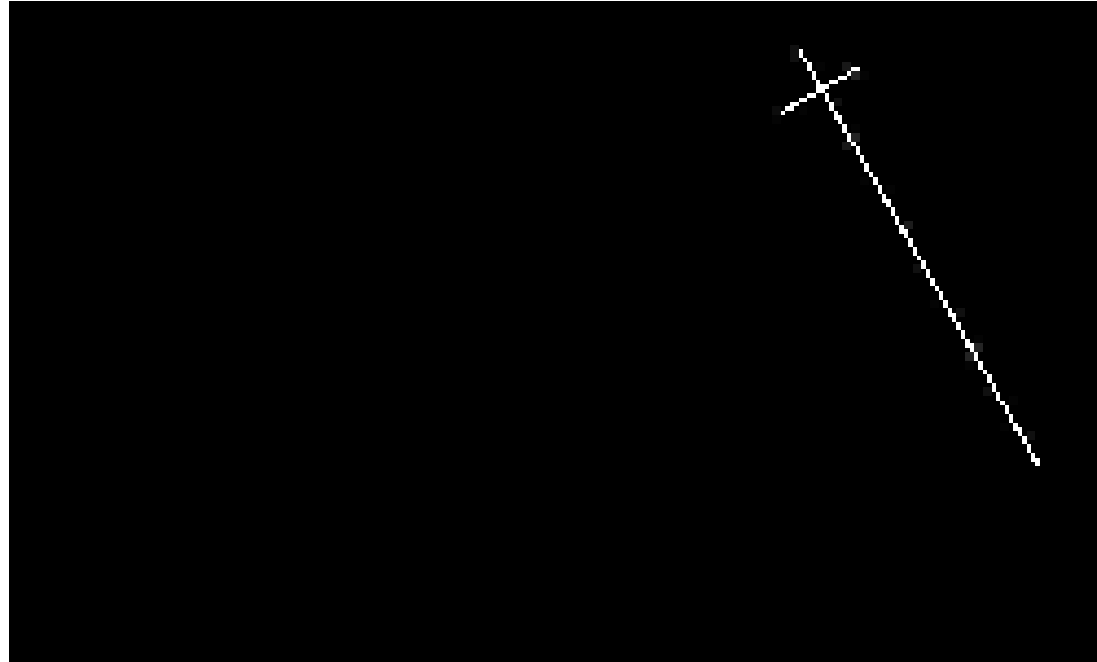
---



$$vs_x(t) = A \cos(\omega t + \phi)$$

## Modeling the Thigh's Motion 2

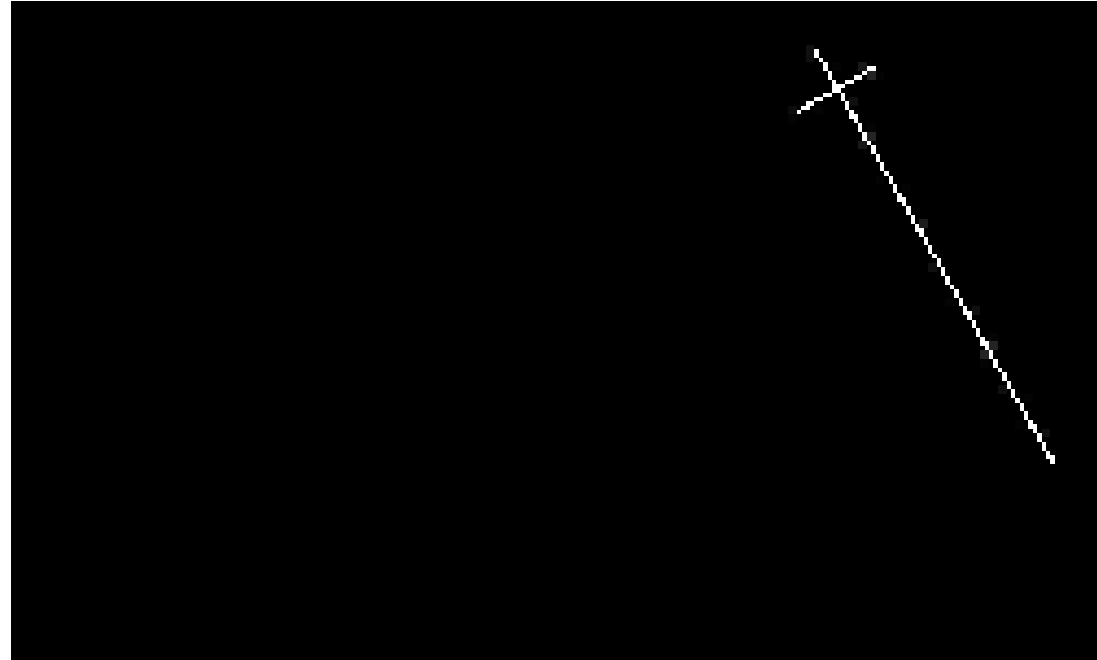
---



$$vh_x(t) = Vx + A \cos(\omega t + \phi)$$

# Modeling the Thigh's Motion 3

---



$$\phi(t) = a_0 + \sum_{k=1}^N \left[ b_k \cos(k\omega_0 t + \psi) \right]$$

# Validity?

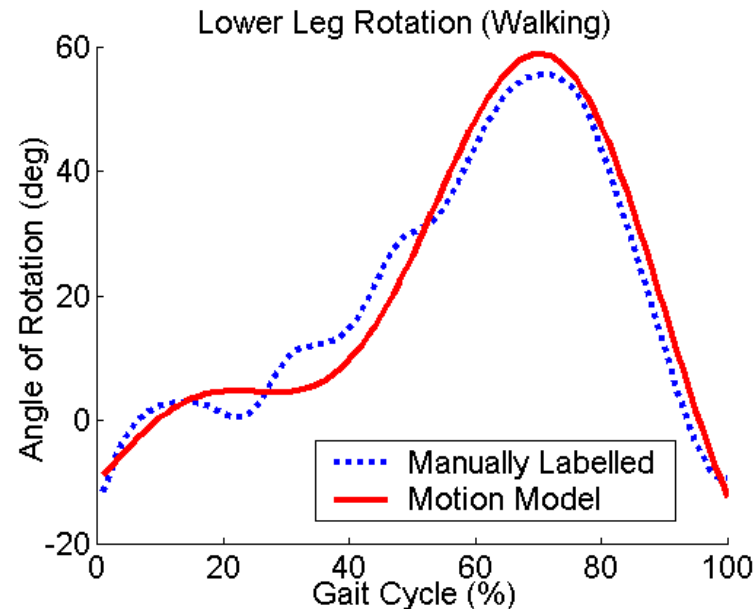
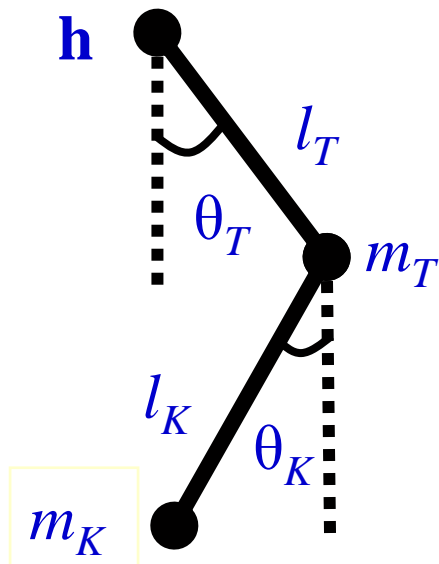
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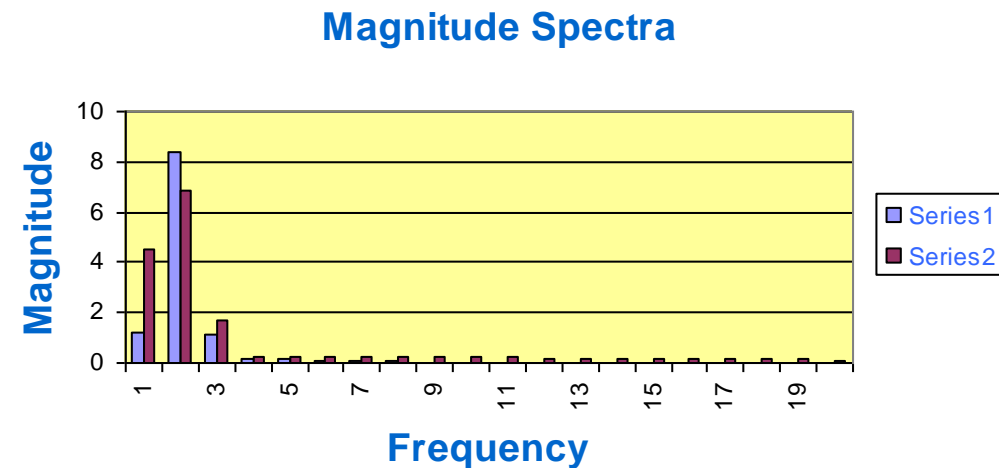
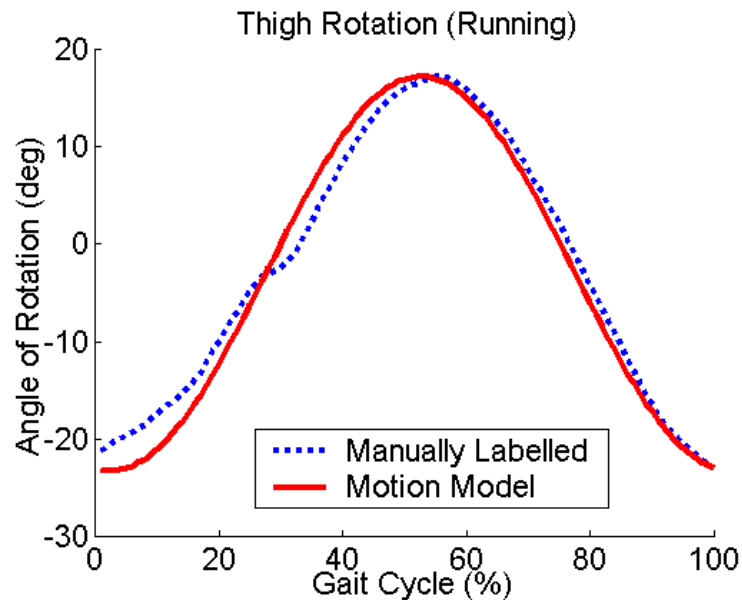
# Modelling Gait(s)

- Extended pendular thigh-model, based on angles
- Uses forced oscillator/ bilateral symmetry/ phase coupling



# Basis of Recognition Metric

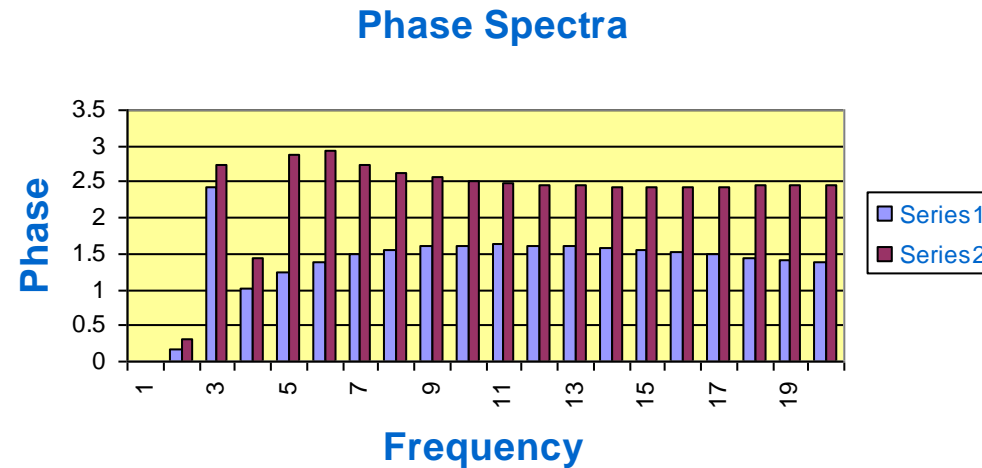
- Accumulate evidence of Fourier descriptors
- Use to calculate Fourier transform
- Magnitude spectra similar



# Basis of Recognition Metric -Phase

---

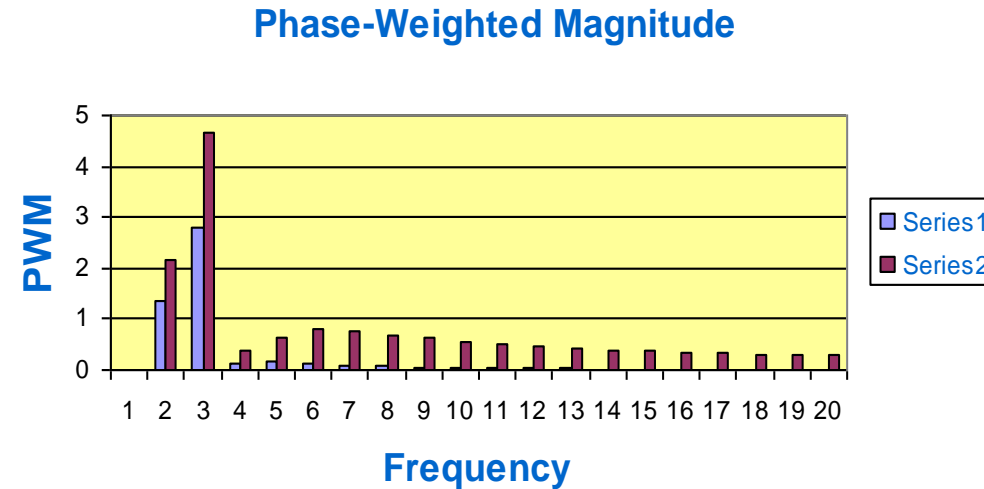
- Phase spectra differ



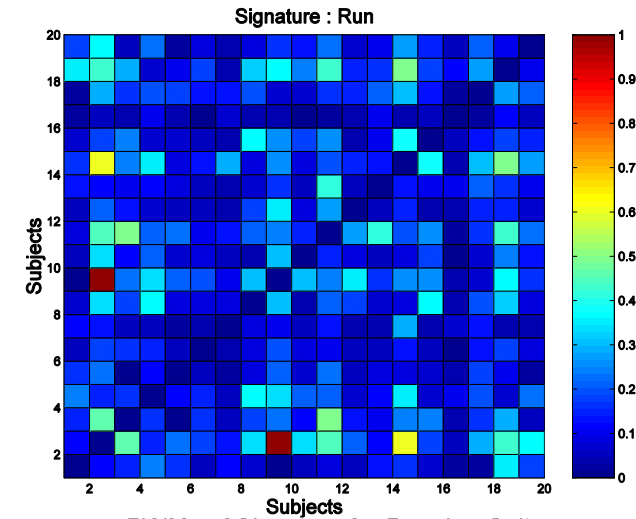
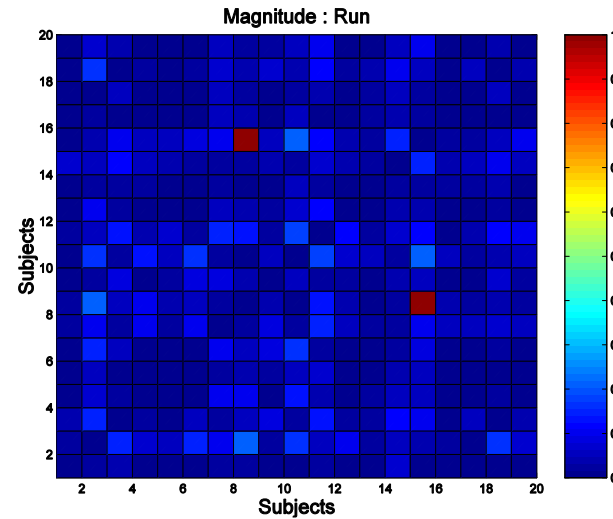
# Recognition Metric

---

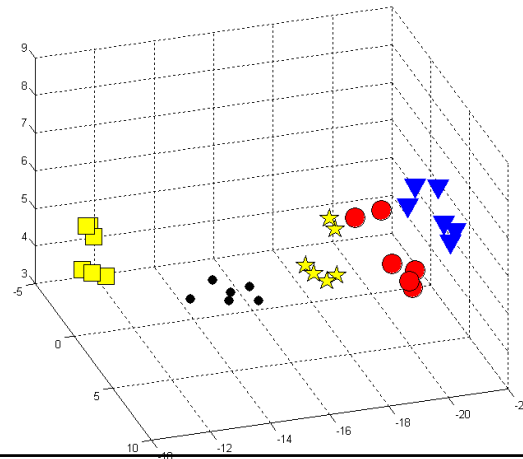
- Product of phase×magnitude gives recognition measure



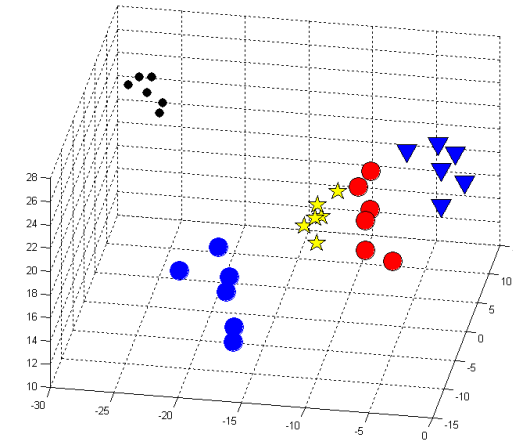
# Model-Based Recognition Results



PWMs of Signature for Walking Gait



PWMs of Signature for Running Gait



# Does gait biometrics really work?



```
g of sample 4961: locating gait cycle  
g of sample 4961: Calculating average  
g of sample 4961 successfully  
Liz (dist=3.576)  
Lee M (dist=6.690)  
Daisy (dist=6.696)  
#Isabel (dist=7.000)  
Mark N (dist=7.719)
```

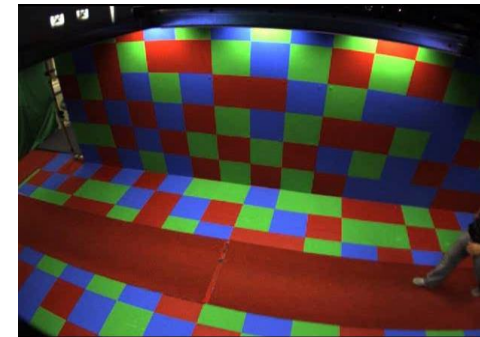
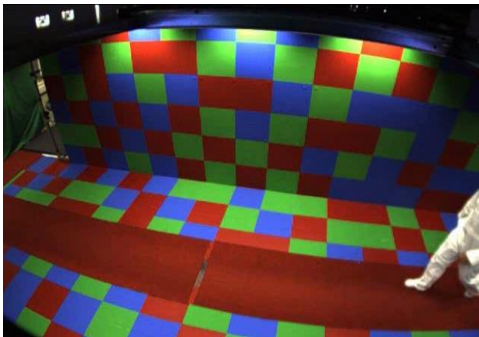
BBC1 *Bang Goes the Theory*  
Episode 1, 2009



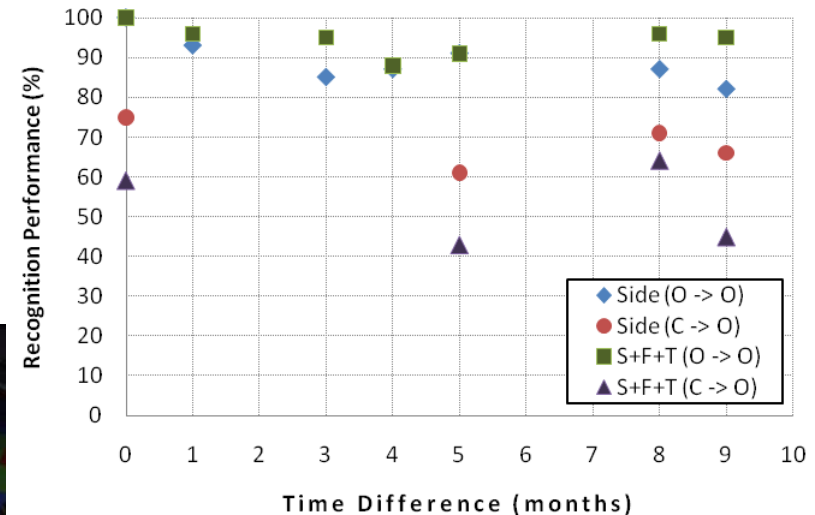
# Analysing the Effects of Time



Nine months difference

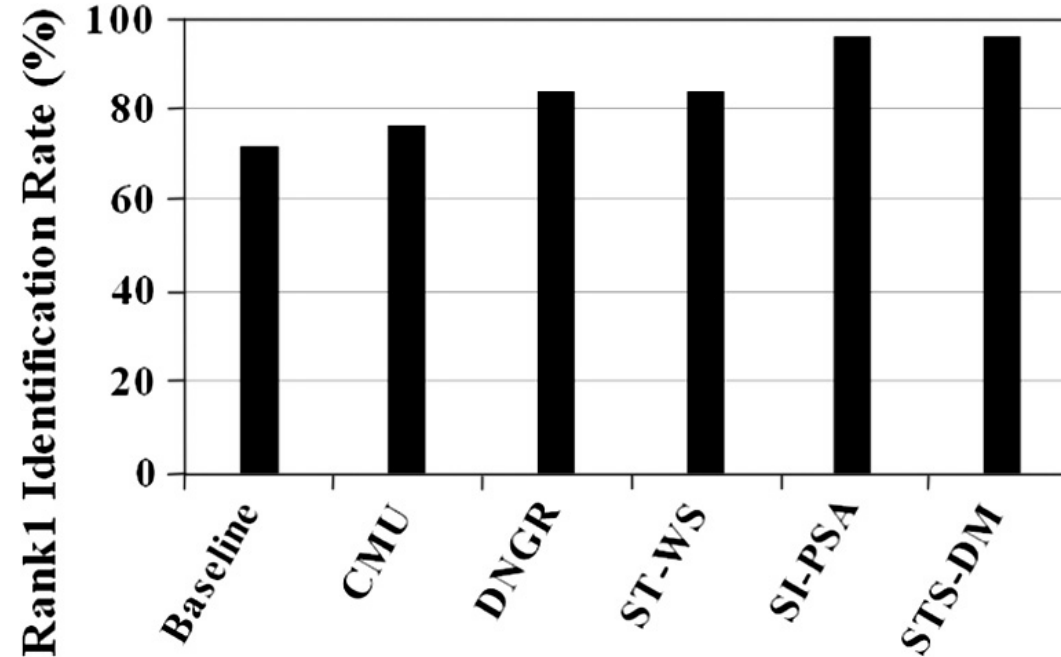


Few minutes apart, different clothes





# Other work



Comparison with related works. Baseline [15], CMU, DNGR [13] and STSDM evaluated on CMU MoBo gait data set (experiment 2 of CMU) with walking speed 3.3 km/h and 4.5 km/h; ST-WS [36] and SI-PSA [35] evaluated on OU-ISIR treadmill gait data set A [56] with walking speed variation of 3 km/h and 4 km/h between gallery and probe gait sequences.



# Murder case in Australia 2014



**Herald Sun**  
MELBOURNE 8C-15C

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The fastest way from A to flybe.

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new crimes, cold cases, latest investigations

## Murdered jeweller Dermot O'Toole's widow Bridget says her husband would be alive if his killer Gavin Perry wasn't out on parole

PADRAIC MURPHY HERALD SUN JUNE 24, 2014 2:19PM

SHARE f t in g+ ✉

SAVE THIS STORY

Ads By Google

[We'll Buy Your House](#) Cash paid, We are ready to buy. Offer made within 24 hrs [thepropertybuyingcompany.co.uk](#)



Bridget O'Toole has described the impact of her husband's murder to the court.

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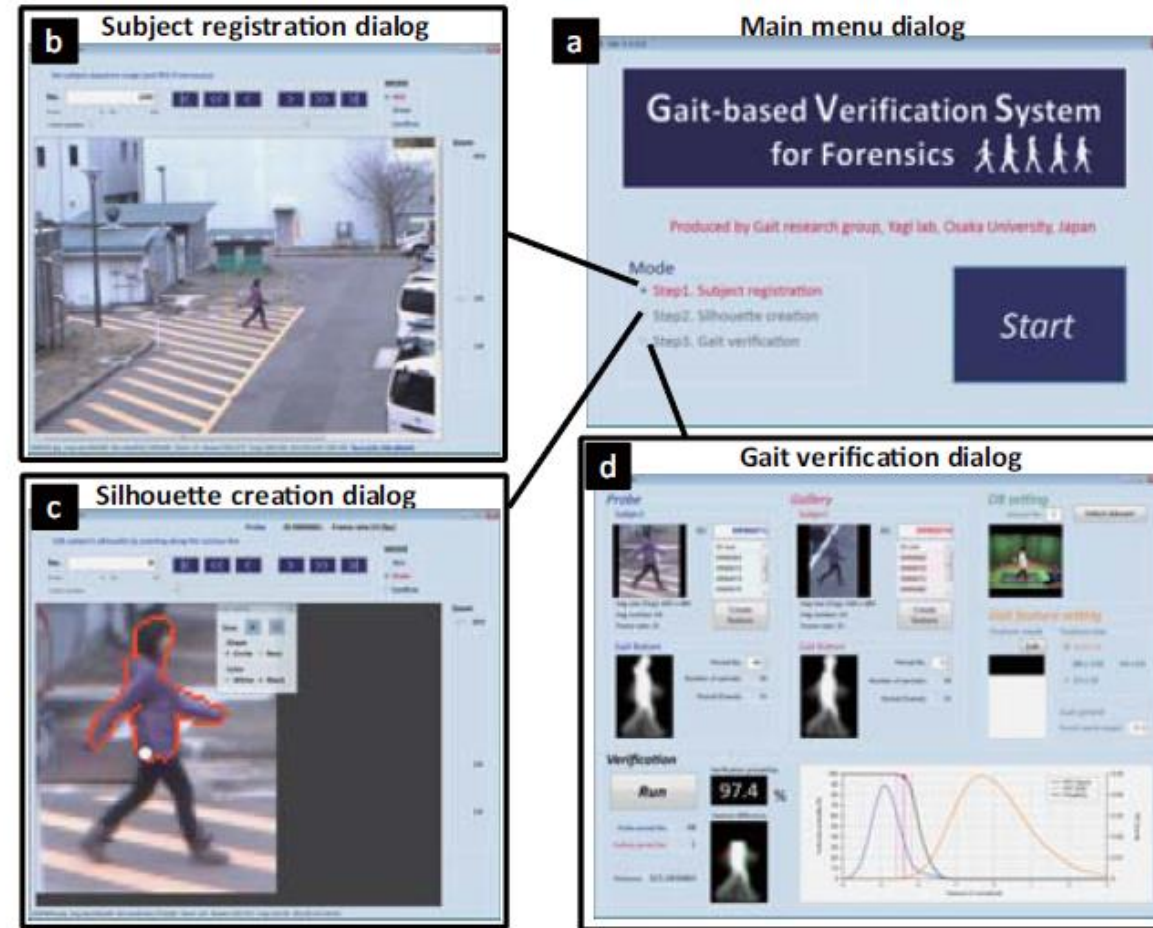
SPONSORED LINKS

[Celebrity news and video!](#)  
Up to the minute celebrity gossip, photos & celebrity videos

[Breaking news video!](#)  
Watch the latest Local and National breaking news

Bouchrika, Nixon, Carter, J. Forensic Science 2011, and Eusipco 2010

# Forensic System



# Commercialisation



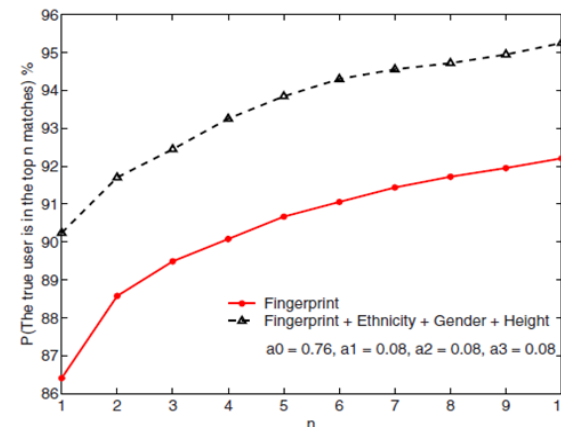
<http://www.youtube.com/watch?v=YvsKPpO-MMU>



# Soft Biometrics

**Bertillonage** 1890  
(body, face, iris, ear, nose...)

**Nandakumar and Jain** 2004  
(augmenting traditional biometrics)



From  
Ross and Nixon  
**Soft Biometrics  
Tutorial**  
BTAS 2016

**Face Soft**  
*Attribute*  
Kumar, Klare, Zhang  
*Relative Attribute*  
[Graumann], Reid,  
Almudhahka

**Body Soft**  
*Categorical*  
Samangoeei  
*Comparative*  
Reid, Martinho-  
Corbishley

**Other Soft**  
*Tattoos* Lee  
*Clothing* Jaha  
*Makeup* Dantcheva



**Estimation of Age + Gender + Ethnicity + Weight + Height + ...**

# Advantages of Soft Biometrics

## 1. Human understandable description

rich in semantics, e.g., a face image described as a “young Asian male”  
bridges gap between human and machine descriptions

## 1. Robustness to image quality

soft biometric attributes and low quality data  
subject at a distance from the camera

## 1. Privacy

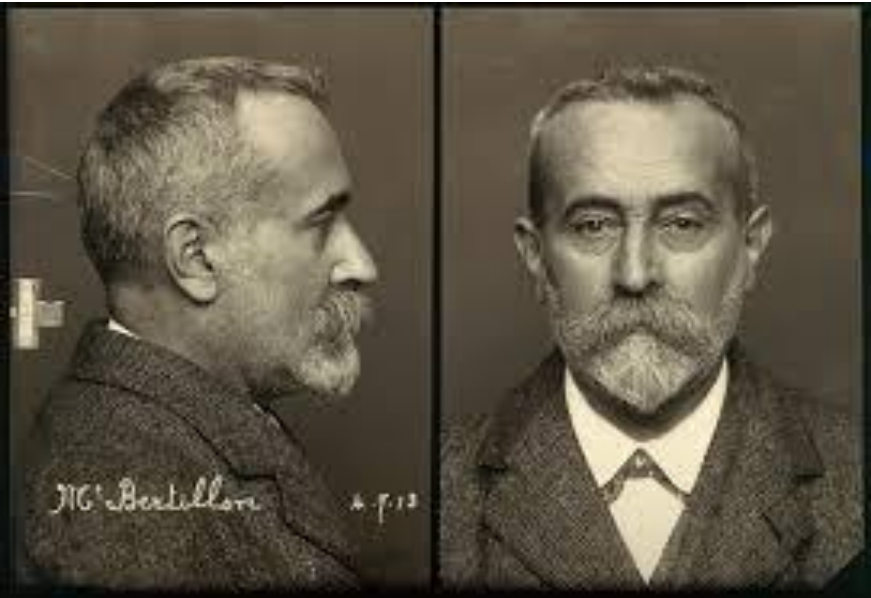
lack of distinctiveness implies privacy friendly  
... but we can recognise you anywhere

## 1. Performance improvement

use in conjunction with biometric cues such as face, fingerprint and iris  
fusion to improve accuracy. ID invariance to **viewpoint**, **illumination**.



# History of Soft Biometrics: Bertillonage



BUREAU OF CRIMINAL INVESTIGATION				NO. 9155	
POLICE DEPARTMENT		B. 20207		CITY OF BOSTON	
BERTILLON MEASUREMENTS					
HEIGHT	175.6	HEAD, LENGTH	19.21	L. FOOT	26.8
WEIGHT	180.0	HEAD, WIDTH	16.8	MID. F.	12.5
THUMB	92.2	INDEX	14.3	4TH F.	9.6
		RIGHT EAR	6.8	THUMB	41.4
NAME Thomas Conway					
ALIAS	Thos J. Conway	CRIME	Larceny		
AGE	29	HEIGHT	175	BUILD	Med
HAIR	Dark	EYES	Blue	COMPLEXION	Rose
SCAR	Albany, N.Y.	OCCUPATION	Salmonman	MOUSTACHE	
DATE OF ARREST	May 11/11	DIVISION	Div. 4	Angell Bldg.	

REMARKS: Small brown patch on right forearm from cancer elbow

A. Bertillon, *Identification of Criminals* 1889

# West vs West

- 1903, **Will West** committed to penitentiary at Leavenworth, Kansas
- Bertillon measurements matched **William West**, who was committed for murder in 1901
- Led to **fingerprints**
- Story is true?

*"This image was probably used in a ca. 1960s FBI training session"*  
[www.LawEnforcementMuseum.org](http://www.LawEnforcementMuseum.org)

FEDERAL BUREAU OF INVESTIGATION  
UNITED STATES DEPARTMENT OF JUSTICE  
J. Edgar Hoover, Director

## History of the "West Brothers" Identification..



Bertillon Measurements are not always a Reliable Means of Identification



In 1903, one WILL WEST was committed to the U. S. Penitentiary at Leavenworth, Kansas, a few days thereafter being brought to the office of the record clerk to be measured and photographed. He denied having been in the penitentiary before, but the clerk doubting the statement, ran his measuring instruments over him, and from the Bertillon measurements obtained went to his files, returning with the card the measurements called for properly filled out, accompanied with the photograph and bearing the name WILLIAM WEST. Will West, the new prisoner, continued to deny that the card was his, whereupon the record clerk turned it over and read that William West was already a prisoner in that institution, having been committed to a life sentence on September 9, 1901, for murder.

The Bertillon measurements of these, given below, are nearly identical whereas the fingerprint classifications given are decidedly different.

The case is particularly interesting as indicating the fallacies in the Bertillon system, which necessitated the adoption of the fingerprint system as a medium of identification. It is not even definitely known that these two Wests were related despite their remarkable resemblance.

Their Bertillon measurements and fingerprint classifications are set out separately below:

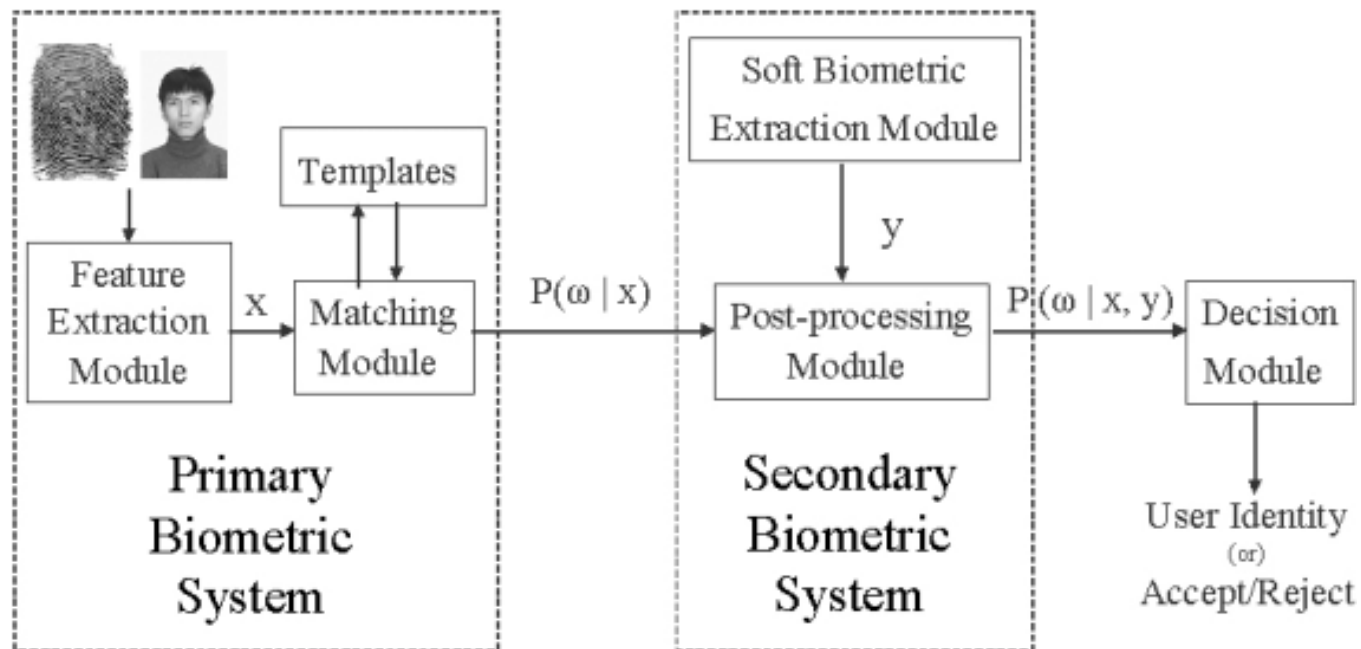
177.5; 188.0; 91.3; 19.8; 15.9; 14.8; 6.5; 27.5; 12.2; 9.6; 50.3  
15- 30 W OM 13 Ref: 30 W OM 13  
28 W I 26 U OO

178.5; 187.0; 91.2; 19.7; 15.8; 14.8; 6.6; 28.2; 12.3; 9.7; 50.2  
10- 13 U O O Ref: 13 U O 17  
32 W I 18 28 W I 18



# First mention of Soft Biometrics

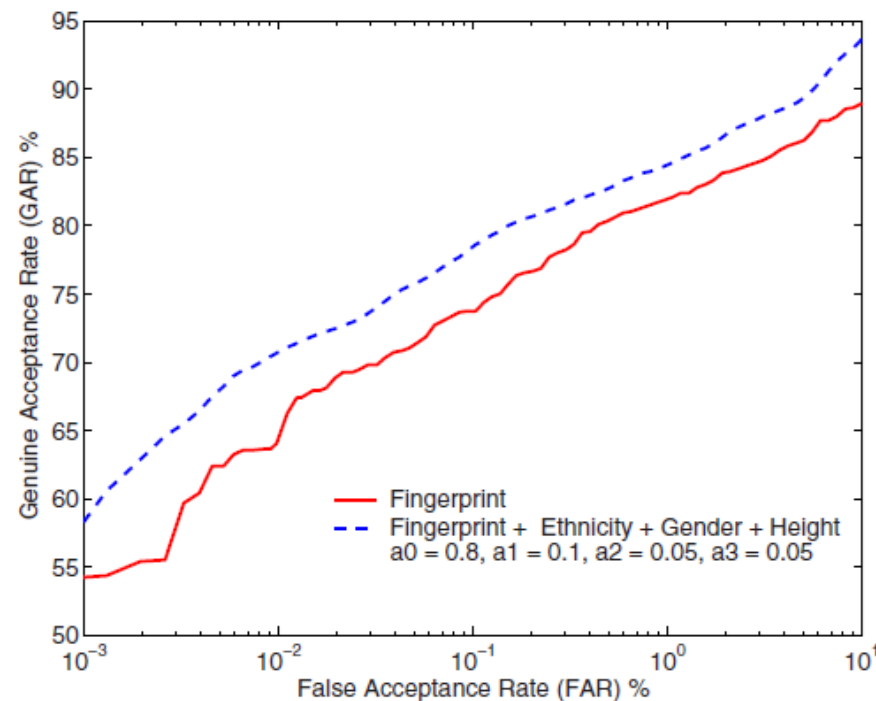
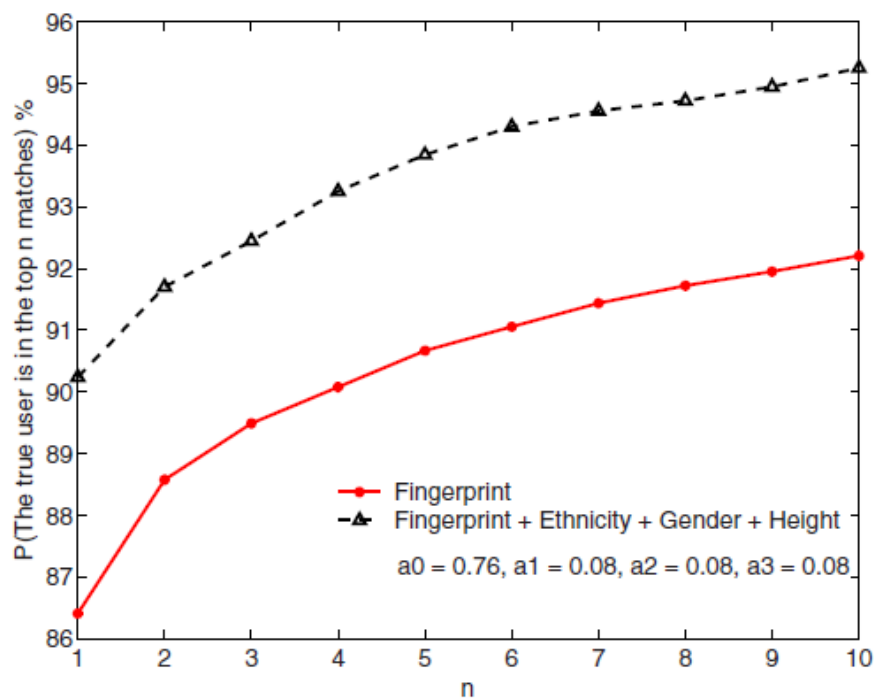
- Integration of Soft Biometric Traits with a Fingerprint Biometric System
- $x$  is the fingerprint,  $y$  is the soft biometric





# Performance

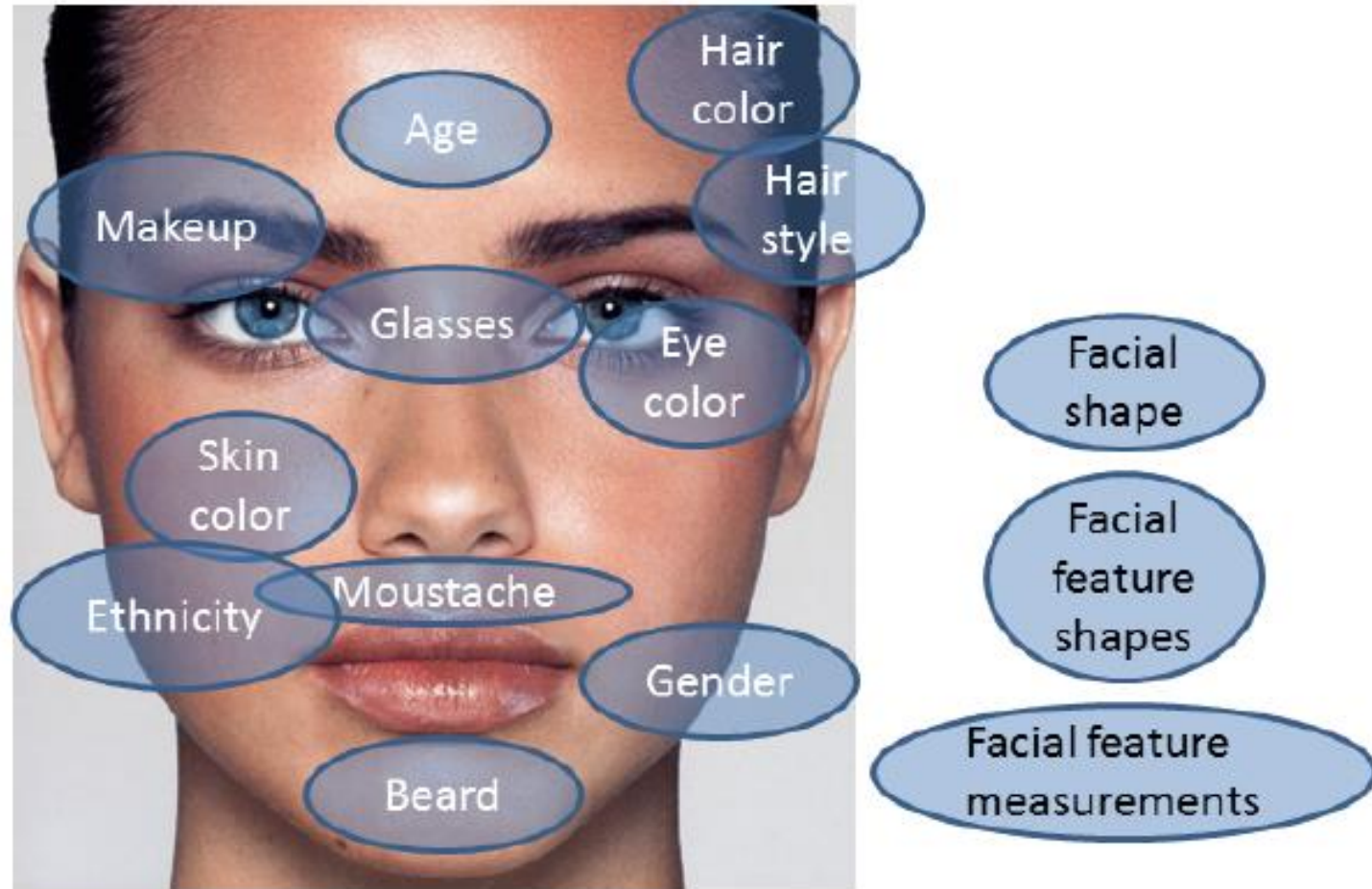
- Recognition performance of a fingerprint system after including soft biometrics
- Identification and verification
- Fingerprint + ethnicity + gender + height



# Soft Biometrics from Face

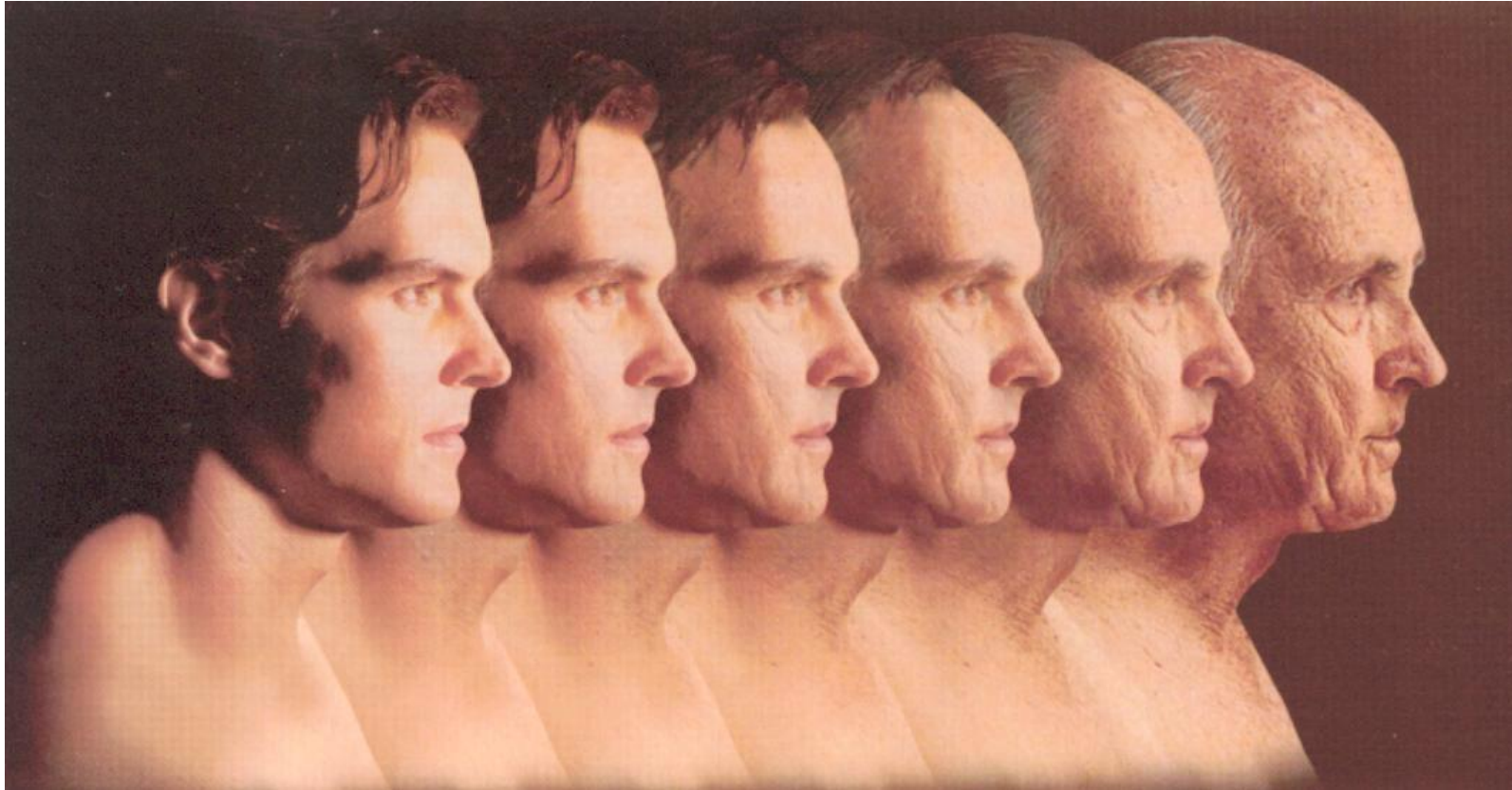


# What's in a Face?





# Face and Age



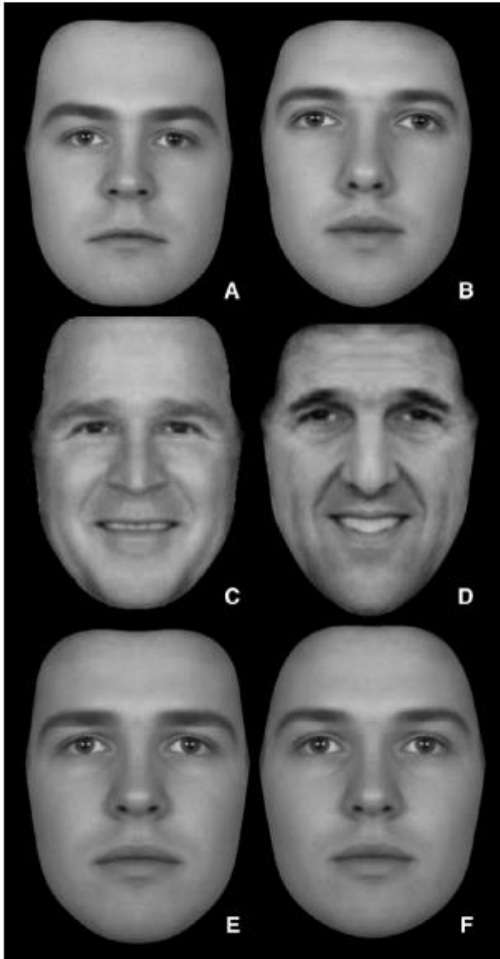
Beautyanalysis.co  
m

# Face and Kinship



[Lu 2013]  
[Guo 2012]  
[Fang 2010]  
[Shao 2011]

# Face and Voting Decisions

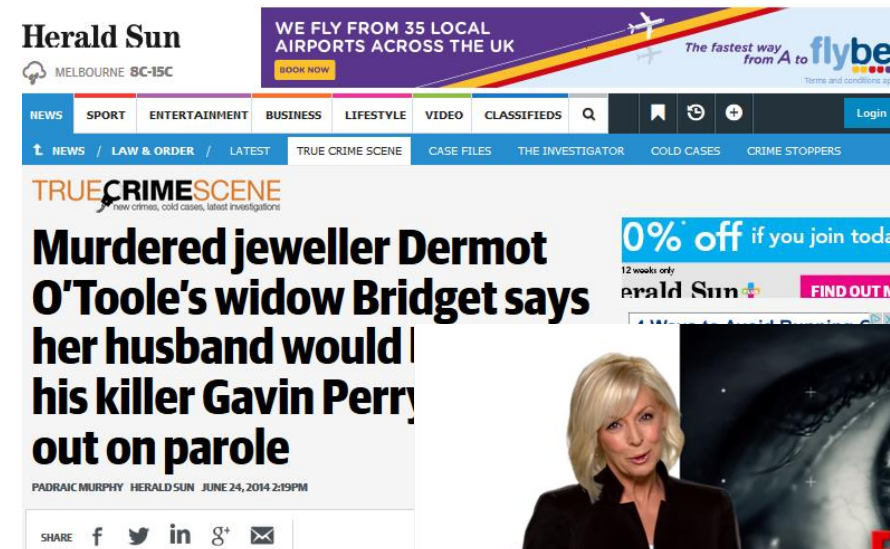


- The role of facial shape in voting behavior
- Face and sexual inclination??????

[Little 2007][Todorov 2005]



# Motivation: Murder case in Australia 2014



Ads By Google

[We'll Buy Your House](#) Cash paid. We are ready to buy. Offer made within 24 hr



Bridget O'Toole has described the impact of her husband's murder to the court.



60 Minutes Australia: Eye Catching

Bouchrika, Nixon, Carter, J. *Forensic Science* 2011, and *Eusipco* 2010

# Descriptions and attributes for identification

**Eyewitness statement**  
“24 year old male average height  
wearing shirt”

Generate description

**Image of crime**



Subject	Gender	Age	Height	Nose W	Top
?	M	24	171	2.4	Shirt

**Database of images**



Generate descriptions

Subject	Gender	Age	Height	Nose W	Top
123456	M	25	172	2.3	Shirt
123457	F	36	156	2.2	Blouse
123458	M	58	182	1.2	T shirt

**Database of  
descriptions**



# What can you recognise?



64×97



128×194




256×386



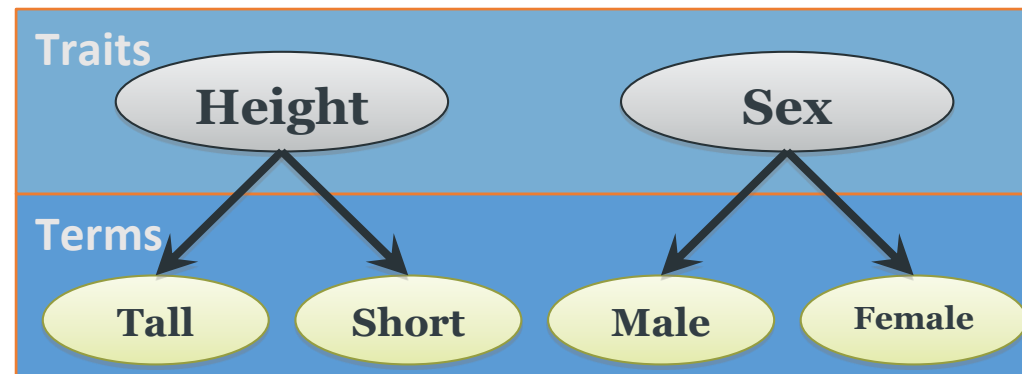
# Gender Estimation on PETA

- Gender?

Subject	1	2	3
PETA image			
PETA label			A. Male B. Female

# Exploring Human Descriptions

- We explore semantic descriptions of:
  - physical **traits**
  - semantic **terms**
  - visible at a **distance**



# On Semantic Descriptions

---

## Advantages




1. No (feature/ sensor) ageing
2. Available at a distance/ low resolution/ poor quality
3. Fit with human (eyewitness) description/ forensics
4. Complement automatically-perceived measures
5. Need for search mechanisms

## Disadvantages

1. Psychology/ perception
2. Need for labelling

# Google: "suspect description form"

Appendix B - Protocol between Niagara Catholic District School Board and the Niagara Regional Police

Suspect & Vehicle Identification Chart				
<b>SEX</b> Male <input type="checkbox"/> Female <input type="checkbox"/>	<b>AGE</b>	<b>HEIGHT</b>	<b>WEIGHT</b>	<b>RACE</b> White <input type="checkbox"/> Black <input type="checkbox"/> Other <input type="checkbox"/>
<b>HAIR</b> (Colour/Style)			<b>HAT</b> (Colour/Type)	<b>FACIAL APPEARANCE</b> Write below specific facial details that you definitely remember  What did the suspect say?  Tool or weapon seen?
<b>EYES</b> (Glasses)			<b>COAT</b>	
<b>COMPLEXION</b>			<b>SHIRT</b>	
<b>JEWELLERY</b>			<b>TROUSERS</b>	
<b>SCARS/MARKS</b>			<b>SHOES</b>	
<b>TATTOOS</b>			<b>TIE</b>	<b>Vehicle</b>   Colour: _____ Make: _____ Model: _____ Licence Number: _____ Body Style: _____ Damage Rust: _____ Antenna: _____ Bumper Sticker: _____ Wheel Covers: _____ Direction of Travel: _____





# Traits and terms

## Global Features

- Features mentioned most often in witness statements
- Sex and age quite simple
- Ethnicity
  - Notoriously unstable
  - There could be anywhere between 3 and 100 ethnic groups
  - 3 “main” subgroups plus 2 extra to match UK Police force groupings

Samangooei, Guo and  
Nixon, *IEEE BTAS* 2008

So we thought!!

- Global
  - Sex
  - Ethnicity
  - Skin Colour
  - Age
- Body Shape
  - Figure
  - Weight
  - Muscle Build
  - Height
  - Proportions
  - Shoulder Shape
  - Chest Size
  - Hip size
  - Leg/Arm Length
  - Leg/Arm Thickness
- Head
  - Hair Colour
  - Hair Length
  - Facial Hair Colour/Length
  - Neck Length/Thickness

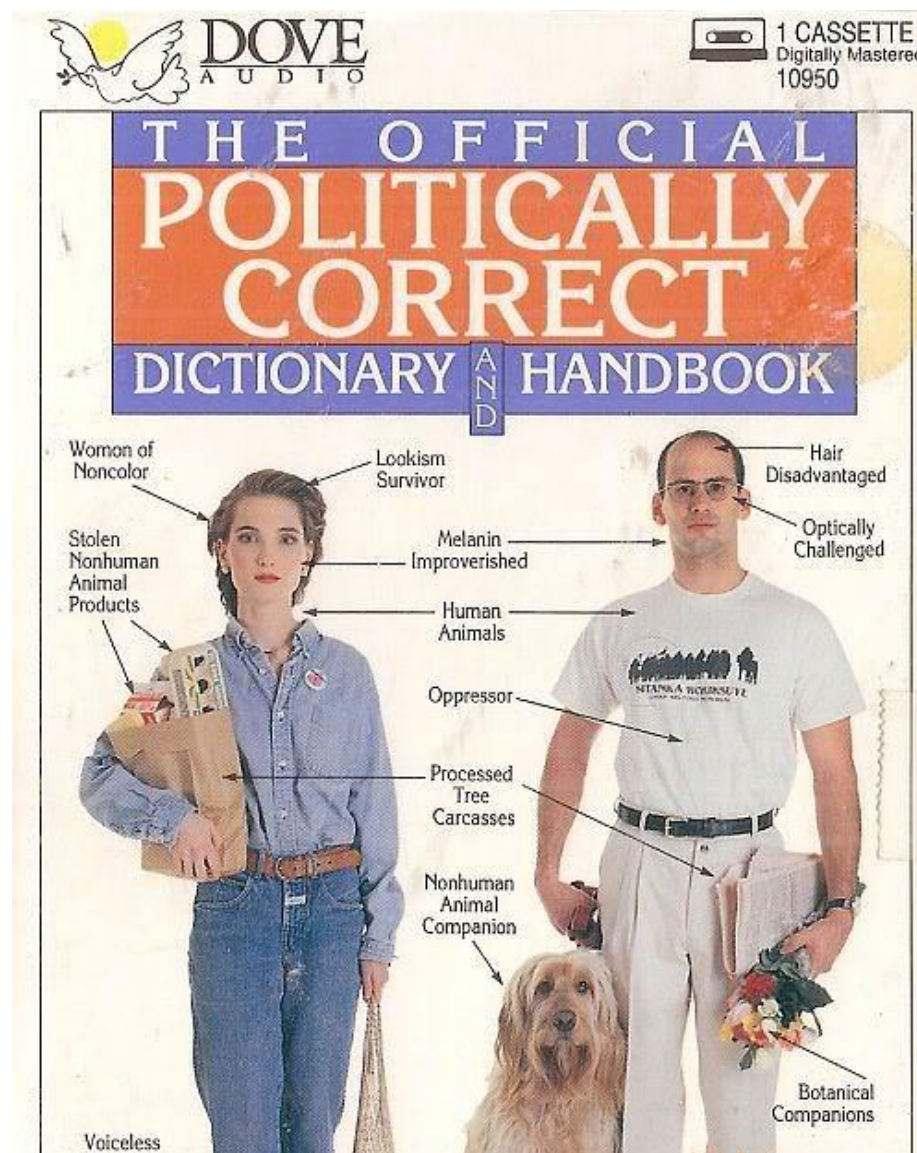


# Phrasing questions

- **No** 'political correctness'
- Note, or avoid, homonyms and polysemes
- **Eschew** completely **argot** and colloquialism

E.g. **nose**: hooter, snitch, conk (UK), schnozzle (US?)

..... and avoid words like eschew



# Traits and terms

## Body Features

- Based on **whole body** description **stability** analysis by **MacLeod** et al.
  - Features showing **consistency** by different **viewers** looking at the same **subjects**
- Mostly comprised of **5 point** qualitative measures
  - e.g. very fat, fat, average, thin, very thin
- Most likely candidate for **fusion** with gait

This changed

Samangooei, Guo and  
Nixon, *IEEE BTAS* 2008

- Global
  - Sex
  - Ethnicity
  - Skin Colour
  - Age
- **Body Shape**
  - **Figure**
  - **Weight**
  - **Muscle Build**
  - **Height**
  - **Proportions**
  - **Shoulder Shape**
  - **Chest Size**
  - **Hip size**
  - **Leg/Arm Length**
  - **Leg/Arm Thickness**
- Head
  - Hair Colour
  - Hair Length
  - Facial Hair Colour/Length
  - Neck Length/Thickness





# A bit of psychology

Need to gather labels from humans

*Memory issues*: view a subject as **many** times as needed

*Defaulting*: explicitly asked to fill out every feature

*Value Judgments*: categorical qualitative values.

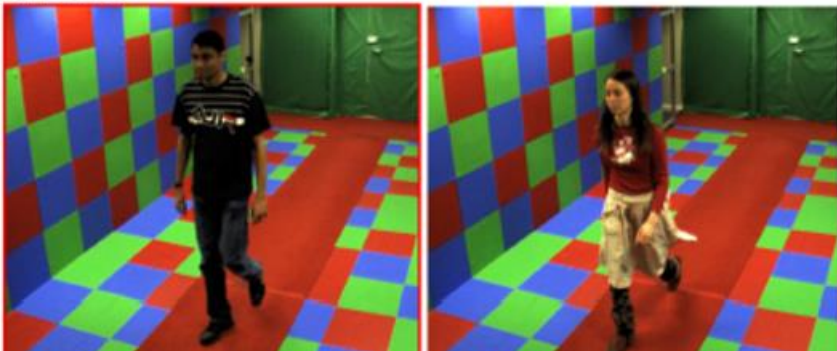
*Observer variables*: collect **description of annotators**

*Other race effect* is very difficult to handle



# Labelling via CrowdFlower

Age (required)




Compare the person on the left, to the person on the right.  
For Age, the person on the left is:

Age

☐ Much more Old  
☐ More Old  
☐ Same  
☐ More Young  
☐ Much more Young  
☐ Can't see

Gender



How different is the **appearance** and **visibility** of Gender between the two people?

Answer

<b>Visible in both images</b>	<b>Impossible to see</b>
<input type="radio"/> No different	<input type="radio"/> Impossible to see in one image
<input type="radio"/> Slightly different	<input type="radio"/> Impossible to see in both images
<input type="radio"/> Quite different	
<input type="radio"/> Very different	
<input type="radio"/> Completely different	

- Professional labelling environment
- Can **evaluate labellers** (continuously)
- Ensure **wide population** of labellers
- **Not** expensive
- **Others** available (Amazon Mechanical Turk not available in UK)

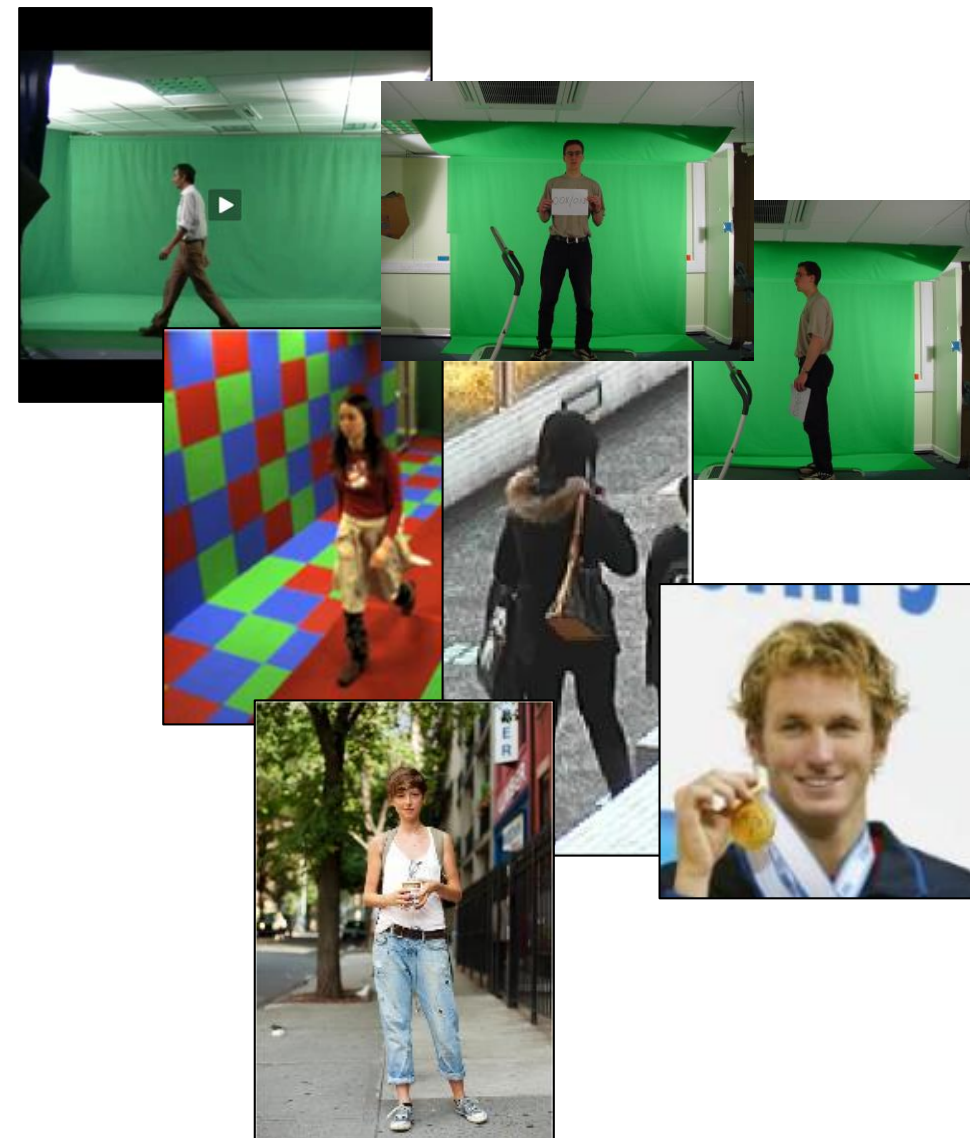
# Databases

## Laboratory

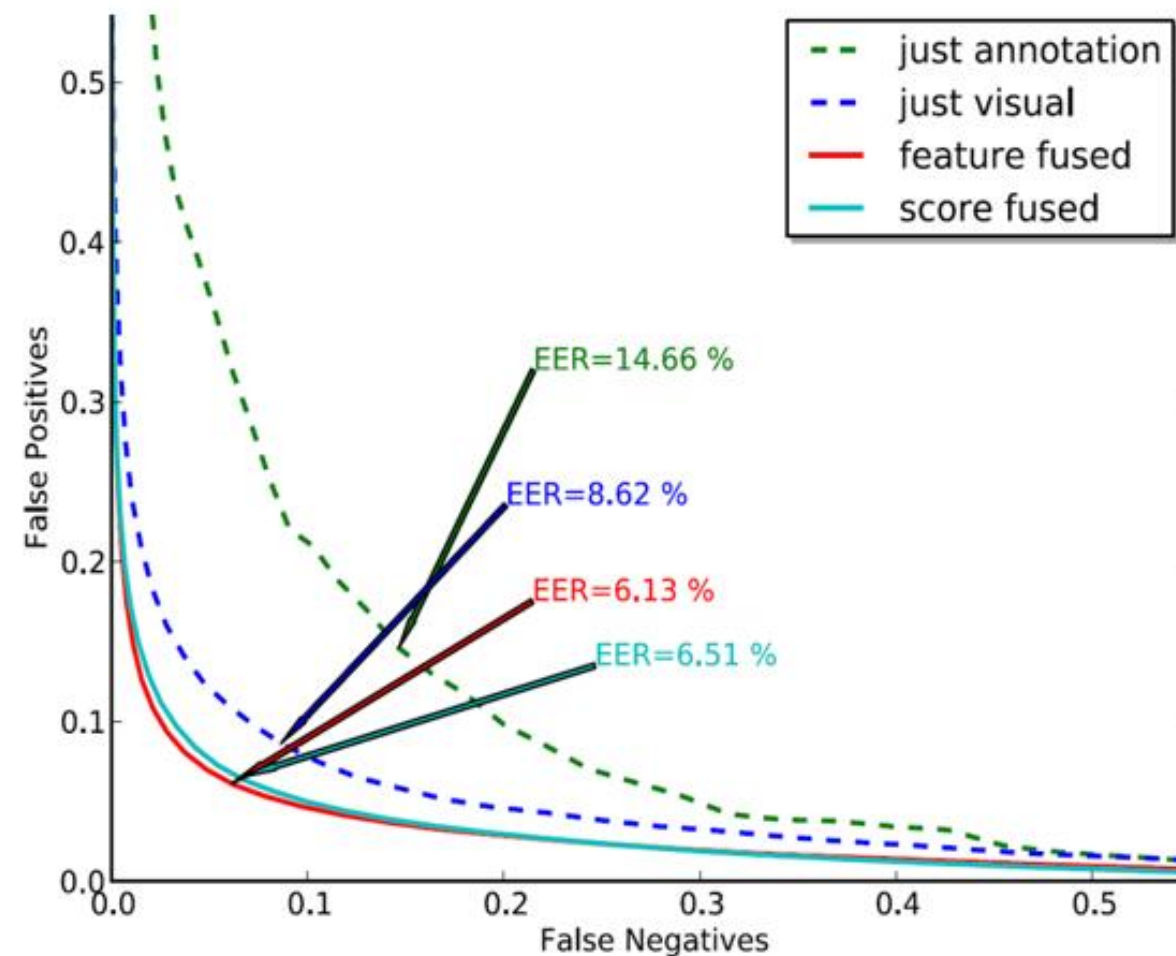
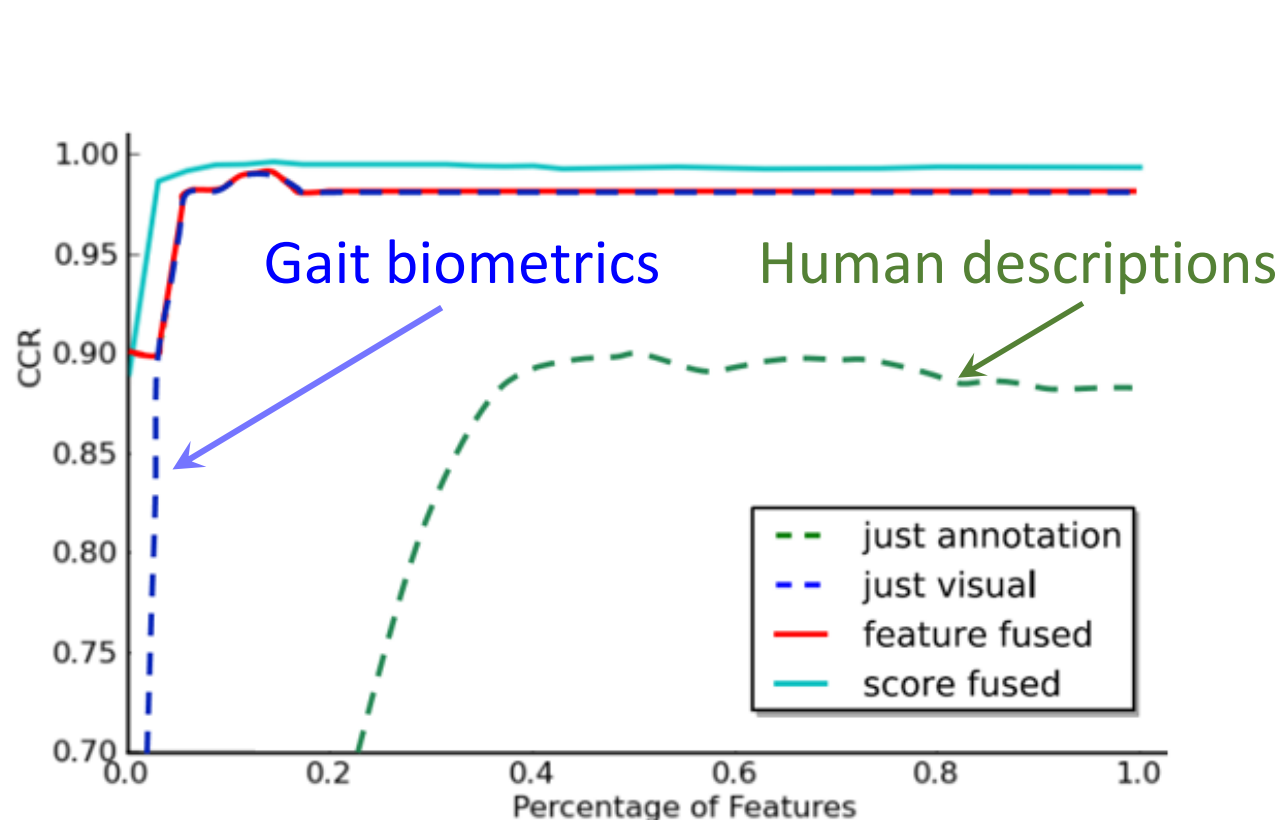
- Southampton Gait Database
- Southampton 3D Gait and Face

## 'Real' World

- PEdesTrian Attribute (PETA)
- LFW
- Clothing Attribute Dataset



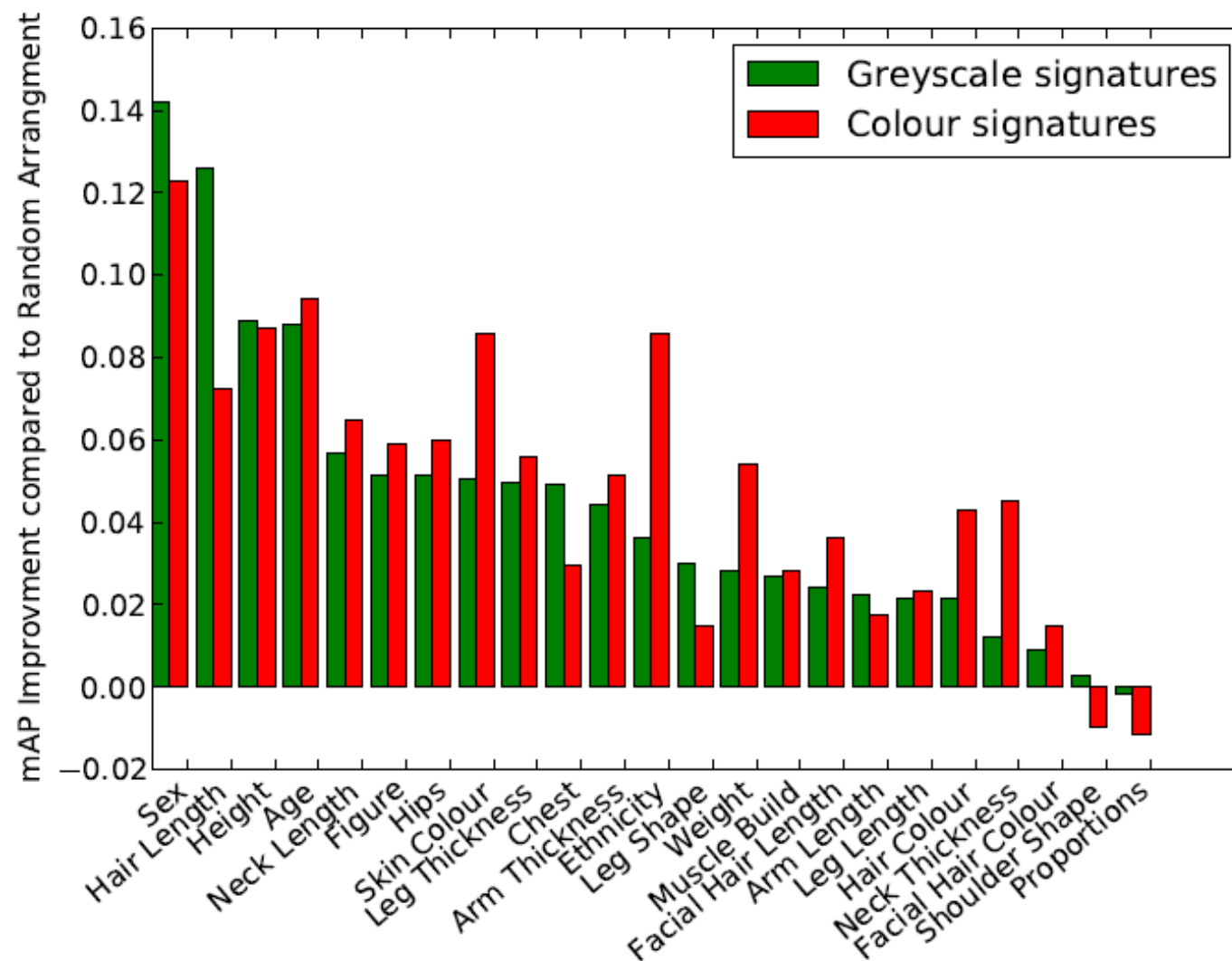
# Human descriptions: recognition capability



First result

Samangooei and Nixon,  
IEEE BTAS 2008

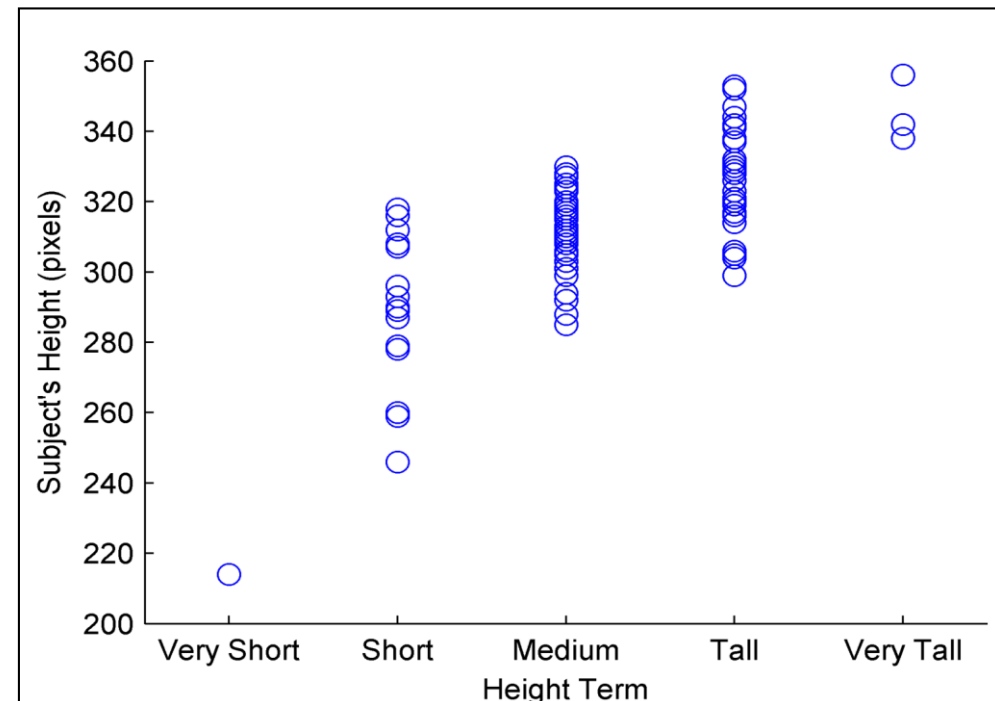
# Perspicacity of categorical labels





# Problems with absolute descriptors


Subjective = **unreliable**; Categorical = lacks **detail**





# Comparative human descriptions

- Compare one subject's attribute with another's
- Infer continuous relative measurements



Please compare the subject in the lower video to the subject in the top video.  
For example if the subject in the bottom video is taller than the subject

Attribute	Annotation
Age	Older <input type="button" value="v"/>
Bottom subject is OLDER than the top	
Hair Colour	Same <input type="button" value="v"/>
Subjects have roughly the SAME hair colour	
Hair Length	Longer <input type="button" value="v"/>
Bottom subject has LONGER hair than the top	
Height	Taller <input type="button" value="v"/>
Bottom subject is TALLER than the top	
Figure	Same <input type="button" value="v"/>
Subjects both have roughly the SAME figure	
Neck Length	Same <input type="button" value="v"/>
Subjects have roughly the SAME length neck	
Neck Thickness	Thinner <input type="button" value="v"/>
Bottom subject has a THINNER neck than the top	
Shoulder Shape	Same <input type="button" value="v"/>
Subjects have roughly the SAME shoulder shape	
Chest	Same <input type="button" value="v"/>
Subjects have roughly the SAME size chest	
Arm Length	Longer <input type="button" value="v"/>
Bottom subject has a LONGER arms than the top	

# Context: relative attributes



(a) Smiling



(b) ?



(c) Not smiling



(d) Natural



(e) ?



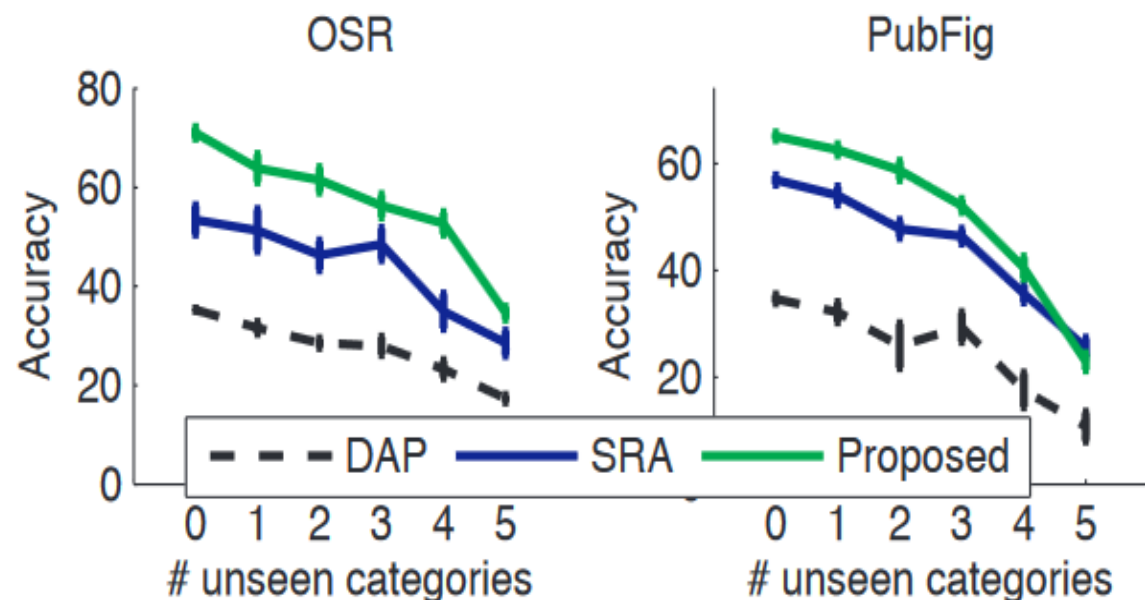
(f) Manmade

PubFig	ACHJ MS V Z	
Masculine-looking	1 1 1 1 0 0 1 1	S < M < Z < V < J < A < H < C
White	0 1 1 1 1 1 1 1	A < C < H < Z < J < S < M < V
Young	0 0 0 0 1 1 0 1	V < H < C < J < A < S < Z < M
Smiling	1 1 1 0 1 1 0 1	J < V < H < A ~ C < S ~ Z < M
Chubby	1 0 0 0 0 0 0 0	V < J < H < C < Z < M < S < A
Visible-forehead	1 1 1 0 1 1 1 0	J < Z < M < S < A ~ C ~ H ~ V
Bushy-eyebrows	0 1 0 1 0 0 0 0	M < S < Z < V < H < A < C < J
Narrow-eyes	0 1 1 0 0 0 1 1	M < J < S < A < H < C < V < Z
Pointy-nose	0 0 1 0 0 0 0 1	A < C < J ~ M ~ V < S < Z < H
Big-lips	1 0 0 0 1 1 0 0	H < J < V < Z < C < M < A < S
Round-face	1 0 0 0 1 1 0 0	H < V < J < C < Z < A < S < M

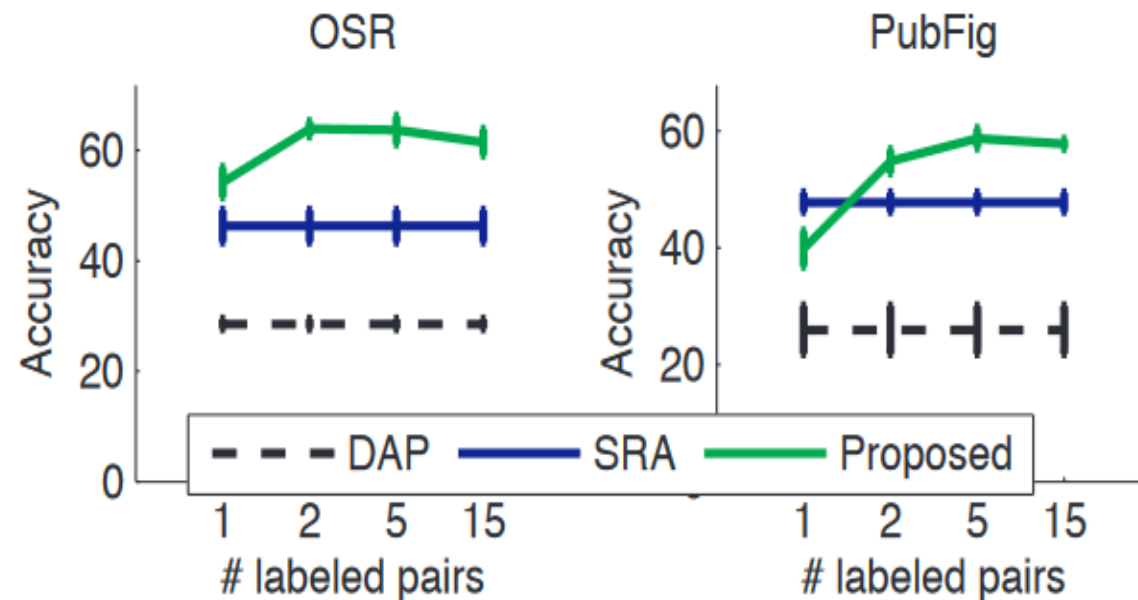
Subset of attributes and Alex Rodriguez (A), Clive Owen (C), Hugh Laurie (H), Jared Leto (J), Miley Cyrus (M), Scarlett Johansson (S), Viggo Mortensen (V) and Zac Efron (Z)

Used ranking SVM

# Context: relative attributes



Zero-shot learning performance as the proportion of unseen categories increases. Total number of classes  $N$  remains constant at 8

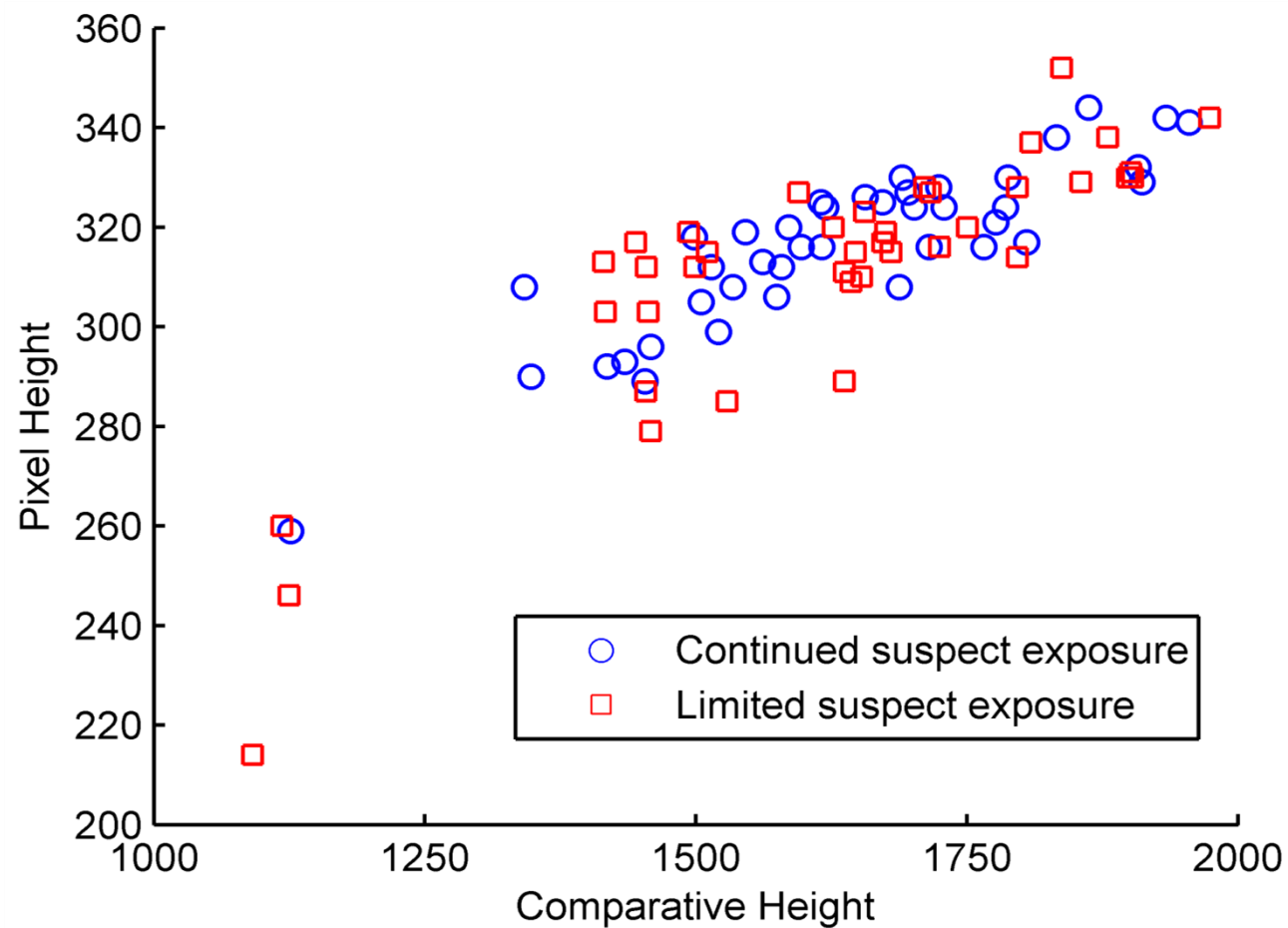


Zero-shot learning performance as more pairs of seen categories are related (i.e. labeled) during training

**DAP** Direct Attribute Prediction

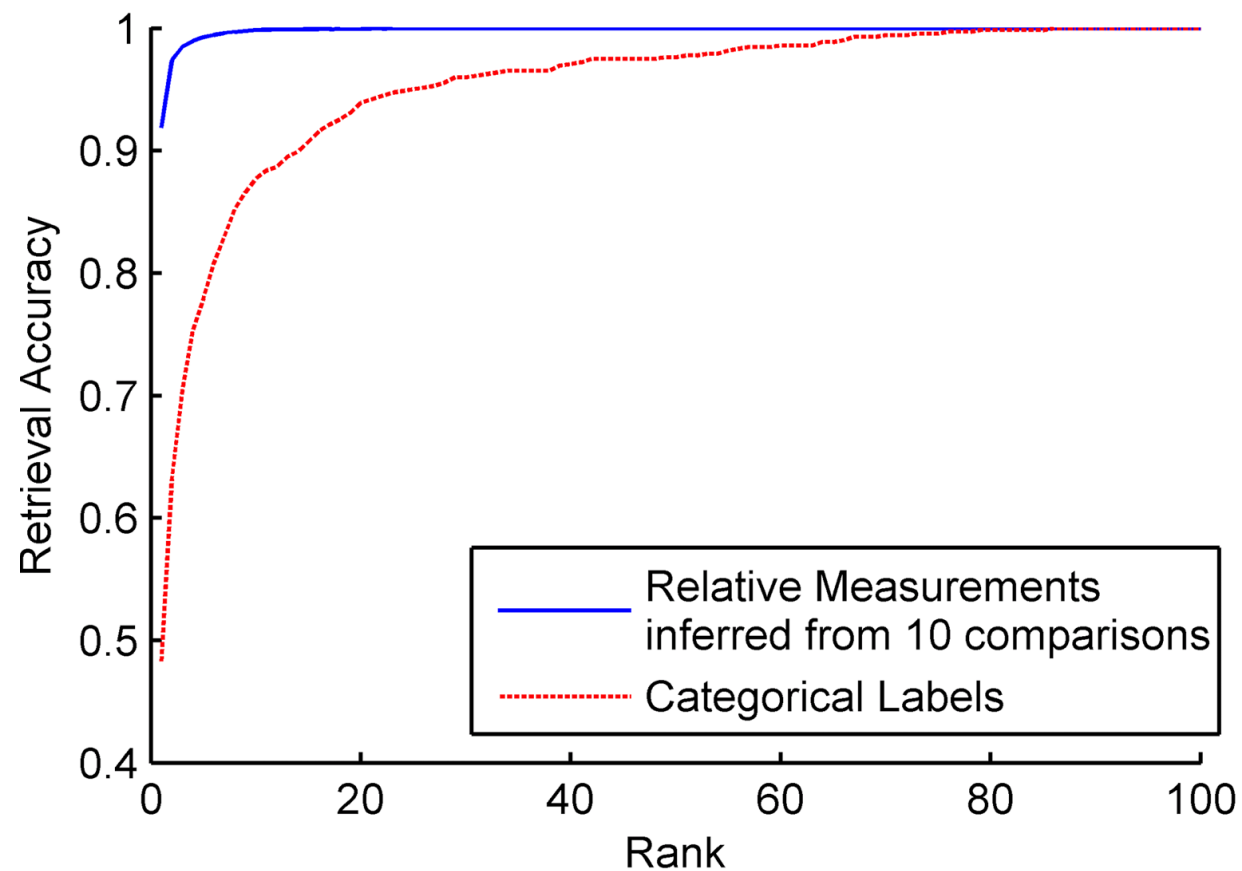
**SRA** score-based relative attributes

# Height correlation (with time)



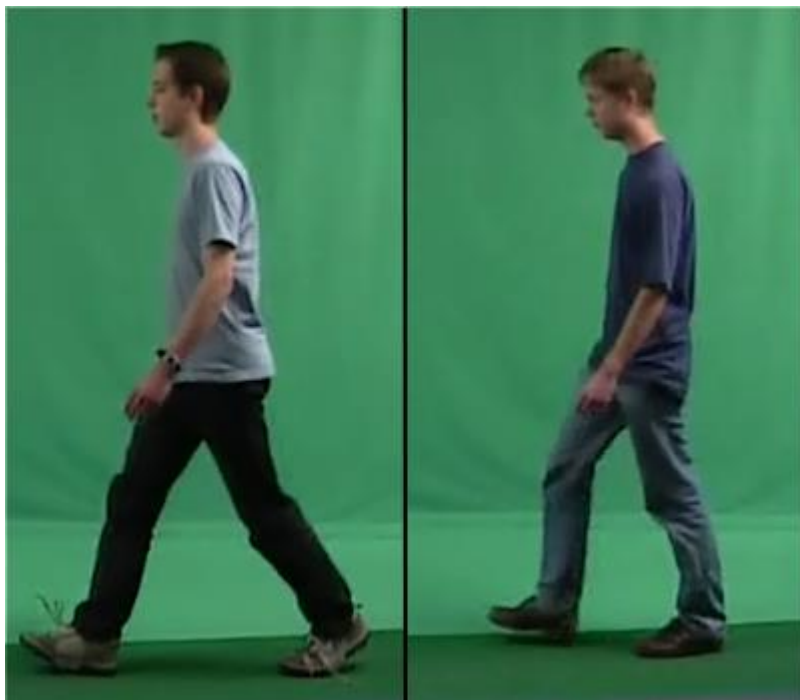
Reid and Nixon, *IEEE  
ICDP 2011*

# Recognition



Reid and Nixon,  
*IEEE ICDP 2011*

# Recognition/ retrieval



Incorrect with 10  
comparisons

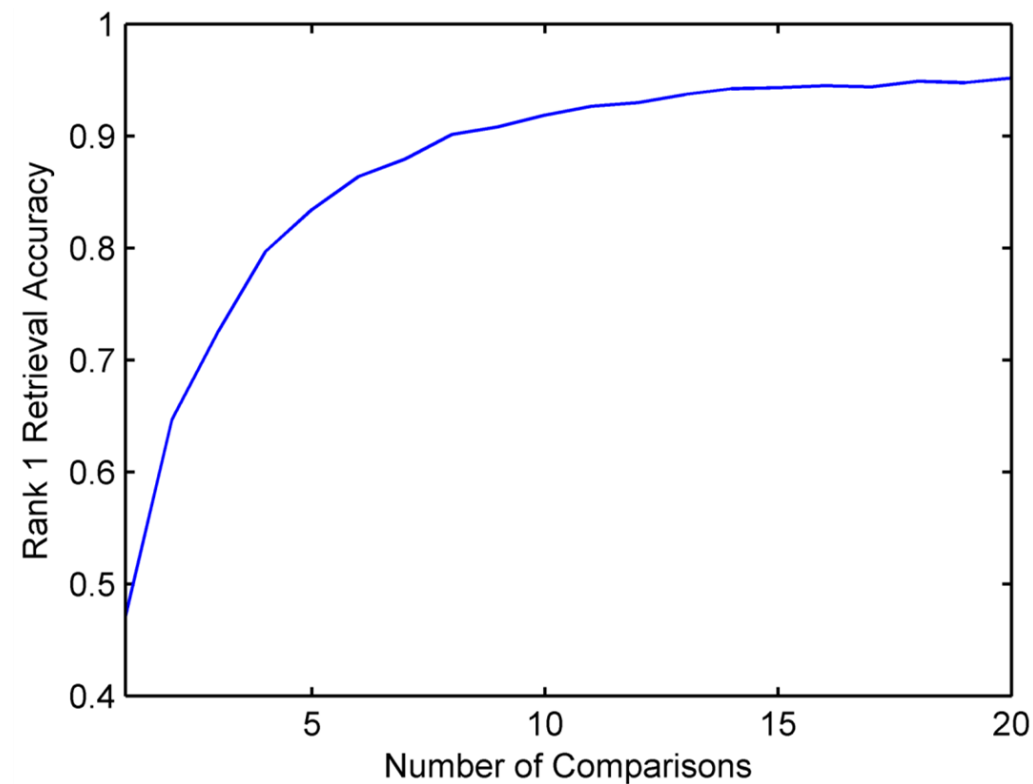


Correct with 1 comparison



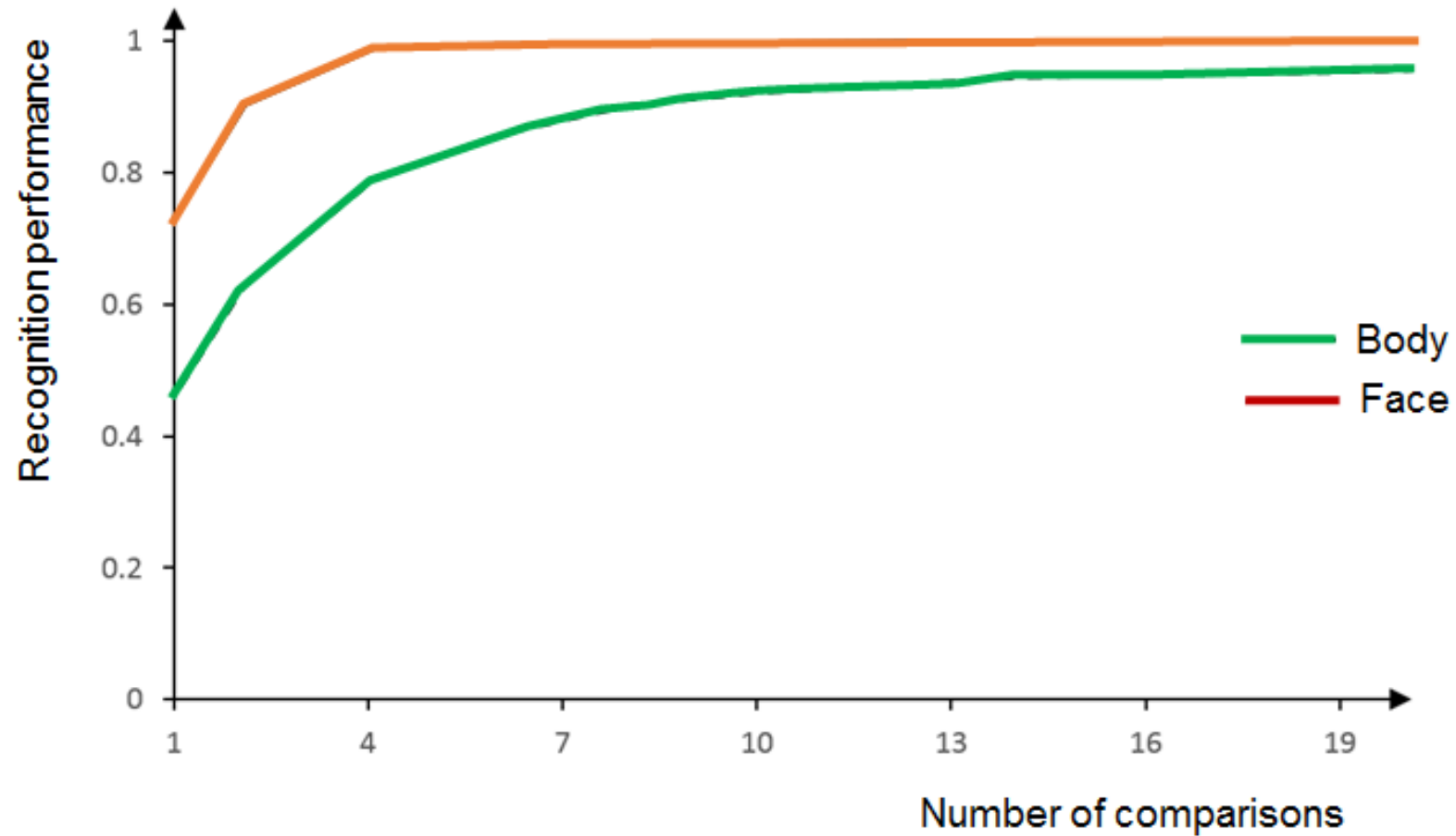
# Ranking comparative descriptions

- Use **ELO rating system** from chess to infer relative descriptions
- Turn comparative labels into a **ranked list**
- Comparative › categorical
- **Alternatives?**
- **Parameters?**



Reid and Nixon,  
*IEEE IJCB 2011*

# Evaluation: effect of the number of comparisons on recognition



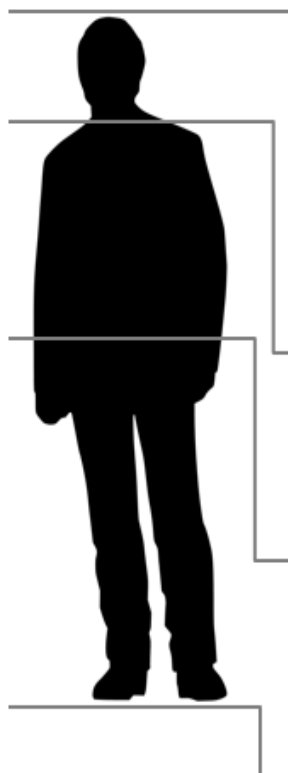
# 'Give us the tools to finish the job'

## Components

- **Data**
- **Labels** (categorical or comparative)
- **Ranking** algorithm (for comparative labels)
- Feature selection (e.g. SFSS, entropy)
- Computer vision (feature extraction, colour mapping,)
- **Classifier** ( e.g. kNN, SVM, DBN)



# Labelling the body, face and clothing



*All*: gender, age, ethnicity, skin colour

## **General**

*Body*: figure, weight

*Face*: length, width, fleshiness

*Clothing*: tattoos, attachment(s), overall style category

## **Head/ Face**

*Body*: skin colour, hair colour/ length, neck length/ thickness

*Face*: parts of skin, hair, forehead, eyes, ears, nose, lips, chin

*Clothing*: hat, face/ head coverage

## **Upper Body**

*Body*: arm length/ thickness, chest,

*Clothing*: neckline, clothing category, sleeve length

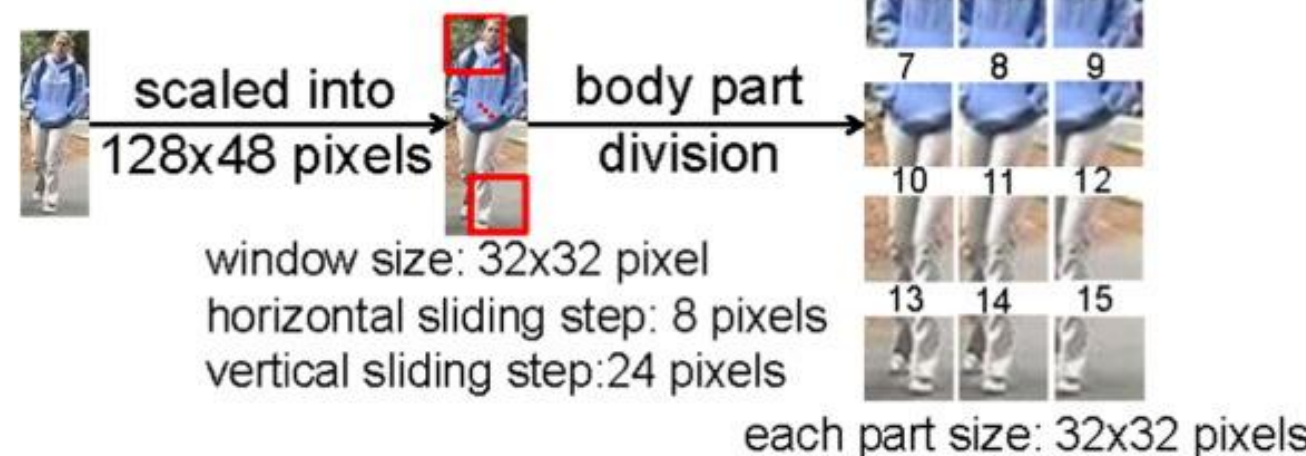
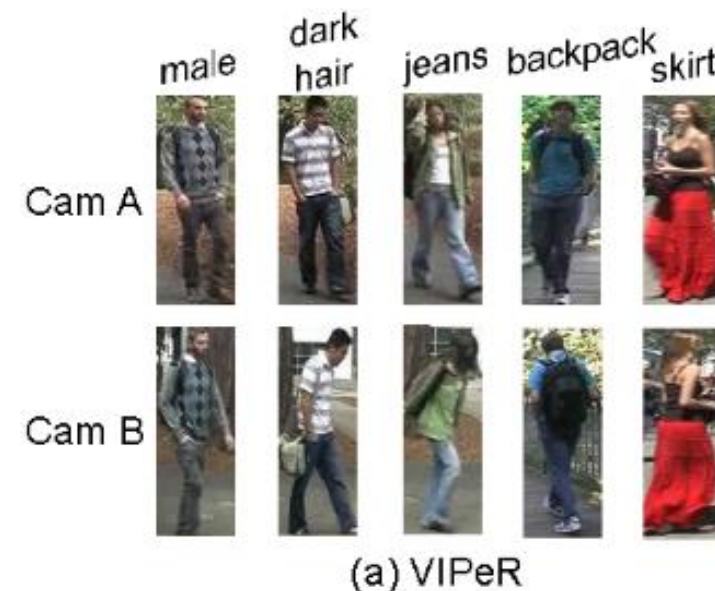
## **Lower Body**

*Body*: leg length/ shape/ thickness, hips' width

*Clothing*: clothing category/ length, belt, shoes, heel

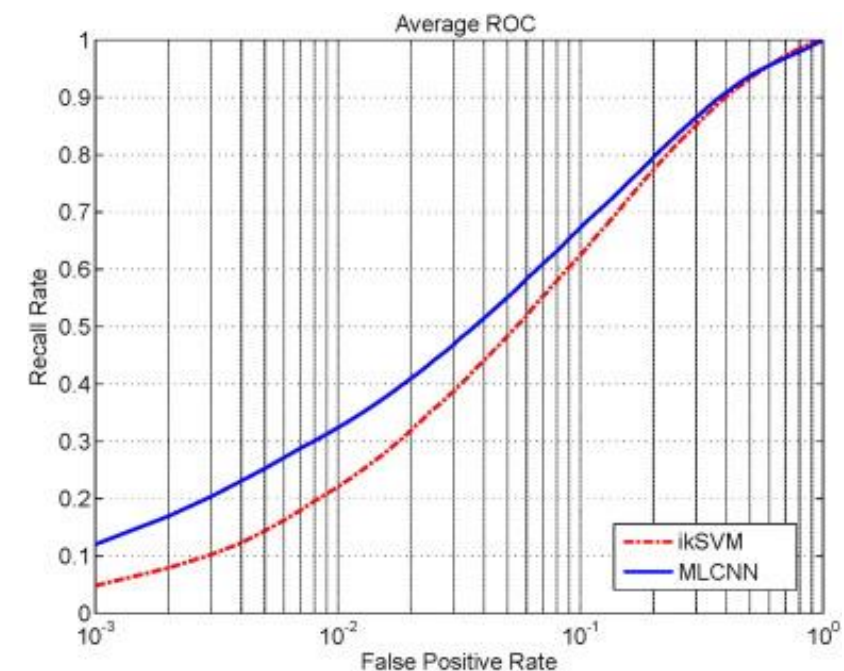
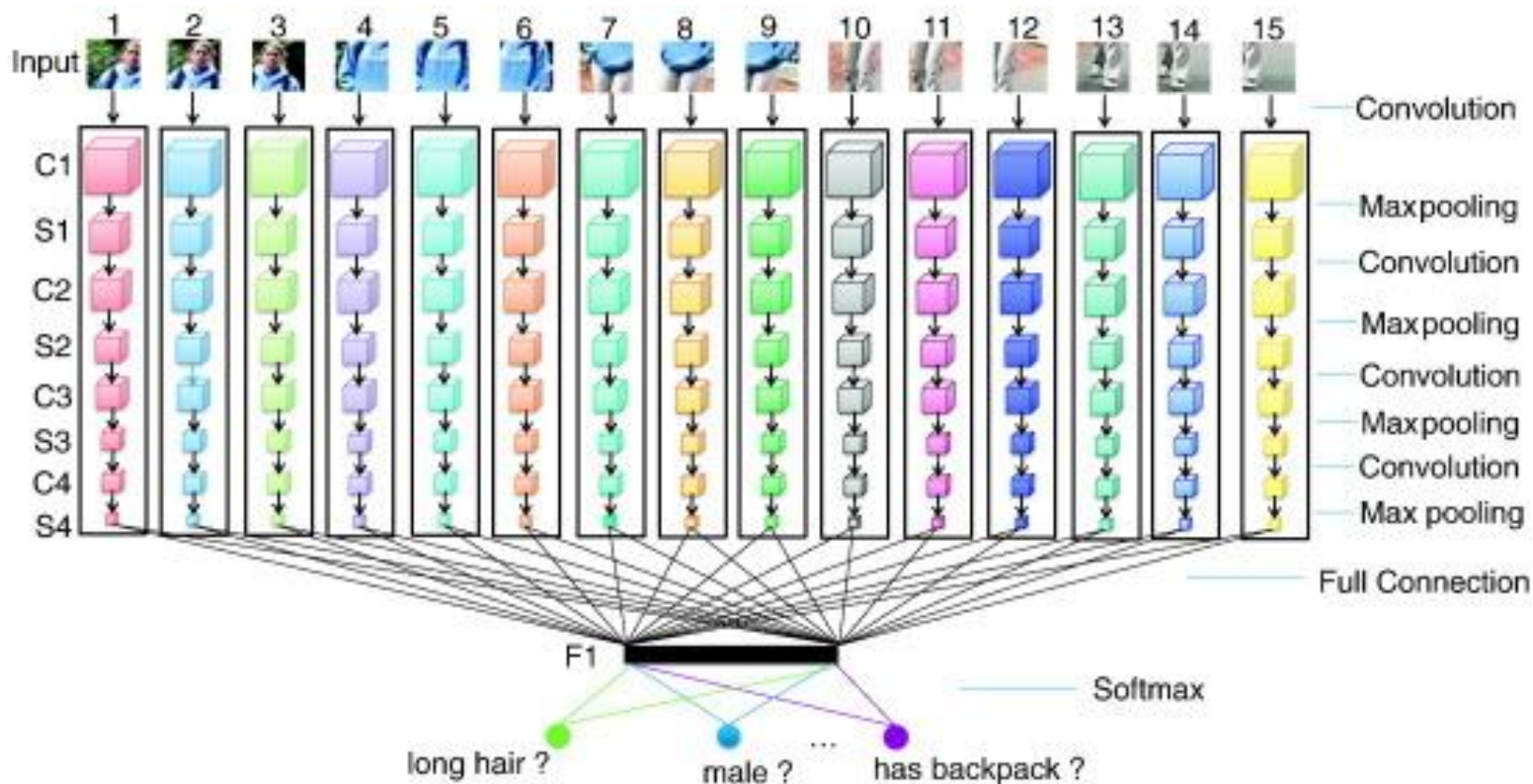
# Context: attribute estimation

- Pedestrian attribute estimation (gender, clothing)
- Pre-segment pedestrian image
- Use multi label CNN
- Applied to VIPeR , GRID and PETA
- Increased average attribute estimation
- Can be used for re-identification





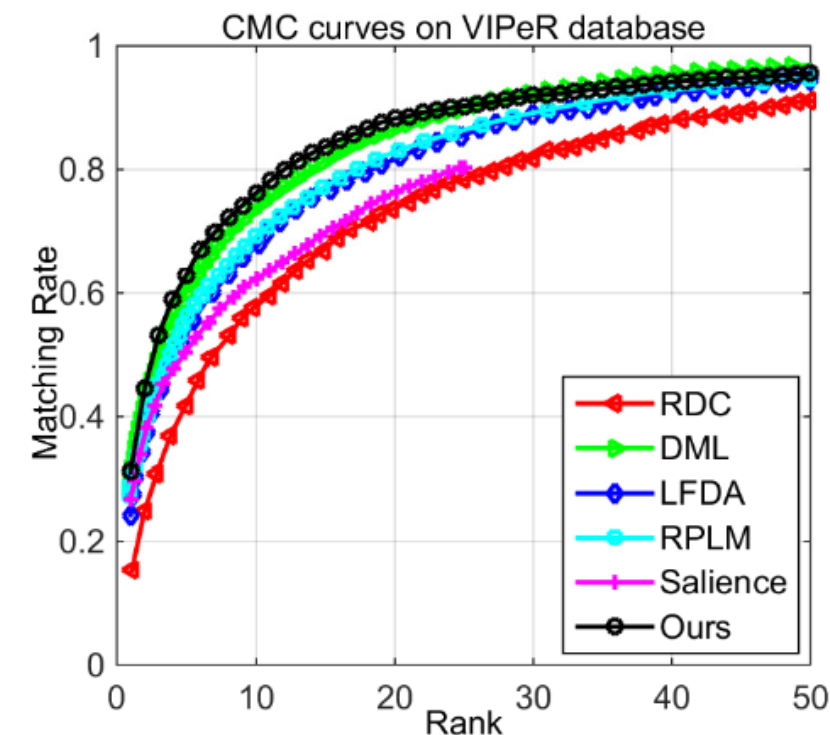
# Context: attribute estimation



Analysis on PETA

# Context: attribute estimation

attribute	accuracy rate (%)		recall rate (%) @ FPR=10%		AUC(%)	
	ikSVM	MLCNN	ikSVM	MLCNN	ikSVM	MLCNN
accessoryHat	92.04	96.05	81.37	86.06	91.27	92.62
accessoryMuffler	94.84	97.17	90.68	88.42	95.09	94.47
accessoryNothing	78.87	86.11	35.37	52.57	81.79	86.09
carryingBackpack	76.39	84.30	46.19	58.40	84.52	85.19
carryingMessengerBag	74.51	79.58	50.22	58.30	78.44	82.01
carryingNothing	75.84	80.14	49.36	55.15	81.60	83.08
carryingOther	76.18	80.91	38.57	46.90	74.11	77.68
carryingPlasticBags	86.86	93.45	70.57	67.30	87.69	86.01
footwearBlack	74.29	75.97	50.37	57.24	81.42	84.07




Analysis on ViPER

# Crowdsourcing body labels

Soft traits	Response labels (5-p 5
Gender	Much more Feminine
Age	Much more Old
Height	Much more Tall
Weight	Much more Heavy
Figure	Much more Fat
Chest size	Much more Big
Arm thickness	Much more Thick
Leg thickness	Much more Thick
Skin colour	Much more Dark
Hair colour	Much more Dark
Hair length	Much more Long
Muscle build	Much more Muscle

Age (required)



Compare the person on the left, to the person on the right.  
For Age, the person on the **left** is:

Age

☐ Much more Old  
☐ More Old  
☐ Same  
☐ More Young  
☐ Much more Young  
☐ Can't see

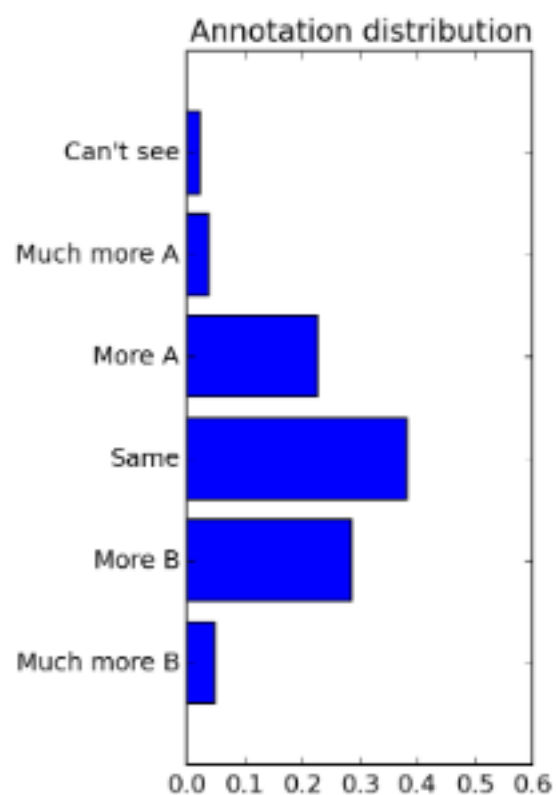
# Considerations on crowdsourcing

- Initial **trial questions** used, successful respondents proceed
- “**Can’t see**” acceptable for all annotations (respondents capped at a maximum rate)
- Respondents **rejected** if response distribution varied largely from average
- Questions included text and highlighting, **reiterating** task question
- **Layout** consistent with easy use
- Initial answers blank to avoid **anchoring**

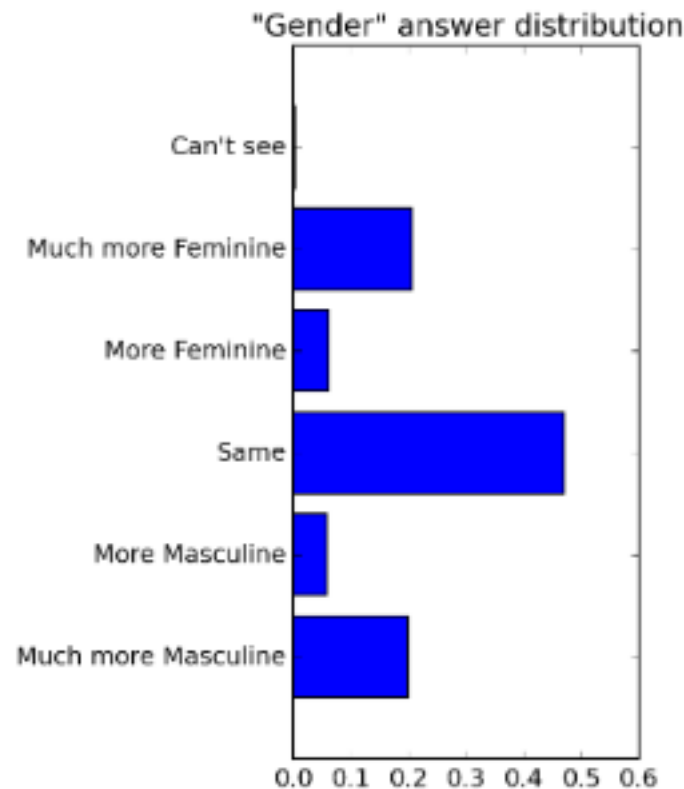
Statistics	
# respondents	892
# annotations	59400
# resp. flagged	124
# annot. rejected	4383
cost	\$303



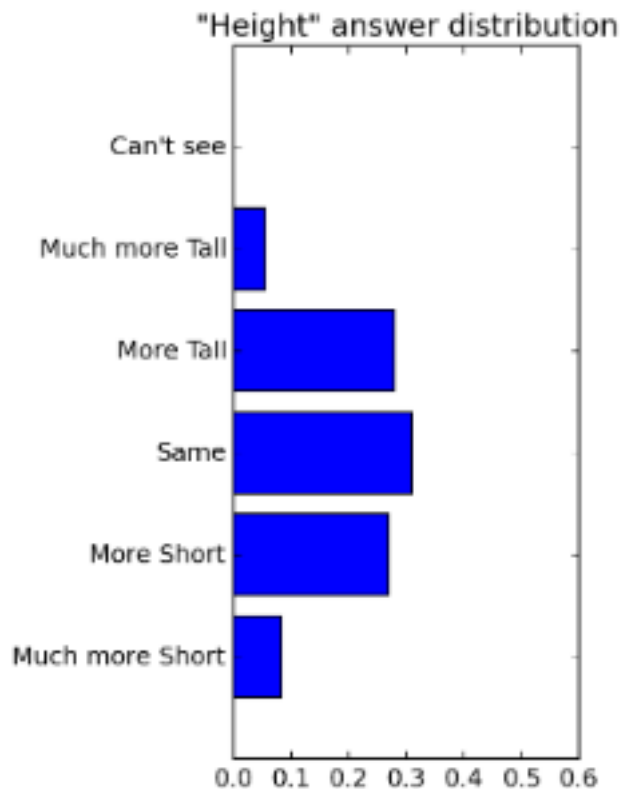
# Distributions of body labels



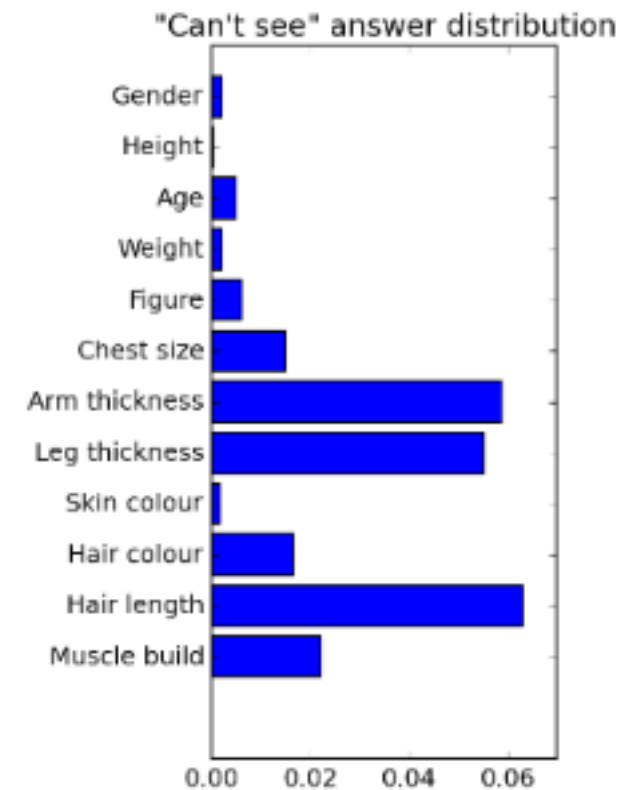
All



Gender



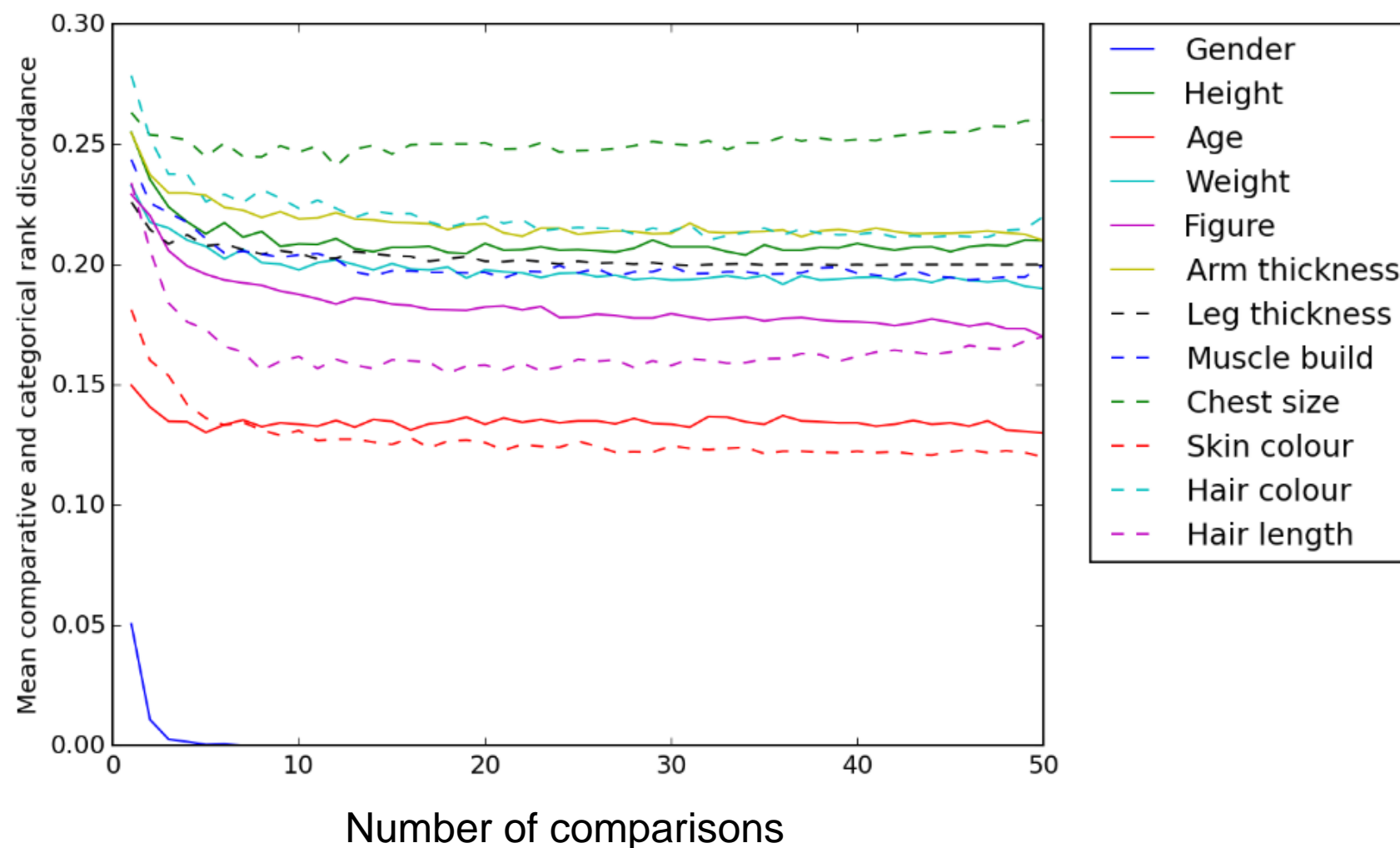
Height



Uncertainty

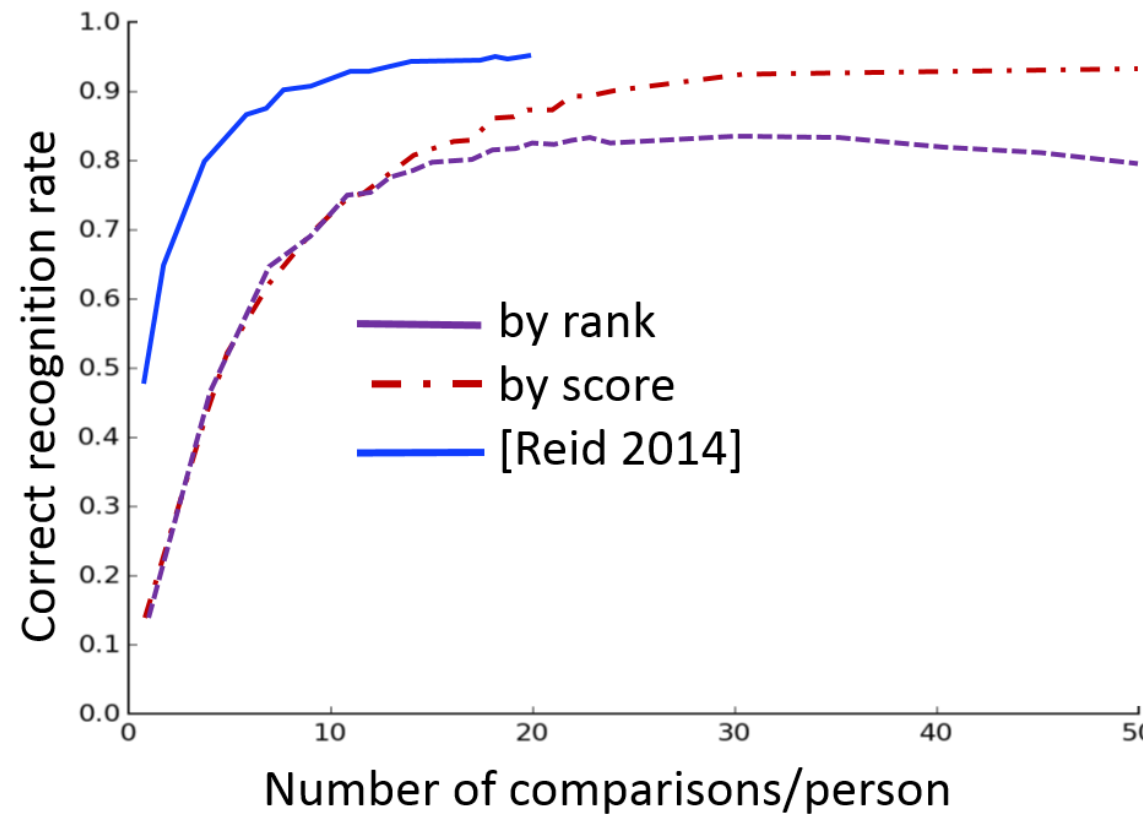
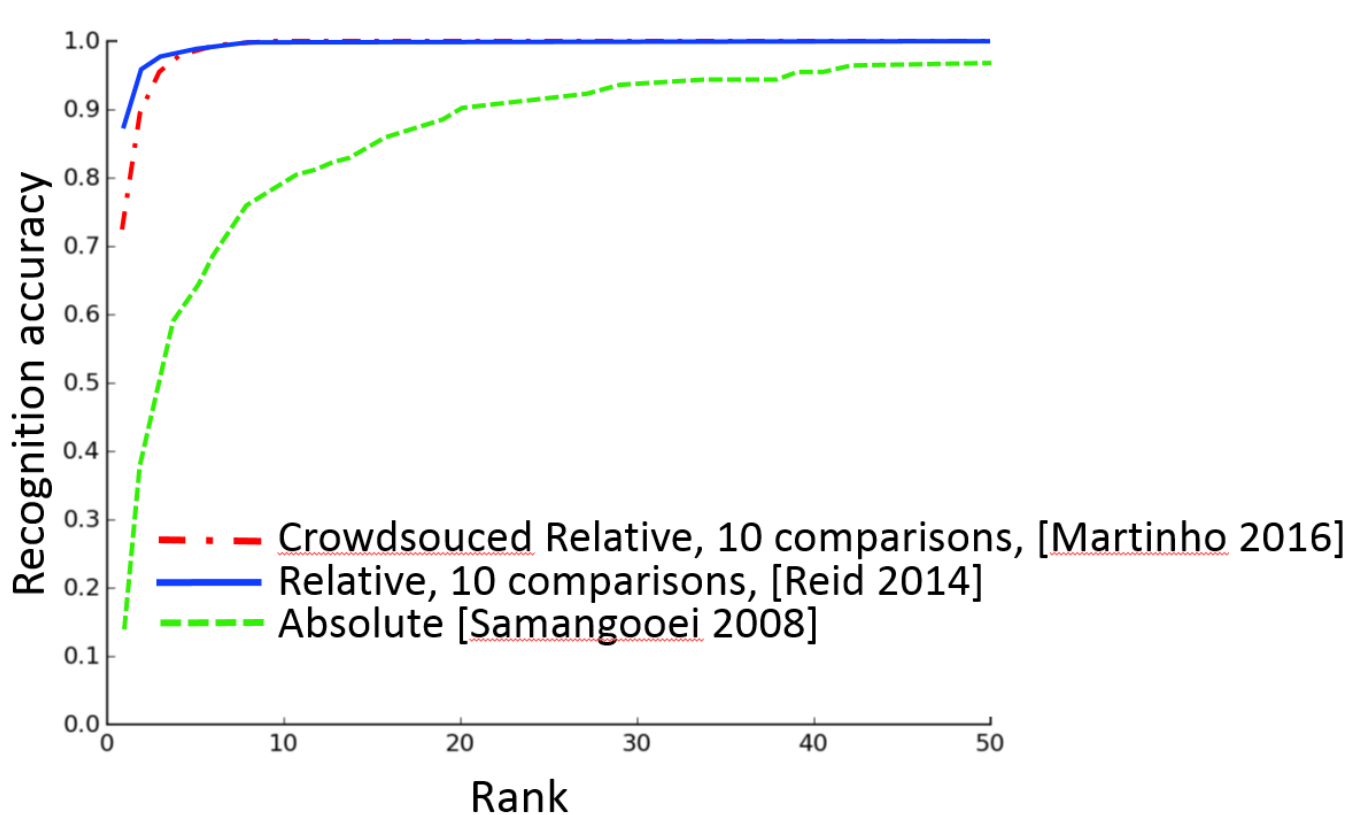


# Influence of # comparisons



Mean rank discordance vs number of comparisons

# Recognition by crowdsourced body labels

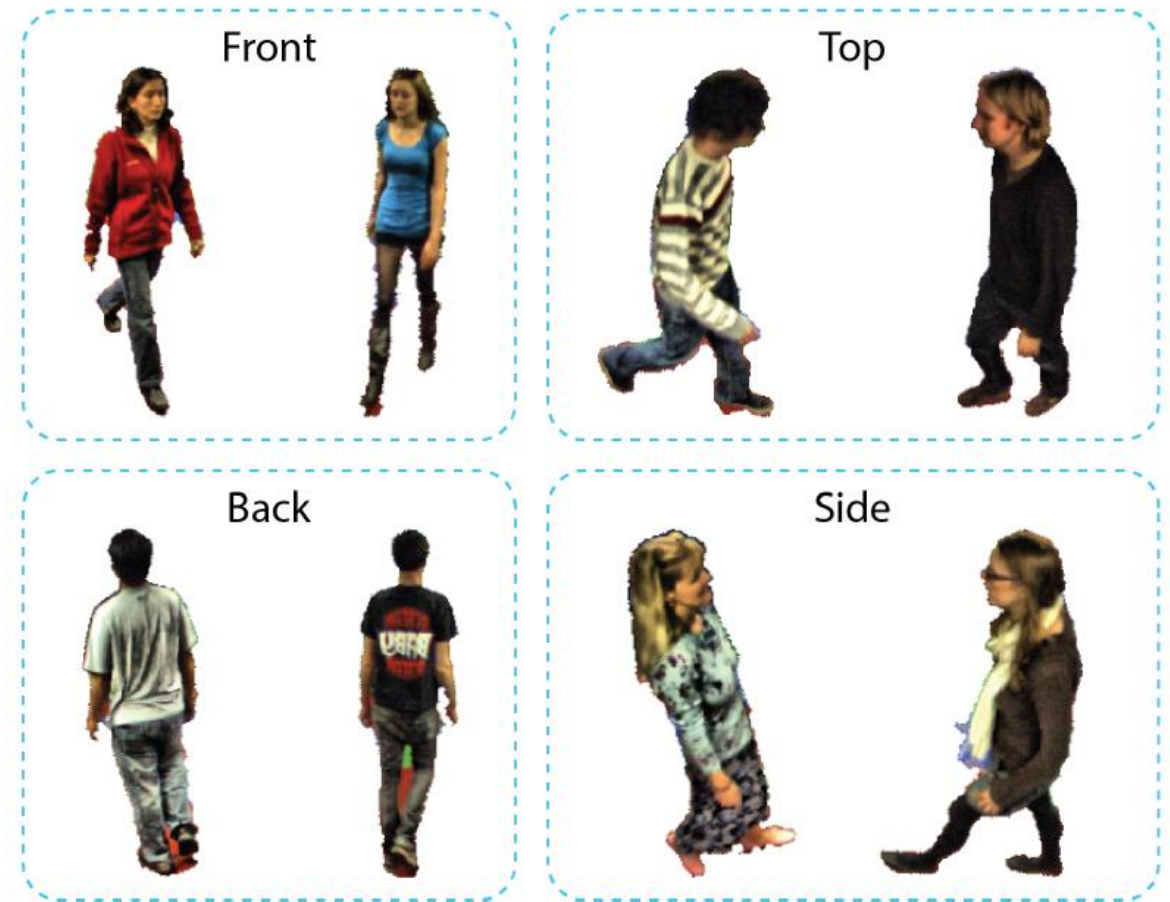


Lower recognition accuracy (expected)

More labels and comparisons increase accuracy (expected)

# Identification by body labels

- label ranking via ranking SVM
- image split into horizontal strips characterised by colour
- Histogram of Oriented Gradients applied to whole image
- learning functions trained to predict soft biometric labels given image features and annotations
- used Extra-Trees (ET) supervised ensemble learning algorithm

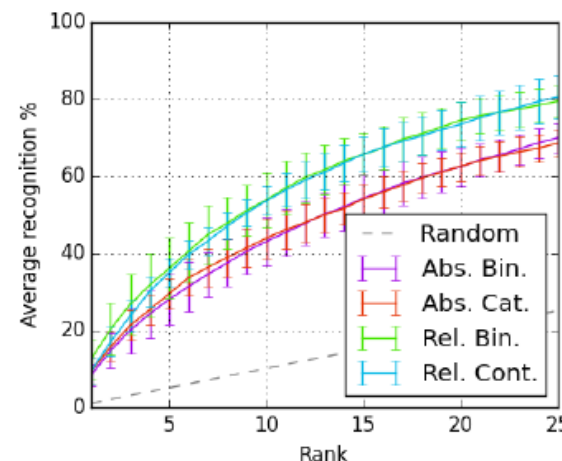


Views from SOBIR dataset

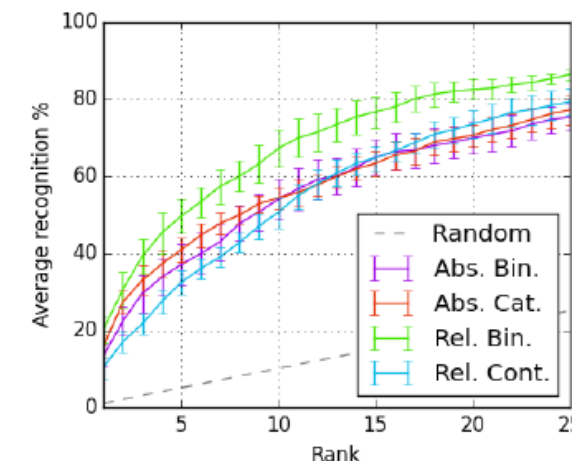
# Identification by body labels

- **One shot** re-ID is matching
- **Multi-shot** re-ID randomly samples 1 image/ subject for test, remaining 7 training
- **Disjoint** re-ID randomly samples 1 image per subject, and only 6 to training set
- **Zero-shot** ID simulates eye witness description of a subject

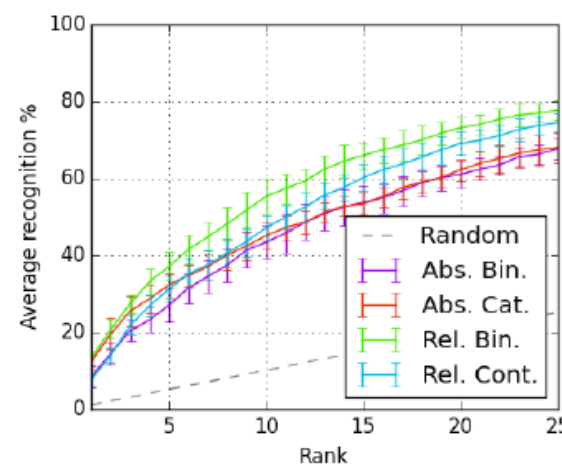
Martinho-Corbishley, Nixon and  
Carter, *IEEE ISBA 2016*



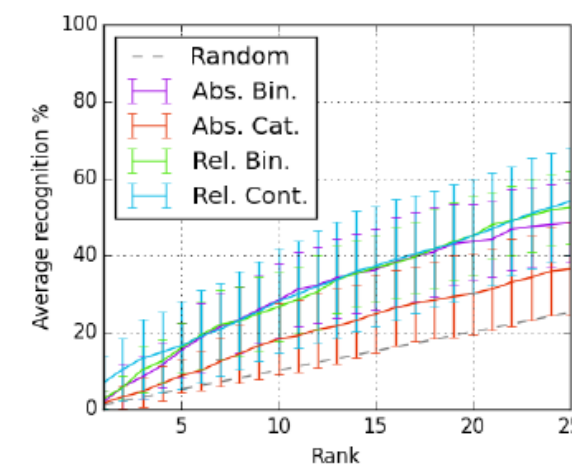
(a) One-shot re-identification  
(average across camera pairs)



(b) Multi-shot re-identification

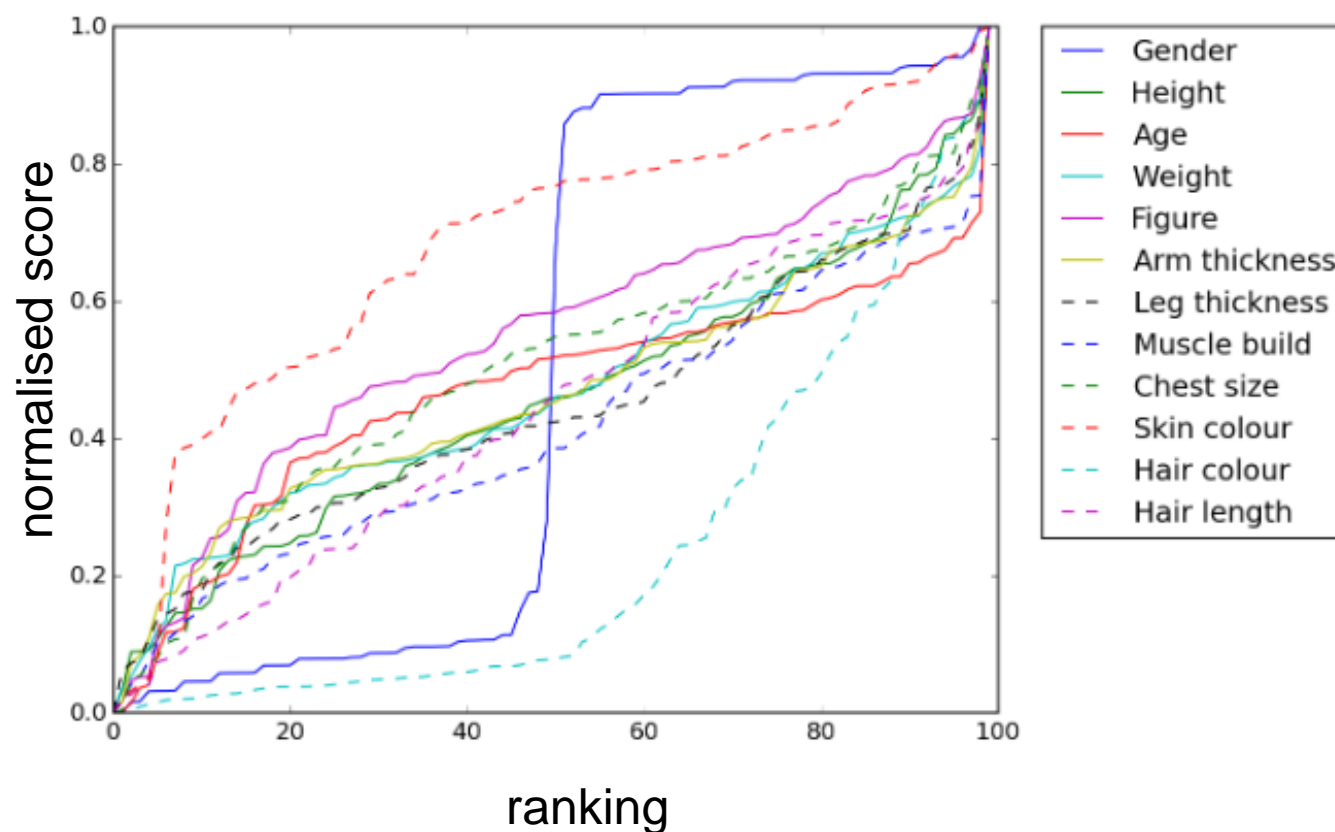


(c) Disjoint re-identification

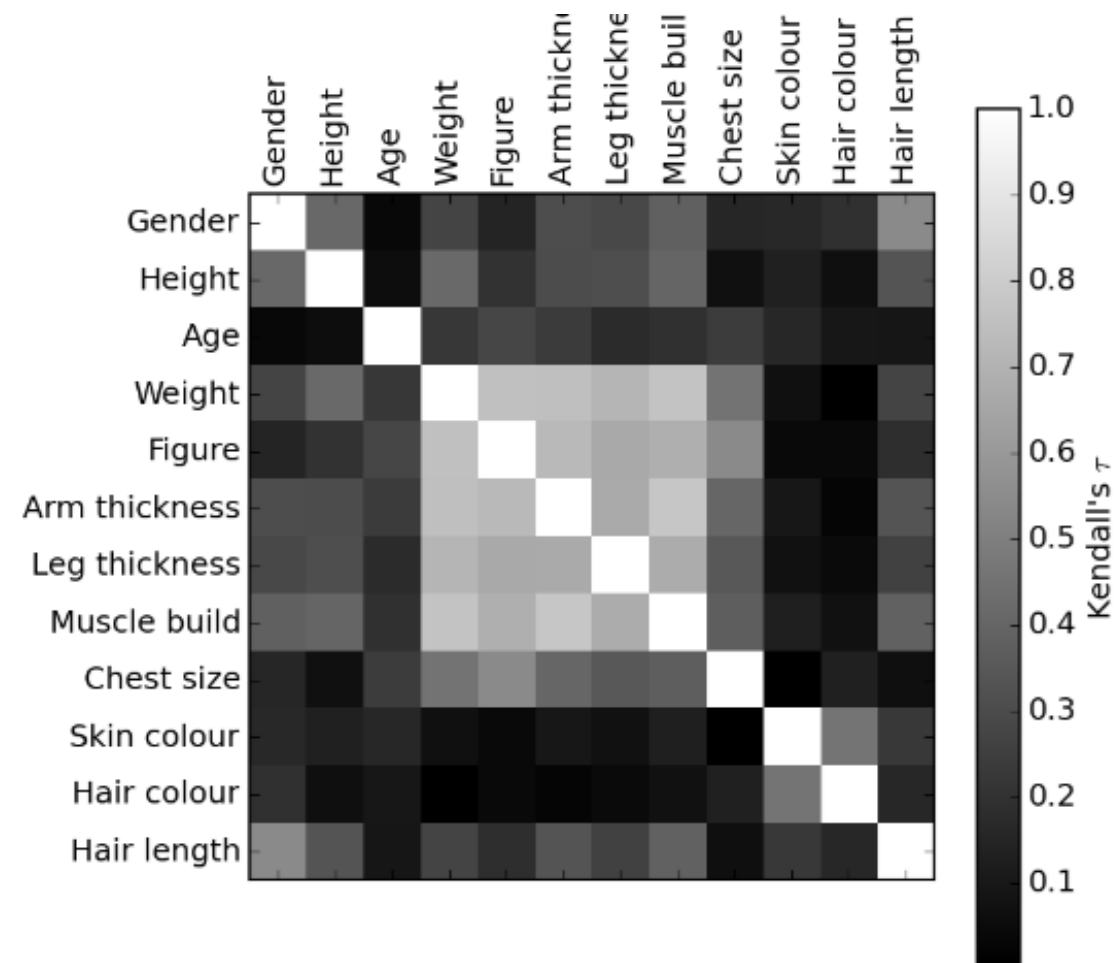


(d) Zero-shot identification

# Trait performance



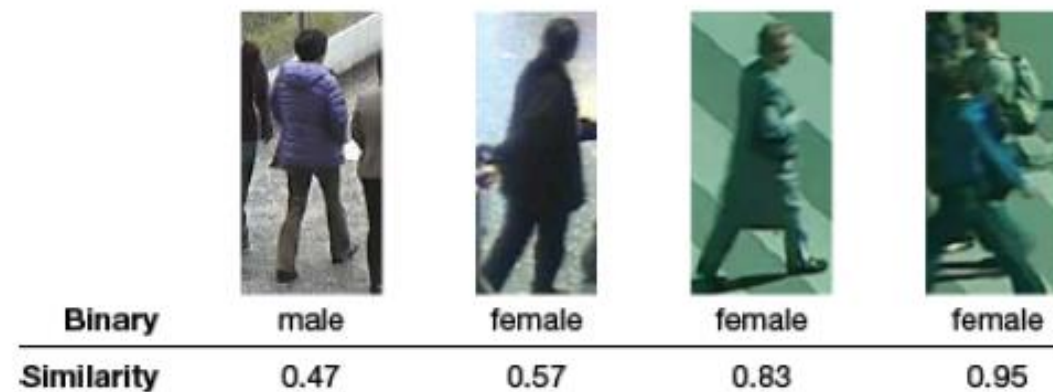
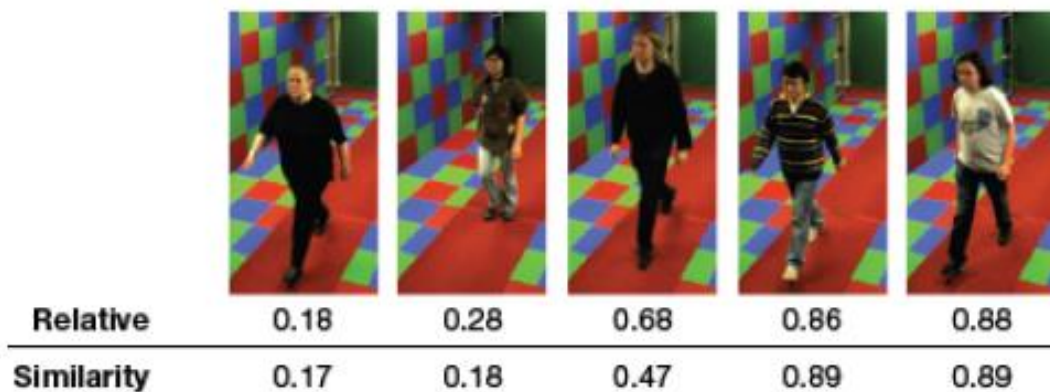
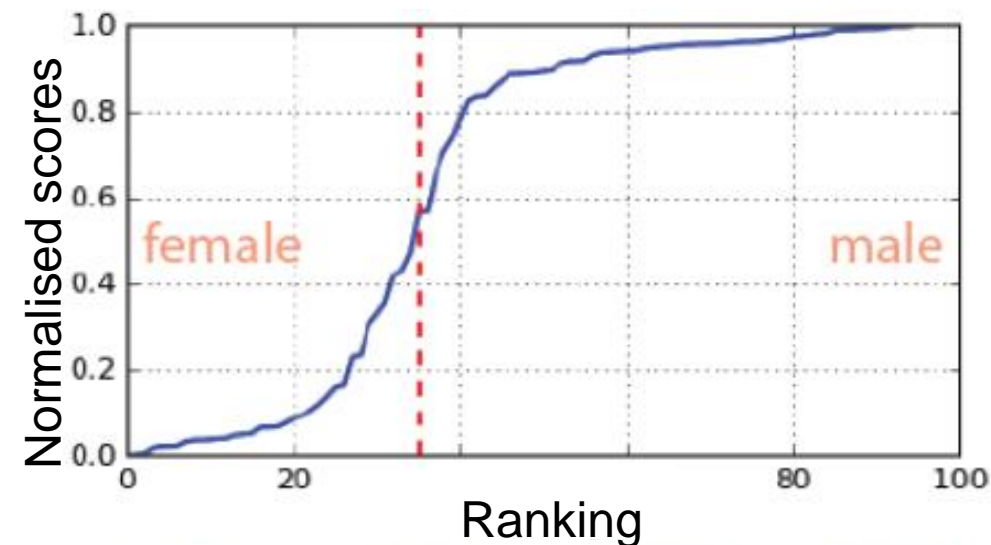
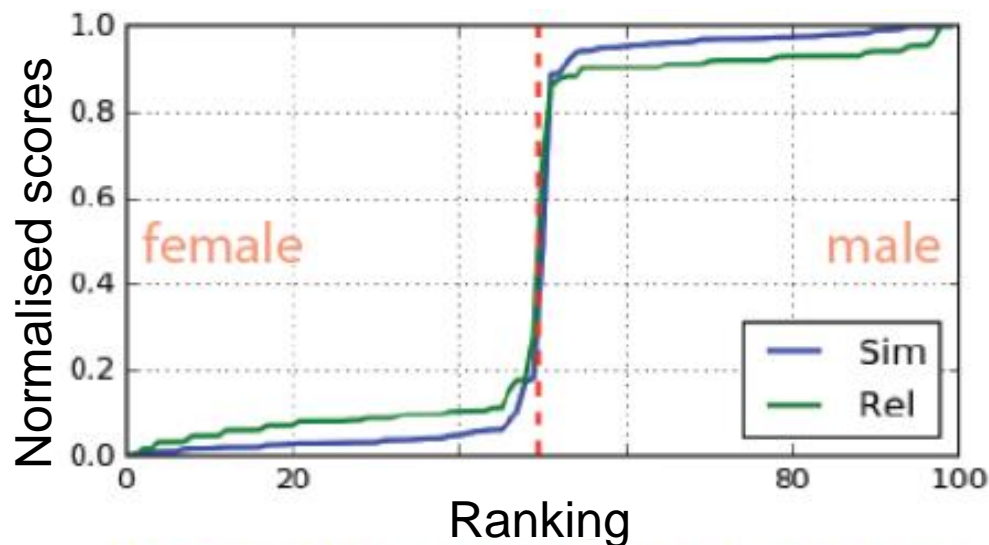
Normalised relative scores vs ranks



Kendall's  $\tau$  correlation



# Pairwise similarity comparisons on PETA



Gender distribution not binary  
Can measure confidence

# Analysing gender on PETA

## Group 0 - "male"

54 subjects  
6.8% uncertainty  
(98.1% labelled male)



## Group 1 - "female"

27 subjects  
6.8% uncertainty  
(0.0% labelled male)



## Group 2 - "possibly male"

6 subjects  
25.8% uncertainty  
(66.7% labelled male)

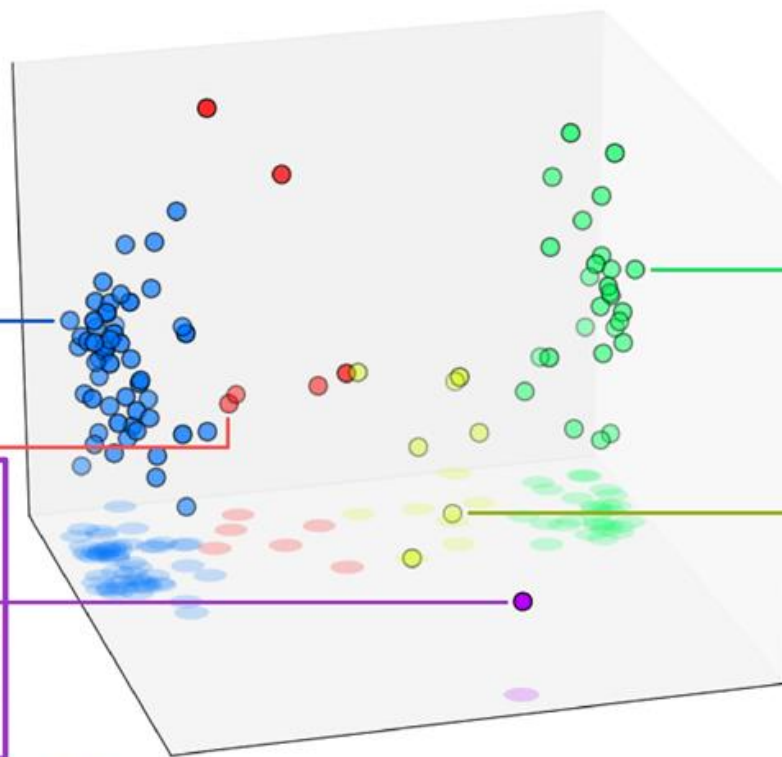
## Group 3 - "neutral"

1 subject  
3.2% uncertainty  
(0.0% labelled male)



## Overall

95 subjects  
9.7% uncertainty  
(61.1% labelled male)



## Group 4 - "possibly female"

7 subjects  
31.5% uncertainty  
(14.3% labelled male)

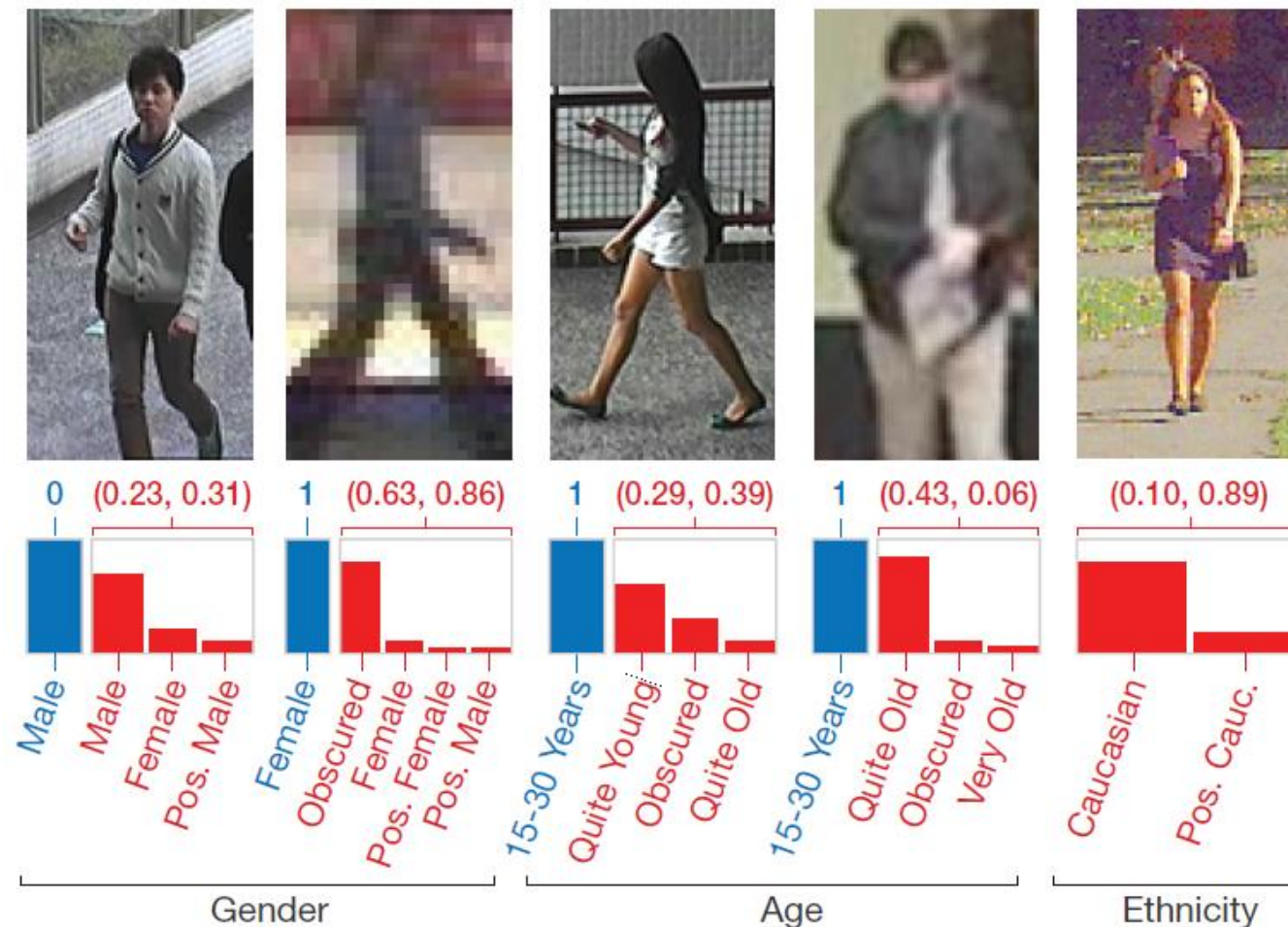


# Superfine labels

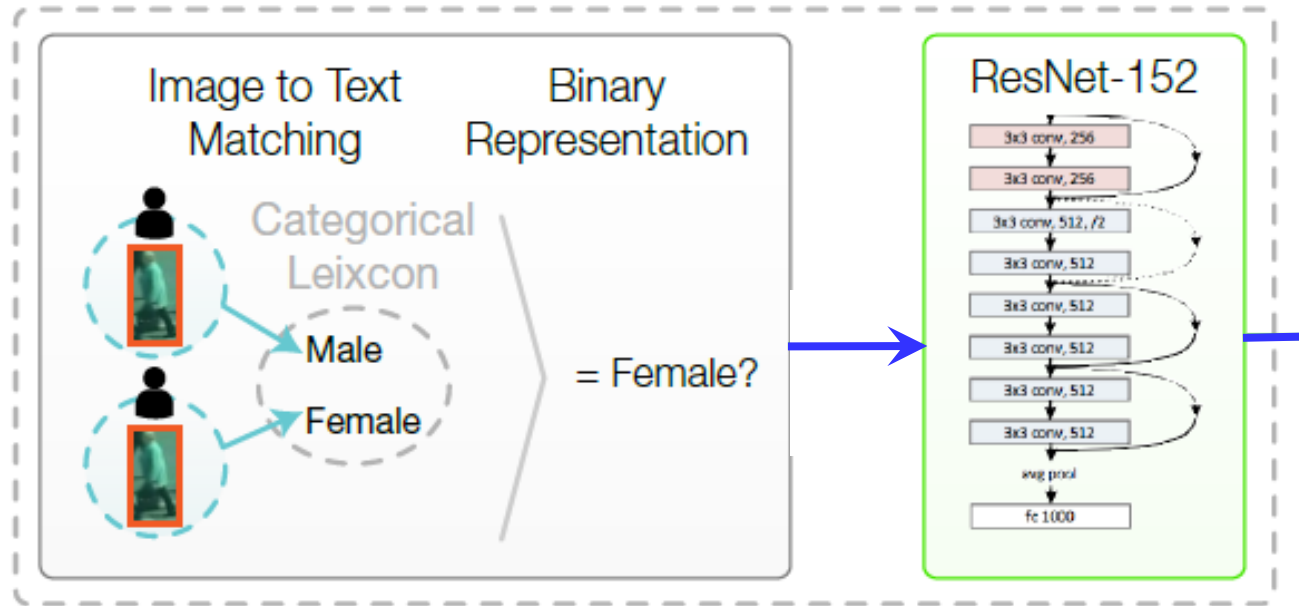
Most 'fine' are actually  
**coarse**

Our comparative attributes  
are **superfine**

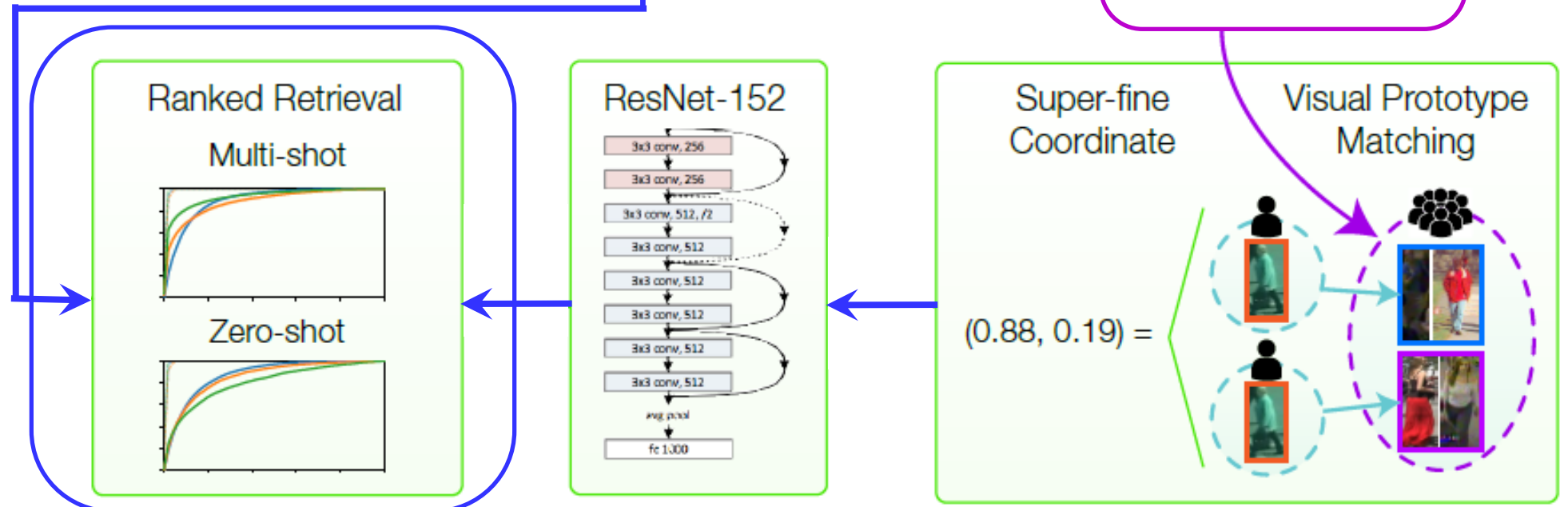
Comparison/ ranking gives  
many advantages



## Conventional attribute-based analysis

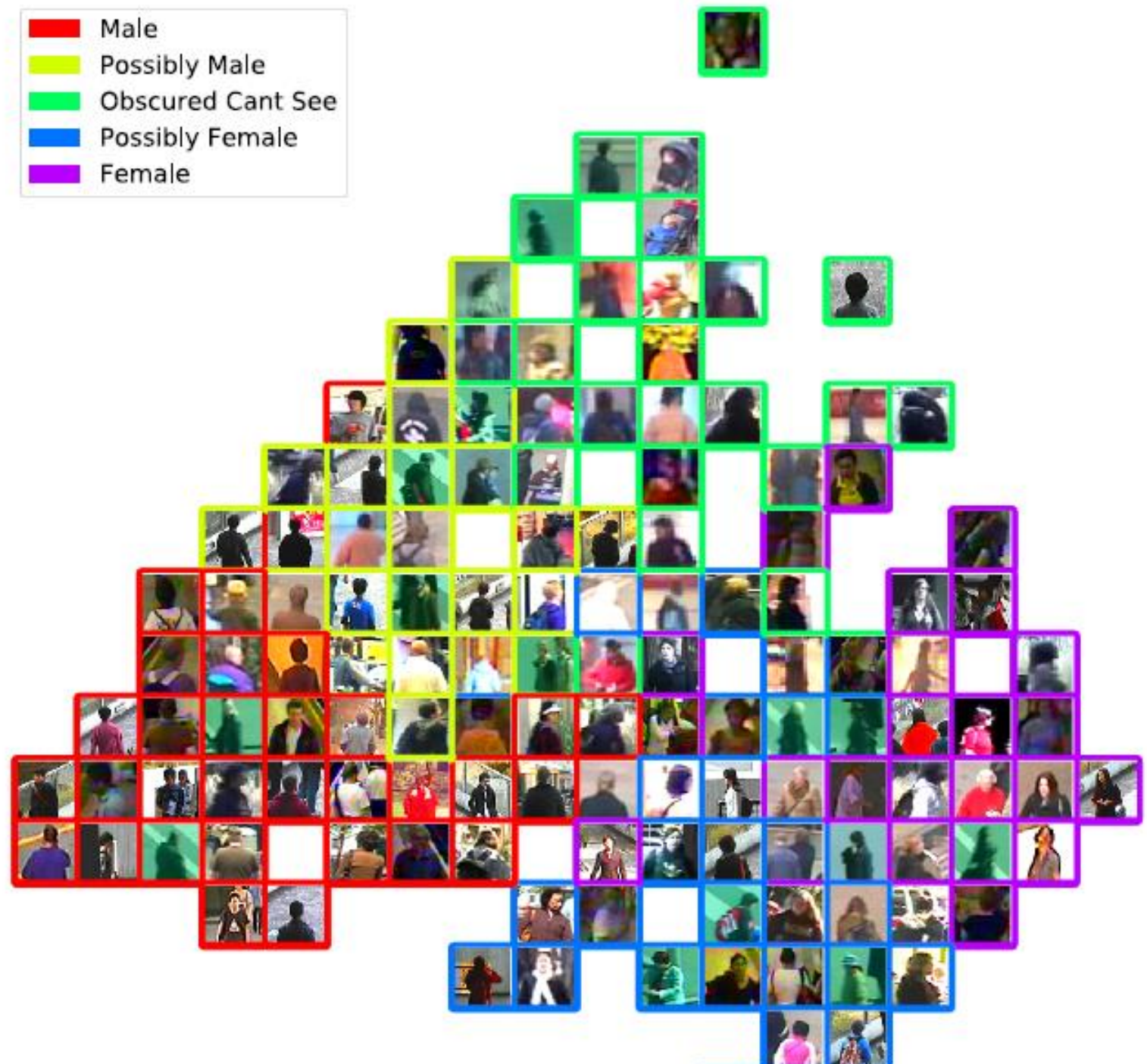


## Labelling architecture



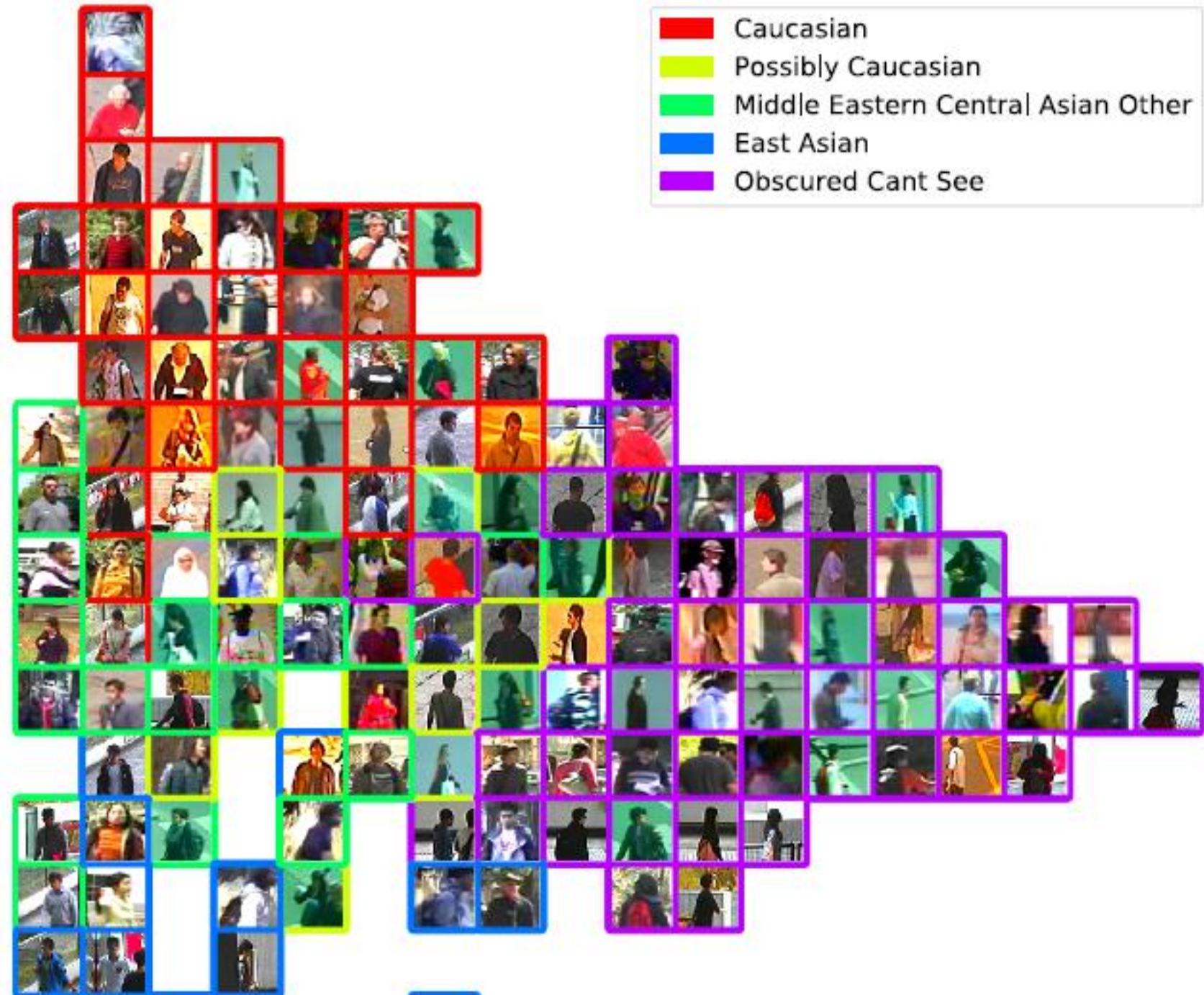
# Gender

- Male
- Possibly Male
- Obscured Cant See
- Possibly Female
- Female






# Ethnicity



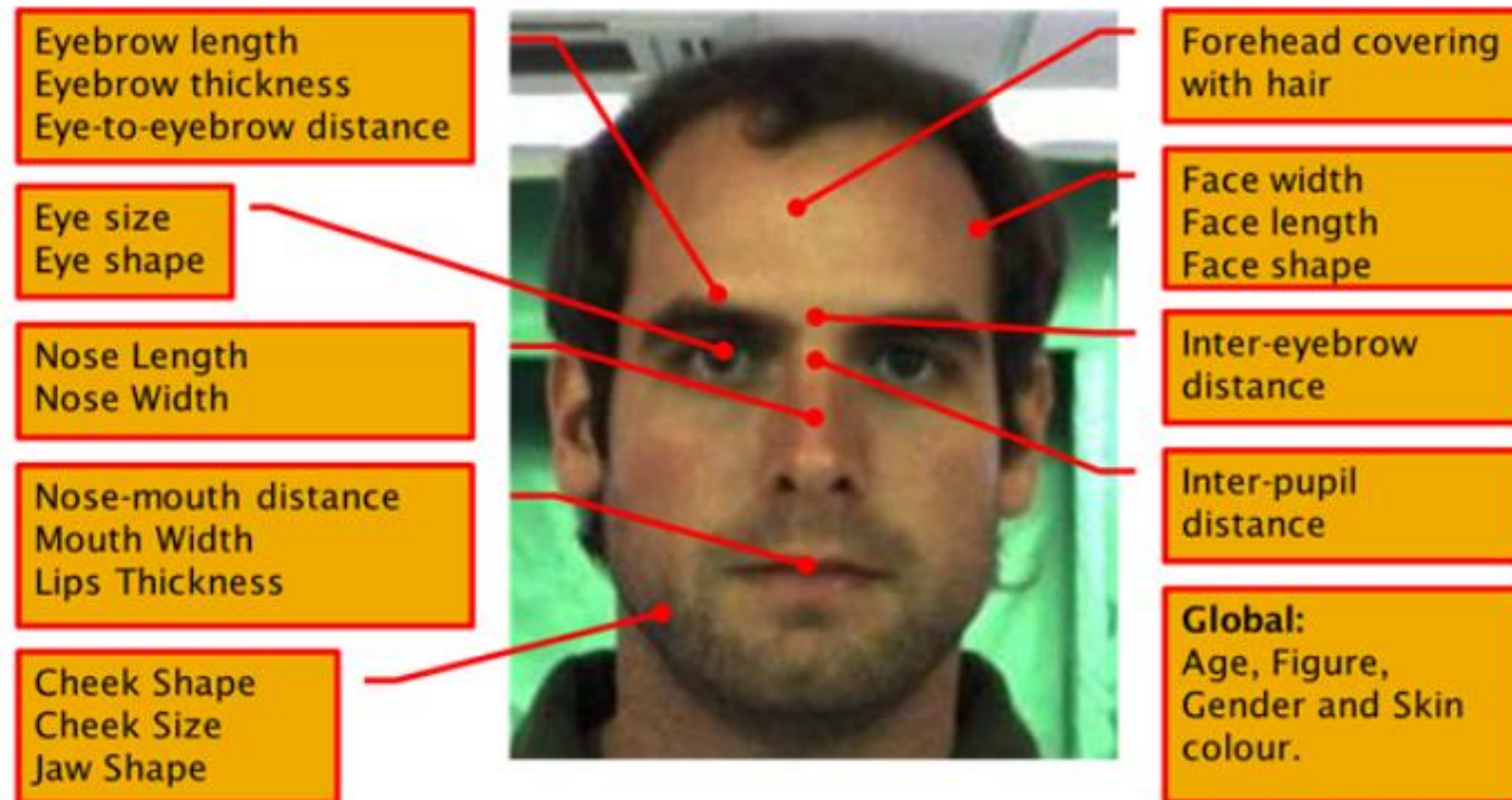
# Analysing gender (??!!)

- Gender?

Subject	1	2	3
			
Gender			<p>A. Male</p> <p>B. Female</p>

# Recognition by face attributes

Categorical labels  
(gender, age +...)  
Comparative labels

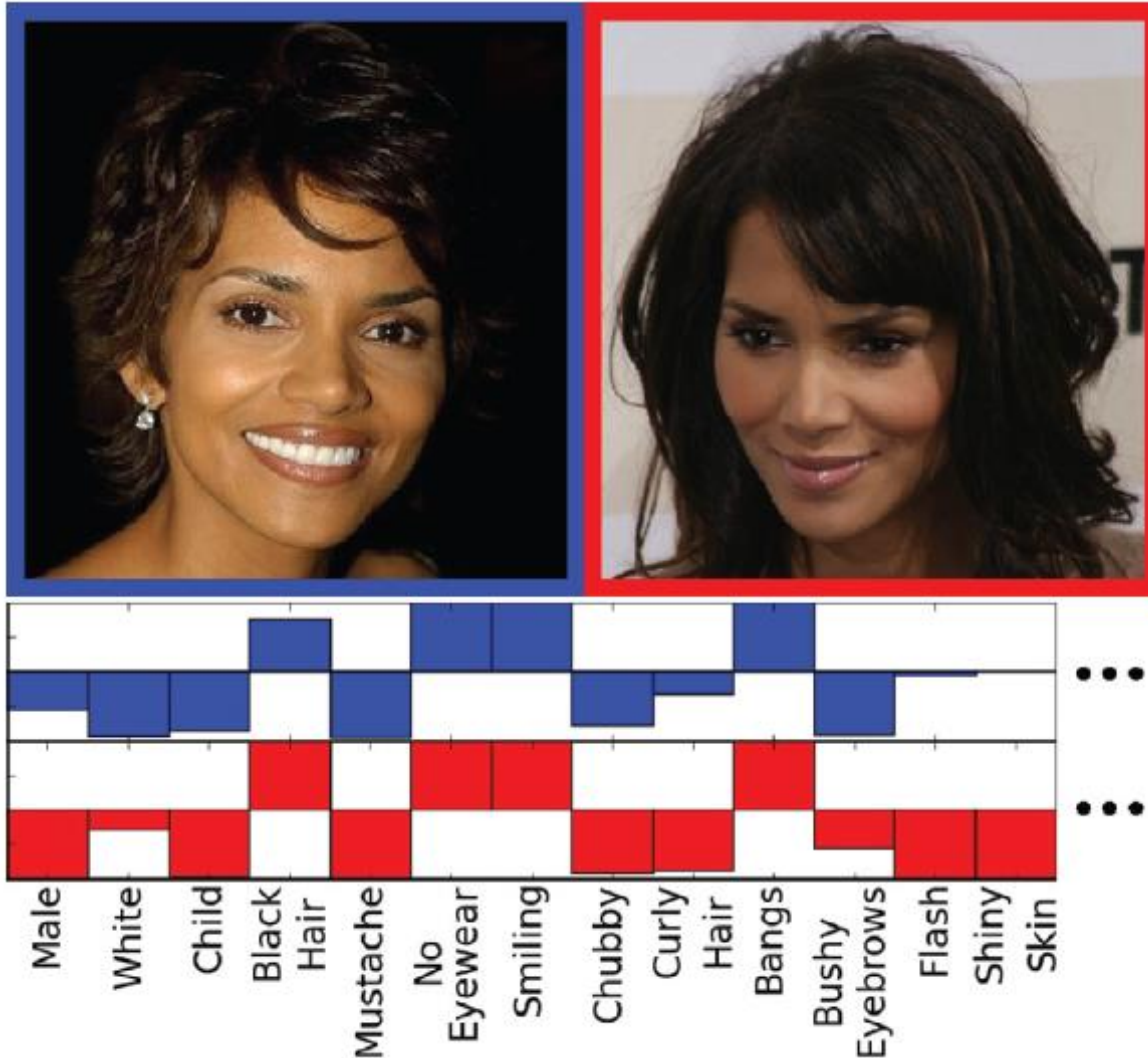


Reid and Nixon, *IEEE ICB 2013*

Almudhahka, Nixon and Hare, *IEEE ISBA 2016*



# Context: attribute and simile classifiers for face verification

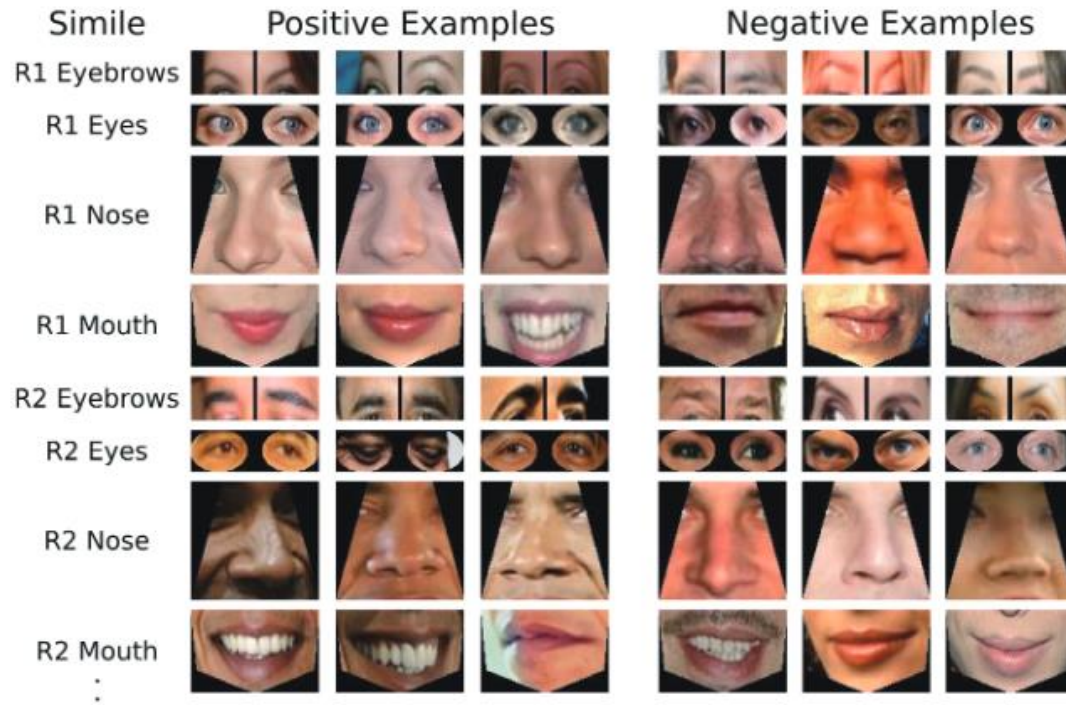


Attribute	Accuracy	Attribute	Accuracy
Asian	92.32%	Mouth Wide Open	89.63%
Attractive Woman	81.13%	Mustache	91.88%
Baby	90.45%	No Beard	89.53%
Bags Under Eyes	86.23%	No Eyewear	93.55%
Bald	83.22%	Nose Shape	86.87%
Bangs	88.70%	Nose Size	87.50%
Black	88.65%	Nose-Mouth Lines	93.10%
Black Hair	80.32%	Obstructed Forehead	79.11%
Blond Hair	78.05%	Oval Face	70.26%
Blurry	92.12%	Pale Skin	89.44%
Brown Hair	72.42%	Posed Photo	69.72%
Child	83.58%	Receding Hairline	84.15%
Chubby	77.24%	Rosy Cheeks	85.82%
Color Photo	95.50%	Round Face	74.33%
Curly Hair	68.88%	Round Jaw	66.99%

Accuracies of the 65 attribute classifiers (part)  
trained using positive and negative examples

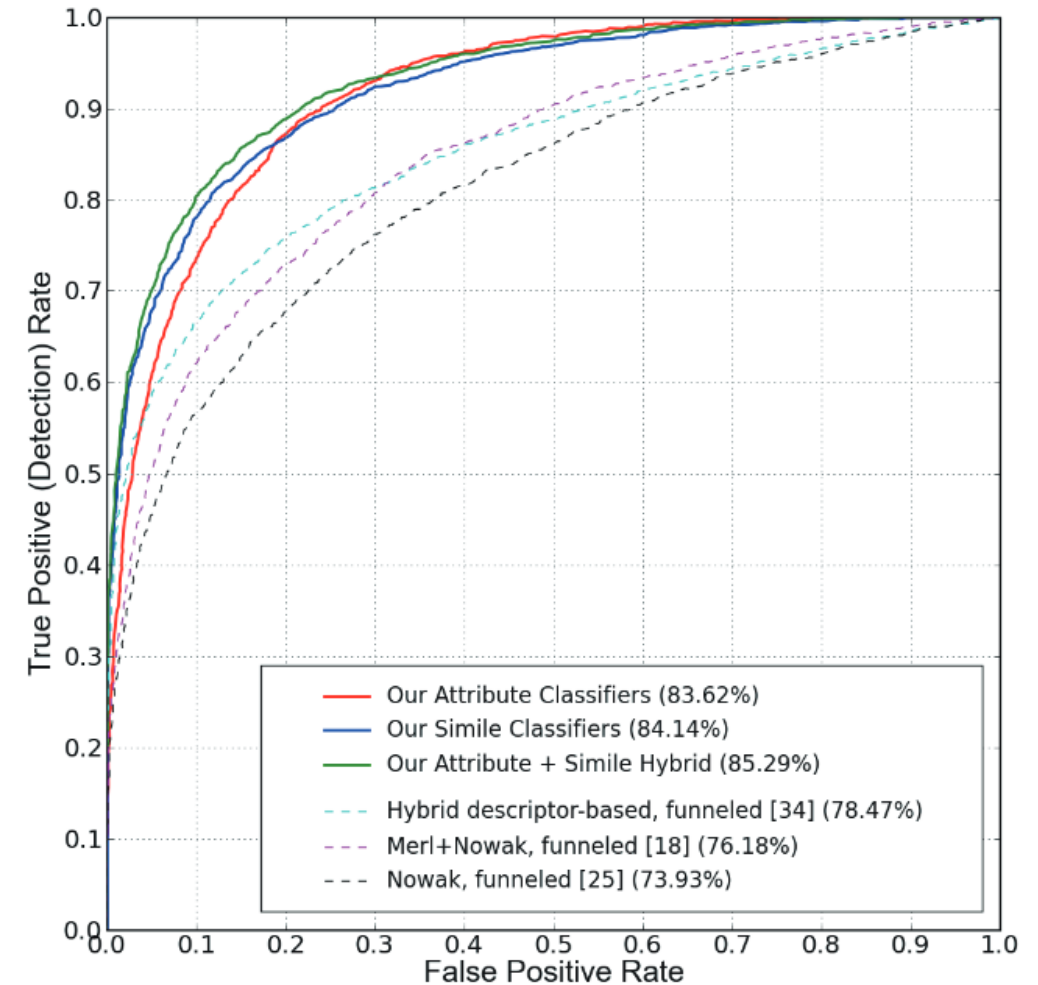
Used Mechanical Turk

# Context: attribute and simile classifiers for face verification



Similes for Training

Kumar, Berg et al, *IEEE ICCV* 2009



Face Verification Results on LFW

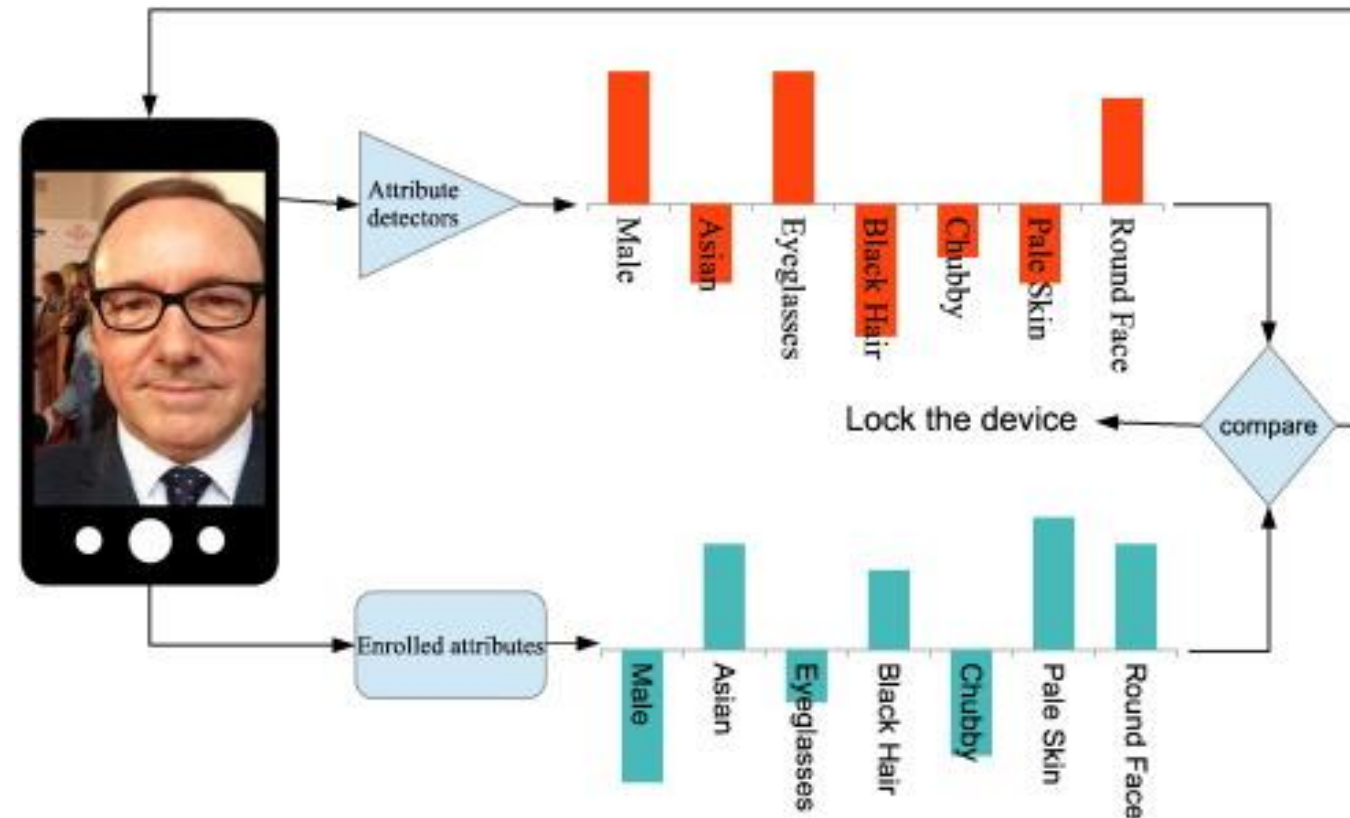


# Context: Facial attributes for active authentication on mobile devices

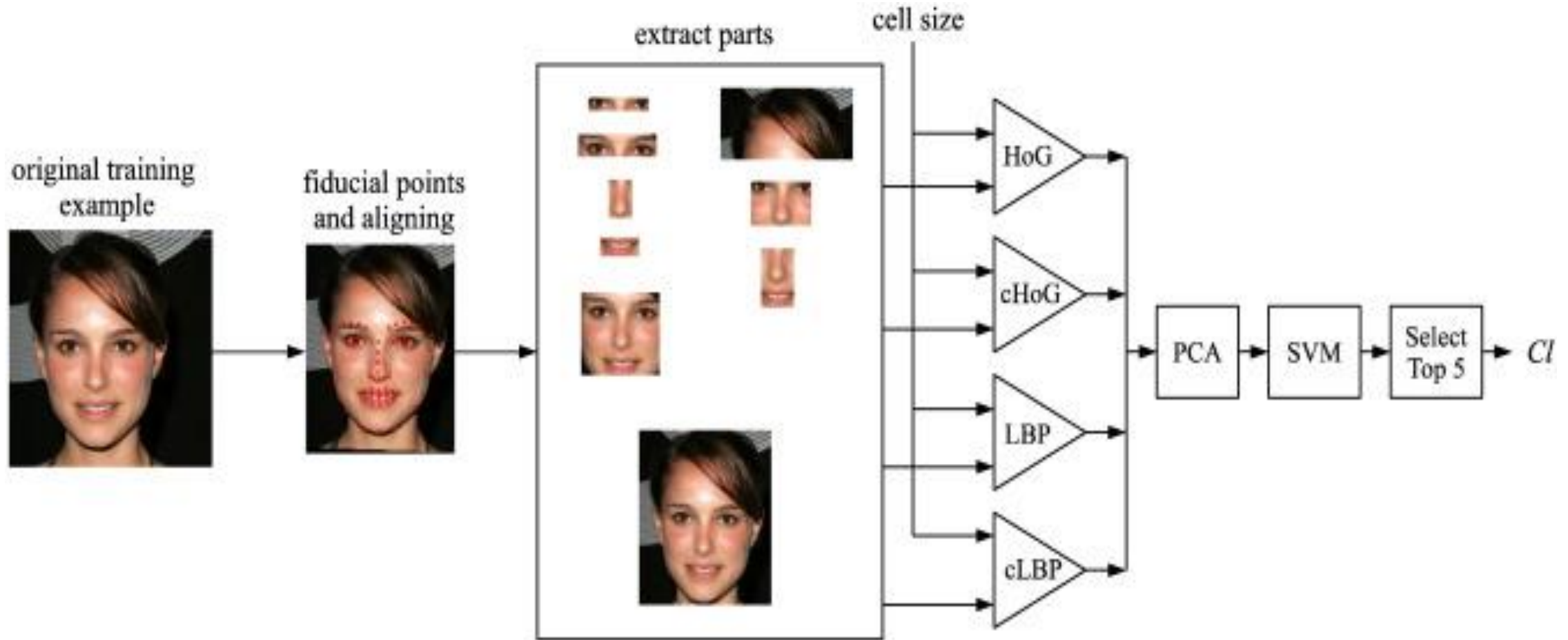
Effective for **continuous authentication** on **mobile** devices.

Attribute-based features **more robust** than low-level ones for authentication  
**Fusion** of attribute-based and low-level features gives best result.

Proposed approach allows **fast** and **energy** efficient enrollment and authentication



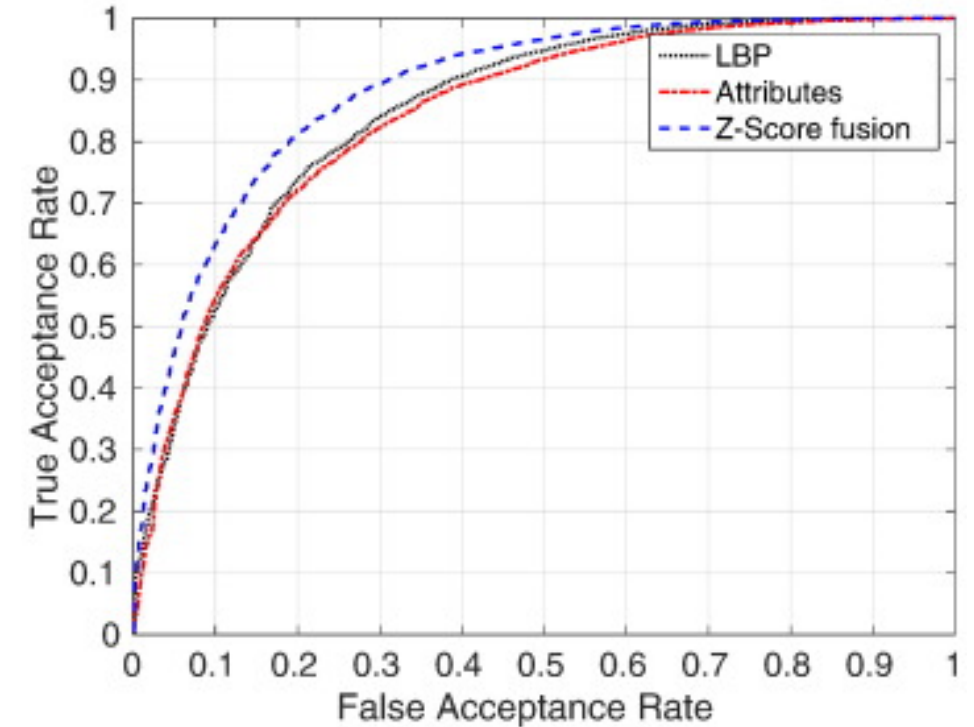
# Context: Facial attributes for active authentication on mobile devices



# Context: Facial attributes for active authentication on mobile devices

Attribute	Accuracy	Attribute	Accuracy
Asian	0.8786	Middle aged	0.7321
Eyeglasses	0.7214	Black	0.808
Sunglasses	0.89	Female	0.88
Smiling false	0.8	Senior	0.7933
No eyewear	0.7481	Hair color blond	0.7875
Child	0.8276	White	0.763
Mustache	0.815	Youth	0.692

Analysis on FaceTracer dataset



Analysis on MOBIO

# Recognition by face via comparative attributes on LFW

	Collected	Inferred	Total
Traits comparisons	241560	132879504	133121064
Subjects' comparisons	10065	5536646	5546711
Average number of comparisons per subject	4.98	1371.1	N/A
Number of annotators	9901		



Person-A



Person-B

The eyebrow horizontal length of **person-A** relative to that of **person-B** is:

- More Short
- Same
- More Long
- Don't know

# Compression of 5 point scale: recognition by comparative face labels

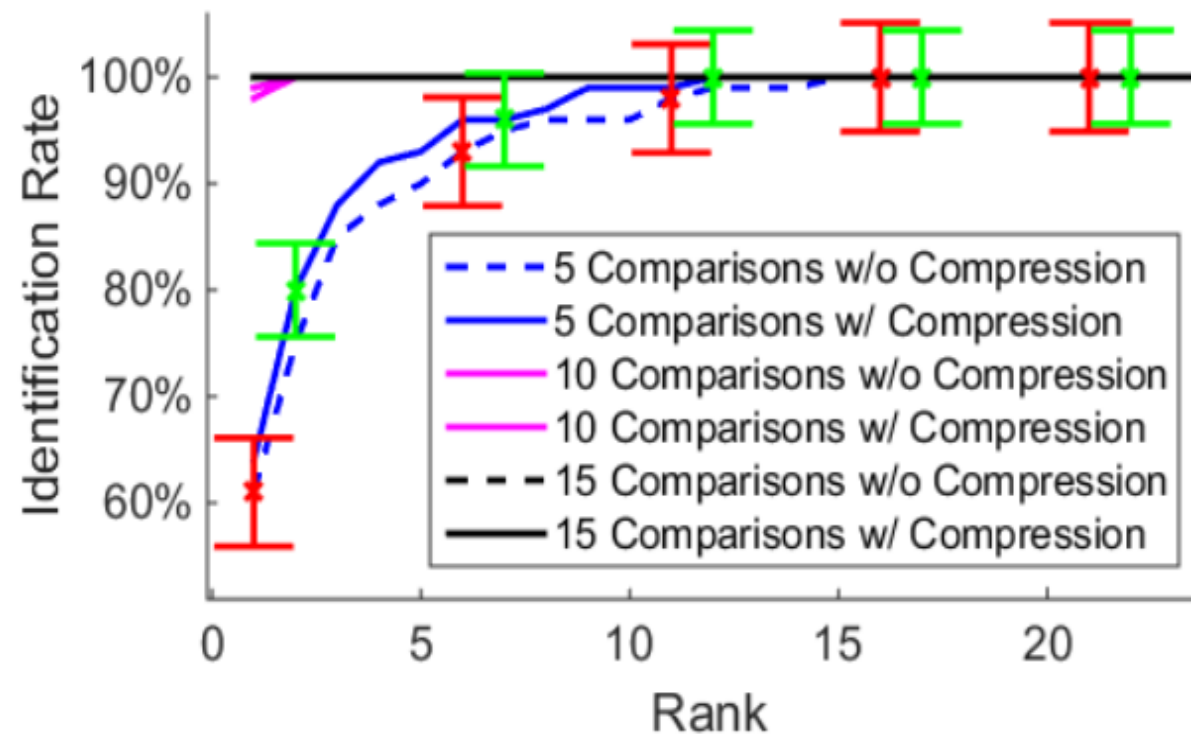
Label compression improves recognition

Data is Southampton tunnel

New system just 3:

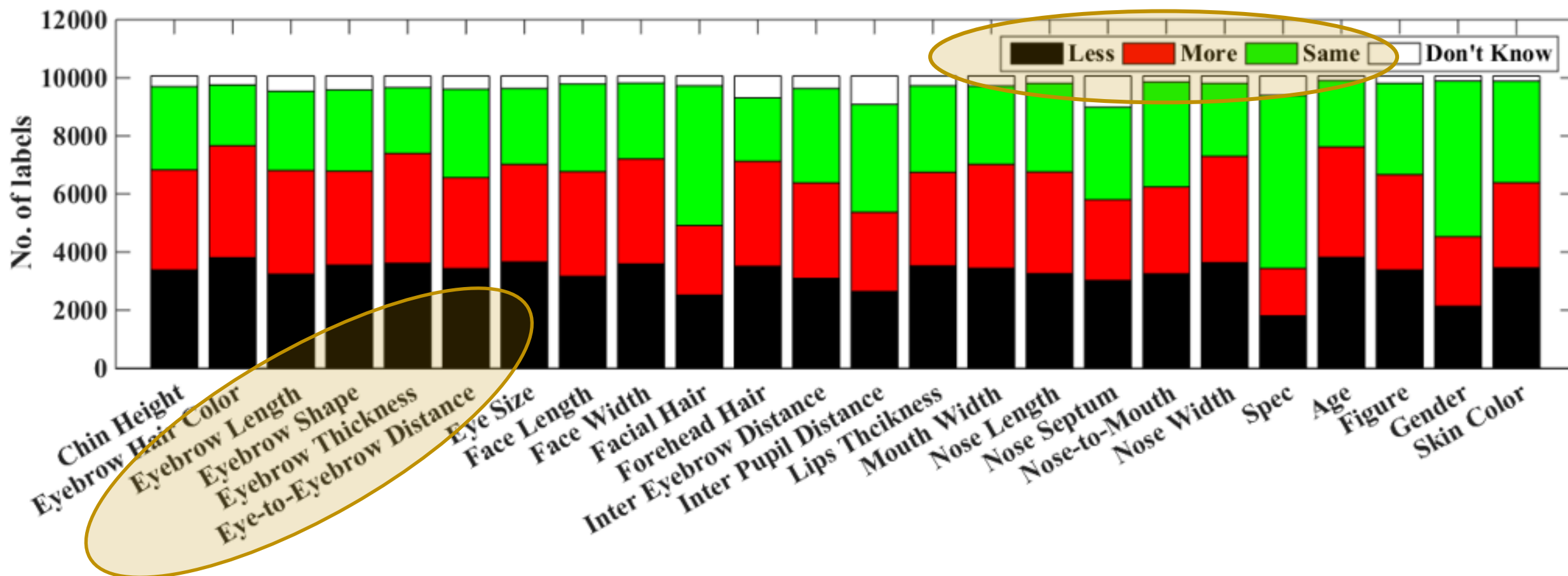
**bigger, same, smaller**

Had we previously added categorical to comparative?





# Face label distribution



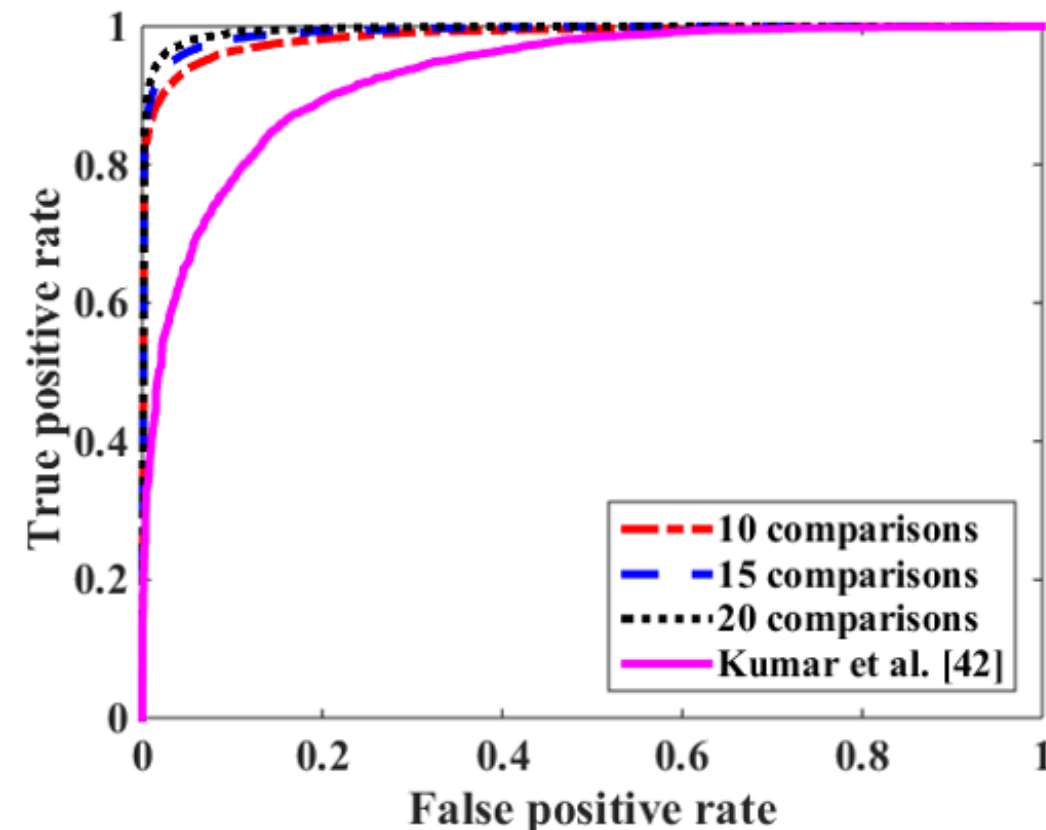
# Face recognition and verification on LFW

**6-fold cross validation:** 4038 subjects, 6 folds each with 673 subjects

**Rank-10 identification** rate 96.14%, 99.18%, 99.8% using 10, 15, and 20 comparisons

**EERs** were: 23.43%, 20.64%, and 18.22%, using 10, 15, and 20 comparisons

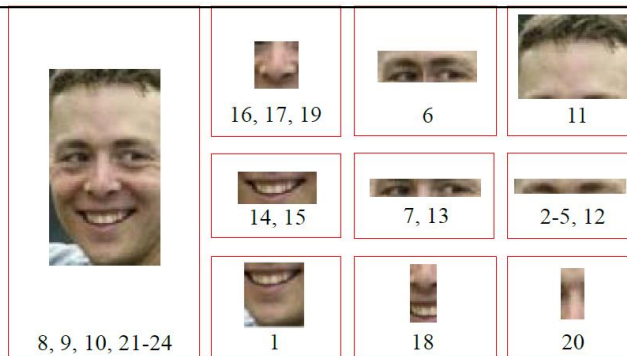
**Kumar** et al [42] achieved a verification accuracy of 85.25% on View 2 of LFW using trained classifiers for 73 binary attributes.



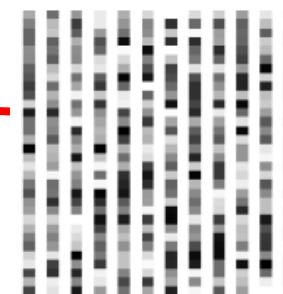
# Crossing the semantic gap: estimating relative face attributes



Face alignment  
Constrained Local Models/ AAMs

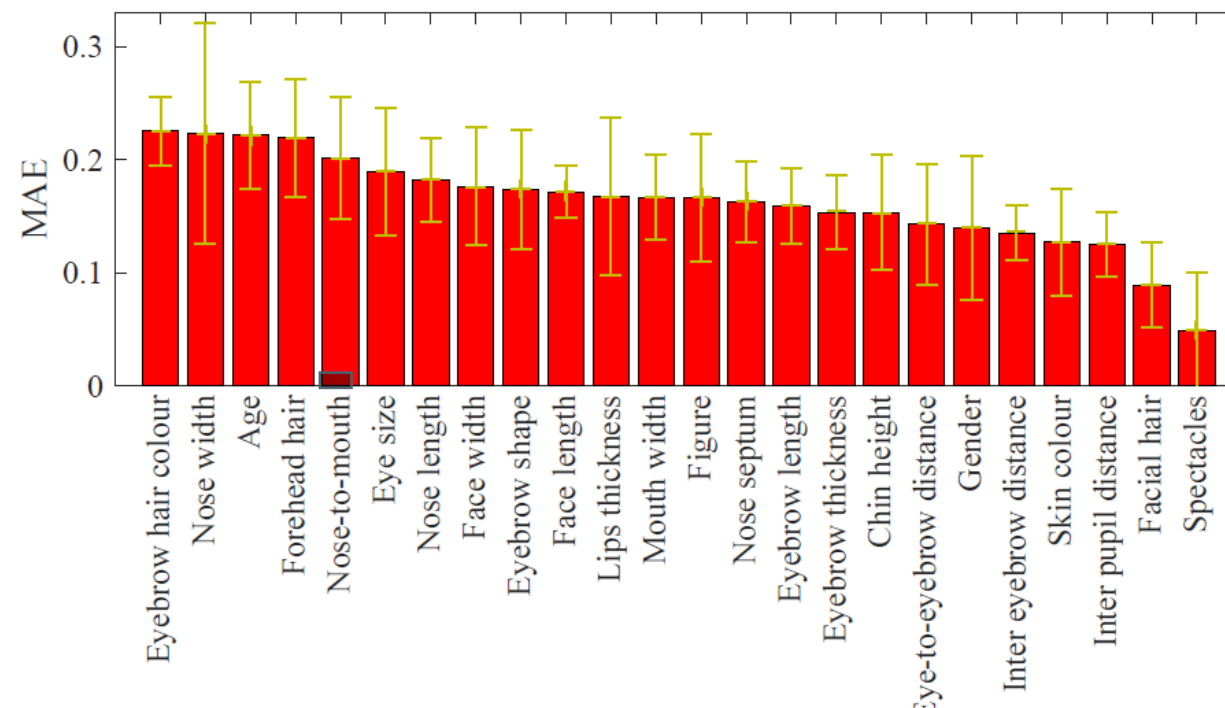


Segmented face parts

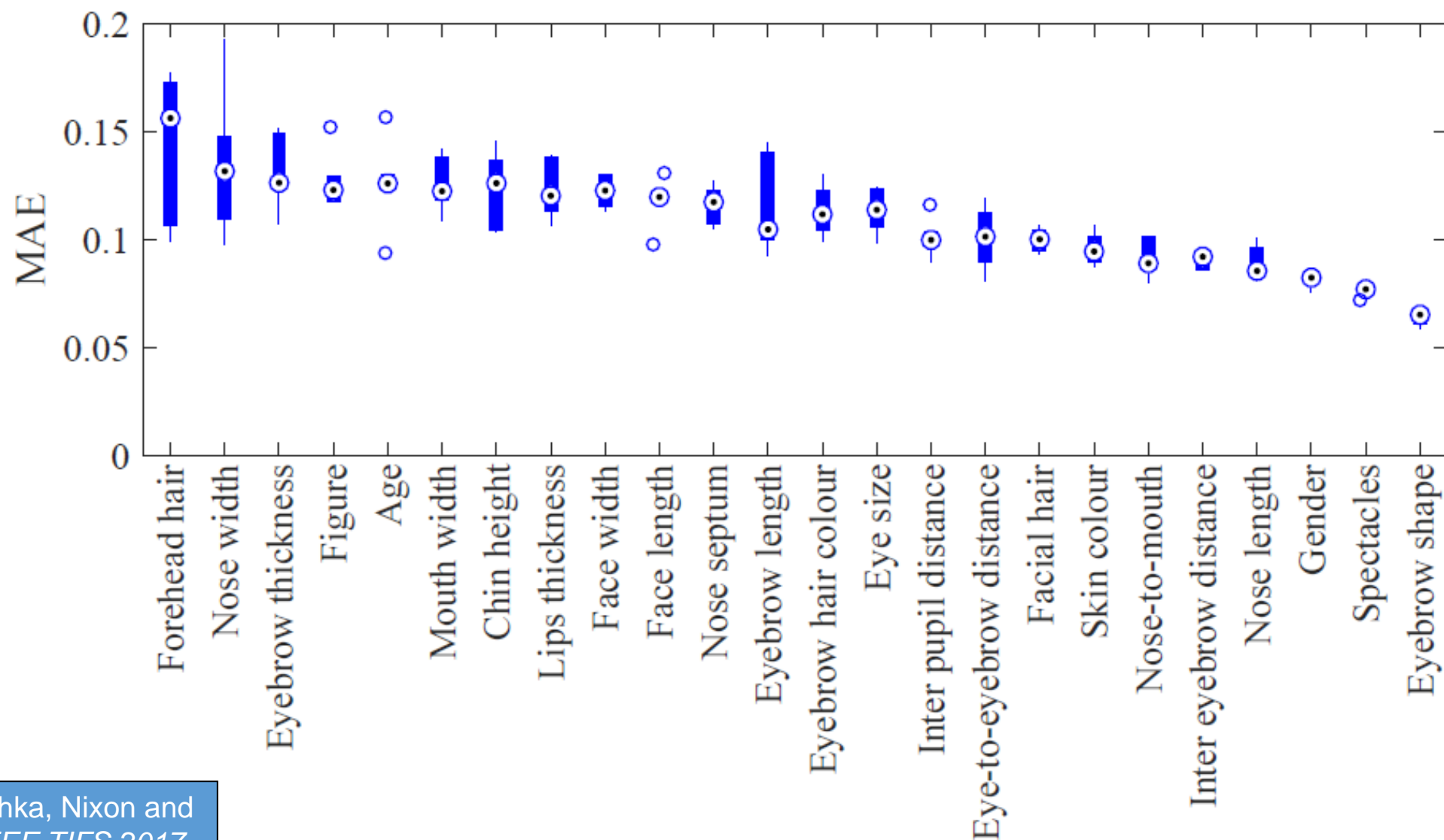


Features HOG/GIST/ULBP

Estimation of comparative labels



# Estimating face attributes



# Ranking subjects (images) by estimated face attributes

MIURank semantic

ECL

REL

MIURank semantic

ECL

REL

Youngest



Most feminine



Oldest



Most masculine

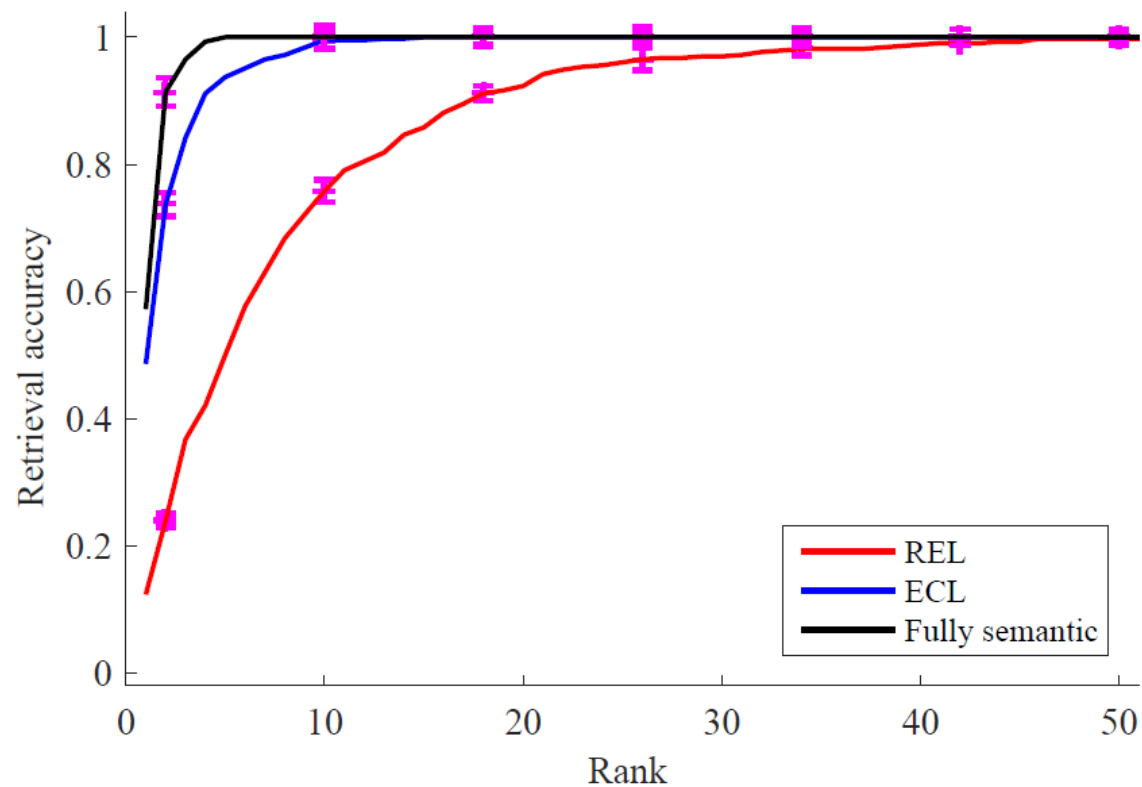


(a) Age

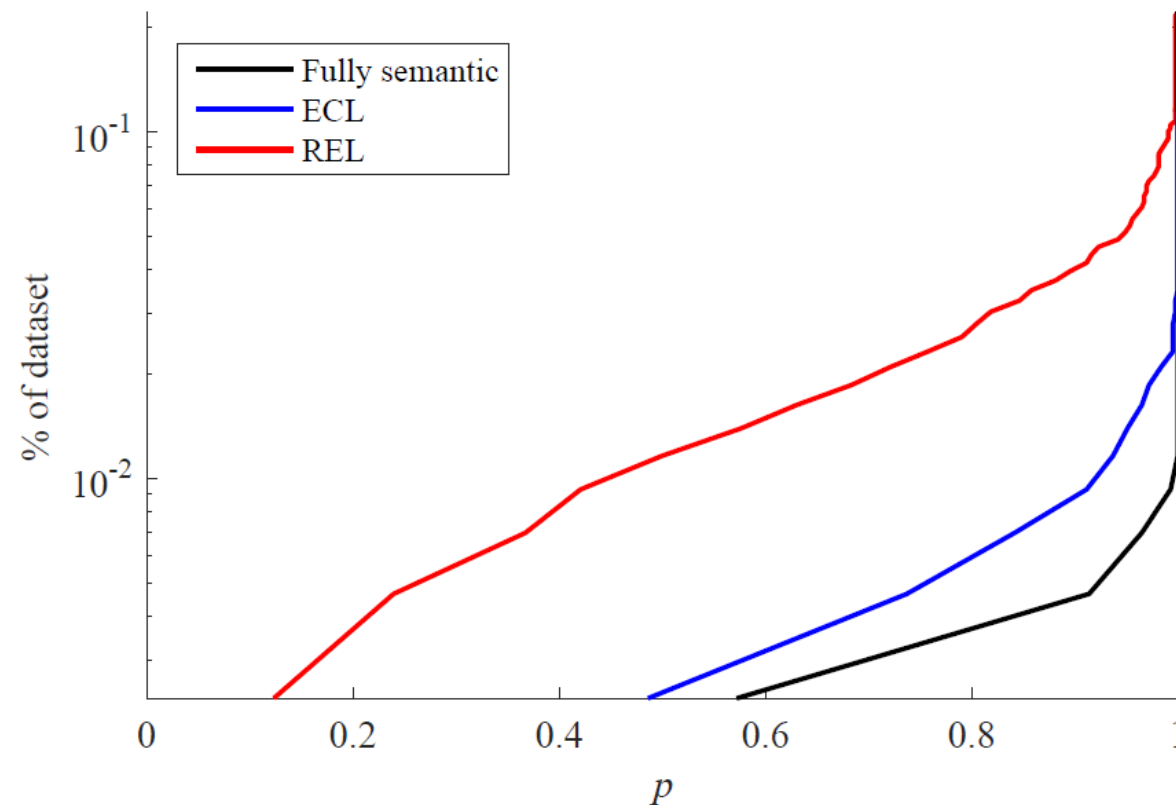
(b) Gender



# Recognition on LFW



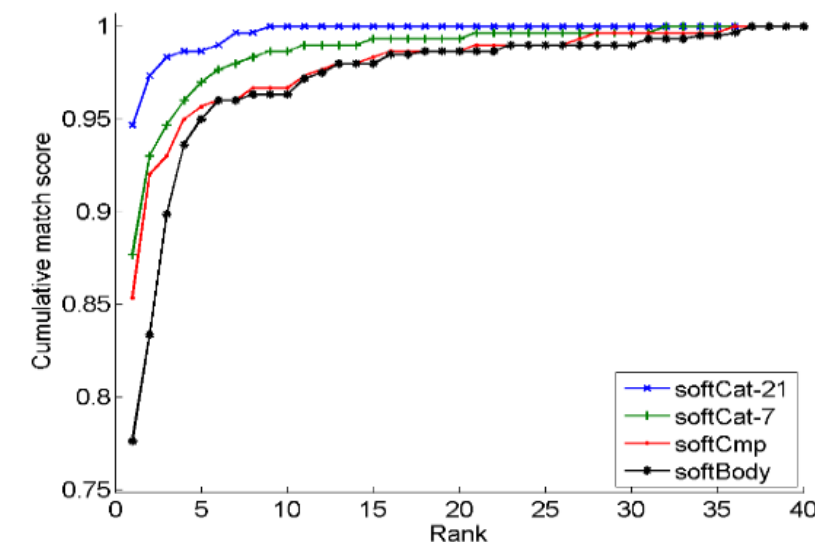
Retrieval performance



Compression of 430 subject LFW-MS4 dataset

# Subject recognition, by clothing

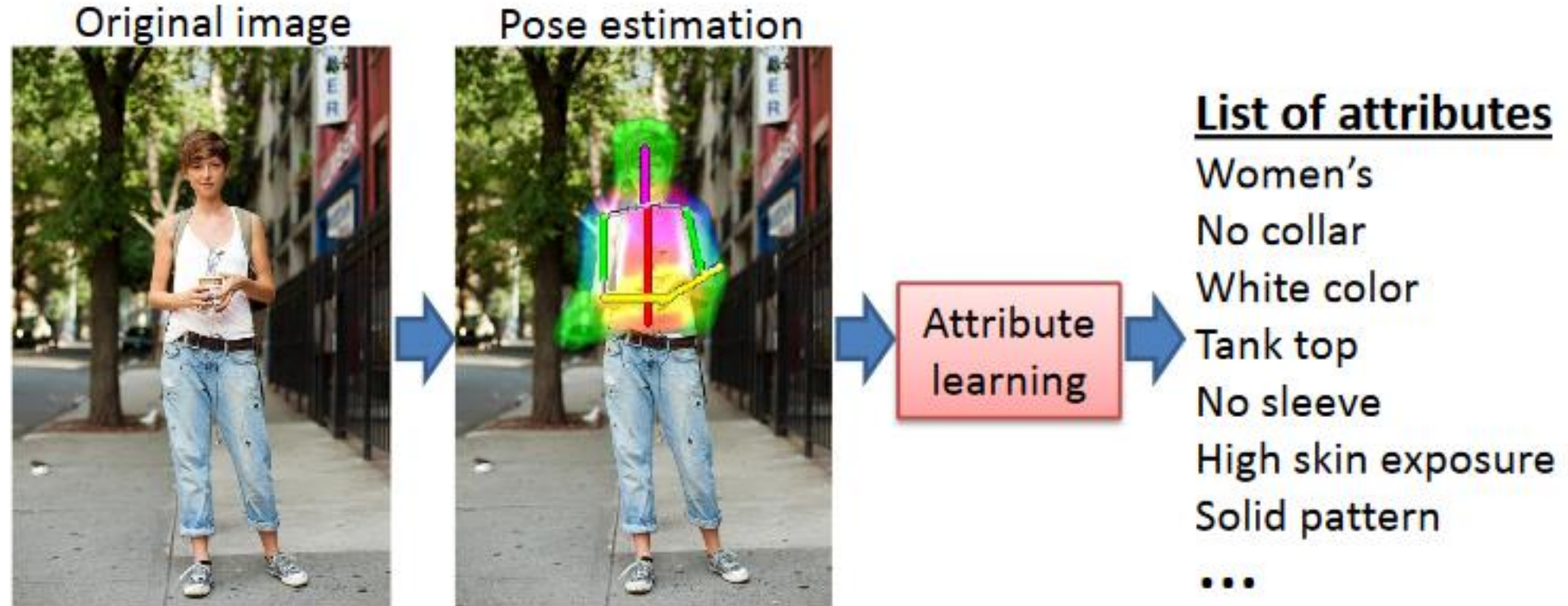
- Clothing generally **unique**
- **Shakespeare**  
*"Know'st me not by my clothes?"*  
(Cymbeline Act 4 Scene 2)
- **Short term** biometric
- Has strong **invariance**
- Links with computer vision and **automatic clothing analysis/ re-identification**



# Clothing labels

Body zone	Semantic Attribute	Categorical Labels	Comparative Labels
Head	1. Head clothing category	[None, Hat, Scarf, Mask, Cap]	
	2. Head coverage	[None, Slight, Fair, Most, All]	[Much Less, Less, Same, More, Much more]
	3. Face covered	[Yes, No, Don't know]	[Much Less, Less, Same, More, Much more]
	4. Hat	[Yes, No, Don't know]	
Upper body	5. Upper body clothing category	[Jacket, Jumper, T-shirt, Shirt, Blouse, Sweater, Coat, Other]	
	6. Neckline shape	[Strapless, V-shape, Round, Shirt collar, Don't know]	
	7. Neckline size	[Very Small, Small, Medium, Large, Very Large]	[Much Smaller, Smaller, Same, Larger, Much Larger]
	8. Sleeve length	[Very Short, Short, Medium, Long, Very Long]	[Much Shorter, Shorter, Same, Longer, Much Longer]
Lower body	9. Lower body clothing category	[Trousers, Skirt, Dress]	
	10. Shape	[Straight, Skinny, Wide, Tight, Loose]	
	11. Leg length (of lower clothing)	[Very Short, Short, Medium, Long, Very Long]	[Much Shorter, Shorter, Same, Longer, Much Longer]
	12. Belt presence	[Yes, No, Don't know]	
Foot	13. Shoes category	[Heels, Flip flops, Boot, Trainer, Shoe]	
	14. Heel level	[Flat/low, Medium, High, Very high]	[Much Lower, Lower, Same, Higher, Much higher]
Attached to body	15. Attached object category	[None, Bag, Gun, Object in hand, gloves]	
	16. Bag (size)	[None, Side-bag, Cross-bag, Handbag, Backpack, Satchel]	[Much Smaller, Smaller, Same, Larger, Much Larger]
	17. Gun	[Yes, No, Don't know]	
	18. Object in hand	[Yes, No, Don't know]	
	19. Gloves	[Yes, No, Don't know]	
General style	20. Style category	[Well-dressed, Business, Sporty, Fashionable, Casual, Nerd, Bibes, Hippy, Religious, Gangsta, Tramp, Other]	
Permanent	21. Tattoos	[Yes, No, Don't know]	

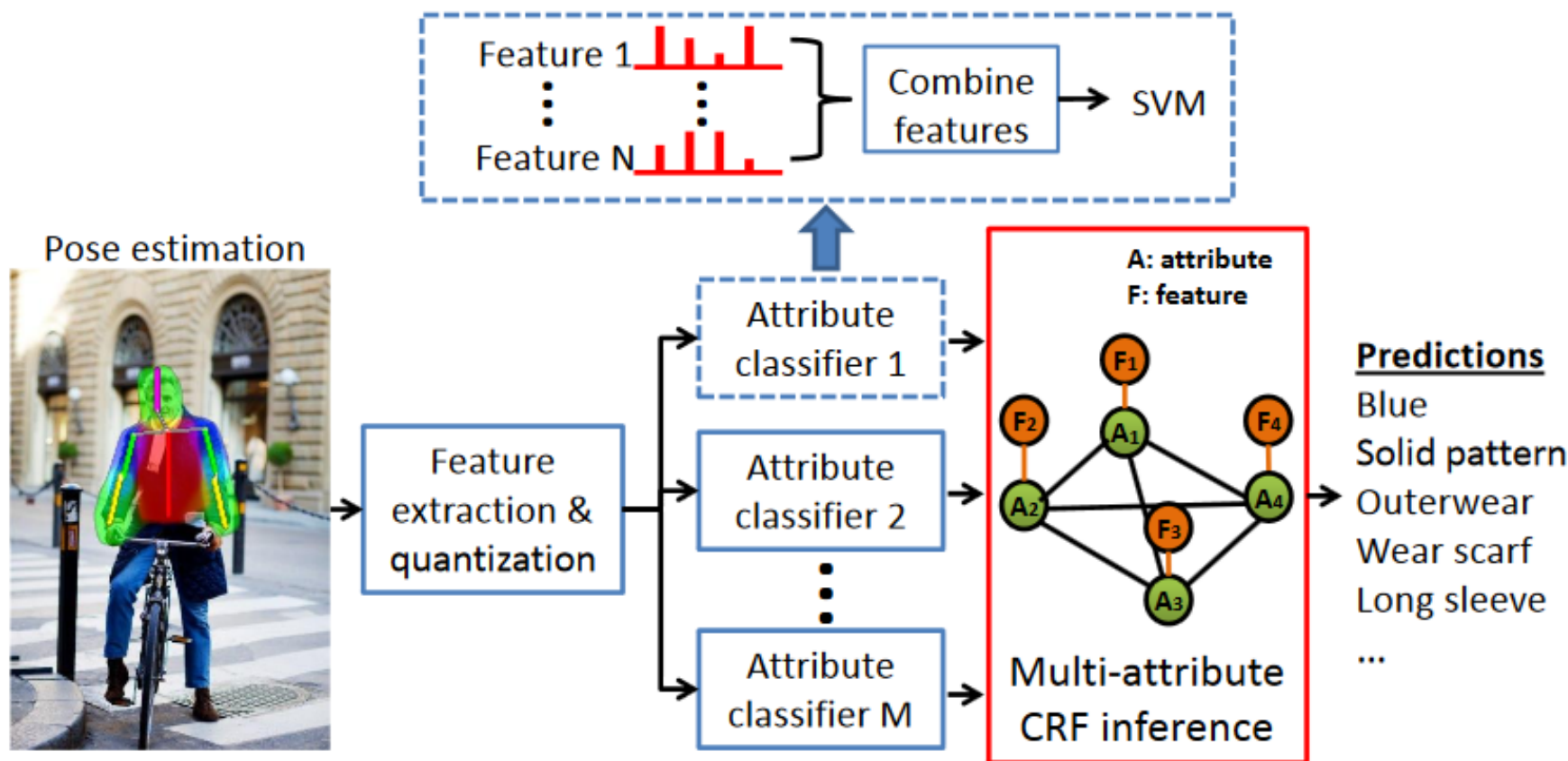
# Context: describing clothing by semantic attributes



CAT: Clothing attribute dataset



# Context: describing clothing by semantic attributes

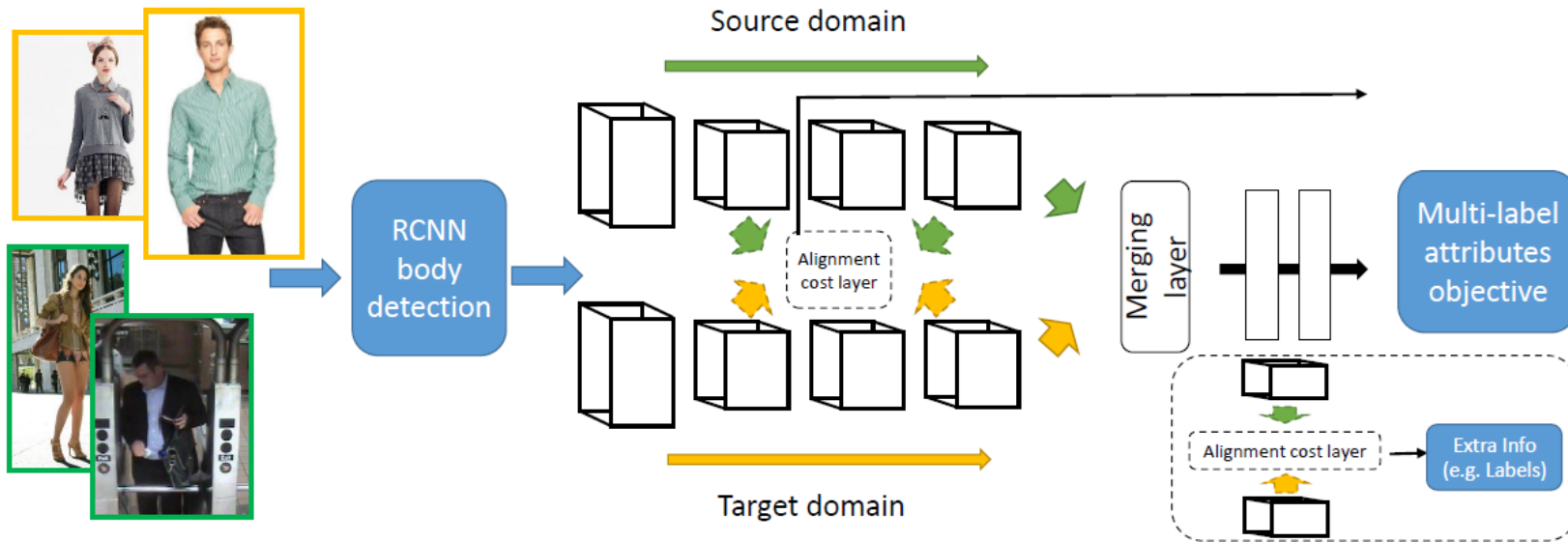


Just clothing ID, not person ID

Chen, Gallagher and  
Girod, *ECCV*, 2012



# Context: Deep Domain Adaptation for Describing People Based on Fine-Grained Clothing



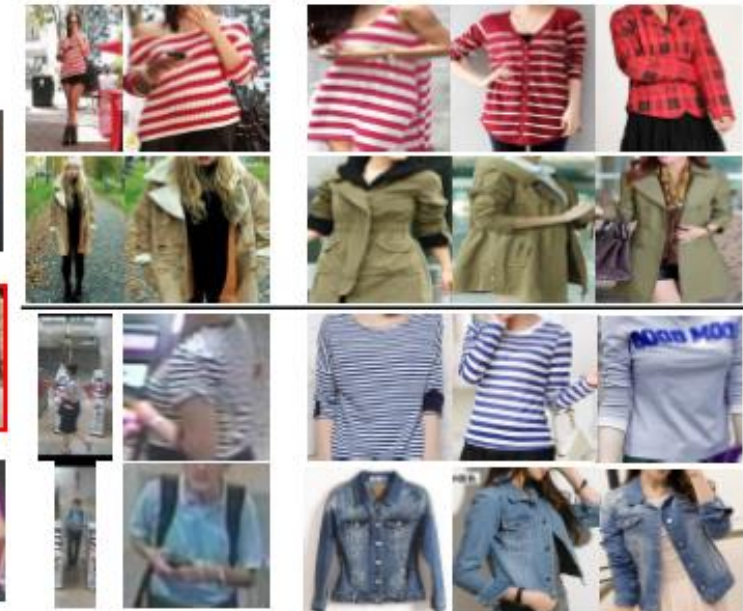
White vest, stripes



Brown:beige coat, solid color



Purplishred dress, solid color



# Clothing alone and in addition to body descriptions

By **clothing alone** 100% accuracy achieved at rank:

tradCat-21: 29

tradCat-7: 37

tradCmp: 63

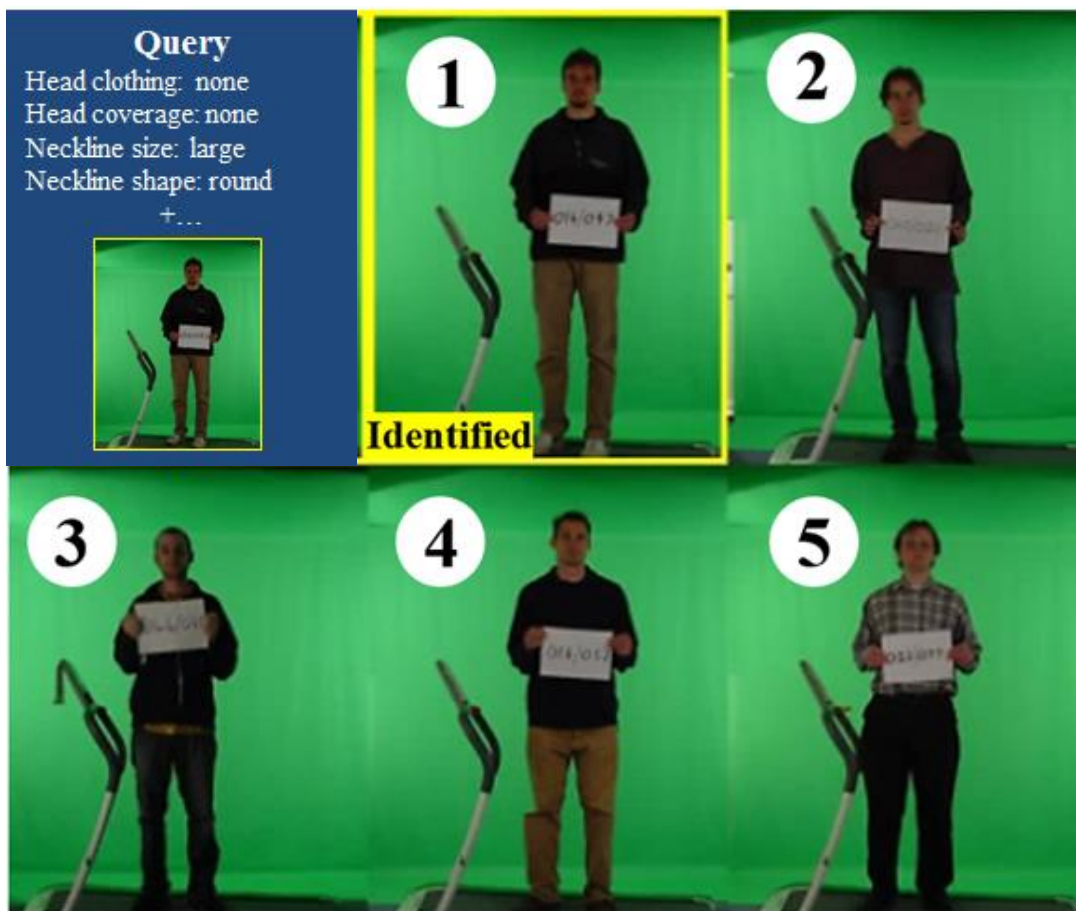
As expected, **less power** than body

Adding **clothing** to **body** allows much greater power



Approach	Top rank	AVG sum match scores up to rank		100% accuracy achieved at rank	EER	AUC	$d'$
	=1	=10	=128				
<i>softBody</i>	0.78	0.92	0.991	37	0.087	0.028	2.785
<i>softCat-21</i>	<b>0.95</b>	<b>0.99</b>	<b>0.999</b>	<b>9</b>	<b>0.050</b>	<b>0.014</b>	2.634
<i>softCat-7</i>	0.88	0.96	0.996	32	0.063	0.018	2.814
<i>softCmp</i>	0.85	0.94	0.994	36	0.080	0.026	<b>2.827</b>

# Recognition by clothing



Good match



Poor matches



# Viewpoint invariant recognition, by clothing

## Query Description

Head coverage: None  
Neckline shape: Round  
Sleeve length: Long  
+...



## Example 1:

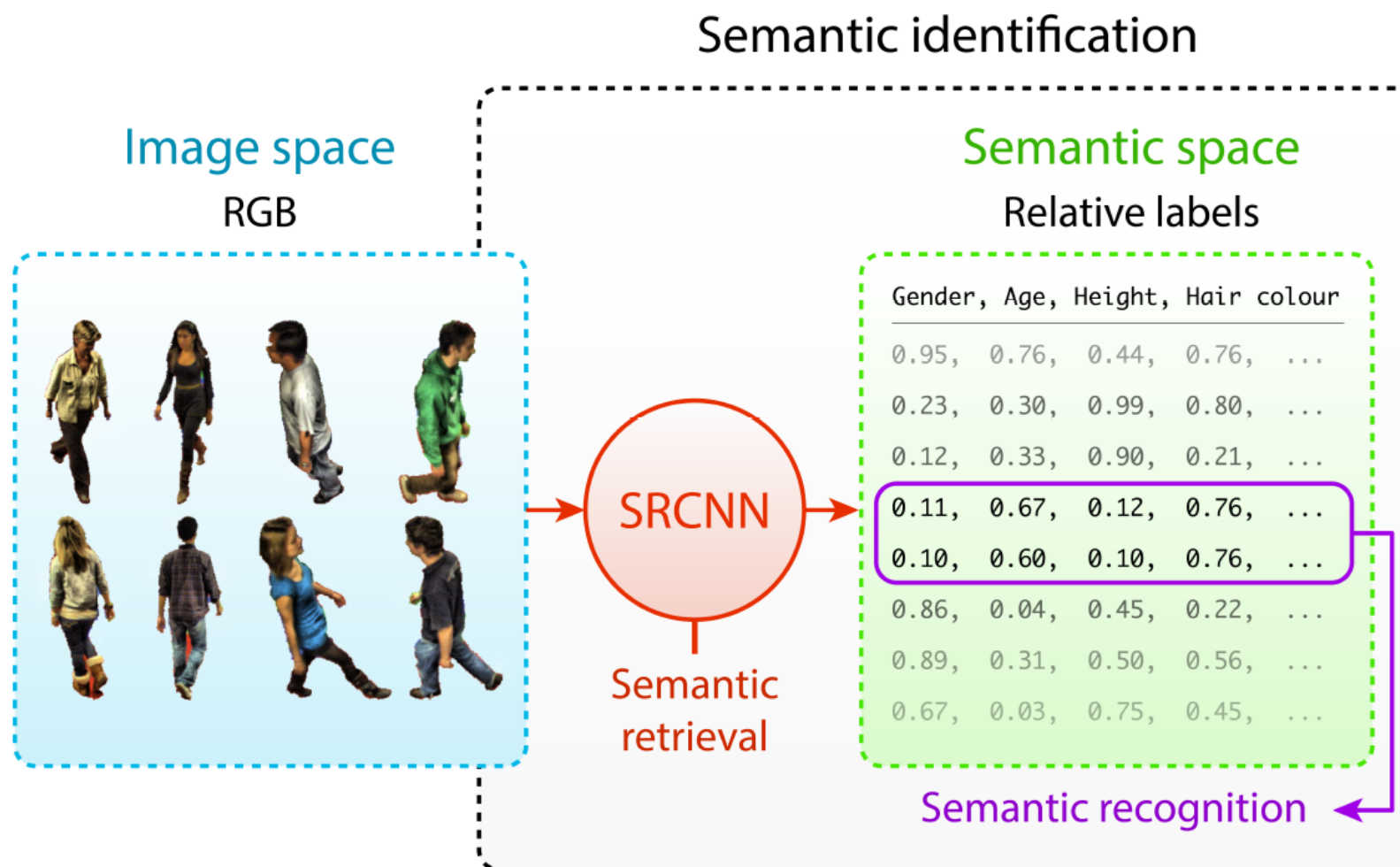


## Example 2:



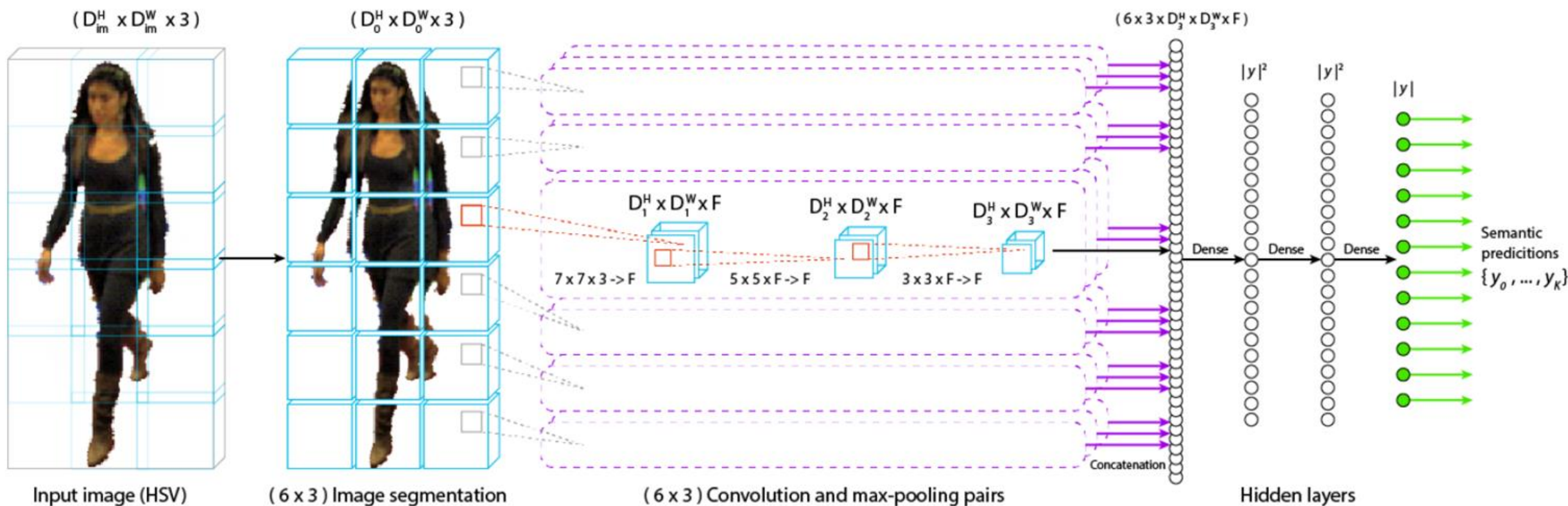
Clothing has ability to handle 90  
degree change

# Estimating labels

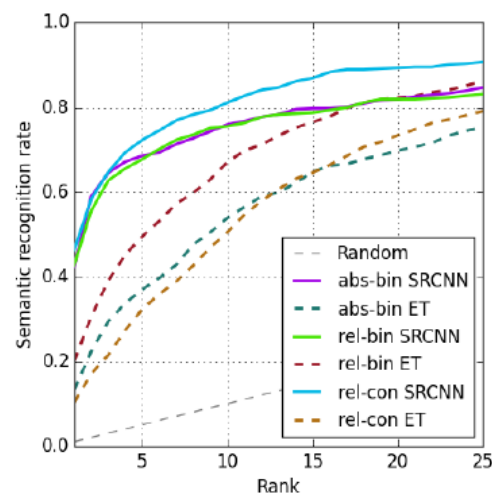




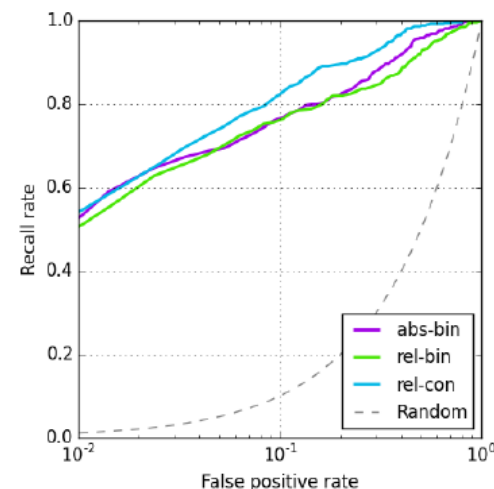
# Architecture



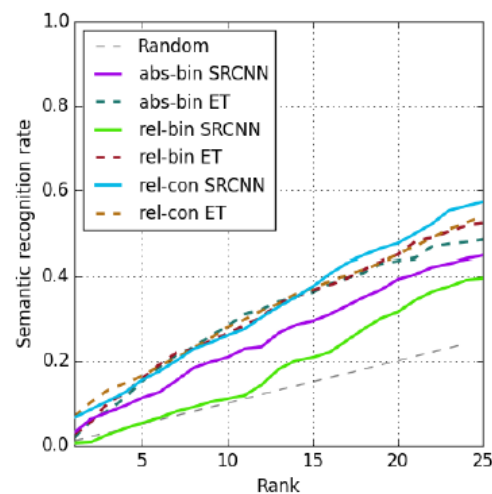
# Recognition by estimated semantics



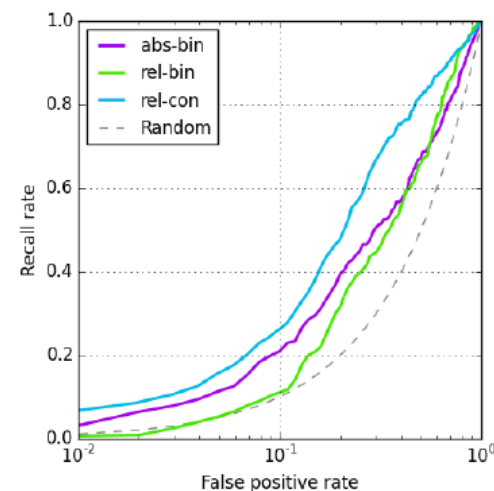
(a) Multi-shot CMC.



(b) Multi-shot ROC.

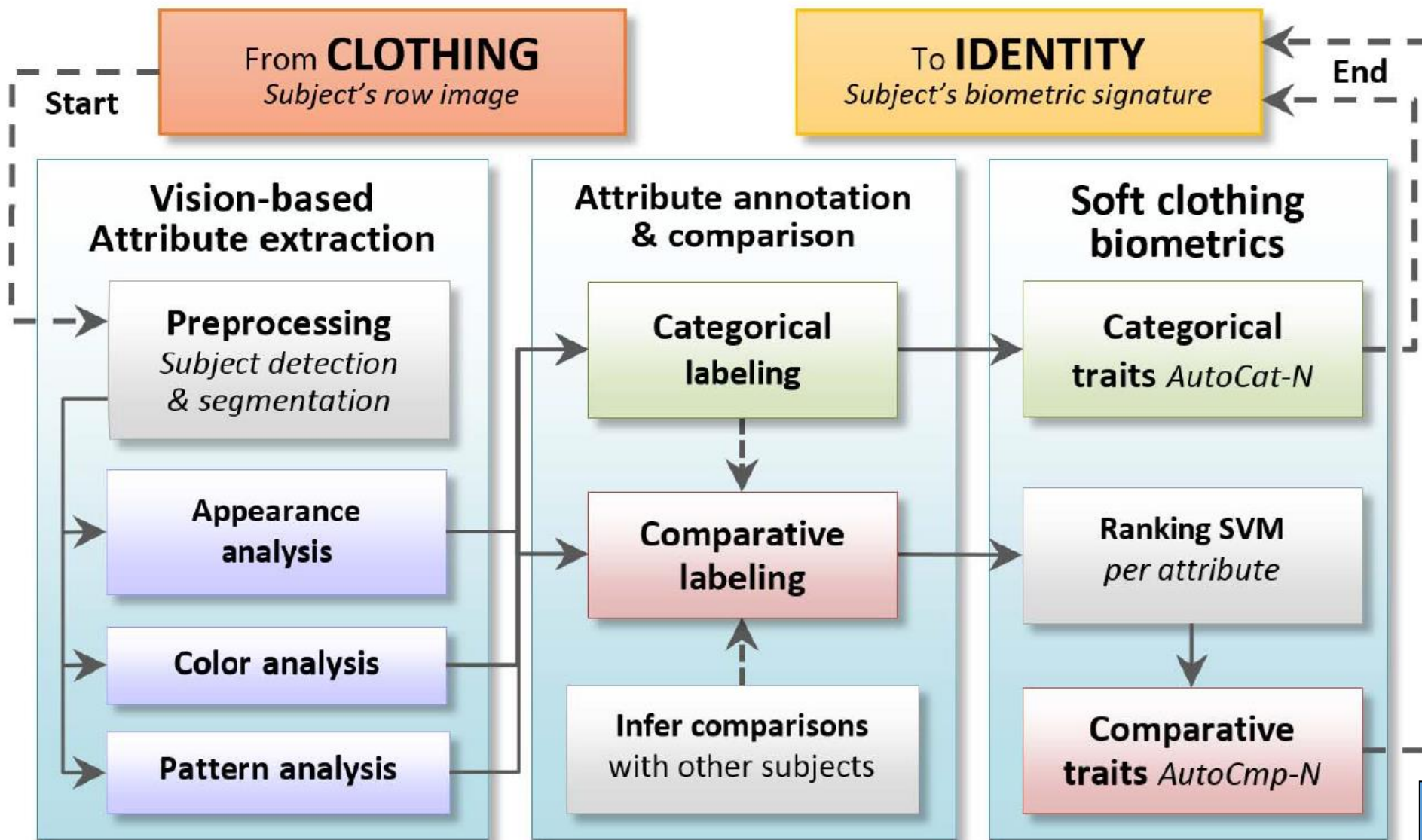


(c) Zero-shot CMC.



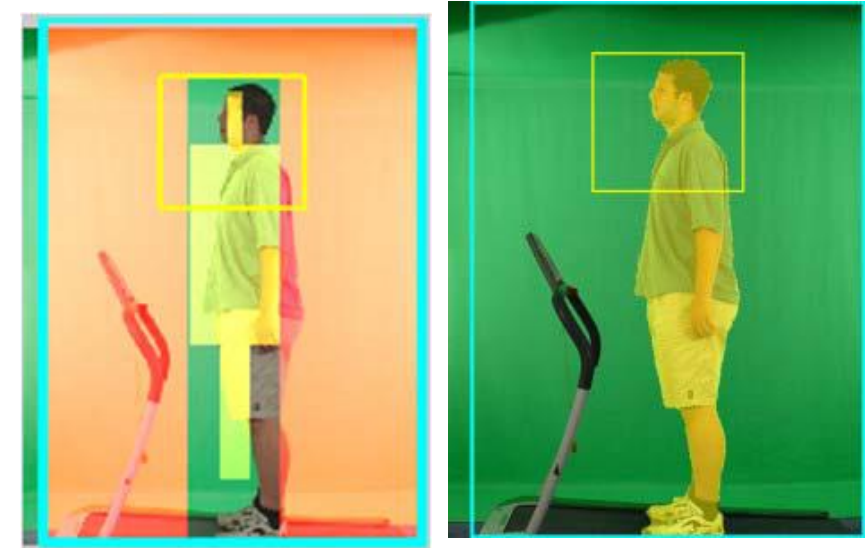
(d) Zero-shot ROC.

# From Clothing to Identity: Manual and Automatic Soft Biometrics



# Automated clothing: grabcut person/ clothing initialisation

- Color models used to initialize the Grabcut person extractor
- Color models arranged to highlight foreground/ background
- Result highlighted for (later) subject segmentation



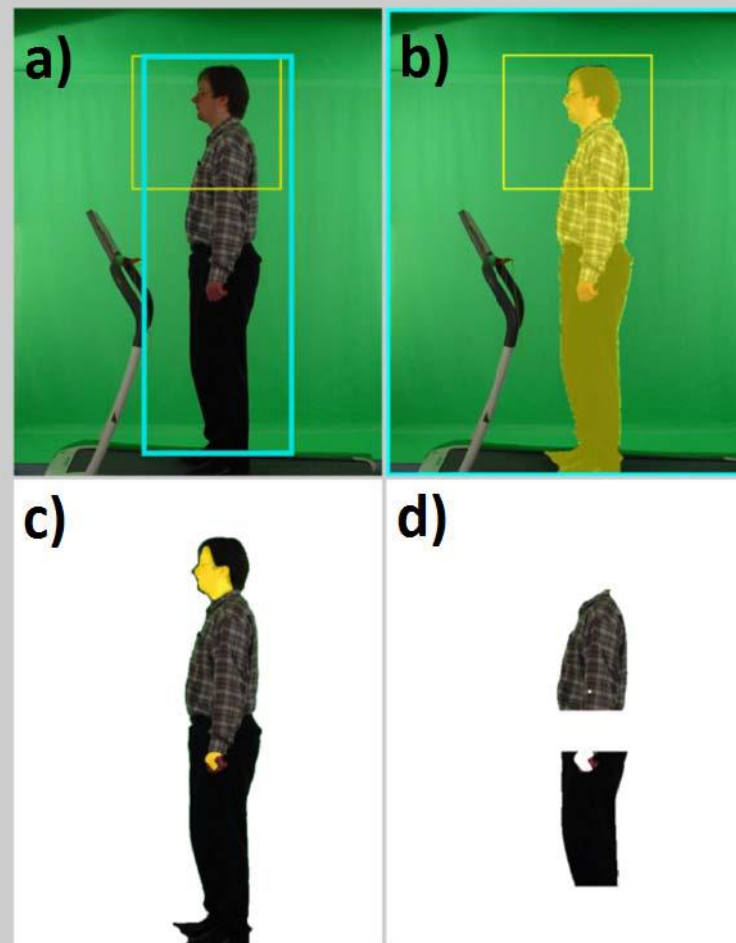


# Automatic clothing analysis

**Automatically** extract 17 categorical soft clothing attributes

- detection;
- head and body;
- minus background and with skin;
- final clothing segmentation

(Subject 094) Automatic soft biometric labeling



## Soft Clothing Traits:

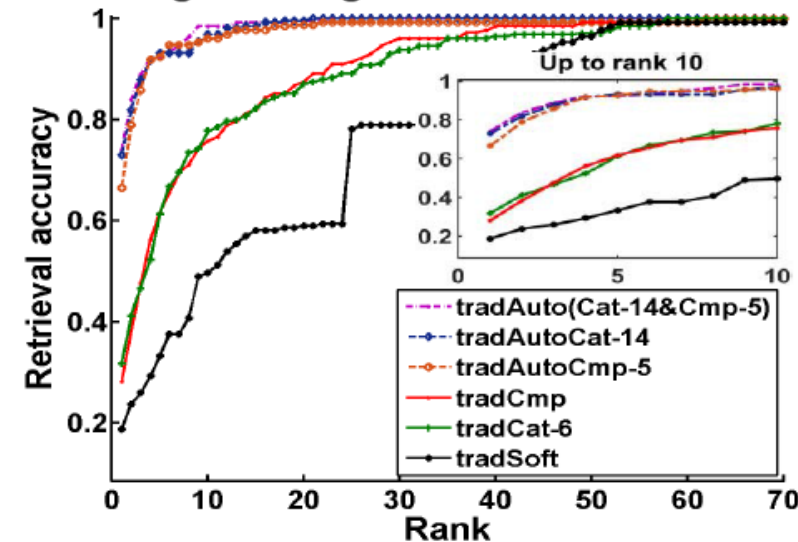
- A1. Overall Skin exposure: Very Low
- A2. Overall Clothing season: Cold
- A3. Upper vs Lower Contrast: Medium
- A4. Overall Color-scheme: Neutral
- A5. Upper Skin exposure: Very Low
- A6. Upper Clothing season: Cold
- A7. Upper Brightness: Medium
- A8. Upper Color-scheme: Neutral
- A9. Upper Dominant color: Dual
- A10. Upper Pattern: Complex
- A11. Lower Skin exposure: Very Low
- A12. Lower Clothing season: Cold
- A13. Lower Brightness: Very Dark
- A14. Lower Color-scheme: Neutral
- A15. Lower Dominant color: Single
- A16. Lower Pattern: None
- A17. Footwear Category: Closed Toed



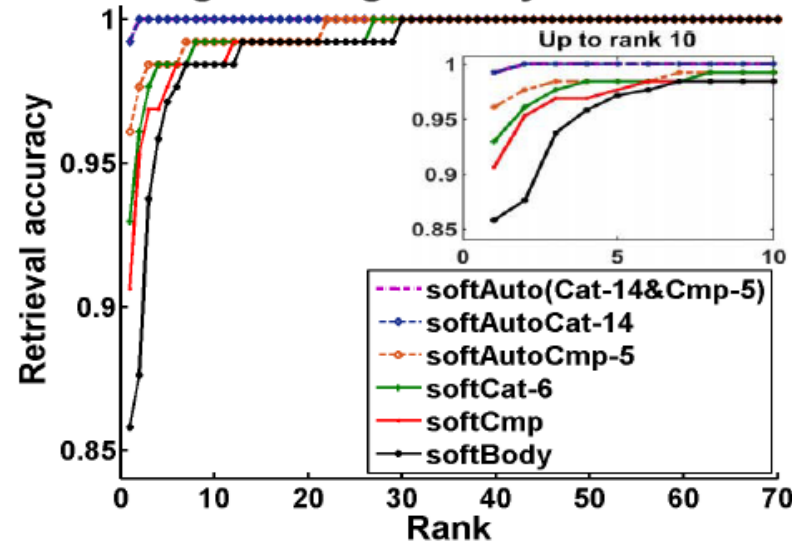
Clothing-based soft biometrics		
MANUAL	<i>Cat-6</i>	6 <i>manual</i> categorical clothing traits; the best correlated and most discriminative via ANOVA
	<i>Cmp</i>	7 <i>manual</i> comparative soft clothing traits
AUTOMATIC	<i>AutoCat-14</i>	Top 14 <i>automatic</i> categorical clothing traits via ANOVA
	<i>AutoCmp-5</i>	Top 5 <i>automatic</i> comparative clothing traits via ANOVA
	<i>Auto(Cat-14&amp;Cmp-5)</i>	Fusion of <i>AutoCat-14</i> and <i>AutoCmp-5</i>
Body-based soft biometrics		
	<i>tradSoft</i>	4 categorical soft body biometrics ( <i>Age</i> , <i>Ethnicity</i> , <i>Sex</i> , and <i>Skin Color</i> )
	<i>softBody</i>	17 categorical soft body biometrics including <i>tradSoft</i>
Combined soft clothing & body biometrics		
Clothing & <i>tradSoft</i>	<i>tradAutoCat-14</i>	<i>AutoCat-14</i> combined with <i>tradSoft</i>
	<i>tradAutoCmp-5</i>	<i>AutoCmp-5</i> combined with <i>tradSoft</i>
	<i>tradAuto(Cat-14&amp;Cmp-5)</i>	<i>Auto(Cat-14&amp;Cmp-5)</i> combined with <i>tradSoft</i>
	<i>tradCat-6</i>	<i>Cat-6</i> combined with <i>tradSoft</i>
	<i>tradCmp</i>	<i>Cmp</i> combined with <i>tradSoft</i>
Clothing & <i>softBody</i>	<i>softAutoCat-14</i>	<i>AutoCat-14</i> combined with <i>softBody</i>
	<i>softAutoCmp-5</i>	<i>AutoCmp-5</i> combined with <i>softBody</i>
	<i>softAuto(Cat-14&amp;Cmp-5)</i>	<i>Auto(Cat-14&amp;Cmp-5)</i> combined with <i>softBody</i>
	<i>softCat-6</i>	<i>Cat-6</i> combined with <i>softBody</i>
	<i>softCmp</i>	<i>Cmp</i> combined with <i>softBody</i>

# Recognition by automatic and human derived labels

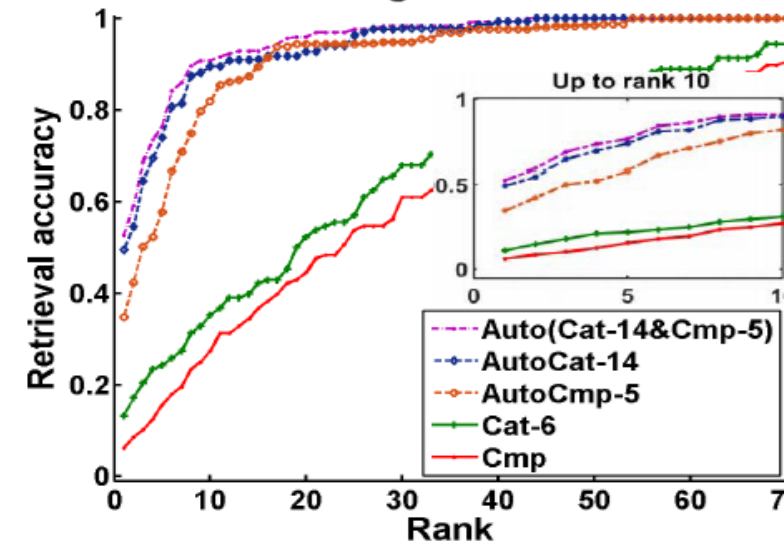
Adding clothing to traditional soft traits



Adding clothing to body soft traits



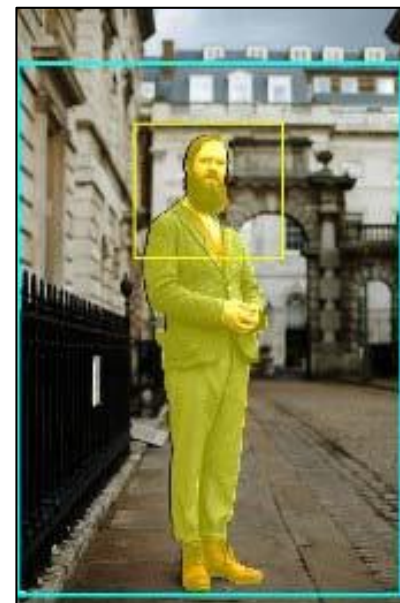
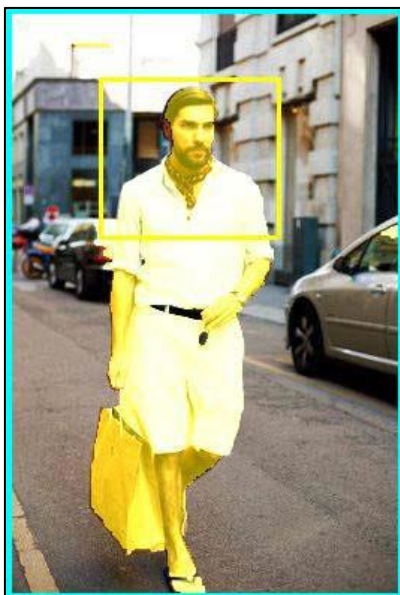
Soft clothing traits alone



Recognition can be achieved by human derived labels and by automatically derived labels

We have crossed the semantic gap, **both ways**....

# Automated clothing labelling on CAT



# Conclusions

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## Soft biometrics

- are basis metrics for **identification**
- offer capability for **new application scenarios**
- are **not restricted** to performance enhancement
- have **application advantages** especially suited to surveillance (poor lighting and distance/ low resolution)
- **need wider investigation** (covariates, antispoofing) as to performance advantages
- motivate need for **new insight** as to automated identification vs. human identification

...and they are great fun. Questions and discussion please.

# Conclusions (and where does this take us?)

---

- Yes, we can recognise people by the way they walk
- ..... and by human descriptions
- Challenging technology
- Needs new techniques and new insight
- Can generalise to forensics
- Gait well established internationally
- Human descriptions need wider investigation (covariates, antispoofing) as to performance advantages
- Motivate need for new insight as to automated identification vs. human identification
- and they are great fun. ....questions?





# And thanks to ....

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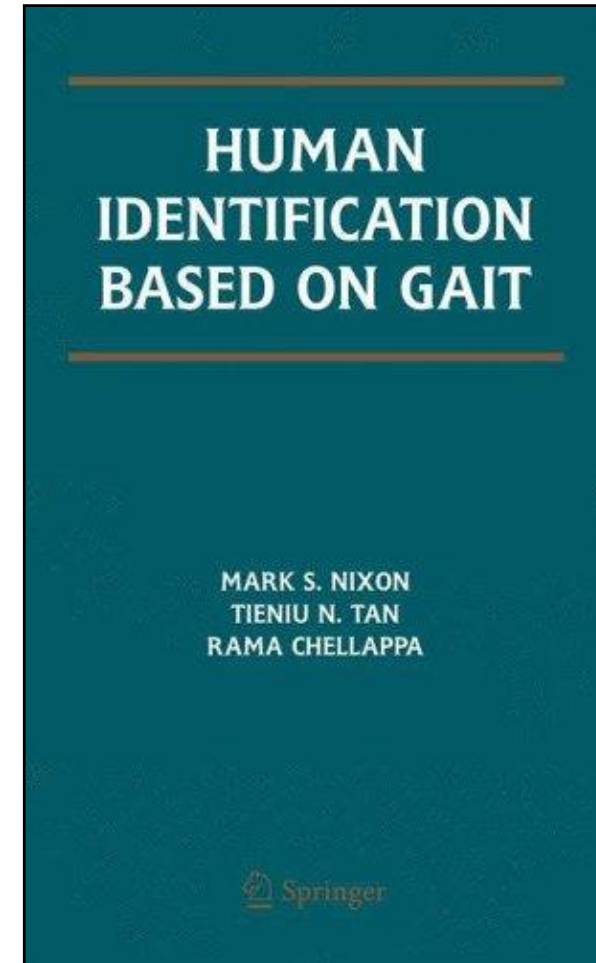
Dr John Carter, Dr Sasan Mahmoodi, Dr Jon Hare

Dr Hani Muammar, Dr Adrian Evans, Prof. Xiaoguang Jia, Prof Yan Chen, Prof Steve Gunn, Dr Colin Davies, Dr Mark Jones, Dr Alberto Aguado, Dr David Cunado, Dr Jason Nash, Prof Ping Huang, Dr David Benn, Dr Liang Ng, Dr Mark Toller, Dr John Manslow, Dr Mike Grant, Dr Jamie Shutler, Dr Karl Sharman, Prof Andrew Tatem, Layla Gordon, Dr Richard French, Dr Vijay Laxmi, Dr James Hayfron-Acquah, Dr Chew-Yean Yam, Dr Yalin Zheng, Dr Jeff Foster, Dr Jang Hee Yoo, Dr Nick Spencer, Dr Stuart Prismall, Wan Mohd.-Isa, Dr Peter Myerscough, Dr Richard Evans, Dr Stuart Mowbray, Dr Rob Boston, Dr Ahmad Al-Mazeed, Dr Peter Gething, Dr Dave Wagg, Dr Alex Bazin, Dr Mike Jewell, Dr Lee Middleton, Dr Galina Veres, Dr Imed Bouchrika, Dr Xin Liu, Dr Cem Direkoglu, Hidayah Rahmalan, Dr Banafshe Arbab-Zavar, **Dr Baofeng Guo**, **Dr Sina Samangoeei**, Dr Michaela Goffredo, Dr Daniel Thorpe, Dr Richard Seely, Dr John Bustard, Dr Alastair Cummings, Dr Muayed Al-Huseiny, Dr Mina Ibrahim, Dr Darko Matovski, Dr Gunawan Ariyanto, Dr Sung-Uk Jung, Dr Richard Lowe, **Dr Dan Reid**, Dr George Cushen, Dr Ben Waller, Dr Nick Udell, Dr Anas Abuzaina, Dr Thamer Alathari, Dr Musab Sahrim, Dr Ah Reum Oh, Dr Tim Matthews, **Dr Emad Jaha**, Dr Peter Forrest, Dr Jaime Lomeli, **Dan Martinho-Corbishley**, **Bingchen Guo**, Jung Sun, Dr **Nawaf Almudhahka**, **Tom Ladyman**, Di Meng, **Moneera Alamnakani**, Neeha Jain

**Sponsors: EPSRC, Home Office, MoD (GD), DARPA, ARL, EU**

## More Information .....

---





Thank you!!
> 21 (!!)
Male
White (?)
(was) 6'
Slim
Grey(ish) hair
Random hairstyle

## .... and some papers

---

### Surveys on [gait](#) biometrics

- MS Nixon, T Tan, R Chellappa, *Human ID Based on Gait*, Springer 2005
- Y Makihara, DS Matovski, MS Nixon, JN Carter, Y Yagi, Gait recognition: databases, representations, and applications, *Wiley Encyclopedia of EEE*, 2015

### Surveys on [soft](#) biometrics

- D Reid, MS Nixon, A Ross, On Soft Biometrics for Surveillance, *Handbook of Stats*, 2013
- MS Nixon, PL Correia, K Nasrollahi, TB Moeslund, A Hadid, M Tistarelli, On soft biometrics, *Pattern Recognition Letters*, **68**(2), 2015

### Recent (soft) papers

- P Tome, J Fierrez, R Vera-Rodriguez, MS Nixon, Soft biometrics and their application in person recognition at a distance, *IEEE TIFS*, **9**(3), 2014
- D Reid, MS Nixon, Soft biometrics; human identification using comparative descriptions, *IEEE TPAMI*, **36**(6), 2014
- ES Jaha, MS Nixon, From Clothing to Identity; Manual and Automatic Soft Biometrics, *IEEE TIFS* 2016
- N Almudhahka, MS Nixon, J Hare, Semantic Face Signatures: Recognizing and Retrieving Faces By Verbal Descriptions, *IEEE TIFS* 2017
- D Martinho-Corbishley, MS Nixon, JN Carter, Analysing comparative soft biometrics from crowdsourced annotations, *IET Biometrics* 2016